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1 Effect of protocolized weaning with early extubation to non-invasive ventilation vs invasive
2 weaning on time to liberation from mechanical ventilation among patients with respiratory
3 failure: **The Breathe randomized trial.**

4
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28 **Word count:** 3245 (main text only, not including abstract, acknowledgment, or references)

29 Revision date: 8 September 2018

30

31 **Key points**

32 **Question:** In adults who are difficult to wean from invasive mechanical ventilation, does
33 early extubation using a protocolized non-invasive weaning regime reduce the time to
34 liberation from ventilation compared to protocolized invasive weaning?

35 **Findings:** In this randomized clinical trial that included 364 adults, the median time to
36 liberation from ventilation for those randomized to non-invasive weaning versus invasive
37 weaning was 4.3 days versus 4.5 days, a difference that was not statistically significant.

38 **Meaning:** Protocolized weaning with early extubation to non-invasive ventilation compared
39 with invasive weaning did not significantly shorten time to liberation from all forms of
40 mechanical ventilation.

41

42 **Abstract**

43

44 **Importance:** In adults who are difficult to wean from invasive mechanical ventilation, non-invasive ventilation may facilitate early liberation but there is uncertainty about its effectiveness in a mixed intensive care patient population.

47

48 **Objective:** To investigate, in patients who are difficult to wean, the effects of protocolized weaning with early extubation to non-invasive ventilation on time to liberation from ventilation compared to protocolized invasive weaning.

51

52 **Design, Setting and Participants:** A randomized, allocation concealed, open-label, multi-centre clinical trial. Patients were enrolled between March 2013 and October 2016 from 41 intensive care units in the UK National Health Service. Follow up continued until April 2017. Adults who received invasive mechanical ventilation for more than 48 hours and failed a spontaneous breathing trial were enrolled in the trial.

57

58 **Intervention:** Patients were randomized to receive either protocolised early extubation to non-invasive weaning (n=182) or protocolised invasive weaning (n=182).

60

61 **Main outcomes:** Primary outcome was time from randomization to successful liberation from all forms of mechanical ventilation amongst survivors, measured in days, with the minimally clinically important difference defined as 1 day. Secondary outcomes were duration of invasive and total ventilation (days), re-intubation or tracheostomy rates and survival.

66

67 **Results:** Among 364 randomized patients (mean age 63.1 years, [SD 14.8], 50.5% male), 319
68 were evaluable for the primary effectiveness outcome (41 died before liberation, 2 withdrew
69 and 2 were discharged with on-going ventilation). The median time to liberation was 4.3
70 days (non-invasive) versus 4.5 days (invasive) (adjusted hazard ratio 1.1, 95% confidence
71 interval (CI) 0.89 to 1.40). Competing risk analysis accounting for deaths had a similar result
72 (adjusted hazard ratio 1.1, 95% CI 0.86 to 1.34). The non-invasive group received less
73 invasive ventilation (median: 1 day vs 4 days, incidence rate ratio (IRR) 0.6 (95% CI: 0.47 to
74 0.87)) and less total ventilator days (median: 3 days vs 4 days, IRR 0.8 (95% CI: 0.62 to
75 1.0)). There was no significant difference in re-intubation, tracheostomy rates or survival.
76 Adverse events occurred in 45 (24.7%) patients in the non-invasive group compared with 47
77 (25.8%) in the invasive group.

78

79 **Conclusion and Relevance:** Among patients requiring mechanical ventilation, protocolized
80 weaning with early extubation to non-invasive ventilation compared with invasive weaning
81 did not significantly shorten time to liberation from all forms of mechanical ventilation.

82

83 **Trial Registration:** ISRCTN 15635197.

84

85

86

87 Invasive mechanical ventilation is a lifesaving intervention. However prolonged ventilation
88 is associated with increased morbidity and mortality.^{1,2} Optimal processes for weaning from
89 ventilation have been studied for many years and led to evidence based clinical practice
90 guidelines to facilitate early liberation from invasive mechanical ventilation.³ These
91 guidelines recommend using spontaneous breathing trials, minimising sedation, using
92 weaning protocols and early mobilization to promote liberation from ventilation.

93

94 Whilst most invasively ventilated patients have an uncomplicated (simple) weaning pathway
95 around one third require more than one spontaneous breathing trial and are considered
96 difficult to wean.^{1,4,5} Patients who are difficult to wean face the physical discomfort of on-
97 going tracheal intubation, are often unable to speak,⁶ are at increased risk of ventilator
98 associated pneumonia.^{7,8} Mobilisation is often delayed due to concurrent sedation and
99 concerns about accidental intubation.^{9,10} This group of patients consume a disproportionate
100 amount of intensive care unit (ICU) resources.¹¹

101

102 Non-invasive mechanical ventilation, which is being used increasingly as an alternative to
103 invasive ventilation,^{12,13} may have a role to play in supporting early liberation from invasive
104 mechanical ventilation in patients who are difficult to wean. Although the use of non-
105 invasive ventilation as an adjunct to weaning has been tested in previous studies, the patient
106 populations and interventions tested are not generalizable to contemporary clinical ventilation
107 practice.¹⁴

108

109 In this multicentre randomized clinical trial conducted in the United Kingdom, it was
110 hypothesized that weaning protocols which directed clinicians to extubate patients who were

111 difficult to wean to non-invasive ventilation compared to conventional weaning protocols for
112 invasive mechanical ventilation, would reduce the time to liberation from ventilation.

113

114 **Methods**

115 **Trial design**

116

117 We conducted this randomized, allocation concealed controlled, open-label, multi-centre trial
118 in 41 general adult intensive care units (ICUs) in the United Kingdom. The trial protocol was
119 designed by the trial investigators (online supplement).¹⁵ The trial was co-sponsored by
120 Heart of England NHS Foundation Trust and University of Warwick and was approved by
121 South Central C Research Ethics Committee (Reference 12/SC/0515). It was endorsed by the
122 UK Intensive Care Foundation. Written consent was obtained from patients, their next of kin
123 or a doctor who was independent from the trial prior to randomization in accordance with
124 national laws. The study included an internal pilot, spanning the first six months of the trial at
125 which point progress was reviewed by the funder. The same trial protocol was used for the
126 internal pilot as the main study. Patients enrolled in the internal pilot were included as part of
127 the main trial

128

129 **Patients**

130 Adult patients who had received invasive mechanical ventilation through an endotracheal
131 tube continuously for more than 48 hours and were ready to commence weaning were
132 considered for enrolment. Exclusion criteria were pregnancy, presence of a tracheostomy,
133 contra-indications to non-invasive ventilation, profound neurological deficit, home
134 ventilation prior to admission, treatment limitations, need for further surgery/sedation, or no
135 non-invasive ventilator available. Readiness to wean was assessed by the treating clinician

136 before randomization according to pre-specified criteria.¹⁶ Patients judged ready to start
137 weaning underwent a spontaneous breathing trial (details in the electronic supplementary
138 material). Patients who failed the spontaneous breathing trial and thus were defined as
139 difficult to wean, were eligible for randomization. After obtaining consent, eligible patients
140 were randomized using web-based secure electronic randomization designed by the study
141 statistician. The minimization method was used to randomise patients in 1:1 (non-invasive or
142 invasive) allocation. The stratifying factors used in the minimisation algorithm were centre,
143 presence/absence of COPD, and post-operative/non-operative reason for ICU admission, and
144 these ensured equal balance between treatment groups. COPD was defined by a pre-
145 admission diagnosis of COPD requiring pharmacological treatment or evidence of FEV1:
146 FVC ratio < 0.7 and FEV1 < 80% predicted or the presence of respiratory symptoms.
147 Patients admitted to ICU after surgery were defined as the post-operative group. . Following
148 the spontaneous breathing trial, patients were re-established on pressure support ventilation
149 using the previous settings. If necessary, the level of pressure support was further titrated to
150 achieve patient comfort and respiratory rate <30 breaths min⁻¹.

151

152 **Non-invasive ventilation weaning protocol**

153 When the treating clinician judged the patient was ready, the patient was extubated. The
154 patient was then immediately provided with non-invasive ventilation via face mask. The
155 non-invasive ventilator was configured to deliver an equivalent level of inspiratory positive
156 airway pressure to the level of pressure support that was being provided by the invasive
157 ventilator and expiratory positive airway pressure equivalent to the level of positive end
158 expiratory pressure (PEEP). The level of inspiratory positive airway pressure was then
159 titrated to achieve patient comfort and respiratory rate <30 breaths min⁻¹. Every two hours,
160 the patient was assessed for signs of distress/fatigue. In the absence of distress/fatigue, the

161 treating clinician either removed the non-invasive ventilation mask to allow a self-ventilation
162 trial or reduced the level of positive airway pressure by 2cm H₂O. The non-invasive weaning
163 protocol was discontinued when the patient tolerated 12 hours of unsupported spontaneous
164 ventilation.

165

166 **Invasive ventilation weaning protocol**

167 Every 2 hours, the clinician assessed the patient for signs of distress/fatigue. In the absence of
168 distress/fatigue, pressure support was reduced by 2cm H₂O. This cycle was repeated every 2
169 hours as tolerated. If at any point the patient developed signs of distress/fatigue, then
170 reversible causes were sought and corrective treatments initiated as appropriate. If this failed
171 to resolve the situation, the level of pressure support was increased by 2cm H₂O.
172 Spontaneous breathing trials were repeated daily to assess extubation readiness. This cycle
173 continued until the patient was either extubated (due to passing the spontaneous breathing
174 trial) or a tracheostomy was performed.

175

176 In both groups, the fraction of inspired oxygen was titrated to maintain arterial oxygen
177 saturations >90%. Both active weaning protocols were implemented between 8am-10pm.
178 Unless the patient developed signs of fatigue/distress, ventilator settings remained unchanged
179 overnight.

180

181 The protocol encouraged use of a ventilator bundle (head up position; oral decontamination;
182 sedation hold; peptic ulcer prophylaxis), and recommended deferral of tracheostomy until
183 after 7 days of ventilation. Guidance was provided for the criteria for re-intubation but the
184 decision to re-intubate was made by the patients' physician. The decision to initiate antibiotic
185 therapy and other treatments was at the discretion of the patient's physician.

186

187 **Outcome measures:**

188 The primary outcome was time from randomization to successful liberation from ventilation
189 defined as the time point at which the patient was alive and free of ventilator (invasive or
190 non-invasive) support for >48 hours. Secondary outcomes were duration of invasive
191 ventilation and total ventilator days (invasive and non-invasive); proportion of patients
192 receiving antibiotics for presumed respiratory infection; total days receiving antibiotics; the
193 rate of re-intubation; mortality at 30, 90 and 180 days; time to meeting ICU discharge
194 criteria; the rate at which patients fulfilled pre-defined criteria indicating the need for re-
195 intubation irrespective to whether they were re-intubated. The pre-defined criteria were
196 cardiac or respiratory arrest, respiratory pauses with loss of consciousness or gasping for air,
197 severe psychomotor agitation inadequately controlled by sedation, persistent inability to
198 remove respiratory secretions, heart rate ≤ 50 or ≥ 140 breaths min^{-1} with loss of alertness,
199 haemodynamic instability unresponsive to fluids and vasoactive drugs, requirement for
200 surgery or other interventional procedure which requires deep sedation or anaesthesia; the
201 proportion of patients receiving a tracheostomy and mortality at 30, 90 and 180 days after
202 randomization. Post-hoc key process variables (weaning pathway, sedation use, length of
203 intensive care stay) are also reported. Outcomes were extracted from the intensive care,
204 hospital clinical records and from questionnaires returned by patients. By the nature of the
205 intervention and clinical record designs (which typically record mode of ventilation alongside
206 respiratory variables) it was not possible to blind those assessing core ventilation outcomes to
207 the treatment allocation. Adverse events defined as the development of skin / mucosal
208 damage, vomiting, gastric distension, non-respiratory infection and cardiac dysrhythmias.
209 Health-related quality of life was assessed by EQ-5D-5L and SF-12 at baseline (estimated

210 retrospectively), 90 and 180 days after randomization. All outcomes are reported post
211 randomization.

212

213 **Statistical analysis**

214 The original sample size was 920 patients but after a formal review requested by the funder,
215 the sample size was revised to reflect a shorter than anticipated period of weaning. A median
216 duration of weaning of 2.9 days and a difference of 1 day provided an associated hazard ratio
217 (HR) of 1.53 and a minimum sample size of 280 with 90% power at a 5% significance level.

218 One day was defined by the investigators and patient and public representatives as the
219 minimally important difference. The sample size was inflated by 23%, to account for the rate
220 of loss to follow-up seen up to the interim review of the data. It also accounted for the shape
221 parameter, p which was estimated by the data as 0.918 and which allowed for non-constant
222 hazards (as modelled by the Weibull distribution), resulting in a final sample size of 364 (182
223 patients in each group). Revision of the sample size meant that the primary outcome would
224 be analysed using a Cox proportional hazards (PH) model as opposed to the competing risks
225 regression model which was pre-specified in the protocol.

226

227 The primary analysis method was intention to treat. Analysis of the primary outcome, time
228 from randomization to liberation from ventilation, and other time to event outcomes, used a
229 Cox proportional hazards (PH) regression model to estimate the HR and 95% confidence
230 interval (CI). In addition we used a competing risks regression model to account for the
231 competing risk of death. Prior to the competing risk regression analysis, the cumulative
232 incidence of liberation and death was plotted as a basic descriptive to understand the overall
233 pattern over time. Mixed-effects logistic regression models were used to estimate the
234 difference in mortality at 30, 90 and 180 days between the two groups where we reported the

235 odds ratio (OR) and 95% CI. Mixed-effects linear regression models were used to estimate
236 the mean treatment difference and 95% CI for continuous outcomes including the HRQL
237 measures (change from baseline). Mixed-effects negative binomial models were used to
238 estimate the incidence rate ratio (IRR) and 95% CI for over-dispersed count data e.g. number
239 of days on invasive ventilation with zero inflation where several participants had no days on
240 invasive ventilation. The study was not powered to detect treatment differences in the
241 secondary outcomes, hence these secondary analyses are considered exploratory.

242

243 We performed a *per protocol* analysis and two pre-defined subgroup analyses (presence or
244 absence of COPD; post-operative / non-operative). It was not possible to perform the third
245 planned sub-group analysis (physician versus nurse led weaning) as all sites used a multi-
246 professional approach involving both physicians and nurses. Multiple imputation by chained
247 equations was used to impute missing primary outcome data and the imputed dataset
248 analysed as a sensitivity analysis.

249

250 All of the analyses used mixed-effects models adjusted for age, gender, centre, post-
251 spontaneous breathing trial PaCO₂, presence/absence of COPD and non-operative/post-
252 operative, where centre was included as a random effect in the models. Modelling
253 assumptions were assessed for all models fitted. The proportional hazards assumption was
254 assessed for the Cox PH regression model and the competing risks model using plots of the
255 log(-log) survival function and the Schoenfeld residuals and by assessing the influence of
256 time-varying covariates. Linear, logistic and negative binomial regression models were
257 checked to ensure that the assumptions of linearity and constant variance were satisfied, using
258 residual plots. In addition to this, all the covariates included in the model were assumed to be

259 independent of the outcome. All statistical tests were 2-sided using a 5% significance
260 threshold. Statistical analyses were performed using STATA, version 15.1.

261

262

263 **Results**

264 **Patients**

265 Figure 1 presents the flow of patients through the trial. Recruitment took place between
266 March 2013 and October 2016 during which 364 patients were recruited from across 41
267 hospitals. 182 patients were allocated to each group. Most patients received the allocated
268 intervention (non-invasive 96.7% (175/182), invasive group 96.1% (175/182)).

269

270 Participant follow-up ended in April 2017. Overall baseline and physiological characteristics
271 of patients were well matched (Table 1). Most patients had pneumonia (35.7%) or post-
272 surgery respiratory failure (21.4%) as the main reason for mechanical ventilation.

273

274 **Outcomes**

275

276 The primary outcome, time from randomization to liberation from ventilation was median 4.3
277 days (95% CI 2.63 to 5.58) in the non-invasive group compared to 4.5 days (95% CI 3.46 to
278 7.25) in the invasive group (see Figure 2, adjusted hazard ratio 1.1, 95% CI 0.89 to 1.40). The
279 competing risks regression analysis gave a similar result (see Figure 3, adjusted hazard ratio
280 1.1, 95% CI 0.86 to 1.34).

281

282 The non-invasive group required less invasive ventilation (median: 1 day vs 4 days, incidence
283 rate ratio (IRR) 0.6, 95% CI 0.47 to 0.87) and required less total ventilator days (median: 3
284 days vs 4 days, IRR 0.8, 95% CI 0.62 to 1.0). Fewer non-invasive patients received
285 antibiotics for respiratory infection, 60.4 % versus 70.3% (unadjusted absolute difference
286 9.9%, 95% CI 0.17%to 19.61%). Total days receiving antibiotics (respiratory and non-
287 respiratory) were not significantly different (mean difference 1.3 days, 95% CI -1.31 to 3.88)
288 with a mean of 9.1 days (SD 12.0) in the non-invasive group and 10.4 days (SD 13.2) in the
289 invasive group.

290

291

292 A higher proportion of patients were extubated in the non-invasive group (181/182)
293 compared to the invasive group 143/182. 67/181 (37.0%) of those extubated in the non-
294 invasive group were re-intubated compared to 41/143 (28.7%) in the invasive group (OR
295 1.54, 95% CI 0.89 to 2.41). For the end-point of reaching the criteria for re-intubation, there
296 were 63/181(34.8%) compared with 42/143 (29.4%) patients in the invasive group (OR 1.3,
297 95% CI 0.78 to 2.12).

298

299

300 The rate of tracheostomy was 23.6% in the non-invasive group and 30.2 % in the invasive
301 group (OR 0.7, 95% CI 0.44 to 1.15). Survival rates were not significantly different at 30
302 days (86.8% (non-invasive) versus 86.3% (invasive), OR 1.1 (95% CI 0.58 to 1.96) through
303 to 180 days (78% non-invasive, 73.1% invasive, OR 1.4 (95% CI 0.85 to 2.27)).(see
304 electronic supplementary material – E2). There were no significant differences in the
305 proportions of patients who experienced adverse events and serious adverse events. The
306 distributions of adverse and serious adverse events were similar (Table 2).

307

308 Post-hoc key process measures showed that patients in the non-invasive group were
309 extubated earlier than the invasive group (median 0.5 days (IQR 0.5 to 1) versus 3 (IQR 2 to
310 10), adjusted hazard ratio 2.5, 95% CI 2.01 to 3.15), P<0.001). Amongst those requiring re-
311 intubation, the non-invasive group were re-intubated at a median of 2 days (IQR 0.9 – 3.0)
312 after randomization compared with 3.2 days (IQR 2.3-4.7), P<0.001 in the invasive
313 ventilation arm. The non-invasive group received sedation for fewer days (mean (SD) 4.1
314 days (5.0) versus 5.5 days (5.1) (IRR 0.7, 95% CI 0.61 to 0.91) and spent less time in critical
315 care (10.8 (8.8) versus 12.2 (8.4), P=0.02). The median time from randomization to
316 tracheostomy was a 5.8 days (IQR 3.71 to 8.46) in the invasive arm and 5.6 days (IQR 3.43
317 to 8.46) in the non-invasive arm. There was no significant difference between the two groups
318 (non-parametric p-value=0.65).

319

320

321

322 Health-related quality of life (electronic supplementary material - Table E3) although
323 impaired there was no significant difference between the two weaning groups at 3 and 6
324 months.

325

326 The per protocol analysis gave a similar result to the primary analysis (HR 1.1, 95% CI 0.90
327 to 1.44). The explored subgroups showed no significant difference in treatment effect
328 (electronic supplementary material (E4). The sensitivity analysis using multiple imputation
329 for the 45 participants with missing (censored) primary outcome found no difference between
330 the two groups (HR 1.1, 95% 0.90 to 1.36). A further sensitivity analysis found no significant
331 difference in outcome between the three highest recruiting centres (who recruited 161(44%)

332 of the patients) and the other participating centres. There were no major departures from the
333 modelling assumptions for all of the regression models fitted.

334

335 **Discussion**

336 In this multi-centre randomized trial, early extubation to non-invasive ventilation compared
337 to protocolized invasive weaning with sequential pressure support reduction prior to
338 extubation, did not reduce the time to liberation from all form of ventilation. Consistent with
339 the protocol design, patients in the non-invasive ventilation group were extubated earlier and
340 spent less time receiving invasive ventilation. Mortality rates, the requirement for re-
341 intubation or tracheostomy and adverse event rates were not significantly different.

342

343

344 The spontaneous breathing trial is used to identify patients who are ready for extubation.¹⁷
345 The 59-86% of invasive ventilation patients who fail a spontaneous breathing trials are
346 classified as difficult to wean.^{1,4,18-20} These patients consume a disproportionate amount of
347 ICU resource to achieve successful liberation.¹¹ Non-invasive ventilation has been
348 suggested to be a useful tool to facilitate weaning but most previous studies recruited
349 predominantly patients with chronic obstructive pulmonary disease (COPD).²¹⁻²⁵ In that
350 patient group (COPD), non-invasive weaning reduced mortality, the duration of invasive
351 ventilation, re-intubation and ICU length of stay.¹⁴ The patients enrolled in the present study
352 better reflects contemporary ICU practice, as fewer patients with COPD are now invasively
353 ventilated.^{26,27}

354

355

356 The rate of re-intubation was expected to be higher than those with simple weaning needs,
357 where re-intubation rates of 10-20% are reported.²⁸ The 30% overall rate of re-intubation is
358 consistent with findings in previous studies which recruited patients who are difficult to
359 wean.^{22,23,25} As more patients were extubated in the non-invasive arm, more were at risk of
360 re-intubation. One of the major concerns about re-intubation is the association with increased
361 mortality seen in some observational studies.^{29,30} The survival rates in the present study were
362 not significantly different in non-invasive and invasive weaning groups although these
363 findings should be interpreted with caution as the study was not powered to show a difference
364 in this outcome and was not designed to assess equivalence.

365

366 The design of this study afforded several advantages to previous studies. First, a protocolized
367 weaning regimen in both groups allowed clear separation of the intervention from the effect
368 of protocolization.³¹ Best practice guidelines (ventilation bundle, daily spontaneous breathing
369 trials, tracheostomy insertion) reduced heterogeneity between treatment groups. Second,
370 antibiotic use was selected as a surrogate for ventilator associated pneumonia to limit the risk
371 of detection bias arising from different approaches to obtaining respiratory samples for
372 culture; this outcome is arguably more relevant than ventilator associated pneumonia
373 diagnosis as it better reflects antibiotic stewardship and exposure.

374

375 Limitations

376

377 The study has several limitations. First, the nature of the intervention prevented blinding of
378 clinicians, patients, or outcome assessors. This may have led to performance and/or detection
379 bias. Second, the non-invasive weaning protocol mandated sequential reductions in
380 respiratory support (either a decrease in inspiratory pressure support or a break from non-

381 invasive ventilation) as tolerated over a minimum of a 12 hour period. It is possible that this
382 may have extended the period of ventilatory support for some patients. Third, in the invasive
383 ventilation group, the protocol required once daily spontaneous breathing trials. It is possible
384 that more frequent spontaneous breathing trials may have led to earlier recognition of
385 readiness for extubation in some patients. Fourth, the patients enrolled were a heterogenous
386 group of patients with differing relative contributions of respiratory, cardiac, neuromuscular,
387 metabolic, pharmacological and neuro-psychological impairment. Whether a more
388 physiologically based assessment process could identify a group more likely to benefit from
389 non-invasive ventilation remains to be determined in future studies. Fifth, 44% of the
390 patients were recruited from three centres, which could limit generalizability. It is possible
391 that performance and outcomes may have improved as centres became more experienced in
392 the use of the non-invasive weaning intervention.

393

394 *Conclusion*

395 Among patients requiring mechanical ventilation, protocolized weaning with early extubation
396 to non-invasive ventilation compared with invasive weaning did not significantly shorten
397 time to liberation from all forms of mechanical ventilation. ISRCTN 15635197.

398 **Acknowledgements**

399 This project was funded by the NIHR Health Technology Assessment Programme (project
400 number HTA no 10/134) and supported by the Intensive Care Foundation.

401

402 Professors Perkins, Gates, Gao, Blackwood, Lamb, Walsh and Young report grants from the
403 NIHR Health technology Assessment Programme during the conduct of the study. Professor
404 McAuley reports grants from the NIHR Health technology Assessment Programme during
405 the conduct of the study and personal fees from GlaxoSmithKline, SOBI, Peptinnovate,
406 Boehringer Ingelheim and Bayer. Outside the submitted work, his institution has received
407 funds from grants from the UK NIHR, Wellcome Trust and others and from
408 GlaxoSmithKline for Prof McAuley undertaking bronchoscopy as part of a clinical trial. In
409 addition, Prof McAuley is one of four named inventors on a patent US8962032 covering the
410 use of sialic acid-bearing nanoparticles as anti-inflammatory agents issued to his institution,
411 The Queen's University of Belfast (<http://www.google.com/patents/US8962032>). Dr. Varley
412 reports non-financial support from La Jolla pharmaceuticals, personal fees from EMAS
413 Pharma, outside the submitted work. Professor Hart reports unrestricted research grants from
414 Guy's & St Thomas' Charity during the conduct of the study and lecture fees from Fisher-
415 Paykel, Philips and Resmed. In addition, Prof. HART has a MYOTRACE patent pending
416 and he is on the Pulmonary Research Advisory Board for Philips. In addition, Prof. HART
417 has a MYOTRACE patent pending and he is on the Pulmonary Research Advisory Board for
418 Philips. Philips-Respirronics and Philips Research are contributing to the development of the
419 MYOTRACE technology. Prof Hart's Lane Fox Clinical Respiratory Physiology Research
420 Group has received unrestricted grants (managed by Guy's & St Thomas' Foundation Trust)
421 from Philips-Respirronics, Philips, Resmed, Fisher-Paykel and B&D Electromedical.
422 Professor Sarah Lamb reports grants from the NIHR Health Technology Assessment

423 Programme during the conduct of the study. Professor Walsh reports grants from NIHR

424 Health Technology Assessment Agency during the conduct of the study.

425

426 *Department of Health disclaimer*

427 The views expressed are those of the authors and not necessarily those of the NHS, the NIHR

428 or the Department of Health.

429

430 Role of Funder/Sponsor Statement

431 This trial was commissioned and funded by the National Institute for Health Research. The

432 Sponsor and funder approved the design of the study and monitored the conduct of the study.

433 They played no direct role in the design, data collection, management, analysis, and

434 interpretation of the data; preparation, review, or approval of the manuscript; and decision to

435 submit the manuscript for publication.

436 Access to Data and Data Analysis

437 Dr Ranjit Lall and Dr Dipesh Mistry had full access to all the data in the study and takes

438 responsibility for the integrity of the data and the accuracy of the data analysis.

439

440

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442

443

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534 ill adult patients. *Cochrane Database Syst Rev.* 2014(11):CD006904.

537 **Figure legends**

538 Figure 1: Recruitment, randomization and patient flow through the study

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540 Figure 2: Kaplan-Meier curve of the time to liberation from ventilation by treatment group

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542 Hash marks are displayed on the curves at each censoring time. Median time to liberation

543 from ventilation was 4.5 days (95% CI 3.46, 7.25) in the invasive arm and was 4.3 days (95%

544 CI 2.63, 5.58) in the non-invasive arm. Log-rank test p-value=0.35.

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547 Figure 3: Cumulative incidence of liberation summarised by treatment arm

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551 **Table 1: Baseline characteristics**

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Characteristic	Invasive weaning (n=182)	Non-invasive weaning (n=182)
Age (years), mean(sd)	61.8 (15.8)	64.3 (13.6)
Gender (male), n(%)	94 (51.6%)	90 (49.5%)
Evidence of delirium [†] (CAM-ICU positive), n(%)	17 (9.3%)	23 (12.6%)
Body mass index, mean(sd)	27.7 (6.6)	28.2 (6.9)
Duration of ventilation prior to randomization (days), median(IQR)	4.7 (3.0, 7.4)	5.3 (3.3, 8.1)
Antibiotics for respiratory infection, n(%)	100 (55%)	98 (54%)
APACHE II score*, mean (sd)	18.8 (6.2)	18.9 (6.6)
Admission diagnosis, n(%)		
Pneumonia / Respiratory infection	73 (40.1%)	57 (31.3%)
Post-surgery respiratory failure	39 (21.4%)	39 (21.4%)
Cardiac	18 (9.9%)	27 (14.8%)
Non respiratory infection	21 (11.5%)	16 (8.8%)
Neuromuscular	8 (4.4%)	7 (3.9%)
COPD / Asthma Exacerbation	7 (3.9%)	7 (3.9%)
Traumatic injuries	5 (2.8%)	3 (1.6%)
GI Bleed	3 (1.7%)	7 (3.9%)
Pancreatitis	1 (0.5%)	4 (2.2%)
Stroke	1 (0.5%)	0
Other ^{††}	6 (3.2%)	15 (8.2%)
Ventilation parameters prior to spontaneous breathing trial		
Exhaled Minute Volume (L min ⁻¹), median(IQR)	10.5 (8.2, 13.1)	10.2 (8.4, 12.6)
Total Respiratory Rate (Breaths min ⁻¹),	21 (17, 27)	21 (16, 27)

median(IQR)		
PEEP (cmH ₂ O), median(IQR)	5 (5, 8)	5 (5, 8)
Pressure support cm H ₂ O, median(IQR)	11 (8, 15)	11 (9, 15)
PF Ratio** (mmHg), median(IQR)	242.2 (200.6, 315)	227.5 (196.9, 280.7)
Spontaneous tidal volume (ml kg ⁻¹), median(IQR)	8.2 (6.5, 9.8)	7.9 (6.4, 9.5)
Arterial blood gas reading prior to spontaneous breathing trial		
PaCO ₂ *** (mmHg), mean(sd)[N]	42.8 (10.2)[181]	42.6 (8.9)[180]
pH, mean(sd)[N]	7.4 (0.06)[182]	7.4 (0.06)[181]
Hemoglobin (g dL ⁻¹), mean(sd)[N]	9.7 (1.7)[182]	9.6 (1.6)[181]

553 † CAM-ICU is the confusion assessment method for screening for evidence of delirium in intensive care
 554 (<http://www.icudelirium.org>) * APACHE II score ranges from 0-71 where higher scores correspond to more
 555 severe disease and higher risk of death. An APACHE II score of 10-19 is associated with a 25% risks of in-
 556 hospital mortality. †† Other included pulmonary haemorrhage (n=1), bowel obstruction (n=2), acute renal
 557 failure (n=2), metabolic disturbance (n=2), liver failure (n=4), overdose (n=2), respiratory failure of
 558 unknown cause (n=5), vasculitis (n=1), burns (n=2). ** The P:F ratio is the partial pressure of oxygen in
 559 arterial blood (mm Hg) divided by the FiO₂. *** PaCO₂ is the arterial pressure of carbon dioxide in arterial
 560 blood.

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564 **Table 2: Adverse events**

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	Invasive weaning n (%)	Non-invasive weaning n (%)	Unadjusted absolute difference (%) (95% CI)
Antibiotics for presumed respiratory infection	128/182(70.3)	110/182(60.4)	9.9 (0.2, 19.6)
Re-intubation	41/143 (28.7)	67/181 (37.0)	8.3 (-1.9, 18.6)
Tracheostomy	55/182 (30.2)	43/182(23.6)	6.6 (-2.5, 15.7)
Death before ICU discharge	25/182 (13.7)	22/182 (12.1)	1.6 (-5.2, 8.5)
Adverse events	n=182	n=182	
Dysrhythmias	22 (12.1)	14 (7.7)	4.4 (-1.7, 10.5)
Nasal/skin/mouth sores/irritation	14 (7.7)	19 (10.4)	2.7 (-3.2, 8.6)
Non-respiratory infection	12 (6.6)	11 (6.0)	0.5 (-4.5, 5.6)
Vomiting	8 (4.4)	14 (7.7)	3.3 (-1.6, 8.2)
Gastric distension	6 (3.3)	7 (3.9)	0.5 (-3.3, 4.4)
Barotrauma (e.g. pneumothorax)	3 (1.7)	3 (1.7)	0 (-2.6, 2.6)

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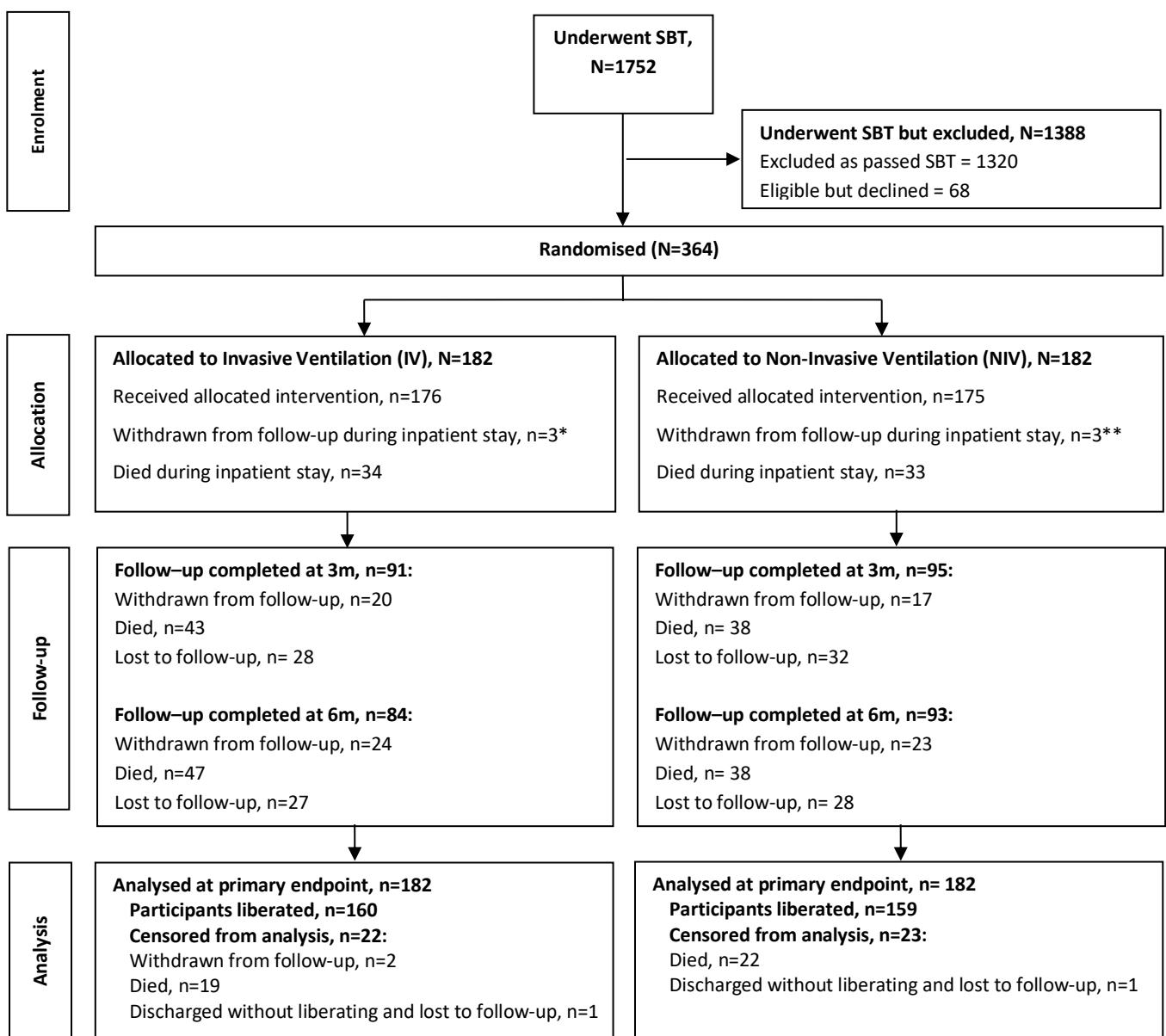
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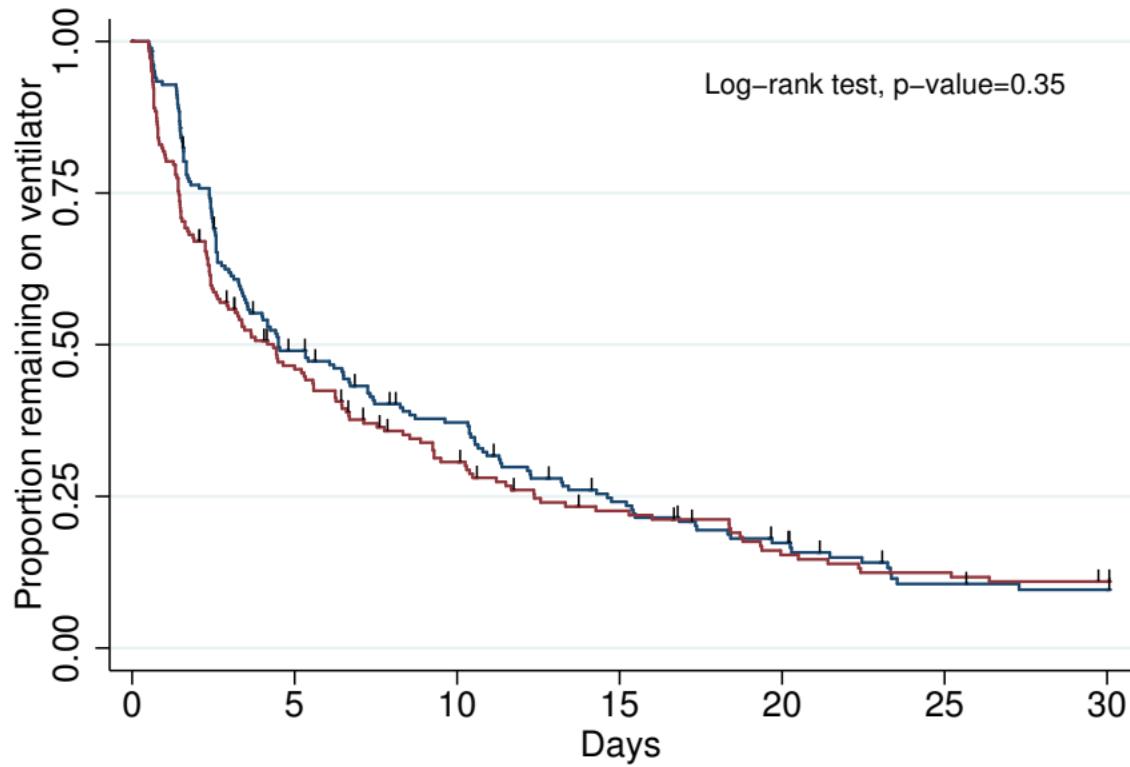
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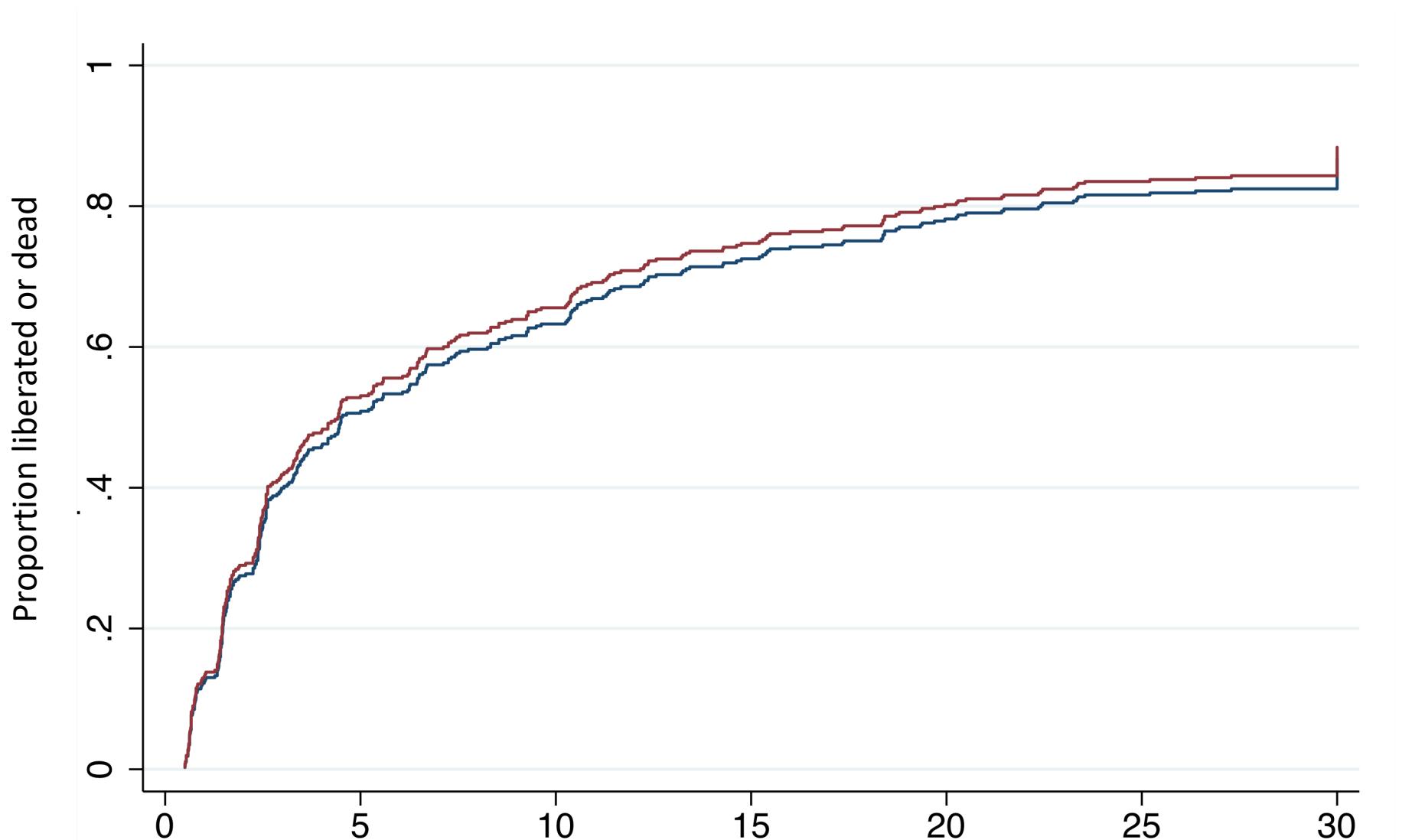
* One participant refused participation when approached for retrospective consent. The other two were withdrawn as their personal consultee/next of kin were not happy with them being in the study

** One participant didn't provide a reason for withdrawal. The other two didn't want to continue in the study as they felt it will require too much or they weren't the right person for the study.



Number at risk

	0	5	10	15	20	25	30
Invasive weaning	182	86	61	37	24	12	10
Non-invasive weaning	182	79	48	32	21	17	14



Number at risk

Invasive weaning	182	86	61	37	24	12	10
Non-invasive weaning	182	79	48	32	21	17	14

— Invasive ventilation — Non-invasive ventilation