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MAIN ARTICLE

The ineffectiveness of applying moisture to the ear on the incidence and severity of otic barotrauma for air passengers

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Abstract

Objective: The application of moisture to the ear is anecdotally claimed to relieve the pain from otic barotrauma that can arise during aircraft descent. We tested this claim in a randomized double-blind study on an aircraft with eight participants heavily predisposed to barotrauma.

Methods: On the outward flight half the participants wore “active” devices that applied moisture to the external ear; the remainder wore placebo devices that contained no moisture, but were otherwise identical. On the return flight the groups were reversed. Participants wore the devices from just before descent until landing unless they experienced symptoms of barotrauma, in which case they switched to what they knew was an active device.

Results: There was no significant difference between conditions for the appearance of the tympanic membrane on landing or for the discomfort levels immediately before and after any switch.

Conclusions: Applying moisture is ineffective for passengers heavily predisposed to otic barotrauma.

Key words: Air travel; Aerospace Medicine; Otic Barotrauma; Prevention and Control

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Conflict of interest

The authors have not received any direct or indirect payment for performing these experiments and have no financial stake in the publication of the results.

Ethical standards

The authors assert that all procedures contributing to this work comply with the ethical standards of the British Psychological Society and guidelines on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008. The study was approved by the Aston Ethics Committee (08/11).

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Introduction

Many adults and children experience otic barotrauma during flight, particularly as an aircraft descends^{1,2}; indeed, otic barotrauma is the most common medical condition during flight³. About 46% of adults and 65% of children who fly have experienced ear pain or discomfort at some point^{4,5}, and on a single flight the incidence of otic barotrauma in children may be as high as 26 to 55%^{4,5}. The typical symptoms of otic barotrauma are fullness in the ears, ear pain, and conductive hearing loss³. As it progresses, negative middle-ear pressure during descent can cause the build-up of serous fluid within the middle ear or intratympanic membrane haemorrhage. Further progression uncommonly results in haemotympanum or tympanic membrane perforation; whilst inner ear barotrauma is fortunately very rare it can include inner ear haemorrhage, labyrinthine membrane tears and perilymphatic fistulae⁶.

The build-up of negative pressure in the middle ear can often be relieved by movements that cause the Eustachian tube to open, for example yawning, swallowing, or sucking a sweet. Other deliberate actions such as performing the Valsalva's manoeuvre, the Frenzel manoeuvre, and the Toynbee manoeuvre can also be successful⁷. Many children, however, and some adults, are unable to use these methods. Various methods have been investigated to prevent otic barotrauma in these individuals, for example nasal balloon inflation, the use of decongestants such as pseudoephedrine, or pressure-equalizing ear plugs^{3,8}. Nasal balloon inflation can be effective at relieving middle ear pressure⁹, but their use is ungainly and not suitable for young infants. Moreover, not all methods have been found to be effective. Klokker, Vesterhauge and Jansen¹⁰ showed in a double-blind placebo controlled study that pressure-equalizing ear plugs are ineffective. Similarly, in another double-blind placebo controlled study, Buchanan, Hoagland and Fischer⁵ showed that pseudoephedrine is ineffective.

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Buchanan et al. also note that the application of a warm wet towel over the external ear has been commonly advocated to prevent or alleviate otic barotrauma. In particular, some flight attendants attempt to relieve the symptoms of otic barotrauma in passengers by placing moistened napkins or cotton wool into a cup and then placing it over the affected ear^{11, 12} and it has been recommended in the travel advice websites of some mainstream newspapers and magazines¹³⁻¹⁵. The previous reports suggested that the water should be very hot, but the use of hot water to treat otic barotrauma has led to at least one court case as a result of scalding¹⁶. We were made aware of the flight attendant claims by the managing director of Aerbuddies Limited (Dudley, UK) who was seeking to commercialize the approach using cold water; in his experience with his two sons, who were both under 10-years old and who regularly experienced pain during flight, the effect was substantial and immediate when cold water was used in the cups.

While mindful that the reported relief might have arisen solely from a placebo effect, we considered that there might be a physiological basis for this approach. The air inside the cabin of commercial aircraft is extremely dry because the bleed air from modern jet engines, which is used to maintain cabin pressure, has a relative humidity of 0.5 to 1%^{17, 18} whilst most of the moisture within the cabin is provided by the passengers^{19, 20}. At cruise altitudes the cabin humidity is typically 5 to 20%, but can be as low as 2%^{21, 22}. In contrast, the optimal relative humidity for personal comfort in any location is 40 to 70%²³ and the American Society of Heating, Refrigeration, and Conditioning Engineering standard for buildings²⁴ suggests a minimum relative humidity of 20%. Using tympanometry, we have previously shown that low humidity during flight is associated with a decrease in the peak compensated static admittance (“admittance”) of the tympanic membrane²⁵; we found a linear relationship between the

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admittance of the ear and relative humidity with a constant of proportionality of 0.00315 mmho / % relative humidity. Even though the aircraft cabin in this study was unusually humid, the lowest relative humidity was 22.7% whilst levels between 5 and 20% are more typical^{21,22}, we nonetheless observed a mean decrease in admittance of about 20%. The decrease in tympanic membrane admittance during flight might affect the passive buffering capacity of the ear²⁵.

There is also physiological evidence of active regulation mechanisms in that unilateral electric stimulation of the tympanic nerve in monkey has been shown to evoke bilateral electromyographic responses from Eustachian tube muscles²⁶. Moreover, Rockley and Hawke²⁷ and Sakata et al.²⁸ have shown that application of lidocaine hydrochloride to anesthetize the human tympanic membrane substantially increases the behavioural threshold for detecting pressure changes across the tympanic membrane. Sakata et al.²⁸ have also shown that decreasing the admittance of the pars tensa, by attaching micropore tape to it, increased the threshold for perceiving a change in pressure. Without tape, the mean admittance of 10 participants was 0.88 mmho and the thresholds for perceiving negative and positive pressure changes were -29 and 30 daPa, respectively. The application of one layer of tape decreased the mean admittance to 0.69 mmho and increased the negative and positive detection thresholds to -55 and 57 daPa, respectively. A 22% decrease in the admittance was therefore associated with nearly a 100% increase in the pressure detection threshold. Given that this decrease in admittance is similar to the 20% decrease we observed on an aircraft, the effect of low humidity on the tympanic membrane during flight might be expected to directly affect the automatic regulation of middle ear pressure. For relative humidities more typically observed in flight, the detection threshold might exceed the 80 mmHg (106 daPa) at which the Eustachian tube normally locks and cannot be opened by Valsalva's manoeuvre, or similar techniques³.

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Consequently, during descent the differential pressure may lock the Eustachian tube before a passenger is aware that they should use a preventative method to relieve otic barotrauma. This might particularly be a problem for passengers with weak tubal dilator muscles, whose Eustachian tube would be expected to lock at lower differential pressures³.

We considered that the action of applying a wet towel to the ear, or applying a cup containing moist napkins over the ear, might be to moisturize the tympanic membrane and restore any deficiency in passive or active pressure regulation. Moreover, irrespective of the mechanism, we considered investigation of the flight attendants' method worthwhile given the high incidence of otic barotrauma and the purported simple solution. Here, we report the results of a double-blind placebo-controlled trial of this method on the incidence and severity of otic barotrauma in participants who were heavily predisposed to get otic barotrauma. Although it reduced the generality of this preliminary study, we considered it necessary to recruit participants who were heavily predisposed to otic barotrauma to maximize the power of the study for a given cohort size (assuming that any treatment effect is independent of the incidence rate); moreover, if the treatment effect was negligible for this group then commercialization would be speculative.

The study protocol was approved in advance by the Aston University Ethics Committee.

Materials and methods

The experiments were conducted on two British Midland Airways flights with the permission of the airline and pilot; the aircraft was a Boeing 737 300/500 on both flights. The first flight was from Birmingham International in the United Kingdom to Malaga in Spain (takeoff at 06:00 GMT) and lasted 1 hour and 55 minutes. The participants stayed the day in Malaga and then

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returned to Birmingham International (takeoff 16:10 GMT); the return flight lasted 2 hours and 5 minutes.

Eight participants (6 women, 2 men, $M_{\text{age}} = 38$ years, age range: 20-56 years) were purposively recruited by an internal email to all Aston University staff stating that we were seeking participants who suffered otic barotrauma on virtually every flight. The participants were examined by a qualified audiologist (Ms Emma Wilson) before the first flight. To ensure the well-being of the participants they had to meet the following criteria: 1) they had never had any surgery on their ears, apart from grommets as a child; 2) their tympanic membrane had never perforated as a result of flying; 3) any pain they experienced through flying went away within a few hours after landing; 4) they flew regularly despite their predisposition to otic barotrauma; 5) they considered any pain during flight to be tolerable.

Pre-flight hearing thresholds and tympanometry were measured using a GSI 61 Clinical Audiometer (Grason-Stadler Ltd) and a portable Otowave 102-1 tympanometer (Amplivox Ltd), respectively. Normative values for tympanometry, such as those for middle ear pressure, were taken from British Society of Audiology recommendations²⁹.

Moisture was applied to the external ear canals using modified ear defenders that resembled circum-aural headphones. The foam from inside each ear defender was removed and replaced with a wet cotton wool pad; this was covered by a plastic sheet with small holes such that the moisture was easily able to pass through but the cotton-wool pad could not be seen. Our tests before the flights showed that the relative humidity inside each ear defender was over 95 %, as measured using a Digitron 2080R hygrometer (Digitron Ltd.). The placebo device was

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identical except that the cotton wool pad was dry. The weight difference between the active and placebo devices was negligible.

Each set of ear defenders was labelled with a random number, which was used in the random allocation of participants to one of two groups: On the outward flight one group of four participants had the active device (P1, P2, P3 and P4) and the other had the placebo device; on the return flight the groups were switched such that those who wore the active device on the outward flight wore the placebo device on the return flight, and vice versa. The experiment was double-blinded in that the participants did not know whether they had an active or a placebo device on each flight and neither did the onboard audiologist, who allocated the numbered ear-defenders based on a random number table. The participants were informed that on one flight they would be wearing a device that might decrease the incidence and severity of barotrauma and that on the other flight they would be wearing a dummy device. Participants were also informed that if they had the dummy ear-defenders, or if the active device did not work, then they may get otic barotrauma given their pre-disposition. The information was first given to the participants in writing before consent was taken and then on the day of the flight the information was repeated verbally by the audiologist. In case of incident, the main author was present on the flights to ensure randomization could be revealed. This was not necessary and the main author did not take part in the running of the experiment on board the aircraft and sat away from the audiologist and participants.

The participants were encouraged to be fully hydrated before and during each flight and bottled water was provided. Apart from regular swallowing, participants were asked to refrain from using any of their usual methods of avoiding or reducing otic barotrauma, for example using decongestants, sucking a sweet, performing Valsalva's manoeuvre, and so forth. Ten

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minutes before the aircraft started to descend, the audiologist handed out the devices to the participants, who were asked to wear the modified ear defenders immediately and not to inspect them. The audiologist instructed participants that they should wear the device they were given for the remainder of the flight if they did not get barotrauma. They were informed, however, that if they did get noticeable and increasing ear discomfort then they should tell the audiologist immediately who would then give them an active set of modified ear defenders and record the time at which the switch occurred. Participants knew that, depending on which type of device they were first given, they might be switching from a placebo device to an active device or from an active device to another active device; the nature of their original device, however, was not revealed. This meant that on the return flight the participants were still blind to their experiment condition even if they had switched devices on the outward flight. The participants were informed that if they did choose to switch devices then there was no guarantee that the active ear defenders would alleviate otic barotrauma; they were instructed that on changing devices they could do whatever they normally did to alleviate the discomfort of otic barotrauma. The participants were asked to rate the level of discomfort in each ear immediately before and after a switch on a scale of 0 to 100, where 0 represented no discomfort and 100 represented the most pain they had ever experienced. This method, in which all participants with otic barotrauma were switched to the active device, ensured that participants were not deprived of a potential way to relieve otic barotrauma. It also provided an outcome measure in terms of a switch time; this time was normalized such that a value of 0 indicated a switch at the start of descent and a 1 indicated that the modified ear defenders, whether active or placebo, were worn until landing. For the outward flight the descent lasted 29 minutes and for the return flight it lasted 27 minutes.

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The audiologist visually inspected the participants' ears before and after each flight using otoscopy and rated the degree of redness from vascular congestion on an interval scale from 0 to 5, where 0 indicated no sign of redness and 5 indicated redness of the whole tympanic membrane; a value of 5 is equivalent to Teed's grade 2 level of barotrauma³⁰.

Results

All participants had a hearing loss less than 20 dB HL at 500 Hz, 1 kHz, 2 kHz and 4 kHz. All participants had negative middle ear pressure in each ear (mean = -28.8 daPa, *SD* = 20.8 daPa) and two participants (P4 and P8) had values outside the normative range (-51 daPa and -76 daPa, respectively). All patients had normal tympanic membrane admittance except P5 (1.7 mmho in the left ear and 2.8 mmho in the right ear, normal range 0.6-1.6mmho). This was deemed not to be a contraindication to flying.

The participants all had a hearing loss of less than 20-dB HL at 500 Hz, 1 kHz, 2 kHz and 4 kHz, as measured using a GSI 61 Clinical Audiometer (Grason-Stadler Ltd). The participants mostly had tympanometry measures of ear canal volume, admittance, and middle ear pressure within normative values as defined by the British Society of Audiology²⁹, that is an ear-canal volume between 0.63 and 1.46 cm³, an admittance between 0.3 and 1.6 mmho, and middle ear pressure between -50 daPa and 50 daPa, as measured using a portable Otowave 102-1 tympanometer (Amplivox Ltd). All participants had negative middle ear pressure in each ear (mean = -28.8 daPa, *SD* = 20.8 daPa) and two participants (P4 and P8) had values outside the normative range (-51 daPa and -76 daPa, respectively). Participant P5 had an admittance outside the normal range (1.7 mmho in the left ear and 2.8 mmho in the right ear). This is not a contraindication for flying, however, so the audiologist considered their participation to be safe.

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On the outward flight six of the eight participants switched from their allocated device (active or passive) to the active device; of the two participants who did not switch, one started with the placebo device (P8) and the other (P2) started with the active device. On the return flight, five of the eight participants switched from their allotted device to the active device; of the three participants who did not switch (P1, P2 and P3), all had the placebo device. For the participants who did switch, the normalized switch time and the level of discomfort immediately before and after the switch were pooled (Figure 1). Wearing the active device decreased the normalized switch time (median = 0.79) compared with that for the placebo device (median = 0.57) but a Wilcoxon signed rank test showed that the difference was not significant, $z = -1.690$, $p = .109$. Switching to the active device from either the placebo or active device had a slight tendency to reduce the perceived level of discomfort (median before = 75, median after = 70); a Wilcoxon signed rank test, however, showed that this effect was also not significant ($z = -.169$, $p = .866$). For those participants whose level of discomfort decreased, six started with the active device and five with the placebo device.

All participants except P1 had visible signs of barotrauma after the outward flight as graded by the audiologist using otoscopy (Table 1); P1, who had the active device, nonetheless reported right-ear discomfort and switched devices. Similarly, on the return flight all participants except P1 had visible signs of barotrauma; on the return flight, however, P1 (who then had the placebo device) reported no discomfort in either ear and did not switch devices. Wearing the active device made a negligible difference to the severity of tympanic membrane haematoma; this was confirmed by Wilcoxon ranked sign tests for both the left and right ears, for both groups $z = -1.000$, $p = 1.000$. From otoscopy it was clear that one participant (P8) had extensive barotrauma on the outward flight (with a placebo device) but nonetheless did not

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switch devices, despite the instructions. Discussion between the participant and the audiologist revealed that the participant had had moderate discomfort but had been reluctant to switch for unknown reasons. Before the return flight the instructions for the experiment were therefore reiterated to all participants by the audiologist. This participant did switch devices on the return flight and it is possible that their criterion for switching differed between the two flights.

The otoscopic signs of barotrauma were re-evaluated immediately before the return flight and were unchanged for P4, P5 and P8 (Table 2). For P6, the appearance of the left ear deteriorated during the day; participants P3 and P7 showed mild recovery and P2 showed complete recovery. The funding available for the experiment prevented an extended stay in Malaga and the opportunity for ears to fully recover before the second flight.

Discussion and conclusions

Based on otoscopy, all except one participant (P1) got otic barotrauma on the outward flight and five out of eight participants also got it on the return flight; as a whole, the participant group were therefore heavily predisposed to otic barotrauma, as intended. For these participants, wearing the active ear defenders with the wet cotton wool had a negligible effect on the onset time of otic barotrauma or the severity, as graded using otoscopy. Although the treatment groups were balanced there was the potential for order effects given that both flights were on the same day. (As indicated above, the cost of over-night stays precluded having a longer interval). Nonetheless, no order effect was apparent in the end-of-flight data.

There was a non-significant tendency for participants to perceive a reduction in discomfort when they switched to the active device from either another active device or a placebo. This tendency might be the result of participants using their normal way of relieving

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otic barotrauma, evidence of regression to the mean (if discomfort was most intense at its onset), or evidence of a mild placebo effect. The findings here do not exclude the possibility that previous reports of efficacy for the flight attendants' method with cold water may be genuine but result solely from a pronounced placebo effect. In this study it was explicitly made known to the adult participants that they would be receiving a placebo device on one flight, whereas in general use passengers (typically children) are always encouraged to believe that they are being given an effective treatment. It has been shown that knowing the probability of receiving a placebo can affect the level of the placebo response, with lower probabilities associated with higher responses³¹. A greater placebo response might therefore be expected in general use because passengers are not expecting a placebo. The level of placebo response is generally higher in children than in adults³²; and placebos can also operate by producing changes in how the parent behaves towards their child, which in turn can lead to behavioural changes in the child—so called “placebo by proxy”.

As described in the Introduction, we have recently shown that the admittance of the middle ear is correlated to the relative humidity in the aircraft cabin²⁵. To control for confounding effects, we also investigated the effect of humidity on middle-ear admittance in an environmental chamber and found that changes in admittance occurred well within the first 30 minutes; moreover, pilot experiments on the first author within the chamber (unpublished) indicated that the application of humidity appeared to increase admittance by 0.1 mmho within about five minutes. Therefore, although it is possible that the active device might be more effective if worn longer before descent, the difference would not be expected to be substantial. Moreover, in general use the flight attendants method is used only during descent, so the

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timescales here are similar to normal practice. The active devices were checked after each flight and there was no evidence that the cotton-wool pads had dried during descent.

In the method referred to by Buchanan et al ⁵ a warm wet towel is placed over the ears, but in the present study the cotton-wool pads were at the ambient temperature of the aircraft cabin. It may be that a higher temperature is required for the method to be successful. Our studies on the effect of humidity on admittance in an environmental chamber were carried out at 23 °C, which is typical of the temperature in an aircraft cabin ^{20, 33}. If temperature is critical for success then the underlying physiological mechanism is unlikely to be the admittance of the tympanic membrane alone.

The participants in the study were adults who got otic barotrauma on nearly every flight. For this group, our study shows that any improvement in pressure regulation that may be provided by restoring the admittance of the tympanic membrane is insufficient to prevent barotrauma or reduce its severity. It is possible that Eustachian tube function and therefore pressure regulation for this population, who all had negative middle ear pressure in both ears before the first flight, is permanently impaired. Diseases associated with negative ear pressure, such as acute otitis media with effusion, are known to cause morphological changes to the tympanic membrane, including thickening of the epithelial layer and a thinning of the lamina propria ^{34, 35}. Given that encapsulated nerve endings thought to be involved in pressure sensing are found in these layers ³⁶, prolonged negative middle-ear pressure may damage these nerve endings. Adults who have had traumatic tympanic membrane perforation, and therefore suffered substantial damage to the nerve endings, have higher thresholds for pressure detection than the normal population ²⁸. It would be interesting to determine whether adults who have a disposition to otic barotrauma also have higher thresholds and whether changes in humidity affect the

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threshold. Determining the effect of humidity on the pressure detection threshold in children predisposed to otic barotrauma would also be of value.

For more typical adult passengers, who get barotrauma only occasionally, the effect of applying moisture might be more successful. The flight attendants' method may still therefore warrant further scientific investigation. Verification of this hypothesis during flight, however, would require a larger pool of participants than in this study because the lower incidence of barotrauma would reduce the power of the experiment.

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Summary

- Many adults and children experience otic barotrauma during flight, particularly descent, because of a pressure difference between the middle ear and the aircraft cabin.
- It can cause significant morbidity, including otalgia, perforation of the tympanic membrane, and perilymphatic fistula.
- Although the effect can be alleviated by movements that open the Eustachian tube (e.g., Valsalva's manoeuvre, swallowing or sucking a sweet) many children and some adults are unable to use these methods effectively.
- Some flight attendants attempt to relieve the symptoms by placing napkins moistened with very hot water into a cup and placing the cup over the ear, but this can lead to scalding.
- We considered that the benefit might arise from the moisture alone rather than the heat and tested the effect of moisture in a double-blind study, but found no benefit with passengers heavily predisposed to otic barotrauma.

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Table 1

Degree of redness from vascular congestion in left and right ears immediately after landing with either the placebo or active device. Placebo and active classification is on an intention to treat basis (i.e. on the allocated device before any switch).

Participant	Placebo		Active	
	Left	Right	Left	Right
P1	0	0	0	0
P2	1	4	1	1
P3	4	4	4	4
P4	4	4	4	4
P5	4	4	4	4
P6	4	4	0	4
P7	4	4	4	4
P8	5	5	5	5

Note. The degree of redness from vascular congestion was graded on an interval scale: 0 indicates no redness and 5 indicates redness of the whole tympanic membrane; a value of 5 is equivalent to Teed's grade 2 level of barotrauma³⁰.

Table 2

Degree of redness from vascular congestion for the left and right ears immediately after landing at Malaga and immediately before departure. See Table I for rating scale.

Participant	<u>Landing</u>		<u>Departure</u>	
	Left	Right	Left	Right
P1	0	0	0	0
P2	1	1	0	0
P3	4	4	1	1
P4	4	4	4	4
P5	4	4	4	4
P6	0	4	4	4
P7	4	4	1	1
P8	5	5	5	5

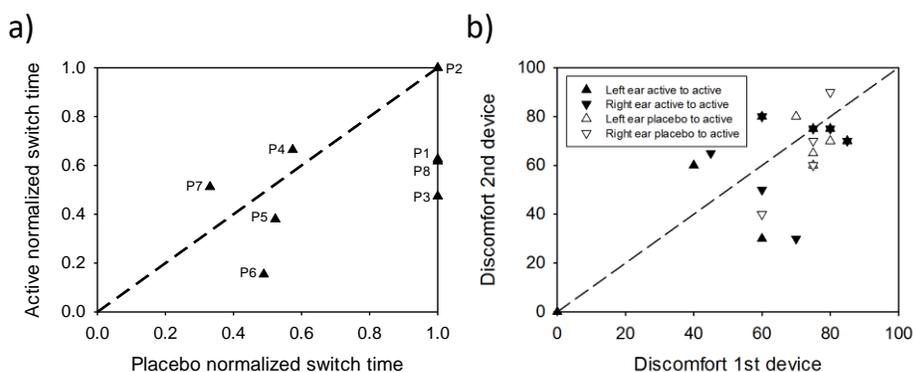


Figure 1. Effect of device (active or placebo) on measures of the onset and severity of otic barotrauma. Data are pooled for the outward and return flights. a) Comparison of the normalized switch time for the placebo and active devices for the eight participants (P1-P8); the time was normalized such that a value of 0 indicates a switch at the start of descent and a 1 indicates that the modified ear defenders, whether active or placebo, were worn until landing. Points above the dashed line indicate a benefit with the active device compared with the placebo. b) Level of discomfort in each ear immediately before and after switching devices to an active device. Some participants rated the discomfort in their left and right ears to be equal and this is shown by overlapping upward pointing and downward pointing triangles. Note that not all participants switched devices, as shown by normalized switch time of 1 in (a): Data are shown for 11 switches, including 5 overlapping data points. Points below the dashed indicate a benefit from switching devices.