Manuscript version: Author’s Accepted Manuscript
The version presented in WRAP is the author’s accepted manuscript and may differ from the published version or Version of Record.

Persistent WRAP URL:
http://wrap.warwick.ac.uk/111845

How to cite:
Please refer to published version for the most recent bibliographic citation information. If a published version is known of, the repository item page linked to above, will contain details on accessing it.

Copyright and reuse:
The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions.

Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

Publisher’s statement:
Please refer to the repository item page, publisher’s statement section, for further information.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk.
Natural Biopolymer Alloys with Superior Mechanical Properties

Linghan Meng\(^a,\)†, Fengwei Xie\(^*\,b,\)\(^c,\)\(^d,\)\(^†\), Binjia Zhang\(^e\), David K. Wang\(^f\), and Long Yu\(^a\)

\(^a\) Center for Polymers from Renewable Resources, School of Food Science and Engineering, South China University of Technology, Guangzhou, Guangdong 510640, China

\(^b\) Institute of Advanced Study, University of Warwick, Coventry CV4 7HS, United Kingdom

\(^c\) International Institute for Nanocomposites Manufacturing (IINM), WMG, University of Warwick, Coventry CV4 7AL, United Kingdom

\(^d\) School of Chemical Engineering, The University of Queensland, Brisbane, Qld 4072, Australia

\(^e\) Key Laboratory of Environment Correlative Dietology (Ministry of Education), College of Food Science and Technology, Huazhong Agricultural University, Wuhan, Hubei 430070, China

\(^f\) The University of Sydney, School of Chemical and Biomolecular Engineering, Darlington, NSW 2006, Australia

**Corresponding Author**

* Fengwei Xie. Email: f.xie@uq.edu.au, fwhsieh@gmail.com
**KEYWORDS:** Chitosan; Silk peptide; Natural Biopolymers; Biopolymer alloy materials; Films; Melt processing; Mechanical properties

**ABSTRACT:** Natural biopolymer materials have enormous potential in important, rapidly growing applications ranging from green electronics, dye and heavy metal removal, oil/water separation, therapeutic agent delivery, tissue engineering scaffolds, biological devices, optics and sensing. However, the application of advanced functional biopolymer materials suffers from their poor processability and weak mechanical properties. Regarding this, there are enormous challenges to break the strong intermolecular interactions (hydrogen bonding) in their native forms whilst to re-establish predominant hydrogen bonding in the processed materials in a cost-effective way. Here, we report our breakthrough to prepare biopolymer alloy materials based on chitosan and silk peptide (SP) with outstanding mechanical properties via a facile, “dry”, melt processing method. The 1:1 (wt./wt.) chitosan–SP film had a toughness of 19.9 J cm⁻³, Young’s modulus of 1855 MPa and tensile strength of 95.9 MPa, which are similar to, or
even better than, most engineering polymers. We propose our method could maximize
the molecular interactions between chitosan and SP via a simple and effective
thermomechanical mixing, which resulted in considerably enhanced mechanical
properties. Moreover, dehydration/rehydration can reversibly adjust the mechanical
properties of the new biopolymer alloys, which demonstrates the dominant effect of
hydrogen bonding in enabling the mechanical properties of these interesting alloys. Our
simple approach to engineering high-performance biopolymer materials without
resorting to complex chemistries and 3D-structural construction can be envisioned to
bring about a new direction in the design of advanced functional materials where cost-
effectiveness is the priority.
INTRODUCTION

Natural biopolymers are highly interesting to materials scientists due to their unique properties and appealing functionality along with their abundance. For example, advanced functional materials can be made from chitosan for antimicrobial and antifungal properties,\textsuperscript{1-5} adsorption of dyes and metals,\textsuperscript{6-9} oleophobicity\textsuperscript{10}, electrical conductivity,\textsuperscript{11} electroactivity,\textsuperscript{12} and triboelectric generation,\textsuperscript{13} which have huge potential to be applied in biomedical, environmental, energy, electronics and actuating fields. Silk fibroin (SF) is appealing in a range of applications that require a mechanically superior, biocompatible, biodegradable, and functionalizable material, such as surgical suture, tissue engineering, therapeutic agent delivery, optics and sensing.\textsuperscript{14-20}

Unfortunately, the re-processed materials based on biopolymers such as chitosan and SF traditionally exhibit poor mechanical properties due to the weak intermolecular forces, which have greatly restricted their applications. Due to this, either chitosan or SF used alone could only result in poor biological scaffolds.\textsuperscript{21-27}

While it is challenging to re-process biopolymers into mechanically strong materials, naturally existing biopolymer materials present striking mechanical properties. For
example, the Young’s modulus of chitin nanofibers is more than 150 GPa.\textsuperscript{28} Naturally produced SF fiber (\textit{Bombyx mori}) has an ultimate tensile strength of 300–740 MPa and a Young’s modulus of 10–17 GPa.\textsuperscript{14} Moreover, chitin and SF exist widely in natural materials such as spider silk, insect skins and nacre, which have long intrigued scientists due to their superior strength and toughness combined with stiffness or flexibility. This is the main reason that the design of strong advanced materials has largely relied on biomimetism and bioinspiration.\textsuperscript{29-32} Research has been focused on creating sophisticated, mechanically strong biomimetic materials based on chitosan and SF with the goal to maximize the hydrogen-bonding interactions between the two biopolymers.\textsuperscript{33-34} In particular, biomimetic laminates were achieved by layer-by-layer techniques, of which the fabrication process was time-consuming and solvent-intensive.\textsuperscript{33-34} Therefore, in order to unleash the vast potentials of natural biopolymers, it is highly important to develop techniques that can produce materials with superior properties and also industrially scalable.

Indeed, the gridlock in the facile processing of these biopolymers has largely impeded the development of advanced functional materials based biopolymers. While the strong
intermolecular interactions (via hydrogen bonding) in the native form of biopolymers accounts for their strong mechanical properties, these interactions also make them resistant to dissolution and plasticization,\textsuperscript{35} and thus processing. As a result, solution methods were used in most of the studies to synthesize biopolymer materials.\textsuperscript{25, 33-34, 36-41} These methods usually require large amounts of chemicals/organic solvents and are processing time-intensive, which makes them almost impossible for scale-up production. On the other hand, effective processing should also facilitate the re-establishment of hydrogen bonding in biopolymers, by which strong mechanical properties can result.

Other than replicating the structures of biological materials, there could be alternative ways to create super strong biopolymer materials containing engineered hydrogen bonding at the molecular level.\textsuperscript{20, 42-43} High-strength metal alloys could be achieved by dual phase nanostructuring.\textsuperscript{44} For polymer materials, chemical reactions enable structural manipulation to achieve strong inter-/intramolecular or interfacial interactions (typically covalent bonding).\textsuperscript{43, 45-48} We hypothesized that biopolymer alloys with strong mechanical properties could be obtained by maximizing the molecular interactions.
between chitosan and protein in a well-mixed system. In this work, we adopted an innovative, facile, “dry” approach to engineering such biopolymer alloys, which resulted in a homogeneous, well-dispersed structure yet still achieved outstanding mechanical properties. Our method here is based on high-viscosity polymer melt processing, which allows a straightforward and high-efficient mixing of two different biopolymer phases. The materials were realized simply by capitalizing on electrostatic complexation of chitosan with silk peptide (SP). While protein–polysaccharide anionic–cationic complexes were always prepared in aqueous solutions, to the best of our knowledge, this is the first attempt to construct such complexes by high-viscosity melt processing. We found that the chitosan–SP alloy films prepared by this method offered outstanding mechanical properties, which were similar to, or even higher than, those of chitosan–SF biomimetic laminates and chitosan-based nanocomposites. Our successful example of high-performance chitosan–SP alloys with molecularly complexed structure and exceptional mechanical properties could provide alternative solutions to traditional biomimetism for designing advanced biopolymer-based materials.
EXPERIMENTAL SECTION

Materials. Chitosan (poly(β-(1,4)-D-glucosamine), derived from crustaceous shells, with a specification of BR and low viscosity of 100 mPa·s), was purchased from Shanghai Ryon Biological Technology Co., Ltd. (Shanghai, China). This chitosan has a molecular weight of about 150,000 g mol\(^{-1}\), a degree of deacetylation of >90%, and a viscosity of about 100 mPa·s (1% solution in 1% acetic acid at 25°C). It had an original moisture content of 10.3 wt.% measured by weight loss during drying. This chitosan has negligible solubility in water. Silk peptide (SP) powder was supplied by Huzhou Xintiansi Bio-tech Co., Ltd. (Huzhou, China). This is a water-soluble polypeptide by the degradation of silk fibroin (\textit{Bombyx mori}) and has a molecular weight of 500–30,000 g mol\(^{-1}\).

Glycerol, of 99.5% purity, was purchased from Sigma–Aldrich (Shanghai, China).

Formic acid of 98 wt.% purity was acquired from Jiangsu Qiangsheng Chemical Co., Ltd. (Changshu, China) and used as received. Methanol was supplied by Guangzhou Donghong Industrial Development Co., Ltd. (Guangzhou, China). Sodium hydroxide
was supplied by Guangzhou Chemical Reagent Factory (Guangzhou, China). All these chemicals are of analytical grade and used as received without further treatment.

**Sample Preparation.** Different samples were prepared with their formulations, with their codes shown in Table 1. Chitosan and SP in different ratios (7:3, 6:4, 5:5, 4:6 and 3:7) were pre-blended by mechanical stirring for 15 min. During pre-blending, formic acid (60 wt.% based on the total weight of chitosan and fibroin) and glycerol (30 wt.% based on the total weight of chitosan and fibroin) were added dropwise. Then, the mixtures were stored hermetically for at least 48 h at room temperature before thermal mixing using a HAAKE Rheomix 600p two-rotor batch mixer driven by a HAAKE Rheocord Polylab RC500p system (ThermoHaake, Germany). To prevent the loss of moisture and formic acid during thermal mixing, polytetrafluoroethylene (PTFE) glass fabric was used to seal the gaps between the three barrels of the mixer and a silicon-rubber cover was used to seal the feeder on the top. Figure S1 shows the photos of different formulations before thermal mixing.
Table 1. Sample codes and composition.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Chitosan [g]</th>
<th>Silk peptide [g]</th>
<th>Formic acid [mL]</th>
<th>Glycerol [mL]</th>
</tr>
</thead>
<tbody>
<tr>
<td>C10S0</td>
<td>100</td>
<td>0</td>
<td>60</td>
<td>0</td>
</tr>
<tr>
<td>C7S3</td>
<td>70</td>
<td>30</td>
<td>60</td>
<td>0</td>
</tr>
<tr>
<td>C7S3-G</td>
<td>70</td>
<td>30</td>
<td>60</td>
<td>30</td>
</tr>
<tr>
<td>C6S4</td>
<td>60</td>
<td>40</td>
<td>60</td>
<td>0</td>
</tr>
<tr>
<td>C6S4-G</td>
<td>60</td>
<td>40</td>
<td>60</td>
<td>30</td>
</tr>
<tr>
<td>C5S5</td>
<td>50</td>
<td>50</td>
<td>60</td>
<td>0</td>
</tr>
<tr>
<td>C5S5-G</td>
<td>50</td>
<td>50</td>
<td>60</td>
<td>30</td>
</tr>
<tr>
<td>C4S6</td>
<td>40</td>
<td>60</td>
<td>60</td>
<td>0</td>
</tr>
<tr>
<td>C4S6-G</td>
<td>40</td>
<td>60</td>
<td>60</td>
<td>30</td>
</tr>
<tr>
<td>C3S7</td>
<td>30</td>
<td>70</td>
<td>60</td>
<td>0</td>
</tr>
<tr>
<td>C3S7-G</td>
<td>30</td>
<td>70</td>
<td>60</td>
<td>30</td>
</tr>
<tr>
<td>C0S10</td>
<td>0</td>
<td>100</td>
<td>60</td>
<td>0</td>
</tr>
</tbody>
</table>

The thermal processing was carried out at a screw speed of 20 rpm and a temperature of 80 °C for 20 min, which was determined by a stabilized torque value (see Figure S2). After that, the thermally processed materials were immediately hot-
pressed into films using a flat sulfuration machine (Guangzhou Shunchuang Rubber Machinery Factory, Guangzhou, China). The mold used for hot pressing consists of three stainless steel plates with the middle one containing a hollow, molding space of 100 mm × 100 mm × 1 mm in dimension. PTFE glass fabric was placed between the sample and the mold. The conditions used for hot pressing were 80 °C at 1000 bar for 3 min, followed by cooling to room temperature and maintained for 3 min. Then, the hot-pressed films were soaked in methanol for two days, and then in 4% (w/v) sodium hydroxide solution (pH = 13.60 ± 0.04) for another one day, before thoroughly washed with distilled water to remove the residual chemicals. Our observation indicated that, without the treatment with NaOH solution, the materials with high integrity and strong mechanical properties could not be obtained.

The obtained sheets were cut into dumbbell-shaped specimens. All the sample specimens were then dried in an oven at 60 °C for 2 days, and then immediately stored in a desiccator maintained at 57% relative humidity (RH) (achieved using saturated sodium bromide) for 3 weeks before characterization. In the desiccator, methylbenzene was introduced to prevent the samples from becoming moldy.
For comparison purposes, chitosan and SP, respectively, were also treated following
the same procedure except conditioning, which were coded as ‘C10S0’ and ‘C0S10’.
However, C0S10 (pure fibroin), after mixed with formic acid, became a liquid that was
too thin for the thermal processing. In addition, C10S0 could not be successfully
processed into cohesive film using our method here due to its low plasticity.

**Tensile Testing.** The dumbbell-shaped specimens, corresponding to Type 4 of the
Australian Standard AS 1683:11 (ISO 37:1994), were cut from the hot-pressed samples.
The testing section of the specimen was 12 mm in length and 2 mm in width. Tensile
tests were performed at 25 °C (room temperature) with an Instron® 5566 universal
testing machine with a 100N load cell (accuracy less than ±1% of the indicated value) at
a constant crosshead speed of 3 mm/min. As the specimens were in the form of thin
sheeting, specimen extension was measured by grip separation as suggested by ASTM
Standard D882. Young’s modulus ($\mathcal{E}$), tensile strength ($\sigma_t$), and elongation at break ($\varepsilon_b$)
were automatically determined by the Instron® Merlin software from at least 7
specimens for each sample (specimens that failed at some obvious flaw or that failed at
the jaw were not included). Toughness ($\mathcal{U}_T$), or tensile energy to break (TEB), was
calculated by integrating the energy absorbed per unit volume under the stress–strain curve.

**Fourier-transform Infrared (FTIR) Spectroscopy.** A PerkinElmer Spectrum 100 FT-IR spectrometer, fitted with a universal ATR accessory, was used to collect infrared spectra of the samples. The spectra were recorded over the range of 4000–650 cm\(^{-1}\) with a resolution of 4 cm\(^{-1}\) and 64 scans, and were baseline-corrected using the Spectrum software before analysis.

**Thermogravimetric Analysis (TGA).** A PerkinElmer Diamond thermogravimetric analysis (TGA) facility was used to determine the decomposition temperatures of samples by a temperature ramp from 30 °C to 550 °C at 5 °C/min in a nitrogen atmosphere. For each measurement, about 3 mg of the sample was weighed into a platinum pan, which was then mounted onto the TGA facility. The degradation temperature was determined from the peak temperature of the derivative weight loss curve.

**Dynamic Mechanical Thermal Analysis (DMTA).** A PerkinElmer Pyris Diamond DMTA with a single cantilever tensile mode was used to evaluate the dynamic mechanical
properties of the films as rectangular tensile bars. The length of the tested tensile section was 20 mm. The tests were carried out at a frequency of 1 Hz, a temperature between −100 °C and 120 °C, a heating rate of 2 °C/min, and a strain of 0.05%. The dynamic storage modulus ($E'$), loss modulus ($E''$), and loss tangent ($\tan \delta = \frac{E''}{E'}$) were obtained from the tests. To prevent water evaporation during the tests, the specimens were coated with Vaseline grease. No swelling of the specimens was observed, suggesting no adverse effect of Vaseline.

**X-ray Diffraction (XRD).** X-ray diffraction (XRD) with an Xpert PRO diffractometer (Bruker, Germany) was performed on the conditioned chitosan/fibroin sheets operating at 40 mA and 40 kV and using Cu K radiation with a wavelength of 0.15418 nm as the X-ray source. The scanning of diffraction angle ($2\theta$) was from 4° to 50° with a scanning speed of 2 s/step and a scanning step of 0.02°.

**Scanning Electron Microscope (SEM).** The conditioned chitosan/fibroin blends were cryo-fractured in liquid nitrogen, fixed on a sample stub and then gold coated to a 5 nm thickness using an Eiko Sputter Coater, under vacuum. The sectional morphology of the
blends samples was examined using an EVO18 scanning electron microscope (ZEISS, Oberkochen, Germany) operated at a voltage of 10.0 kV.

**Water Uptake (UP).** The samples after conditioned for 3 weeks (dry samples) were weighed firstly. For water uptake measurements, the samples were soaked in distilled water at 37 °C and the swollen samples were weighted after removing the excess water. The water update (WU) ratio was calculated using the following equation:

\[
WU\% = \frac{W_s - W_d}{W_d} \times 100\%
\]  

(1)

where \( W_s \) is the weight of the swollen samples (g) and \( W_d \) is the weight of the dry samples (g).

**RESULTS AND DISCUSSION**

**Preparation and Mechanical Properties.** Figure 1 shows our simple procedure for preparing biopolymer alloy films. Chitosan and SP were blended together with the addition of formic acid, which was then thermomechanically kneaded at 80 °C for
20 min to ensure adequate mixing (as seen from the stabilized torque values, Figure S2), followed by hot pressing to obtain the films. After alcohol and alkali treatments, the films were cut into dumbbell bars (Figure S1). We studied both the effects of chitosan/SP ratio and the addition of glycerol as a plasticizer. As an example, the sample names are coded as “C6S4-G” which means the chitosan (C) / SP (S) ratio was 6:4 and “G” means that the formulation was added with 30 wt.% of glycerol based on the total weight of chitosan and SP. These dumbbell bars were tested for mechanical properties either immediately (still in the hydrated state, denoted by “W”) or after oven drying and conditioning at 57% relative humidity for 3 weeks. While oven drying could remove all the free water from the films, all the conditioned materials contained only about 6–8% moisture content (Figure S3).
Figure 1. Preparation of biopolymer alloy films. a) 5:5 (wt./wt.) chitosan/SP blend with 60 wt.% formic acid; b) the sample after thermomechanical kneading at 80 °C for 20 min; c) after hot pressing at 80 °C; d) after treatment with methanol for two days; e) after treatment with NaOH solution for one day; f) after washed with water and cut into dumbbell bars; g) schematic corresponding to a; f) schematic corresponding to e or f.
Figure 2 shows the mechanical properties of the different chitosan–SP alloy films. Overall, there were significant differences in tensile strength ($\sigma$), Young’s modulus ($E$), elongation at break ($\varepsilon_b$), and toughness ($U_T$) between the samples before conditioning (as wet samples (W)), after conditioning, and further after rehydration (W2 samples). For all the formulations, the $\varepsilon_b$ was decreased by conditioning, which is expected due to the largely reduced moisture content in the samples. For example, the $\varepsilon_b$ of C5S5 (W) was 115.2%, which was reduced to 26.2% after conditioning. Nonetheless, $\sigma$, $E$, and $U_T$ were remarkably increased by conditioning. For C5S5 (W), for example, the $\sigma$, $E$, and $U_T$ were 3.0 MPa, 3.1 MPa and 2.4 J/cm$^{-3}$, respectively, which were drastically increased to 95.9 MPa (32 times), 1855 MPa (580 times), and 19.9 J/cm$^{-3}$ (8.3 times) respectively after conditioning. The extraordinary mechanical properties of these biopolymer alloys are comparable to most engineering polymers and many metals and composites. A long-standing challenge in engineering material design is the trade-off between strength and toughness because these properties are generally mutually exclusive. However, our biopolymer alloy materials overcame this trade-off as evidenced by both dramatically increased $\sigma$ and $U_T$. Moreover, our biopolymer alloys
present mechanical properties that are much stronger than chitosan-based materials and nanocomposites,\textsuperscript{52} and even better than chitosan–fibroin biomimetic laminates.\textsuperscript{55}

**Figure 2.** Mechanical properties of biopolymer alloys of different chitosan/SP ratios. a) Tensile strength; b) Young’s modulus; c) Elongation at break; d) Toughness. Regarding the suffix to the sample code, “W” means the wet samples that were freshly prepared.
(before oven drying and conditioning); “C”, the conditioned samples (after oven drying and conditioning); and “W2”, the samples after further being soaked in water for 30 min.

We propose that high-viscosity thermomechanical mixing along with conditioning enhanced the interactions between biopolymer chains and allowed significant molecular chain rearrangements. This conjecture is principally the reason that our chitosan–SP biopolymer alloys produced excellent mechanical properties. Similarly, recent work\textsuperscript{42, 56} has shown that with the enhanced hydrogen bonding between cellulose molecular chains, densified bulk natural wood displayed more than a tenfold increase in strength, toughness and ballistic resistance and with greater dimensional stability. Here, the change in internal interactions for the samples can also be seen from the tensile stress–strain curves (Figure S4). For W samples, there was a linear relationship between stress and strain, which is typical of an elastomeric polymer (and is expected for the moisture-saturated biopolymer materials here). The stress–strain curve after
conditioning is typical of a hard and tough polymer, with strain hardening observed, which demonstrate the strong interactions between biopolymer chains.

To further check if rehydration would influence the hydrogen bonds in biopolymer alloys, we also tested the mechanical properties of the biopolymer alloy films that were further immersed in water for 30 min (denoted by “W2”). 30 min of immersion was shown to be enough for the alloy films to achieve a well-saturated state in water (Figure S5). The results in Figure 2 shows that rehydrated samples (W2) displayed mechanical properties that were mostly reversed back to its virgin wet state (W) (except that the toughness for W2 was still marginally higher than that for W). Regarding this, the water molecules in W2 samples might have disrupted most of the intermolecular hydrogen bonding in the films by interacting with the hydroxyl groups of biopolymers. This loss of hydrogen bonding between biopolymer chains can also be evident by the linear tensile stress–strain curves (Figure S4c). The results here demonstrate the reversible mechanical properties of biopolymer alloy materials that can be well controlled by an external hydrogen-bonding breaker (for example, water here). Moreover, biopolymer alloy materials showed enlarged dimensions upon hydration (W2), which were
measured over 80% of the original dimensions of W samples (Figure S6), while the integrity of the films was still kept. The materials tend to maintain a sponge-like structure with interconnected polymer chains probably reinforced by chitosan crystals (Figure 1g, 1h, and 4; further discussed later). These features could allow this new type of material to be highly useful for application as artificial skins and wound dressings.

We propose that the enhanced mechanical properties are attributed to both the strong intermolecular electrostatic interactions and intermolecular hydrogen bonding between the two polysaccharides.40, 57 In addition to increasing intermolecular hydrogen bonding between chitosan and SF,25, 37-39 electrostatic complexation of chitosan and SP also play a more important role in the biphasic interactions of dissimilar biopolymers. The effect has also been shown to enhance material strength and durability.58 At low pH with the treatment of formic acid, the amino groups of both chitosan and SP were protonated and positively charged, causing electrostatic repulsion between the polymer chains and thus enabling polymer solvation. Considering the rather low isoelectric point of SP (< pH 2.8)59 (also Figure S7), the increased pH with NaOH treatment would make SP become negatively charged, and thus induced electrostatic interactions with the positively
charged chitosan. The electrostatic complexation could be responsible for the high
degree of miscibility between chitosan and SP as determined by their morphology
(discussed later by SEM) and result in excellent physical properties. This
complexation would then facilitate the strong hydrogen bonding interactions between
biopolymer chains in a later stage as illustrated in Figure 1.

From Figure 2, we can see that C6S4, C5S5, and C4S6 showed the best mechanical
properties ($\sigma$, $E$, and $U_t$) after conditioning. For these formulations, the addition of
glycerol had a different effect on $\sigma$ and $E$. Regarding this, glycerol, usually function as a
plasticizer, may increase the biopolymer chain mobility to allow a favorable chain
rearrangement. Meanwhile, glycerol tends to interact with biopolymers via hydrogen
bonding and thus may interfere with the interactions between biopolymer chains.
Moreover, SP may also have some plasticization effect on chitosan. The formulations
with a higher SP content were more liquid-like before processing (Figure S1). The
plasticization effect could be shown by the reduced $\sigma$, $E$, and $U_t$ of C4S6 and further of
C3S7. Another reason for the inferior mechanical properties of higher-SP-content
samples is that the most electrostatic complexation between chitosan and SP could only
be achieved with the matched numbers of anionic groups of SP and cationic groups of chitosan, leading to maximized hydrogen bonding interactions and thus mostly enhanced material performance.

We could not successfully prepare films based on only chitosan or fibroin using the same procedure. Fibroin was a liquid state after the same treatment (Figure S1). In contrast, chitosan alone was found to be lacking plasticization and thus integral films based on only chitosan could not be formed by hot pressing.

**Morphology.** Figure 3 shows the SEM images of the cryogenically fractured surfaces of the different chitosan–SP alloy films after conditioning. It can be seen that all the samples showed a relatively smooth, non-porous fractured surface. This morphology indicates the excellent plasticization and mixing of the materials. Besides, the SEM images could not show any discernible phase separation between chitosan and SP, indicating excellent miscibility between the two biopolymers irrespective of the concentration, which suggests the strong intermolecular electrostatic interactions and intermolecular hydrogen bonding between the two polysaccharides.
Figure 3. SEM images of biopolymer alloy films of different chitosan/SP ratios after oven drying and conditioning.

Usually, biopolymer blends without strong intermolecular bonding between the phases would generally lead to increased porosity upon dehydration. However, no macroscopic pores were observed in all these conditioned samples although some moisture was expected to be transferred throughout the material and evaporated during oven drying at 60 °C. It is suggested that the chitosan–SP alloy contracted uniformly as a whole upon dehydration due to the strong, homogeneous interactions throughout the material. This dimensional change was also confirmed by the shrinkage of the materials after oven drying (56–73% of their original dimensions, see Figure S6).

X-ray Diffraction (XRD). Using XRD, we examined the crystalline structure of raw chitosan and SP and different chitosan–SP alloy films (Figure 4). Raw chitosan displayed two major peaks at 2θ of about 10° and 20°. The peak at 10° 2θ (020
reflection) is assigned to the hydrated crystals due to the integration of water molecules in the crystal lattice and the peaks located at 20° 2θ (100 reflection) is attributed to the regular crystal lattice of chitosan. After the raw chitosan was treated with formic acid and thermally processed, the C10S0 sample (W) displayed a different XRD pattern — the original peaks at 10° 2θ and 20° 2θ became unapparent and a broad amorphous halo centered around 23° 2θ was shown, indicating a predominantly amorphous structure. On the other hand, raw SP displayed a broad amorphous halo centered at 22° 2θ, suggesting a mostly amorphous structure. A typical β-sheet structure of crystalline silk could not be observed here.
**Figure 4.** XRD patterns of a) raw chitosan, raw SP, and C10S0 (W) (the processed chitosan without oven drying and conditioning); and b) biopolymer alloy films of different chitosan/SP ratios after oven drying and conditioning.
In Figure 4b, it can be seen that all the chitosan–SP alloy films after conditioning showed very similar XRD patterns with two apparent peaks at $2\theta$ of about 10° and 20° as similarly shown for the raw chitosan. All of these alloy films displayed some degree of crystallinity, which was enabled by conditioning, given that C10S0 (W) was mostly amorphous (Figure 4a). Conditioning would facilitate the hydrogen-bonding interactions between chitosan, SP, water and glycerol, and the rearrangement of chitosan molecular chains. The electrostatic complexation and hydrogen bonding might have assisted chitosan crystallization as well. The intensities of the characteristic peaks were inferior to those of raw chitosan, suggesting much lower degrees of crystallinity of chitosan that was induced by conditioning.

Figure 4b also indicates that the different chitosan–SP alloy films had slightly different degrees of intensity of the peaks. It can be seen that the samples with a lower content of chitosan (or a higher content of SP) exhibited slightly weaker peaks. However, it is surprising to see that C4S6 displayed stronger XRD patterns than C5S5 and C5S5-G. Regarding this, the treated SP might have facilitated the movement of chitosan chains and the crystallization of chitosan. This observed effect of SP here disagrees with the
well-recognized view that, in the disorganized composite materials, the addition of fibroin interferes with this crystallization process of chitin/chitosan and thereby decreases the mechanical strength of chitin/chitosan.\textsuperscript{33} This suggestion is based on the theory that the nitrogen atom in the number 2 position of the chitosan ring mediates either interchain bonding within its crystal forms or its bonding to fibroin.\textsuperscript{33}

In contrast to SP, glycerol seemed to have a counter effect on the crystallization of chitosan as C4S6-G displayed less intensive peaks compared with C4S6. There has been no consistent conclusion regarding the effect of glycerol on the crystallinity of chitosan-based materials.\textsuperscript{52, 61-62} Glycerol may favor the chain mobility and thus enables the chitosan crystallization process,\textsuperscript{52, 61} while a higher content of glycerol could suppress the crystallization of chitosan as well.\textsuperscript{52, 62}

**Fourier-transform Infrared (FTIR) Analysis.** FTIR analysis was undertaken to understand the chemical interactions in chitosan–SP alloy films (Figure 5). For all the samples, a broad peak from 3600 cm\(^{-1}\) to 3100 cm\(^{-1}\) was shown, which corresponds to the –OH stretching and bending vibration mode in the molecule.\textsuperscript{66} In Figure 5a, the raw chitosan showed absorption bands at 1149 cm\(^{-1}\) and 895 cm\(^{-1}\), which are attributed to
the saccharide structure. The intense absorption bands at 1065 cm\(^{-1}\) and 1028 cm\(^{-1}\) is due to the \(-\text{C-O-}\) ether stretching vibration involving the glucosamine skeleton. The raw chitosan also showed absorption bands at 1651 cm\(^{-1}\), 1585 cm\(^{-1}\), and 1260 cm\(^{-1}\), which are ascribed to amide I, amide II, and amide III, respectively.

Amide I is primarily governed by mostly the C=O stretching vibration and secondarily the C-N stretching vibration. Amide II derives from mainly in-plane N-H bending and secondarily the stretching vibration of C-N and C-C stretching vibrations.

The absorption band at 1585 cm\(^{-1}\) is also assigned to the amino group of chitosan. For the raw chitosan, there was also an absorption band at 1377 cm\(^{-1}\), which is assigned to the CH\(_3\) symmetrical deformation mode. The existence of amide groups is consistent with the incomplete deacetylation of chitin. For the processed chitosan (C10S0), all these groups are maintained. The band representing amide II also shifted to a lower frequency. This band signal may also have a contribution from amine I (N–H bending from the amine and acetylamine) and C–N stretching modes. These results suggest that there could be increased hydrogen-bonding interactions involving these groups in the processed chitosan.
Figure 5. FTIR spectra for a) raw chitosan and C10S0 (dried); b) raw SP and C0S10 (dried); c) and d) biopolymer alloy films of different chitosan/SP ratios after oven drying and conditioning.
In Figure 5b, the raw SP shows absorption bands at 1565 cm$^{-1}$ and 1512 cm$^{-1}$ (amide II), 1265 cm$^{-1}$ (amide III), and 1068 cm$^{-1}$ (amide IV). All these peaks are attributed to a random coil structure,\textsuperscript{21, 36, 40, 68-69} and suggest that the raw SP composed of an amorphous structure. For the treated SP (C0S10), the vibrational bands for amide II shifted to a higher wavenumber. Therefore, it could be suggested that the chemical treatment and thermal processing resulted in interactions between these amide groups, most likely through hydrogen bonding. However, no absorption bands that are characteristic of β-molecular conformation are evident in the spectrum.\textsuperscript{40, 68-71}

From Figure 5c, it can be seen that the absorption bands of C5S5 and C5S5-G are highly similar, which suggests that glycerol did not cause a pronounced effect on the chemical interactions. Besides, the absorption bands of C5S5 and C5S5-G matched quite well with that of chitosan alone (Figure 5a), and the characteristic bands of SP were not evidence. This is possibly relating to the characteristic amide bands of SP, which overlap those of chitosan, suggesting strong interactions between SP and chitosan. Similar reports showed that the intermolecular interactions among biopolymers could be associated with the amide groups of SP and C=O and amino
groups of chitosan through hydrogen bonding. Comparing Figure 5c with Figure 5a, it can be noted that there was a shift of the amide II band signal to lower wavenumbers (from 1585 cm$^{-1}$ to 1577 cm$^{-1}$). The FTIR results indicate that there may be increased hydrogen-bonding interactions involving these groups in the processed chitosan. Interestingly, this shift of the amide II band signal was much more pronounced for C7S3 and C3S7 (Figure 5d), suggesting even stronger interactions.

**Dynamic Mechanical Thermal Analysis (DMTA).** DMTA was used to investigate the relaxation temperatures of the different chitosan–SP alloy films (Figure 6). For all the samples, an apparent transition between $-60 \, ^\circ C$ and $-10 \, ^\circ C$ can be identified. The peak at this low-temperature region, indicating $\beta$-relaxation, has been attributed to the motions of the side chains or lateral groups of chitosan interacting with small molecules of water and/or glycerol by hydrogen bonding. Similarly, as the transition between $-60 \, ^\circ C$ and $-10 \, ^\circ C$ occurred for all the samples, this transition was probably due to the motions of the side chains or lateral groups of chitosan interacting with low-molecular-weight substances such as water and SP by electrostatic interaction or hydrogen bonding, irrespective of glycerol. It can be seen from Figure 6 that there are no apparent
differences in this transition temperature among different formulations. Nevertheless, the intensity of this transition was slightly higher when the chitosan content was higher.

![Figure 6](image)

**Figure 6.** DMTA curves for biopolymer alloy films of different chitosan/SP ratios after oven drying and conditioning.

On the other hand, with the increased temperature from about 30 °C, the tan δ rapidly increased to form another peak between 110 to 140 °C (Figure 6). This peak at the
higher temperature range could be related to the $\alpha$ relaxation, which can be linked to the
glass transition ($T_g$) of the chitosan.$^{72-73}$ Our biopolymer alloys show a $T_g$ higher than
chitosan-based materials reported before.$^{52, 61, 72-73}$ The motion of chitosan chains
probably was restricted by the intermolecular chain interaction with SP, which might be
the cause of the high $T_g$. Such a rigid structure of the amorphous material in chitosan–
SP alloy films is well correlated to the high stiffness and low $\varepsilon_b$, as discussed before.

**Thermogravimetric Analysis.** The thermal stability of different chitosan–SP alloy films
was studied by TGA with a temperature scan up to 550 °C, which is shown by the way
of derivative weight loss (Figure 7 and Table S1). For the raw chitosan, there was a
large thermal decomposition peak spanning from about 200 °C to 400 °C, with a peak
temperature at 301 °C. This result for chitosan is in agreement with previous studies.$^{52, 62}$
The raw SP displayed a broad thermal decomposition peak starting from about
150 °C and ending at 470 °C, with a peak temperature at 232 °C. A single
decomposition step was also reported for *Bombyx mori* SF.$^{74-75}$ However, the peak
temperature of SP was lower than that (about 300 °C) of SF,$^{74}$ which could be due to
the difference in their molecular weights. The TGA of glycerol was also undertaken, which showed a sharp peak at 232 °C.

Figure 7. Derivative weight loss curves for raw chitosan, raw SP, glycerol, and biopolymer alloy films of different chitosan/SP ratios after oven drying and conditioning.
Representative chitosan–SP alloy samples with similar derivative-weight-loss profiles are shown in Figure 7. A large peak spanning a temperature range of 280–296 °C overlaps another smaller peak at 254–271 °C. The peak at the lower temperature is considered to be associated with SP and glycerol, and the larger peak at the higher temperature is attributed to chitosan. We can note from the weight-loss profiles that for all the alloy samples, the peak temperature for the SP component is observed to be higher than that for the raw SP while the peak temperature for chitosan is lower than that for the raw chitosan. The fact that their corresponding peak temperatures shifted closer to one another clearly indicates that there were strong physicochemical interactions between chitosan and SP in the system. Moreover, the two peak temperatures for our chitosan–SP alloy films are found to be higher than those for chitosan–fibroin blend films reported elsewhere. The higher thermal stability corresponds to the strong intermolecular chain interactions and the rigid and dense structure embedded with chitosan crystals in chitosan–SP alloy films. Moreover, Table
S1 shows that the effect of glycerol on the thermal decomposition temperatures was minor.

**CONCLUSION**

There has been an on-going challenge of producing the advanced biopolymer materials with cost-effective, industrially relevant techniques whilst improving the mechanical properties. This work demonstrates that a new type of biopolymer alloy materials with astonishing mechanical properties can be prepared by an innovative, facile method involving high-viscosity melt processing enabling effective mixing of the two biopolymers. As our biopolymer alloy films contained no distinct 3D-designed or patterned phase structure that is widely pursued by high-performance polymer materials, the superior mechanical performance of these alloy materials are totally unexpected and unconventional. We propose that our method allowed initial electrostatic interactions between chitosan and SP via thermomechanical mixing and subsequent hydrogen bonding and chitosan crystallization during conditioning, which are mainly responsible for the largely enhanced mechanical properties. These
interactions enabled excellent miscibility and compatibility between chitosan and SP as well as a strong, rigid, well-integrated structure in the alloy material.

The novel biopolymer alloy materials developed in this study will be highly beneficial for applications requiring exceptional mechanical properties, biocompatibility and biodegradability, such as various consumer products (e.g., packaging, disposable cups/bottles/containers) and biomedical materials (e.g., implants, tissue engineering scaffolds, drug delivery carriers). More importantly, our simple approach does not resort to complex chemistries and 3D-structural construction. This study is expected to open a new research direction in biopolymer processing for developing high-performance polymer materials where performance, cost-effectiveness and environmental sustainability are the research priorities of future industry.

ASSOCIATED CONTENT

Supporting Information (SI) is available free of charge on the ACS Publications website at DOI: xxx. See SI for supplementary Tables and Figure.

AUTHOR INFORMATION
Corresponding Author

* Fengwei Xie. Email: f.xie@uq.edu.au, fwhsieh@gmail.com

Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. ‡These authors contributed equally.

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENT

F. Xie acknowledges the European Union’s Marie Skłodowska-Curie Actions (MSCA) and the Institute of Advanced Study (IAS), University of Warwick for the Warwick Interdisciplinary Research Leadership Programme (WIRL-COFUND).

ABBREVIATIONS

SF, silk fibroin; SP, silk peptide; RH, relative humidity; $\sigma$, Maximum tensile strength; $\epsilon_b$, elongation at break; $E$, Young’s modulus; $U_t$, toughness; FTIR, Fourier-transform
infrared; TGA, thermogravimetric analysis; DMTA, dynamic mechanical thermal
analysis; XRD, X-ray diffraction; SEM, scanning electron microscope; WU, water
update.

REFERENCES

(1) Rabea, E. I.; Badawy, M. E. T.; Stevens, C. V.; Smagghe, G.; Steurbaut, W. Chitosan as antimicrobial
10.1021/bm034130m.

(2) Kong, M.; Chen, X. G.; Xing, K.; Park, H. J. Antimicrobial properties of chitosan and mode of action:
A state of the art review. *International Journal of Food Microbiology* **2010**, *144* (1), 51-63, DOI:

(3) Badawy, M. E. I.; Rabea, E. I. A Biopolymer Chitosan and Its Derivatives as Promising Antimicrobial
Agents against Plant Pathogens and Their Applications in Crop Protection. *International Journal of

(4) Verlee, A.; Mincke, S.; Stevens, C. V. Recent developments in antibacterial and antifungal chitosan
http://dx.doi.org/10.1016/j.carbpol.2017.02.001.

B.; Schneider, F.; Frère, Y.; Jierry, L.; Schaaf, P.; Kerdjoudj, H.; Metz-Boutigue, M. H.; Boulmedais, F.
Self-Defensive Biomaterial Coating Against Bacteria and Yeasts: Polysaccharide Multilayer Film with
10.1002/adfm.201300416.

http://dx.doi.org/10.1016/j.carbpol.2010.11.004.


(24) Lima, P. A. L.; Resende, C. X.; de Almeida Soares, G. D.; Anselme, K.; Almeida, L. E. Preparation,


(34) Nogueira, G. M.; Swiston, A. J.; Beppu, M. M.; Rubner, M. F. Layer-by-Layer Deposited


https://www.nature.com/articles/nature25476#supplementary-information.


(52) Xie, D. F.; Martino, V. P.; Sangwan, P.; Way, C.; Cash, G. A.; Pollet, E.; Dean, K. M.; Halley, P. J.;
Avérous, L. Elaboration and properties of plasticised chitosan-based exfoliated nano-biocomposites. 
*Polymer* **2013**, *54* (14), 3654-3662, DOI: 10.1016/j.polymer.2013.05.017.


[https://www.nature.com/articles/nature01133#supplementary-information](https://www.nature.com/articles/nature01133#supplementary-information).


10.1016/j.carbpol.2010.09.003.


(70) Canetti, M.; Seves, A.; Secundo, F.; Vecchio, G. CD and small-angle x-ray scattering of silk fibroin


Ultrastrong chitosan–silk peptide alloy films are fabricated by a facile, “dry” process, leading to cost-effective utilization of sustainable resources.