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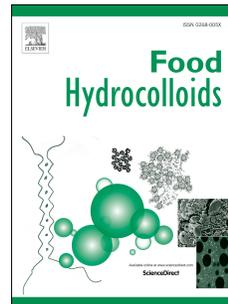
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A further study on supramolecular structure changes of waxy maize starch subjected to alkaline treatment by extended- $q$  small-angle neutron scattering

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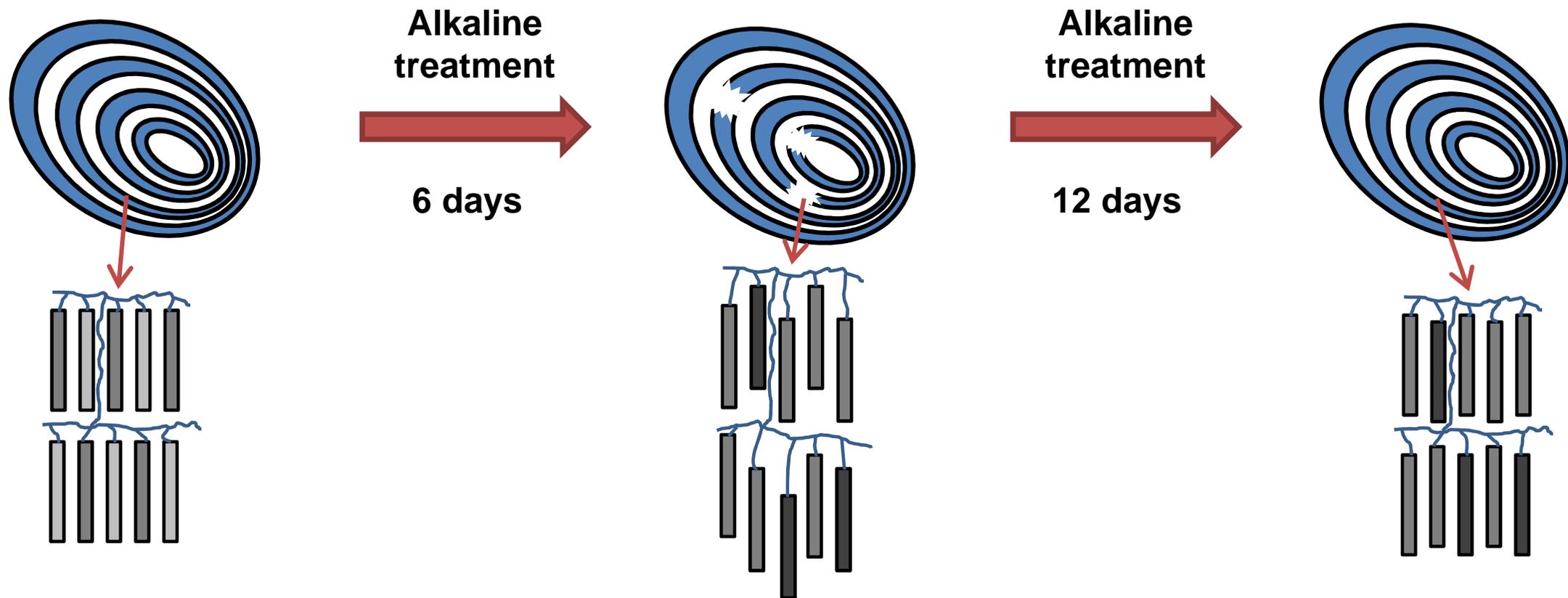
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1 **A further study on supramolecular structure changes of waxy maize starch**  
2 **subjected to alkaline treatment by extended- $q$  small-angle neutron scattering**

3  
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18 **Abstract:** Recently, we reported the effect of mild alkaline treatment on the structure and enzymatic  
19 hydrolysis of waxy maize starch. Here, we have used extended- $q$  small-angle neutron scattering  
20 (SANS) to examine the extent to which this treatment causes structural changes on even greater  
21 length scales — to yield a complete picture from the nanometre to micron — including on the  
22 lamellar distribution and larger-scale structural features of the same starch. For this A-type  
23 polymorph starch containing mostly amylopectin, we found the changes caused by NaOH solution  
24 treatment (0.1% or 0.5% (w/v)) were confined mainly to double helices and crystallites, whereas the  
25 lamellar and supramolecular structures were conserved. Although the overall lamellar ordering was  
26 reduced in the 0.5% (w/v) alkaline treatment for 6 days, further treatment until 12 days could restore  
27 the overall lamellar ordering. Despite changes in starch double helical order and crystallites, there  
28 were minimal changes at larger length scales (*e.g.*, blocklets and growth rings). This combination of  
29 methods reveals the extent of structural changes occurring as a result of mild alkaline treatment of  
30 waxy maize starch; it also provides insight into the rational design of granular starch products with  
31 varied functionality via hydrolytic control.

32  
33 **Keywords:** starch; double helices, lamellae; large-scale structure; extended- $q$  small-angle neutron  
34 scattering; SANS

35

## 36 1 Introduction

37 Starch, as a storage polysaccharide in green plants such as maize, potato, wheat, and rice (Xie,  
38 Zhang, & Wang, 2017), serves as a food ingredient providing energy to humans (Juansang, Puttanlek,  
39 Rungsardthong, Pucha-arnon, & Uttapap, 2012). Starch is also relevant for the design of functional  
40 foods (Fuentes-Zaragoza et al., 2011), bioactive carriers (Pu, Chen, Li, Xie, Yu, & Li, 2011) and  
41 biomaterials (Situ, Li, Liu, & Chen, 2015). There are two starch polymers biosynthesised during  
42 plant growth, namely amylose and amylopectin (Liu, Halley, & Gilbert, 2010; Jiang, Gao, Li, &  
43 Zhang, 2011). These two polymers can be organized on different scales in the starch granule to  
44 construct a multiscale supramolecular system; this system involves the whole granule, growth rings,  
45 blocklets, semicrystalline lamellae, crystallites, and double/single helices (Buleon, Colonna, Planchot,  
46 & Ball, 1998; Luengwilai & Beckles, 2009; Perez & Bertoft, 2010; Blazek & Gilbert, 2011; Douch  
47 & Gilbert, 2013; Flanagan, Gidley, & Warren, 2015; Zhang et al., 2015). The structures with scales  
48 larger than that of lamellae can be loosely termed as 'large-scale' structures, including the growth  
49 rings and blocklets (Douch et al., 2013).

50 The multiscale structural features of starch such as crystallinity, the degree of lamellar ordering,  
51 and the bulk density of the granule surface (associated with pores in cereal starches) are crucial in  
52 governing starch properties and functions (*e.g.*, thermal behaviours and digestibility) (Lopez-Rubio,  
53 Flanagan, Shrestha, Gidley, & Gilbert, 2008b; Liu, Xie, Yu, Chen, & Li, 2009; Blazek & Gilbert,  
54 2010; Xie, Halley, & Avérous, 2012). For instance, a multiscale supramolecular structure with tightly  
55 assembled starch chains can weaken the enzyme diffusion and hydrolysis, thus making the digestion  
56 rate of untreated starch several times lower than that of cooked starch (Bertoft & Manelius, 1992;

57 Noda et al., 2008; Blazek et al., 2010). Therefore, revealing the starch multiscale structure using  
58 advanced analytical techniques can help in understanding such structure–function relationships.

59 Small-angle X-ray scattering (SAXS) and small-angle neutron scattering (SANS) are among the  
60 most powerful techniques for characterizing the lamellar and large-scale structures of starch  
61 (Chanvrier et al., 2007; Lopez-Rubio, Htoon, & Gilbert, 2007; Lopez-Rubio, Flanagan, Gilbert, &  
62 Gidley, 2008a; Lopez-Rubio et al., 2008b; Blazek et al., 2010; Blazek et al., 2011; Witt, Douch,  
63 Gilbert, & Gilbert, 2012; Douch et al., 2013; Bai, Cai, Douch, Gilbert, & Shi, 2014; Shrestha et al.,  
64 2015; Yang et al., 2019a). With these two scattering techniques, it is straightforward to extract  
65 information on the supramolecular structural features of starch such as the thickness of  
66 semicrystalline lamellae, crystalline and amorphous block dimensions (Cardoso & Westfahl, 2010;  
67 Zhang et al., 2014), and the size of blocklets (Douch et al., 2013).

68 Alkaline treatment is widely used for the isolation of starches with high yields and purity from  
69 agro-products (Han & Hamaker, 2002; Correia & Beirão-da-Costa, 2012) and for the production of a  
70 wide variety of starch-based foods such as tortillas, yellow alkaline noodles, and dumplings  
71 (Campus-Baypoli, Rosas-Burgos, Torres-Chavez, Ramírez-Wong, & Serna-Saldivar, 1999; Lai,  
72 Karim, Norziah, & Seow, 2002). Alkalis, such as sodium hydroxide and sodium carbonate, can  
73 impart foods with typical aroma and flavour as well as a firm and elastic texture (Karim, Nadiha,  
74 Chen, Phuah, Chui, & Fazilah, 2008). It has been shown that alkaline treatment can alter the  
75 molecular structure (amylose content), hierarchical structures (granule morphology, lamellae,  
76 crystallites, and short-range order), and properties (*e.g.*, swelling, pasting, rheological, thermal, and  
77 digestion behaviours) of various starches (*e.g.*, corn, rice, potato, sago, and pea) (Lai et al., 2002;  
78 Cardoso, Putaux, Samios, & da Silveira, 2007; Méndez-Montealvo, Trejo-Espino, Paredes-López, &

79 Bello-Pérez, 2007; Karim et al., 2008; Thys, Westfahl, Norea, Marczak, Silveira, & Cardoso, 2008;  
80 Nor Nadiha, Fazilah, Bhat, & Karim, 2010; Wang & Copeland, 2012; Cai et al., 2014; Jiang et al.,  
81 2014; Wang, Luo, Zhang, Zhang, He, & Wang, 2014; Qiao et al., 2016). However, how alkaline  
82 conditions (especially mild) change the lamellar distribution and large-scale characteristics is not  
83 well defined. Moreover, as the susceptibility of starch to alkaline treatment greatly depends on its  
84 native granule architecture and molecular structure (Nor Nadiha et al., 2010; Jiang et al., 2014), it is  
85 worth studying how alkaline treatment could have varied effects on a variety of cultivars. Our  
86 previous study (Qiao et al., 2017) has shown that mild alkaline treatment (0.1% and 0.5% NaOH,  
87 w/v) can effectively alter the digestion behaviour of waxy maize starch. However, how alkaline  
88 treatment alters the supramolecular structure of an A-type polymorphic starch (the mechanism) is  
89 still not fully understood. To answer this question, an investigation of changes occurring on multiple  
90 and relevant length scales is essential. To this end, we have used an extended- $q$  SANS to interrogate  
91 the lamellar distribution and large-scale features of starch spanning scales up to the micron and their  
92 evolution under alkaline treatment. Note that SAXS and SANS are complementary methods. SAXS  
93 is sensitive to electron density differences, whereas SANS is sensitive to differences in neutron  
94 scattering length density (SLD) (a nuclear property); the latter can be manipulated through solvent  
95 contrast variation and selective deuteration, for example. In the current case, neutron scattering has  
96 been employed to enable access to a wide  $q$  range to examine the hierarchical structures exhibited by  
97 starch; the latter is readily available on the QUOKKA SANS instrument (Gilbert, Schulz, & Noakes,  
98 2006; Wood et al., 2018). Neutron focussing, enabling access to scattering vectors down to about  
99  $0.00045 \text{ \AA}^{-1}$ , has also been used to simultaneously characterize the lamellar and large-scale structure  
100 of alkali-treated starch from the nanoscale to the micron-scale. A modified method was established to

101 fit the SANS data comprising a Gaussian-plus-Lorentzian peak function for the lamellar peak  
102 scattering, and a unified model (a Guinier function plus a power-law function) for larger length  
103 scales. With this method, the semicrystalline lamellae have been found to be distributed in a narrow  
104 range; moreover, the large-scale information related to blocklets and growth rings can be revealed.

105

## 106 **2 Materials and methods**

### 107 **2.1 Materials**

108 The starch used in this work was waxy maize starch commercially available from Penford  
109 Australia Pty Ltd. (Lane Cove, NSW, Australia). The amylose:amylopectin ratio of this starch was *ca.*  
110 3:97, as determined using the iodine colourimetric method (Tan, Flanagan, Halley, Whittaker, &  
111 Gidley, 2007). The moisture content (*ca.* 13.6%) was measured using an MA35 moisture analyser  
112 (Sartorius Stedim Biotech GmbH, Germany). All chemicals (including sodium hydroxide, sodium  
113 azide, and ethanol) were of analytical grade and were provided by Tianjin Kemeou Chemical  
114 Reagent Co., Ltd. (Tianjin, China).

115

### 116 **2.2 Alkaline treatment**

117 Although a concentrated alkali solution can quickly and effectively disrupt the starch structure, it  
118 may also result in the degradation of starch molecules (Han & Lim, 2004) due to the  $\beta$ -elimination of  
119 reducing semi-acetal groups. In this work, NaOH aqueous solutions of 0.1% (w/v) and 0.5% (w/v)  
120 concentrations were employed for the treatment of the starch for different times (6 and 12 days).

121 Such mild alkali solutions were selected as they are typical for the processing of starch and  
122 starch-based foods where the modest alteration of the starch multiscale structure without degrading  
123 or dissolving starch molecules is desirable (Nor Nadiha et al., 2010; Praznik, Buksa, Ziobro, Gambuś,  
124 & Nowotna, 2012; Wang et al., 2012; Cai et al., 2014; Jiang et al., 2014).

125 Specifically, NaOH aqueous solutions of 0.1% (w/v) or 0.5% (w/v) concentration were prepared  
126 with 0.1% (w/v) sodium azide as a chemical preservative. About 10 g of the starch was added to  
127 150 mL of the alkali solutions. The starch slurries were then placed at 35 °C for different treatment  
128 days (6 or 12), accompanied by intermittent shaking to effectively re-suspend the starch. Afterwards,  
129 each alkali-treated starch sample was washed using deionized water and then 95% ethanol (Wang et  
130 al., 2012; Jiang et al., 2014), followed by centrifugation for at least 3 times until a neutral starch  
131 slurry was acquired. The starch sediments were dried in an oven at 35 °C for 48 h and then were  
132 placed into zip-lock bags for further use.

133 In the following, codes such as “S-0.1-6” will be used, in which “S” denotes the waxy maize  
134 starch, “0.1” indicates the alkali concentration being 0.1% (w/v), and “6” means the days of  
135 treatment. In addition, a code of only “S” in the figures and tables indicates the native starch without  
136 any treatment.

### 137 138 **2.3 Extended- $q$ small-angle neutron scattering (SANS)**

139 SANS measurements, extended to an ultra-small angle ( $q = ca. 0.00045 \text{ \AA}^{-1}$ ), were performed on  
140 the 40-m QUOKKA instrument at the OPAL reactor (Sydney, Australia) (Gilbert et al., 2006; Wood  
141 et al., 2018). The sample aperture diameters were of 50 and 7.5 mm, respectively. Three instrument

142 configurations, with sample-to-detector distances of 1.3, 8.0 and 20.0 m at a wavelength ( $\lambda$ ) of 5.0 Å  
143 and a fourth with wavelength 8.1 Å, and 10% wavelength resolution, were used to provide a  
144 continuous  $q$  range of *ca.* 0.00045 to 0.70 Å<sup>-1</sup>, where  $q$  is the scattering vector defined as  $q =$   
145  $4\pi\sin\theta/\lambda$  ( $2\theta$  is the scattering angle (°)). The instrumental resolution,  $\Delta q$ , at the lamellar peak (0.06 to  
146 0.07 Å<sup>-1</sup>) is 0.003 Å<sup>-1</sup>. Note that  $q_{\min}$  is almost one order of magnitude lower than that used in SAXS  
147 (0.0020 <  $q$  < 0.20 Å<sup>-1</sup>) previously (Qiao et al., 2017) enabling access to larger size range. The starch  
148 slurries in heavy water with a starch concentration of 10 wt.% were loaded into demountable cells  
149 with quartz windows which, in turn, were placed in a multi-position sample tumbler to prevent  
150 sample sedimentation; the scattering of pure heavy water within a quartz cell of 1 mm thickness was  
151 used as a background.

152

## 153 2.4 Reduction of SANS data

154 The resultant SANS data sets were reduced, normalised, and radially averaged using a package of  
155 macros in Igor software modified to accept *HDF5* data files from QUOKKA (Blazek et al., 2010).  
156 The scattering curves are plotted as a function of absolute (SANS) intensity,  $I$  (cm<sup>-1</sup>), versus  $q$  (Å<sup>-1</sup>).

157

## 158 2.5 Fitting of SANS data

159 The extended- $q$  SANS data covering  $q$  values of *ca.* 0.00045 to 0.20 Å<sup>-1</sup> were fitted in Origin 8  
160 software (OriginLab. Inc., USA). Curve fitting was conducted iteratively; for each iteration, the  
161 fitting coefficients were refined to minimise chi-squared via a nonlinear, least-squares refinement  
162 procedure. As detailed in **Section 3.3**, the scattering data were fitted with a unified model including

163 two power-law regimes (Doutch et al., 2013; Zhang et al., 2015) plus a Gaussian-plus-Lorentzian  
164 peak function to describe the lamellar peak range (*ca.* 0.015 to 0.20 Å<sup>-1</sup>). Data are expressed as  
165 means ± standard deviations (SD).

166

### 167 **3 Results and discussion**

#### 168 **3.1 Short- and long-range structural changes of alkali-treated starch (previous** 169 **study)**

170 In our previous paper (Qiao et al., 2017), we reported the structure and *in-vitro* digestion  
171 behaviour of waxy maize starch under the same alkaline treatment conditions. Alkali concentration  
172 has been shown to largely influence the short-range structures (double helix and crystallite order) of  
173 waxy maize starch (**Table 1**) (Qiao et al., 2017). Using differential scanning calorimetry (DSC), we  
174 found the 0.1% (w/v) alkaline treatment could decrease the onset temperature ( $T_o$ ), increase the  
175 conclusion temperature ( $T_c$ ), and slightly reduce the enthalpy ( $\Delta H$ ) of gelatinisation. X-ray  
176 diffraction (XRD) data indicated a slightly reduced degree of crystallinity ( $X_c$ ). Similar changes in  
177 DSC results were observed for other types of starch (Méndez-Montealvo et al., 2007; Nor Nadiha et  
178 al., 2010; Wang et al., 2012; Cai et al., 2014; Wang et al., 2014). For waxy maize starch with A-type  
179 crystallites, the only endothermic peak represents an overlap of the transitions from smectic to  
180 isotropic phase and the helix–coil transition associated with the unwinding of amylopectin double  
181 helices (starch molecular order) (Waigh, Gidley, Komanshek, & Donald, 2000a). It was proposed  
182 that the 0.1% (w/v) alkaline treatment could weaken the hydrogen-bonding interactions between  
183 double helices, disrupt some double helices, and increase the degree of perfection of some double

184 helices. With a stronger alkaline (0.5% NaOH, w/v) treatment, the starch displayed increased  $T_o$  and  
 185  $T_c$  and decreased  $\Delta H$  and  $X_c$ . In this regard, the 0.5% (w/v) NaOH solution could effectively disrupt  
 186 some starch double helices especially those with flaws or a lower degree of perfection and increase  
 187 the level of perfection for some existing starch double helices.

188

189 **Table 1** Crystalline and thermal parameters of native and alkali-treated starch samples<sup>A</sup>.

190 Reproduced from Ref. (Qiao et al., 2017), Copyright (2017), with permission from Elsevier.

	S	S-0.1-6	S-0.1-12	S-0.5-6	S-0.5-12
$X_c$ (%)	49.21±0.68 <sup>a</sup>	47.31±0.75 <sup>b</sup>	44.70±1.02 <sup>c</sup>	39.62±1.10 <sup>e</sup>	42.52±0.96 <sup>d</sup>
$T_o$ (°C)	72.31±0.20 <sup>b</sup>	68.96±0.35 <sup>c</sup>	67.95±0.19 <sup>d</sup>	77.35±0.23 <sup>a</sup>	77.17±0.37 <sup>a</sup>
$T_p$ (°C)	78.57±0.15 <sup>c</sup>	75.98±0.22 <sup>d</sup>	75.38±0.16 <sup>e</sup>	81.95±0.26 <sup>a</sup>	81.27±0.18 <sup>b</sup>
$T_c$ (°C)	86.14±0.32 <sup>d</sup>	89.11±0.24 <sup>c</sup>	89.88±0.38 <sup>b</sup>	90.06±0.43 <sup>a</sup>	89.73±0.23 <sup>ab</sup>
$\Delta T$ (°C)	13.83±0.12 <sup>c</sup>	20.15±0.11 <sup>b</sup>	21.93±0.19 <sup>a</sup>	12.71±0.20 <sup>d</sup>	12.56±0.14 <sup>e</sup>
$\Delta H$ (J/g)	15.42±0.16 <sup>a</sup>	15.12±0.21 <sup>b</sup>	14.28±0.38 <sup>c</sup>	10.55±0.26 <sup>e</sup>	11.52±0.22 <sup>d</sup>

191 <sup>A</sup> Parameter obtained by XRD:  $X_c$ , relative crystallinity. Thermal transition parameters measured by DSC:  $T_o$ , onset temperature;

192  $T_p$ , peak temperature;  $T_c$ , conclusion temperature;  $\Delta T$  ( $T_c - T_o$ ), transition temperature range;  $\Delta H$ , transition enthalpy. Values are

193 means of three determinations ( $n = 3$ ) values. Different letters within a row mean a significant difference ( $P < 0.05$ ). All the

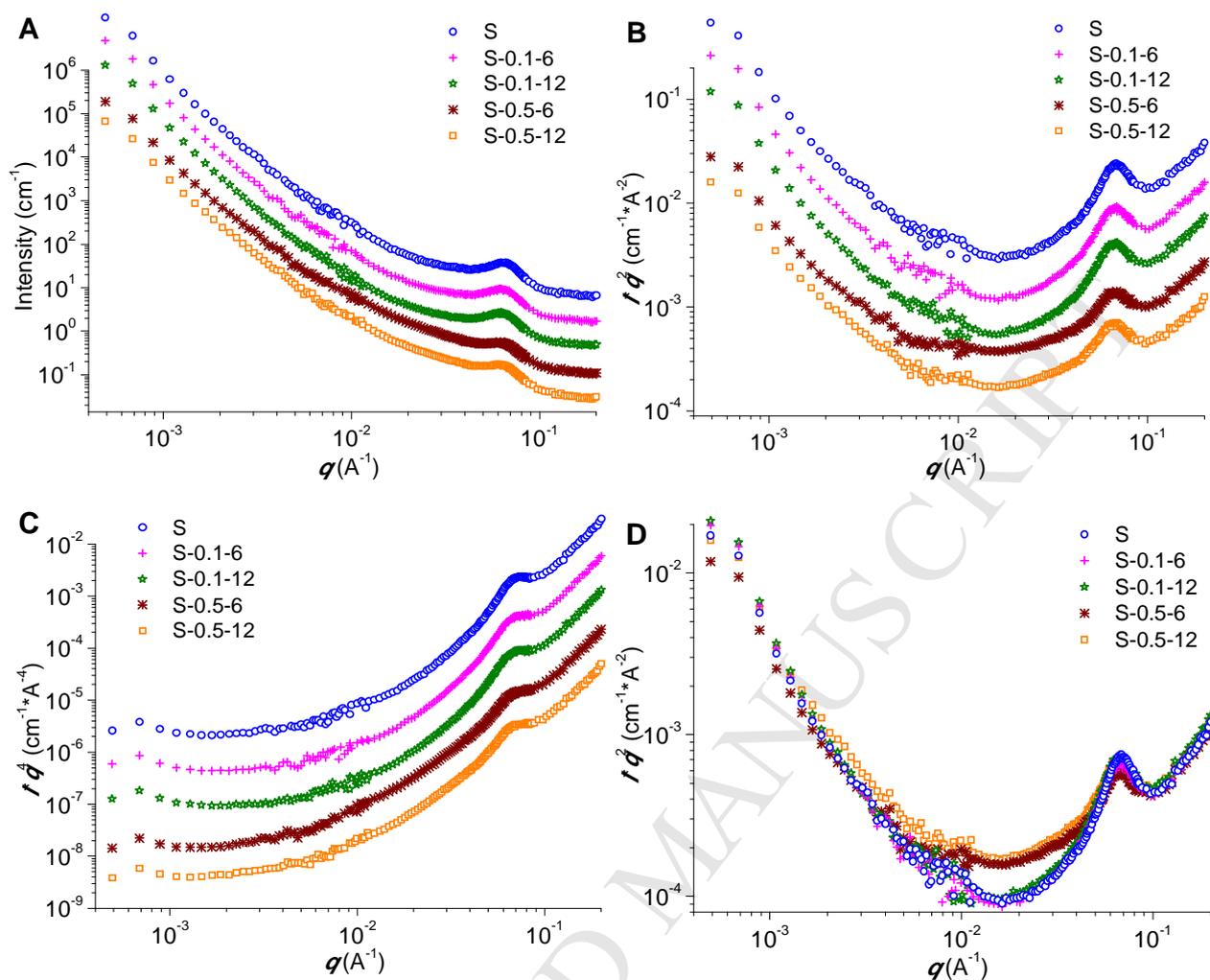
194 values are represented in average  $\pm$  standard deviation.

195

196 **3.2 Features of extended- $q$  SANS data**

197 **Fig. 1A** presents the extended- $q$  SANS patterns on a logarithmic scale of the starch subjected to  
198 the 0.1% (w/v) or 0.5% (w/v) alkaline treatment for different days (6 or 12 days). All starch samples  
199 had a typical scattering peak at about  $0.065 \text{ \AA}^{-1}$ , ascribed to the semicrystalline lamellar structure  
200 within the starch granule (Zhang et al., 2017a). This peak may be somewhat weakened by the  
201 stronger alkaline solution resulting from the alkali-induced disruption of semicrystalline lamellae. As  
202 revealed by the Lorentz-corrected ( $I^*q^2$  vs.  $q$ ) SANS profiles included in **Fig. 1B**, there is an  
203 inflection region between the two regimes. A randomly-oriented, dilute system of lamellae would  
204 give rise to a  $q^{-2}$  dependence (Doutch et al., 2013). Here, the power-law dependence for the starch  
205 lamellar peak regime extends to *ca.*  $0.015 \text{ \AA}^{-1}$  (the inflection region). Below this regime, the  $q$   
206 dependence of starch neutron scattering changes to  $q^{-3}$  or  $q^{-4}$ , which is consistent with interfacial  
207 scattering; such interfacial scattering can be observed more clearly on a Porod ( $I^*q^4$  vs.  $q$ ) SANS plot  
208 (**Fig. 1C**).

209



210

211

212 **Fig. 1** Double-logarithm (A), Lorentz-corrected ( $l^*q^2$  vs.  $q$ ) (B and D), and Porod ( $l^*q^4$  vs.  $q$ ) (C)

213 extended- $q$  SANS patterns for waxy maize starch subjected to 0.1% (w/v) NaOH or 0.5% (w/v)

214 NaOH solution treatment for 6 or 12 days. In A-C, data have been shifted vertically for clarity; in D,

215 data are directly overlaid. In the latter, differences in SANS at  $q$  values below the lamellar peak can

216 be clearly observed.

217

218 **3.3 Data fitting**

219 The fitting curves for the extended- $q$  SANS data are shown in **Fig. 2**. For the power-law regime,  
 220 a unified model **Eq. (1)** (Beaucage, 2004; Zhang et al., 2017b) was used to fit the relevant scattering  
 221 data.

222

$$223 \quad I(q) = G \exp\left(-\frac{R_g^2 q^2}{3}\right) + C \left( \frac{\left( \operatorname{erf}\left(\frac{qR_g}{\sqrt{6}}\right)\right)^3}{q} \right)^\delta \quad (1)$$

224

225 In this equation,  $G$  is the prefactor of the Guinier function corresponding to a radius  $R_g$ ;  $C$  and  $\delta$  are  
 226 the prefactor and the exponent of the power-law function, respectively.

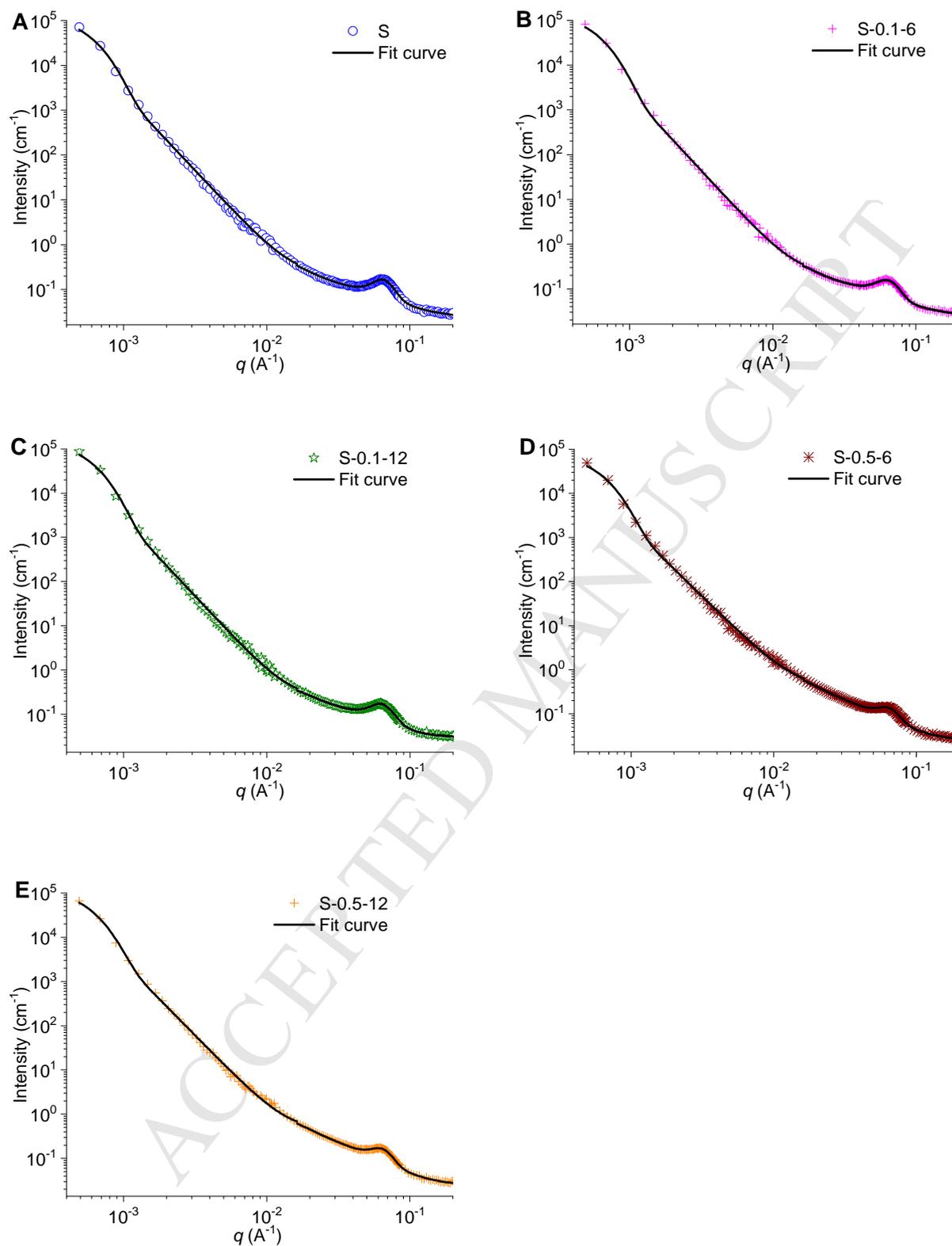
227 For the lamellar peak regime of  $0.015\text{--}0.20 \text{ \AA}^{-1}$ , an additional Gaussian-plus-Lorentzian function  
 228 (**Eq. (2)**) were used to fit the peak scattering  $I_{\text{peak}}(q)$ .

229

$$230 \quad I_{\text{peak}}(q) = B + f \frac{A\sqrt{\ln 4}}{w\sqrt{\frac{\pi}{2}}} \exp\left(-\frac{2 \ln 4 (q - q_{\text{peak}})^2}{W^2}\right) + (1 - f) \frac{2A}{\pi} \times \frac{2W}{4(q - q_{\text{peak}})^2 + W^2} \quad (2)$$

231

232 In this equation, the first term  $B$  is the scattering background; the second and third terms are the  
 233 Gaussian and Lorentzian functions, respectively, describing the lamellar peak centred on about  $0.065$   
 234  $\text{\AA}^{-1}$ ;  $f$  is the prefactor for the peak. In the Gaussian and Lorentzian functions,  $A$  is the peak area,  $W$   
 235 ( $\text{\AA}^{-1}$ ) is the peak full width at half maximum (FWHM) in reciprocal space, and  $q_{\text{peak}}$  ( $\text{\AA}^{-1}$ ) is the peak  
 236 centre position. **Fig. 2** shows satisfactory fitting results for the scattering data.



237

238

239

240 **Fig. 2** Extended- $q$  SANS patterns and their fitted curves of waxy maize starch subjected to 0.1% (w/v)

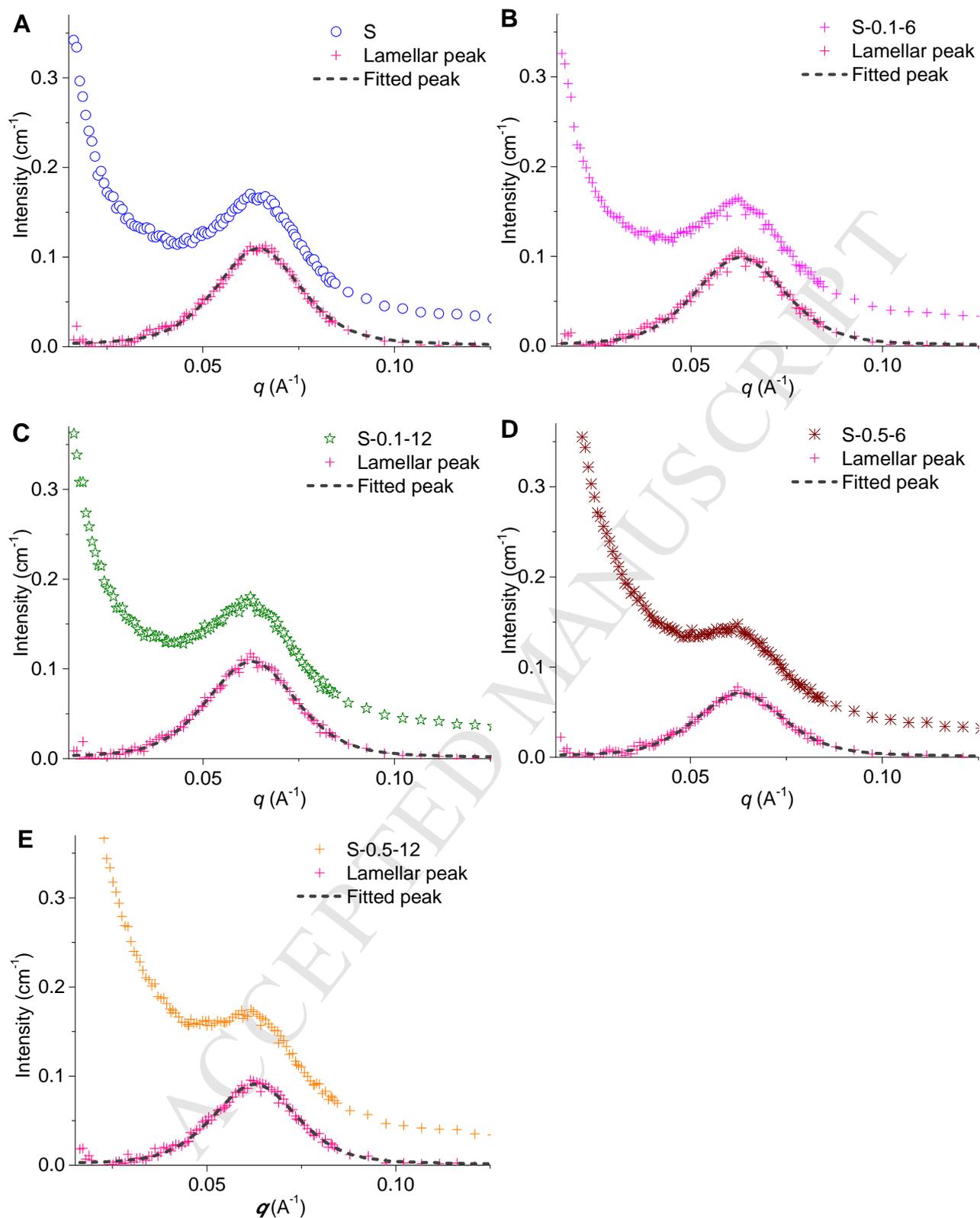
241 or 0.5% (w/v) NaOH treatment for 6 or 12 days.

242

243 **3.4 Lamellar thickness distribution**

244 **Fig. 3** illustrates the SANS data (including the scattering from the background, power-law, and  
245 lamellae) in the lamellar peak regime ( $0.015$  to  $0.20 \text{ \AA}^{-1}$ ) and the fitted lamellar peaks (the  
246 Gaussian-plus-Lorentzian scattering in **Eq. (2)**) for the untreated and alkali-treated starches. The  
247 starches displayed a semicrystalline lamellar peak in the range of *ca.*  $0.03$  to  $0.10 \text{ \AA}^{-1}$ , corresponding  
248 to a lamellar distribution with mean thickness ( $d_{\text{ave}}$ ) of *ca.*  $9.70$ – $10.00$  nm (see **Fig. 4**).

249



250

251

252

253 **Fig. 3** SANS patterns in the lamellar scattering range, and their fitted lamellar peaks, for waxy maize

254 starch subjected to 0.1% (w/v) NaOH or 0.5% (w/v) NaOH solution treatment for 6 or 12 days.

255

256 **Table 2** shows the fitted peak positions ( $q_{\text{peak}}$ ) and the FWHMs in reciprocal space ( $W$ ) of  
257 lamellar peak for different starch samples. The peak position was used to calculate the average  
258 thickness ( $d_{\text{ave}}$ ) of semicrystalline lamellae according to the Woolf-Bragg equation,  $d_{\text{ave}} = 2\pi/q_{\text{peak}}$ .  
259 Based on **Eq. (3)**, the associated reciprocal of the space value ( $W$  in **Table 2**),  $W_{\text{real}}$ , is positively  
260 related to the thickness distribution width, or equivalently, the lamellar polydispersity, of  
261 semicrystalline lamellae based on **Eq. (3)** (Cardoso et al., 2010; Witt et al., 2012).

262

$$263 \quad W_{\text{real}} = \frac{2\pi W}{q_{\text{peak}}^2} \quad (3)$$

264

265 The  $d_{\text{ave}}$  and  $W_{\text{real}}$  values for different starch samples are illustrated in **Fig. 4**. For the untreated,  
266 native starch,  $d_{\text{ave}}$  was about 9.70 nm and  $W_{\text{real}}$  about 3.90 nm. Both the 0.1% (w/v) and 0.5% (w/v)  
267 alkaline treatments slightly increased  $d_{\text{ave}}$  to similar extents while the 0.1% (w/v) alkaline solution  
268 caused a greater increase in  $W_{\text{real}}$ . On the other hand, a longer treatment time did not appear to  
269 change  $d_{\text{ave}}$  or  $W_{\text{real}}$  further.

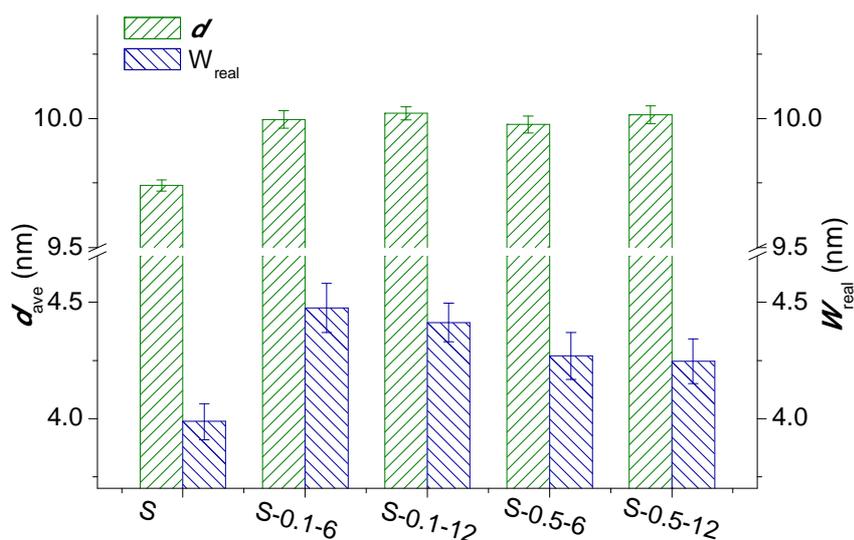
270

271 **Table 2** Fitted parameters of the lamellar peak SANS data for waxy maize starch before and after 0.1%  
 272 (w/v) or 0.5% (w/v) NaOH solution treatment for 6 or 12 days <sup>a</sup>

	S	S-0.1-6	S-0.1-12	S-0.5-6	S-0.5-12
$A$ ( $\text{\AA}^{-1} \text{ cm}^{-1}$ )	0.0027 $\pm$ 0.0001	0.0027 $\pm$ 0.0001	0.0029 $\pm$ 0.0001	0.0018 $\pm$ 0.0001	0.0023 $\pm$ 0.0001
$W$ ( $\text{\AA}^{-1}$ )	0.0264 $\pm$ 0.0006	0.0281 $\pm$ 0.0009	0.0276 $\pm$ 0.0007	0.0269 $\pm$ 0.0008	0.0266 $\pm$ 0.0008
$q_{\text{peak}}$ ( $\text{\AA}^{-1}$ )	0.0645 $\pm$ 0.0001	0.0628 $\pm$ 0.0002	0.0627 $\pm$ 0.0002	0.0629 $\pm$ 0.0002	0.0627 $\pm$ 0.0002
$B$ ( $\text{cm}^{-1}$ )	0.021 $\pm$ 0.002	0.022 $\pm$ 0.002	0.025 $\pm$ 0.002	0.023 $\pm$ 0.001	0.022 $\pm$ 0.001
$f$	0.59 $\pm$ 0.10	0.71 $\pm$ 0.13	0.67 $\pm$ 0.10	0.62 $\pm$ 0.11	0.67 $\pm$ 0.12
$\chi^2$	1.98	1.98	1.98	1.98	1.98

273 <sup>a</sup>  $q$  values used for fitting based on Eq. (2): 0.015 to 0.20  $\text{\AA}^{-1}$ . The resultant parameters:  $A$ , area of the lamellar peak;  $W$ , full  
 274 width at half maximum (FWHM) in reciprocal space;  $q_{\text{peak}}$ , position of the lamellar peak;  $B$ , scattering background;  $f$ , prefactor  
 275 for the lamellar peak function. All the values are represented in average  $\pm$  standard deviation.

276



277

278 **Fig. 4** Average semicrystalline lamellar thickness ( $d_{ave}$ ) (A) and279 waxy maize starch subjected to 0.1% (w/v) NaOH or 0.5% (w/v) NaOH solution treatment for 6 or 12  
280 days.

281

282 **Table 2** also records the fitted lamellar peak area ( $A$ ), which can be positively correlated with the

283 degree of lamellar ordering (Pikus, 2005; Wang, Wang, Li, Chen, &amp; Zhang, 2017). The 0.1% (w/v)

284 alkaline treatment did not change  $A$  even after 12 days. In contrast,  $A$  was reduced by 0.5% (w/v)

285 NaOH solution, and this reduction was even greater for 6 days than for 12 days. Our previous SAXS

286 data (Qiao et al., 2017) indicates that the thickness of crystalline lamellae ( $d_c$ ) slightly increased and287 that of amorphous lamellae ( $d_a$ ) marginally decreased by alkaline treatment. In an earlier study (Qiao

288 et al., 2016), 0.5% (w/v) alkaline treatment of regular maize starch, which is also an A-type

289 polymorphic starch, resulted in a similar increase in  $d_c$  while the decrease in  $d_a$  was less apparent. In290 contrast, high-amylose maize starch Gelose 50 (B-type) exhibited increases in both  $d_c$  and  $d_a$  (Qiao et

291 al., 2016). For other starches subjected to alkaline treatment, a reduction in amylose content has

292 generally been reported (Karim et al., 2008; Nor Nadiha et al., 2010; Wang et al., 2012; Cai et al.,

293 2014; Jiang et al., 2014). In particular, Cai et al. (2014) reported that for a high-amylose rice starch  
294 (C-type), 0.4% NaOH treatment resulted in leaching of amylose from starch granules, which was  
295 accompanied by changes in granule morphology and electron density between crystalline and  
296 amorphous lamellae. In our case here, we observed only slight changes in  $d_c$  and  $d_a$ , which may due  
297 to the very low amylose content in waxy maize starch. Besides, the amorphous lamellae are normally  
298 thought to include the branching points of the amylopectin macromolecules. The decreases in  $d_a$  due  
299 to mild alkali treatment may arise from either a physical process, for example, the rearrangement of  
300 branching points, or a chemical process due to cleavage/hydrolysis of these branching points or,  
301 indeed, a combination thereof. On the other hand, the changes to crystalline lamellae were  
302 accompanied by the reduced crystallinity and degree of molecular ordering (**Table 1**). When the 0.1%  
303 (w/v) alkaline solution was used, any changes to the molecular order and crystallites within  
304 crystalline lamellae did not cause significant variation to the overall lamellar ordering (as reflected  
305 by an unchanged  $A$ ). Nevertheless, a prominent decrease in  $A$  was observed for S-0.5-6, indicating  
306 the stronger alkaline solution could disrupt the overall lamellar ordering to some extent. This  
307 disruption of the lamellar ordering was accompanied by (or should result from) the misalignment of  
308 starch double helices (a crystalline smectic–nematic transition), the disruption of less-stable double  
309 helices, and the rearrangement of double helices with high degrees of perfection (as reflected by  
310 increased  $T_o$  and  $T_c$ , see **Table 1**). Interestingly, S-0.5-6 presents lower  $A$  than S-0.5-12, which may  
311 indicate that the disruption of the overall lamellae by strong alkaline treatment was temporary and a  
312 longer time of such a treatment could restore the lamellar ordering to some extent although more  
313 detailed studies are required to confirm this.

314 Irrespective of the alkali concentration and treatment time, the four treated samples exhibited  
315 higher  $d_{\text{ave}}$  and  $W_{\text{real}}$  values with the increase in  $d_c$  being greater than the decrease in  $d_a$  (Qiao et al.,  
316 2017) (**Fig. 4**). We propose that the strong alkaline treatment can more effectively decrease the  
317 thickness of amorphous lamellae and increase crystalline lamellae. As a result, there were lower  $W_{\text{real}}$   
318 values of S-0.5-6 and S-0.5-12 than those of S-0.1-6 and S-0.1-12 (**Fig. 4**).

319

### 320 **3.5 Large-scale features**

321 To interrogate the large-scale structures such as growth rings and blocklets, **Table 3** lists the  
322 fitted parameters for the two power-law regimes extended to an ultra-small angle ( $0.00045 \text{ \AA}^{-1}$ ). By  
323 fitting the data at low  $q$  values (below  $0.015 \text{ \AA}^{-1}$ ), power law scattering, with an exponent consistent  
324 with surface fractal behaviour ( $\delta_1 > 3$ ), was observed for the starch without or with alkaline treatment,  
325 with a Guinier scattering from a structure with a radius of gyration ( $R_{g1}$ ).  $R_{g1}$  ranges from 326 to 343  
326 nm, corresponding to a diameter of about  $1 \mu\text{m}$  by assuming spherical objects (Beaucage, Kammler,  
327 & Pratsinis, 2004), which could be related to larger blocklets or growth rings with finite roughness  
328 (Gallant, Bouchet, & Baldwin, 1997). Larger ‘blocklets’ have been proposed in the hard  
329 semicrystalline rings of the starch granule with smaller blocklets in the softer amorphous rings  
330 (Gallant et al., 1997; Pérez, Baldwin, & Gallant, 2009; Pérez & Bertoft, 2010). The length of a  
331 blocklet has also been purported to correspond to the length of a single amylopectin molecule  
332 (Szymońska & Krok, 2003). In **Table 3**, no statistically apparent changes in  $R_{g1}$  by alkaline treatment  
333 could be found. The 0.1% (w/v) alkaline treatment did not change  $\delta_1$  and the 0.5% (w/v) alkaline

334 solution only reduced  $\delta_1$  slightly (especially for S-0.5-6). Structures at these larger length scales were  
 335 hardly altered by the alkaline conditions used in this work, irrespective of strength or time.

336

337 **Table 3** Fitted parameters for the SANS data in the low  $q$  range (1<sup>st</sup> level) and in the high  $q$  range (2<sup>nd</sup>  
 338 level) for waxy maize starch subjected to 0.1% (w/v) NaOH or 0.5% (w/v) NaOH solution treatment  
 339 for 6 or 12 days<sup>a</sup>

	S	S-0.1-6	S-0.1-12	S-0.5-6	S-0.5-12
$G_1$ ( $10^5$ )	1.59±0.35	1.79±0.41	1.84±0.43	0.98±0.22	1.55±0.36
$R_{g1}$ (nm)	342.5±13.7	342.0±13.6	339.2±13.8	326.2±13.9	342.8±15.7
$C_1$ ( $10^{-7}$ )	1.46±0.33	1.01±0.23	1.04±0.24	5.92±1.54	3.32±0.71
$\delta_1$	3.40±0.04	3.46±0.04	3.46±0.04	3.15±0.04	3.30±0.03
$G_2$	0.93±0.18	0.91±0.24	0.93±0.17	1.51±0.16	1.57±0.20
$R_{g2}$ (nm)	12.8±1.37	13.1±1.9	12.5±1.4	12.0±0.8	11.9±1.0
$C_2$ ( $10^{-4}$ )	3.59±1.90	3.79±2.37	3.06±1.58	1.15±0.44	1.69±0.71
$\delta_2$	1.68±0.14	1.65±0.17	1.74±0.14	2.16±0.11	2.09±0.12

340 <sup>a</sup> 1<sup>st</sup> level covers *ca.* 0.00045 to 0.015  $\text{\AA}^{-1}$ ; 2<sup>nd</sup> level covers 0.015 to 0.20  $\text{\AA}^{-1}$ . Parameters obtained by fitting SANS data with

341 **Eq. (1)**:  $G_1$  and  $G_2$ , prefactors of the Guinier scattering for the first- and second-level  $q$ -ranges, respectively;  $R_{g1}$  and  $R_{g2}$ , radii

342 of gyration for the first- and second-level  $q$ -ranges, respectively;  $C_1$  and  $C_2$ , prefactors of the power law for the first- and

343 second-level  $q$ -ranges, respectively;  $\delta_1$  and  $\delta_2$ , power-law exponents for the first- and second-level  $q$ -ranges, respectively. All

344 the values are represented in average  $\pm$  standard deviation.

345

346 In the  $q$  range above  $0.015 \text{ \AA}^{-1}$ , the untreated starch showed a power-law exponent ( $\delta_2$ ) ranging  
347 from 1.60 to 2.20, that may be related to mass fractal structures, and a gyration radius  $R_{g2}$  of 12–13  
348 nm. Assuming monodisperse spherical objects of uniform scattering density,  $R_{g2}$  corresponds to a  
349 diameter of about 40 nm, which is within the size range of small starch blocklets as shown by other  
350 methods (Doutch et al., 2013). Blocklet size is reported to vary in location within the granule and  
351 with botanical source (Tang, Mitsunaga, & Kawamura, 2006; Pérez et al., 2010). Thus, these two  
352 parameters could be associated with the blocklets and/or regions of organisation within the branching  
353 structure of growth rings. Here, the 0.1% (w/v) alkaline treatment did not lead to apparent changes in  
354  $\delta_2$  whereas there was an increase in  $\delta_2$  with the use of 0.5% (w/v) NaOH solution. These differences  
355 on length-scales greater than lamellae are apparent in Figure 1D. However, no apparent variation in  
356  $R_{g2}$  after either the 0.1% (w/v) or 0.5% (w/v) alkaline treatment could be observed, suggesting little  
357 change to the blocklets and/or organisation regions (with  $R_{g2}$  of 12–13 nm) within the branching  
358 structure of growth rings. Our previous SAXS data showed that the 0.1% (w/v) alkaline treatment  
359 had no apparent effect on blocklets and mass fractals (with  $R_{g2}$  of *ca.* 195 Å), whereas the 0.5% (w/v)  
360 alkaline treatment lead to an increase in  $R_{g2}$ , which may be linked to the changes to larger-scale  
361 structural features as discussed above.

362 It should be noted that the absence of structural changes within the extended- $q$  SANS scattering  
363 data here only reflects changes on length scales up to about 1  $\mu\text{m}$ . Ultra-small-angle neutron  
364 scattering (USANS) can readily provide data about structural changes on even larger scales,  
365 corresponding to the alteration to granule morphology caused by alkaline treatment as observed by  
366 scanning electron microscopy (SEM) (Wang et al., 2012; Cai et al., 2014; Wang et al., 2014; Qiao et  
367 al., 2017). As an example, Yang et al. (Yang et al., 2019b) recently used USANS to study pore size

368 and distribution during amyloglucosidase hydrolysis of corn starch which could be directly  
369 correlated with SEM; they noted that USANS provides information on internal pores as well as  
370 surface pores. The difference in the large-scale parameters, extracted from unified model fits to the  
371 SAXS and SANS data, may arise from scattering contrast sensitivities due to differential solvent  
372 accessibility or the different fitted  $q$  ranges, with the extended- $q$  SANS accessing lower  $q$ .

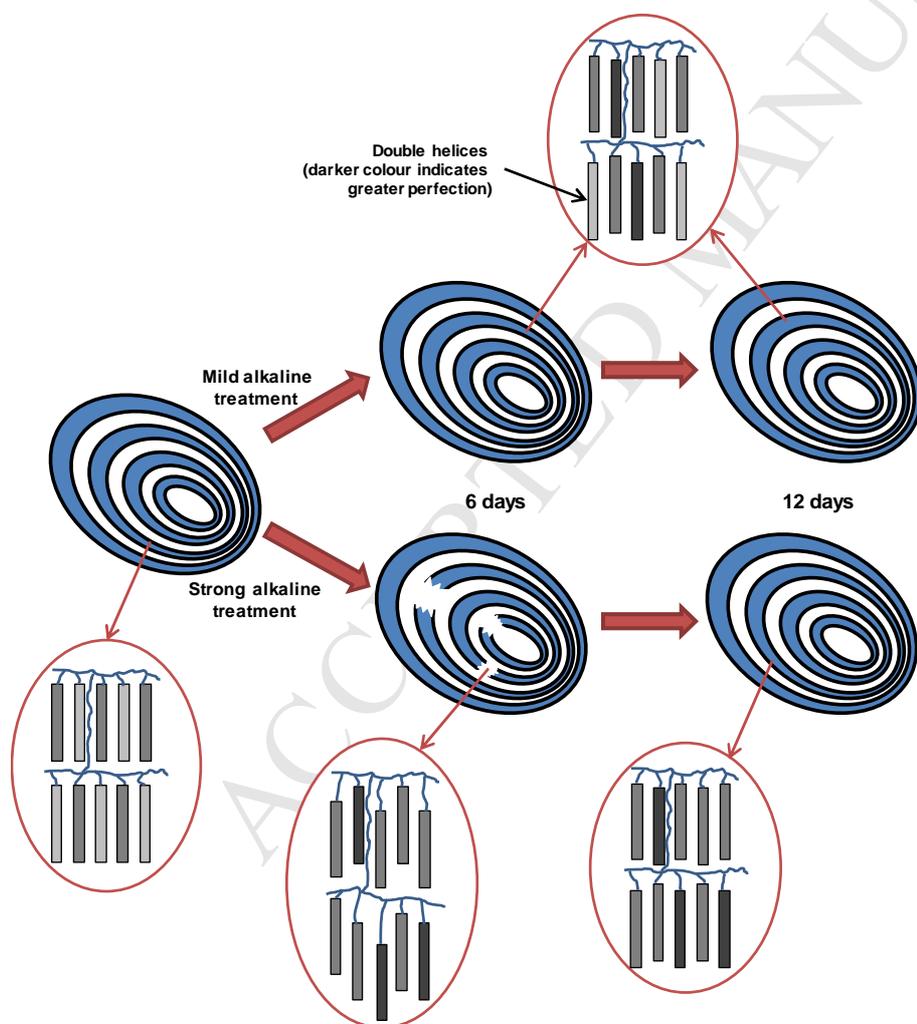
373

### 374 **3.6 Further discussion**

375 Early studies (Wootton & Ho, 1989; Ragheb, Abdel-Thalouth, & Tawfik, 1995) have shown that  
376 NaOH solutions of high concentration could cause starch gelatinization. The question is to what  
377 extent relatively low concentrations (0.1% and 0.5%, w/v) of NaOH could vary the starch structures  
378 without degrading starch molecules. Despite the apparent changes in starch molecular order (double  
379 helices) and crystallinity (Qiao et al., 2017), the variations by alkaline treatment at the large-scale  
380 structural features (growth rings and blocklets) measured by SAXS and extended- $q$  SANS were  
381 minor except for the lower overall lamellar ordering (reflected by  $A$ ) exhibited by S-0.5-6. We,  
382 therefore, propose the changes caused by NaOH solutions at low concentration start from the  
383 smaller-scale structural features (double helices and crystallites), whereas the fundamental  
384 arrangement of lamellae, growth rings, and blocklets are largely conserved, at least under the  
385 treatment conditions and times studied here. Alkaline treatment may cause slight changes to starch  
386 double helices resulting in the slightly increased thickness ( $d_{ave}$ ) of semicrystalline lamellae, while  
387 the lamellar ordering was mostly not varied. Only S-0.5-6 displayed a reduced degree of the lamellar  
388 ordering. Regarding this, the 0.5% (w/v) alkaline treatment may initially lead to a crystalline

389 smectic–nematic transition in some parts of crystalline lamellae (similar to the case of starch  
390 gelatinization with limited water (Waigh et al., 2000a; Waigh, Kato, Donald, Gidley, Clarke, &  
391 Riekell, 2000b)). However, a longer time of alkaline treatment could bring the double helices back to  
392 a smectic state so a restored  $A$  value for S-0.5-12 was observed. The latter nematic-smectic transition  
393 should be contributed by the small-scale changes such as the enhanced order of starch double helices.  
394 These proposed changes are illustrated in **Fig. 5**, which shows the mild alkaline conditions primarily  
395 impact small-scale structural features.

396



397

398 **Fig. 5.** Schematic representation of the changes in starch lamellar structure and molecular order by  
399 alkaline treatment.

400

401 The patterns of change to waxy maize starch subjected to alkaline treatment is clearly different  
402 from those suffered by other types of starch. An early study (Cardoso et al., 2007) has indicated that  
403 the granule organisation of rice starch could be significantly altered with NaOH solutions of  
404 concentrations higher than 0.24% (w/v). In a study of C-type starch granules (Thys et al., 2008),  
405 SAXS analysis indicated a gradual compression of the semicrystalline growth rings through the  
406 swelling of the amorphous growth rings, while wide-angle X-ray scattering indicated a partial  
407 degradation of B-type allomorph of starch when granules were alkali-treated. Cai et al. (2014)  
408 reported that the treatment of starch by 0.1% (w/v) or 0.4% (w/v) NaOH solution for up to 14 days  
409 decreased the difference in electron density between crystalline and amorphous lamellae of starch.  
410 Despite these, in these previous studies (Cai et al., 2014; Wang et al., 2014), the changes in amylose  
411 content and crystalline structure including long- and short-range ordered structure and crystallinity  
412 were not pronounced. As the susceptibility of starch to different physical and chemical treatments  
413 highly depends on its native granule architecture and molecular structure, it is always relevant to  
414 explore the mechanisms behind these starch structural changes caused by these different treatments.

415 Our previous study (Qiao et al., 2017) has shown significant changes to the starch digestion  
416 behaviour caused by the same alkaline conditions. Taking the results from extended- $q$  SANS into  
417 consideration, the varied digestion behaviour of waxy maize starch could be mainly linked to the  
418 changes in the small-scale structures (starch molecular order and crystallites) whereas the large-scale  
419 structural features (lamellae, growth rings, and blocklets) contributes little.

420

#### 421 **4. Conclusions**

422 Using extended- $q$  SANS, in concert with other complementary characterisation techniques, and  
423 spanning length-scales from the nanometer to micron, our work has revealed the extent of structural  
424 changes on multiple length scales of waxy maize starch subjected to mild alkaline treatment. For this  
425 A-type polymorphic starch containing mostly amylopectin, we found the action of either the 0.1%  
426 (w/v) or 0.5% (w/v) alkaline solution occurs at the smaller length-scale (i.e. starch double helices and  
427 crystallites), whereas the large-scale structural motifs such as lamellae, growth rings and blocklets  
428 were largely conserved. This approach and the information gained can provide insights into the  
429 rational design of granular starch products with varied functions as well as process optimisation.

430

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445

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**Highlights:**

- ✓ Extended- $q$  SANS detects larger-scale structural changes of waxy maize starch
- ✓ Mild alkaline treatment mainly changes starch double helices and crystallites
- ✓ Lamellar and supramolecular structures were conserved during the alkaline treatment
- ✓ There were minimal changes at larger scales (e.g., blocklets and growth rings)
- ✓ The mechanism of mild alkaline treatment of waxy maize starch is revealed