Manuscript version: Working paper (or pre-print)
The version presented here is a Working Paper (or ‘pre-print’) that may be later published elsewhere.

Persistent WRAP URL:
http://wrap.warwick.ac.uk/117633

How to cite:
Please refer to the repository item page, detailed above, for the most recent bibliographic citation information. If a published version is known of, the repository item page linked to above, will contain details on accessing it.

Copyright and reuse:
The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions.

Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

Publisher’s statement:
Please refer to the repository item page, publisher’s statement section, for further information.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk.
Clustering students’ open-ended questionnaire answers

Wilhelmiina Hämäläinen1, Mike Joy2, Florian Berger3, and Sami Huttunen4

1 Aalto University, Department of Computer Science, P.O. Box 15400, FI-00076 Aalto, Finland, whamalai@iki.fi
2 University of Warwick, Department of Computer Science, CV4 7AL Coventry, United Kingdom m.s.joy@warwick.ac.uk
3 Vincit, Visiokatu 1, FI-33720 Tampere, Finland florian.berger@vincit.fi
4 SKJ Systems Ltd Oy, Sammonkatu 12, FI-70500 Kuopio, Finland sami.huttunen@skj.fi

Abstract. Open responses form a rich but underused source of information in educational data mining and intelligent tutoring systems. One of the major obstacles is the difficulty of clustering short texts automatically. In this paper, we investigate the problem of clustering free-formed questionnaire answers. We present comparative experiments on clustering ten sets of open responses from course feedback queries in English and Finnish. We also evaluate how well the main topics could be extracted from clusterings with the HITS algorithm. The main result is that, for English data, affinity propagation performed well despite frequent outliers and considerable overlapping between real clusters. However, for Finnish data, the performance was poorer and none of the methods clearly outperformed the others. Similarly, topic extraction was very successful for the English data but only satisfactory for the Finnish data. The most interesting discovery was that stemming could actually deteriorate the clustering quality significantly.

Keywords: text clustering, questionnaire data, affinity propagation, k-means, spectral clustering, HITS algorithm

1 Introduction

Receiving feedback from students is an essential part of the modern educational process, but dealing with the responses from large classes can be time-consuming. Open-ended questions in feedback forms often provide the most detailed and accurate information, but analyzing students’ answers is potentially a laborious task which may require the application of non-trivial qualitative data analysis techniques.

With open response questions, students are not forced to approximate their real answers with pre-fixed choices, and they can also reveal extra information
either explicitly (by answering further questions) or implicitly (by use of word-
choices and syntactic structures). These kinds of answers are especially desirable
when gathering qualitative information, such as on students’ motivation and at-
titudes. However, analyzing open responses is laborious for a human evaluator
and very challenging with existing data mining and data analysis tools. It there-
fore comes as no surprise that in educational data mining the standard solutions
have been (a) to omit open response variables from the analysis, and (b) to
use only closed questions with pre-fixed answer categories (even for querying
attitudes).

In this paper, we investigate better solutions for analyzing open response
questions automatically, in order to speed up and improve the processing of
student feedback data. In particular, we are interested in how to cluster short,
free-formed textual questionnaire answers. Loosely speaking, clustering means
dividing data points into a set of groups, such that points in each group are simi-
lar or close to each other but different or distant from points in the other groups.
This is exactly what a human analyzer would be likely to do with such data: they
would divide the answers into categories to see a summary of how students
are doing, what their main messages are, and whether there are individuals or
subgroups who would require extra attention. All this information can be used
for modifying a course and targeting learning and teaching issues.

In previous research, there have been many instances of how clustering of stu-
dent responses (by variables other than text) can be utilized in education. Most
of them have used the clustering information for descriptive purposes (under-
standing the data), such as identifying successful learning patterns [10] or effec-
tive ways of using learning tools [16], allocating students into different teaching
groups [7] or targeting tutoring [20]. On the other hand, clustering can also be an
important step in the construction of predictive models for intelligent tutoring
systems. For example, clustering can help to identify natural classes and fea-
tures which separate those classes effectively in the construction of a K-nearest
neighbor style of classifier [13,10] or a cluster-based linear regression model [24].
Special problems and suitable approaches for clustering structured educational
data are surveyed in [5].

Research on clustering educational texts and other non-structured data is
much more sparse, and we have been able to find only a few research papers
in which open responses from education-related questionnaires were clustered.
In [27] a semi-supervised method was adopted, where the answers were first
clustered once, then a human specialist identified the main topics from the pre-
liminary clustering, and finally, the answers were clustered again using the topics
as cluster representatives. In [6] a fully-automatic two-phase method was pro-
posed, in which a preliminary probabilistic clustering was first done with the
EM-algorithm, and then the most accurately clustered documents were used to
determine parameters for the second-turn EM-clustering. In addition, clustering
has been utilized in grading text-formed exam answers [3,8,26] and essays [19].

Clearly, clustering open-ended questionnaire responses and other short educa-
tional texts is an important but little researched problem. This is not surprising,
since clustering short texts is a difficult problem in general, and new algorithms are not readily available. In this paper we report an empirical comparison of three clustering methods, \( k \)-means, affinity propagation and spectral clustering, on ten data sets of students’ open responses in English and Finnish. We compare the results to human classifications and evaluate the effect of stemming on clustering performance. In addition, we evaluate how well the main topics of answers can be restored with cluster representatives.

The rest of the paper is organized as follows. In Section 2 we survey the problems and methods of clustering short texts. In Section 3 we describe the materials and methods of our experiments. In Section 4 we present the results and discuss their meaning. The final conclusions are drawn in Section 5.

2 Clustering short texts

Students’ open-ended questionnaire responses are seldom clustered, but we can expect that the methods for clustering other types of short texts are applicable to them, too. In the following we survey the problems and main approaches to short text clustering.

2.1 Problems of short text clustering

Short texts can be roughly divided into three categories by their length: word-level, sentence-level and paragraph-level documents. The word-level texts may contain just one or a few words, like search engine queries and titles of search results. The sentence-level texts contain one or more sentences, but less than a paragraph. Well known examples of sentence-level documents include microblogs, such as tweets and text snippets returned by search engines. Paragraph-level documents contain usually just one paragraph, such as abstracts of scientific papers. The length of questionnaire responses can be anything from a word or two to a paragraph, but typically they contain just one or two sentences.

The shortness of documents poses extra challenges to text clustering. The main problem is that the traditional similarity measures, like the well-known cosine similarity, rely heavily on the term co-occurrence between documents. This means that the similarity is not detected, if the documents do not share common terms, even if they were topically related. The lack of common terms is most likely in domains where the documents are short but vocabularies are large [17], like tweets. However, the shortness itself does not necessarily mean lack of co-occurring terms and there are successful examples of using the cosine measure even for word-level texts [9,15,27]. Course feedback answers tend to have rather limited vocabularies and the same keywords often occur in many answers. Therefore, it is expected that the similarity between documents can be estimated from their word contents, after filtering irrelevant words.

Another commonly mentioned problem of short texts is the lack of context [14]. A long text usually offers a context for the correct interpretation of the word, while short texts may share the same words but still be topically unrelated.
However, we recall that the surrounding text is not the only context a word has. For example, the questionnaire responses share the same context defined by the question and questionnaire. In addition, the responders to educational questionnaires usually have a common background: they may have participated on the same course, read the same material, or tried to solve the same problems. Therefore, the context can actually be very specific, even if the answer contains just one word.

A third problem is that very short texts tend to be noisy, i.e., they often contain slang and other imprecise expressions, contracted forms of words, and relatively many typographical errors [2]. This property is also common to questionnaire answers [27].

A fourth problem is that short documents, like questionnaire responses, often contain many outliers [27]. This property does not concern just textual data but educational (student) data, in general, and should be taken into account in the selection of clustering methods [5].

2.2 Approaches to short text clustering

The standard approach for text clustering is the following: First, the documents are represented in the vector space model, where each document is considered to be a set of words and is represented as a vector in the term space. The elements of document vector \( \mathbf{d} = (d_1, \ldots, d_m) \) can be simple boolean values (occurrence of term \( d_i \) in document \( \mathbf{d} \)), frequencies of terms \( d_i \) or their weighted transformations. The most popular approach is to represent the vectors in the \( tf-idf \) scheme, where each element \( d_i \) is the term frequency (tf) weighted by its inverse document frequency (idf). This scheme decreases the weight of frequent (and poorly discriminating) terms. In addition, it is advisable to normalize the vectors to unit length, to prevent the dominance of long documents. This is especially important with short texts, where the relative differences in document length can be substantial.

In the preprocessing phase, the standard operations are stop word filtering and stemming. In addition to stop words (lexicon specific frequent terms), other overly frequent (uninformative) terms can be removed, as well as very rare words. This reduces the data dimension. In stemming, the word suffixes are removed according to certain heuristics, for deriving the word base. Alternatively, one can transform the word into its basic form using dictionaries (lemmatization). Stemming can reduce the data dimensionality substantially, and it is generally believed to improve the clustering quality. However, our experiments with questionnaire response data show that the effect may sometimes be detrimental.

Usually, the data dimensionality is still too large for distinguishing clusters (or close and far points). On the other hand, it is known that the words are often highly correlated and most of them are redundant for clustering. Therefore, it may be beneficial to reduce the dimensionality further, either by feature extraction before clustering (like principal component analysis or latent semantic analysis) or by using clustering methods (like spectral clustering) which perform an implicit dimension reduction.
Selection of a distance or similarity measure is considered crucial for clustering ordinary data, but in the case of text documents, the vocabulary and dimensionality seem to play a much bigger role [22]. Selection of the clustering method is probably a more important issue, but there are no comprehensive comparisons between different methods for short texts. However, adjacency-based methods (like spectral clustering) have generally worked well with text data. There is anecdotal evidence that spectral clustering would be a good choice (better than the tested hierarchical methods) for short texts as well, as long as the clusters are not overly unbalanced [22]. Affinity propagation is another method which has produced promising results with short texts [18].

A common special technique used in short text clustering and information retrieval is document expansion. The underlying idea is to create the missing context by augmenting short texts, for example with web search results. However, with questionnaire answers there is already an implicit context and it is not clear whether expansion techniques could produce any added value. It is equally possible that the expansion could introduce only noise, especially when the open responses concern personal opinions, attitudes, emotions, and motivation. However, some external sources like taxonomies of domain-specific terms [12] could well help in reducing the dimensionality and categorizing the answers.

3 Experiments

The main objective of the experiments was to compare the most promising clustering techniques for open responses. In addition, we evaluated how well the cluster representatives matched the main topics of answers.

3.1 Data and preprocessing

The data sets and their characteristics are described in Table 1. Each data set contained students’ free-formed answers to an open-ended question in a course feedback query. The answers were collected from four different courses: Communication Skills, IT skills, Law and Ethics (Q1–Q3), Introductory Security (Q4–Q5), Theoretical Foundations of Computer Science (Q6–Q8), and Programming (Q9–Q10). The first five sets of answers (Q1–Q5) were in English, collected in the University of Warwick, and the last five sets (Q6–Q10) in Finnish, collected in the University of Eastern Finland.

In the preprocessing phase the most frequent stop words were removed and the words were stemmed. We refer to the three versions of the data as the raw data (no processing), the filtered data (only the stop words removed) and the stemmed data (stemmed version of the filtered data). The stemming of the English data was done with the Malaga tool [4] and of the Finnish data with Voikko-fi (old Suomi-malaga) [25].

Preprocessing decreased the vocabulary size, especially in Finnish data sets and stop word removal decreased the average length of answers (Table 1). We note that in the Finnish data sets, the vocabularies were much larger and answers were longer than in the English data sets (especially questions Q8 and Q9).
Table 1. Used questions from the course feedback queries. Each data set is described by the number of answers \((n_A)\), average length of answers and the number of unique words in the original data \((\mu_A, n_W)\) and in the preprocessed data after removing stop words and stemming \((\mu_S, n_{SW})\).

<table>
<thead>
<tr>
<th>Question</th>
<th>(n_A)</th>
<th>(\mu_A)</th>
<th>(n_W)</th>
<th>(\mu_S)</th>
<th>(n_{SW})</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q1 List topics you found particularly difficult and state why</td>
<td>46</td>
<td>9.7</td>
<td>214</td>
<td>6.7</td>
<td>159</td>
</tr>
<tr>
<td>Q2 List the best features of the module (up to 3), and indicate why</td>
<td>40</td>
<td>8.1</td>
<td>169</td>
<td>5.8</td>
<td>112</td>
</tr>
<tr>
<td>Q3 How could we improve the module (up to 3 suggestions)?</td>
<td>42</td>
<td>11.1</td>
<td>232</td>
<td>9.4</td>
<td>202</td>
</tr>
<tr>
<td>Q4 List topics you found particularly difficult and state why</td>
<td>36</td>
<td>11.3</td>
<td>183</td>
<td>7.2</td>
<td>119</td>
</tr>
<tr>
<td>Q5 List the best features of the module (up to 3), and indicate why</td>
<td>32</td>
<td>11.8</td>
<td>161</td>
<td>7.8</td>
<td>125</td>
</tr>
<tr>
<td>Q6 Why did you (not) go to the lectures?</td>
<td>39</td>
<td>14.6</td>
<td>365</td>
<td>11.8</td>
<td>228</td>
</tr>
<tr>
<td>Q7 Why did you (not) go to the exercise groups?</td>
<td>38</td>
<td>10.9</td>
<td>304</td>
<td>8.7</td>
<td>178</td>
</tr>
<tr>
<td>Q8 Feedback and improvement suggestions?</td>
<td>42</td>
<td>48.3</td>
<td>1089</td>
<td>40.4</td>
<td>658</td>
</tr>
<tr>
<td>Q9 Have you programmed before? What programming languages you can use and how well?</td>
<td>95</td>
<td>27.2</td>
<td>1036</td>
<td>23.9</td>
<td>613</td>
</tr>
<tr>
<td>Q10 Have you had any problems during the course?</td>
<td>95</td>
<td>12.9</td>
<td>627</td>
<td>10.2</td>
<td>388</td>
</tr>
</tbody>
</table>

3.2 Human classification

Before algorithmic clustering, reference classifications were created by human experts. The classes were defined according to the main themes that occurred in answers and one answer could belong to several overlapping classes. In the evaluation, overlapping classes were interpreted as a probabilistic classification: if an answer belonged to \(m\) classes, the probability of it belonging to any of these classes was \(1/m\). If an answer did not fit any natural class (presented a unique theme), it was left as an outlier (a class of its own).

The human classifications are described in Table 2. The number of proper classes (with \(\geq 2\) answers) was relatively small (3–6), but outliers were common (nearly 24% of answers in Q3). There was also considerable overlapping between classes and in an extreme case (Q2), nearly 28% of answers belonged to multiple classes. Another extreme was Q9 that contained no outliers or overlapping classes. In this question the answers were classified into exclusive ordinal classes according to the amount of programming experience (much–none). In reality, many answers would lie between two consecutive classes, but it was impossible to interpret the answers in such detail.

3.3 Computational clustering

The computational clustering was done with three clustering methods: \(k\)-means, affinity propagation, and spectral clustering. The \(k\)-means algorithm was selected as a reference method. Affinity propagation and spectral clustering were selected because they have shown promising results in previous research and their implementations were readily available (unlike more exotic methods).
Table 2. Description of human classifications: number of classes with \( \geq 2 \) answers \((K)\), number of outliers \((n_{ol})\), number of answers belonging to multiple classes \((n_{mc})\), and description of the main topics (themes of classes containing at least 4 answers). The total number of classes is \( K + n_{ol} \). Abbreviation PBL = problem-based learning.

<table>
<thead>
<tr>
<th>Data</th>
<th>( K )</th>
<th>( n_{ol} ) (%)</th>
<th>( n_{mc} ) (%)</th>
<th>Main topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q1</td>
<td>6</td>
<td>2 (4.3%)</td>
<td>7 (15.2%)</td>
<td>Scripting, essay/writing, term 2, law</td>
</tr>
<tr>
<td>Q2</td>
<td>6</td>
<td>7 (17.5%)</td>
<td>11 (27.5%)</td>
<td>Scripting, presentations, Linux, essay, seminar</td>
</tr>
<tr>
<td>Q3</td>
<td>5</td>
<td>10 (23.8%)</td>
<td>2 (4.8%)</td>
<td>More help, less work, scheduling, lectures</td>
</tr>
<tr>
<td>Q4</td>
<td>3</td>
<td>3 (8.3%)</td>
<td>5 (13.9%)</td>
<td>Encryption, virtual machines</td>
</tr>
<tr>
<td>Q5</td>
<td>3</td>
<td>4 (12.5%)</td>
<td>4 (12.5%)</td>
<td>Lab sessions, lectures, virtual machines</td>
</tr>
<tr>
<td>Q6</td>
<td>4</td>
<td>1 (2.6%)</td>
<td>2 (5.1%)</td>
<td>Participated PBL, learnt in lectures, schedule problems, other reasons for not participating</td>
</tr>
<tr>
<td>Q7</td>
<td>3</td>
<td>2 (5.3%)</td>
<td>4 (10.5%)</td>
<td>For learning, getting points</td>
</tr>
<tr>
<td>Q8</td>
<td>3</td>
<td>4 (9.5%)</td>
<td>3 (7.1%)</td>
<td>PBL good, good teacher, why traditional style</td>
</tr>
<tr>
<td>Q9</td>
<td>5</td>
<td>0 (0%)</td>
<td>0 (0%)</td>
<td>Amount of programming experiences (much–none)</td>
</tr>
<tr>
<td>Q10</td>
<td>6</td>
<td>4 (4.2%)</td>
<td>12 (12.6%)</td>
<td>No problems, Java compiler, submission system, WebCT, Jeliot, learning</td>
</tr>
</tbody>
</table>

For clustering, the answers were represented in the vector space model using the tf-idf weighting scheme. Cosine similarity was used as the similarity measure. All clusterings were performed with the Scikit-learn tool [21]. Affinity propagation determines the number of clusters itself, but for the \( k \)-means and spectral clustering, we determined the optimal number by the ‘elbow method’ (identified the \( k \)-value corresponding to the ‘elbow’ in the MSE graph).

All three clustering methods were applied separately for both the filtered data (only stop words removed) and stemmed data (stemmed version of the filtered data). This resulted in six clusterings for each of the ten data sets (i.e., 60 clustering).

3.4 Evaluating the clustering performance

In the evaluation all 60 computed clusterings were compared to the corresponding human classifications. The goodness of computational clustering was evaluated with two goodness measures, purity (‘accuracy’ in [27]) and the normalized mutual information by Strehl and Ghosh [23]. Both measures have widely been used in previous research for evaluating text clustering results.

Purity of clustering \( \Omega = \{ \omega_1, \ldots, \omega_M \} \) given classification \( C = \{ c_1, \ldots, c_L \} \) is defined as

\[
\text{purity}(\Omega, C) = \frac{1}{N} \sum_{\omega_i \in \Omega} \max_{c_j \in C} |\omega_i \cap c_j|,
\]

where \( N \) is the size of the data set \( D = \{ d_1, \ldots, d_N \} \). Purity measures the extent to which clusters contain answers from a single class. When questionnaire answers are clustered, high purity reflects that the clustering managed to catch the main message from all classes. However, purity does not take into account the number of clusters that present the same class. In a pathological case a
clustering of singletons (single element classes) obtains purity=1. Therefore, one should also take into account the number of clusters or use other quality criteria.

In our case the human classifications were probabilistic and therefore we used a modification

\[
purity' = \frac{1}{N} \sum_{\omega_i \in \Omega} \max_{c_j \in C} \left\{ \sum_{d \in \omega_i} w(c_j | d) \right\},
\]

(2)

where \( w(c|d) = 1/m \), if \( d \in c \) and \( w(c|d) = 0 \) otherwise, when \( d \) belongs to \( m \) classes. We note that now \( purity' < 1 \) whenever some answers belong to multiple classes.

Normalized mutual information between clustering \( \Omega \) and classification \( C \) is defined as

\[
NMI(\Omega, C) = \frac{I(\Omega, C)}{\sqrt{H(\Omega)H(C)}},
\]

(3)

where \( I \) is mutual information:

\[
I(\Omega, C) = \sum_{\omega_i \in \Omega} \sum_{c_j \in C} P(\omega_i, c_j) \log \frac{P(\omega_i, c_j)}{P(\omega_i)P(c_j)}
\]

and \( H \) is entropy:

\[
H(\Omega) = \sum_{\omega_i \in \Omega} P(\omega_i) \log P(\omega_i) \quad \text{and} \quad H(C) = \sum_{c_i \in C} P(c_i) \log P(c_i).
\]

Probabilities are usually estimated by relative frequencies (maximum likelihood estimates) in the data \( D = \{d_1, \ldots, d_N\}, |D| = N \). Since the human classifications were probabilistic we used modified equations

\[
P(\omega, c) = \frac{1}{N} \sum_{d \in \omega} w(c|d),
\]

\[
P(\omega) = \frac{|\{d \mid d \in \omega\}|}{N} \quad \text{and} \quad P(c) = \frac{1}{N} \sum_{d \in D} w(c|d).
\]

Once again, \( NMI \) could not obtain its maximum value \( NMI = 1 \), since a hard clustering and a probabilistic classification could never be identical.

\( NMI \) is a popular validation measure in clustering studies since it avoids drawbacks of many other measures, in particular it is independent of the number of clusters and robust to small variations in clusterings. However, \( NMI \) has one well-known shortcoming: if the true classification contains relatively many outliers (singleton classes) or, alternatively, a ‘rag bag’ class, where a human categorizer would insert all such points, the \( NMI \)-value becomes distorted [127]. Therefore, it can sometimes seriously underrate the goodness of clusterings for open-form questionnaire data.
3.5 Extracting topics from the best clusterings

Finally, we analysed how well the topics of the main clusters could be extracted with the HITS algorithm (Hyperlink-Induced Topic Search [11]). HITS was applied to all main clusters (containing at least four answers) to find the cluster representatives (principal eigenvectors). In the HITS analysis, we used raw data, since it had produced better results than filtered or stemmed data in our earlier experiments. In the evaluation, we analyzed how often the cluster representative matched the major class of the cluster and whether the representatives together covered all main topics of answers, as shown in Table 2.

4 Results and discussion

The results of comparisons between computational and human clusterings are given in Table 3. Overall, the clustering quality was quite good with both evaluation measures, taking into account small data sizes, relatively frequent outliers, and overlapping clusters. In addition, we recall that neither purity nor NMI could obtain its maximum value due to probabilistic human classifications.

Average purity of clusterings was 0.56–0.70 (whole range 0.37–0.82). For the average performance, there were no big differences between English and Finnish data, but English data had higher purity values, when the best clusterings for each question were considered. Average NMI was 0.23–0.55 (whole range 0.13–0.66) and the values were clearly larger for the English data (average 0.35–0.55, range 0.29–0.66) than the Finnish data (average 0.23–0.28, range 0.13–0.41). One possible reason for the difference between languages is that the Finnish data contained larger vocabulary and the answers were substantially longer and less focused.

For comparison, Yang et al. [27] obtained average purity of 64.4–70.9 and average NMI of 0.28–0.68 in similar but much larger \((n = 198–1196)\) English data sets, when the answers were clustered with three unsupervised clustering methods (\(k\)-means, single-link hierarchical, and co-occurrence clustering). However, an important difference to our case is that they used extremely large cluster numbers \((k=72–163)\) and both computational and human clusterings contained many singletons. It was noted that this exaggerates both the purity and NMI values. When singletons were excluded, the purity dropped to 16.5–44.0. In our clusterings, singletons were rare except in the clustering of Q10 by affinity propagation. Consequently, the removal of singletons changed the average purity values only little (average 0.57–0.65).

With both purity and NMI the most successful method in our clusterings was affinity propagation (especially in English data sets) but also \(k\)-means produced competitive results (especially in Finnish data sets). Spectral clustering did not succeed particularly well, except in Q10. One possible reason for the success of affinity propagation is that it determines the optimal number of clusters automatically. In our experiments this number (6–22) was always at least as large as the number of clusters determined by the elbow method (6–9) for
\(k\)-means and spectral clustering. However, sometimes this property can also be a weakness, as demonstrated by \(Q10\), where affinity propagation failed altogether after constructing 22 clusters, 20 of them singleton clusters. We note that the purity was still relatively good (0.56) but decreased significantly (to 0.44) when singletons were ignored.

**Table 3.** Results of the quality evaluation for the three clustering methods for the filtered and stemmed versions of data. The goodness measures are purity and NMI. For each question, the best values have been emphasized.

<table>
<thead>
<tr>
<th></th>
<th>purity</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>k-means</td>
<td>aff. prop.</td>
<td>spectral</td>
<td>k-means</td>
<td>aff. prop.</td>
<td>spectral</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.71</td>
<td>0.65</td>
<td>0.80</td>
<td>0.82</td>
<td>0.63</td>
<td>0.65</td>
<td>0.53</td>
<td>0.39</td>
<td>0.60</td>
</tr>
<tr>
<td>Q1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.52</td>
<td>0.53</td>
<td>0.46</td>
<td>0.57</td>
<td>0.47</td>
<td>0.51</td>
<td>0.51</td>
<td>0.49</td>
<td>0.48</td>
</tr>
<tr>
<td>Q2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.51</td>
<td>0.44</td>
<td>0.54</td>
<td>0.56</td>
<td>0.40</td>
<td>0.37</td>
<td>0.52</td>
<td>0.47</td>
<td>0.54</td>
</tr>
<tr>
<td>Q3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.74</td>
<td>0.74</td>
<td>0.79</td>
<td>0.82</td>
<td>0.71</td>
<td>0.74</td>
<td>0.43</td>
<td>0.29</td>
<td>0.44</td>
</tr>
<tr>
<td>Q4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.75</td>
<td>0.72</td>
<td>0.81</td>
<td>0.72</td>
<td>0.59</td>
<td>0.66</td>
<td>0.51</td>
<td>0.47</td>
<td>0.57</td>
</tr>
<tr>
<td>Q5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.65</td>
<td>0.62</td>
<td>0.68</td>
<td>0.70</td>
<td>0.56</td>
<td>0.59</td>
<td>0.50</td>
<td>0.42</td>
<td>0.53</td>
</tr>
<tr>
<td>(\sum_{Eng})</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.64</td>
<td>0.72</td>
<td>0.62</td>
<td>0.62</td>
<td>0.56</td>
<td>0.67</td>
<td>0.34</td>
<td>0.40</td>
<td>0.26</td>
</tr>
<tr>
<td>Q6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.63</td>
<td>0.68</td>
<td>0.71</td>
<td>0.68</td>
<td>0.55</td>
<td>0.63</td>
<td>0.28</td>
<td>0.20</td>
<td>0.31</td>
</tr>
<tr>
<td>Q7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.74</td>
<td>0.73</td>
<td>0.73</td>
<td>0.75</td>
<td>0.70</td>
<td>0.70</td>
<td>0.34</td>
<td>0.30</td>
<td>0.32</td>
</tr>
<tr>
<td>Q8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.51</td>
<td>0.48</td>
<td>0.62</td>
<td>0.53</td>
<td>0.46</td>
<td>0.47</td>
<td>0.22</td>
<td>0.17</td>
<td>0.34</td>
</tr>
<tr>
<td>Q9</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.59</td>
<td>0.60</td>
<td>0.56</td>
<td>0.56</td>
<td>0.62</td>
<td>0.63</td>
<td>0.22</td>
<td>0.25</td>
<td>0.13</td>
</tr>
<tr>
<td>Q10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.62</td>
<td>0.64</td>
<td>0.64</td>
<td>0.63</td>
<td>0.58</td>
<td>0.62</td>
<td>0.28</td>
<td>0.26</td>
<td>0.27</td>
</tr>
<tr>
<td>(\sum_{Eng})</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>filt-stem</td>
<td>0.63</td>
<td>0.66</td>
<td>0.66</td>
<td>0.66</td>
<td>0.57</td>
<td>0.60</td>
<td>0.39</td>
<td>0.34</td>
<td>0.40</td>
</tr>
</tbody>
</table>

Maybe the most surprising result was how often stemming had a negative or no effect on the clustering performance (13/30 cases with purity and 16/30 cases with NMI). This is an important discovery, since stemming is a central part of text clustering and it is generally believed to improve the performance. However, our results suggest that stemming should be used with caution since it can deteriorate the quality quite remarkably especially when measured with NMI (decrease of up to 32%). This phenomenon affected all methods, but spectral clustering seemed to benefit from stemming most consistently.

The HITS analysis was also more successful with the English data sets. For the English data, the cluster representatives covered all the main answer topics, as shown in Table 2, except one small and diverse topic in Q3 (on average 95% of topics). This means that one could restore the main answer topics by reading merely the representatives of the main clusters. The cluster representatives also matched the major classes of clusters in all except one small heterogeneous cluster in Q2. This suggests that HITS representatives summarized the main topics of clusters well. For the Finnish data, the cluster representatives covered all the main topics only in Q6 and Q7 (on average 76% of main topics). In addition, the cluster representatives matched the main topics only in 56% of the main clusters.
5 Conclusions

In this paper, we have presented our experiments on clustering ten data sets of open responses from course feedback queries with three clustering methods (k-means, affinity propagation, and spectral clustering). The results suggest that the combination of clustering and topic extraction with the HITS algorithm can summarize the main messages of students’ feedback accurately at least in English questionnaires. On average, the best clustering performance was achieved with affinity propagation, but also k-means produced competitive results.

The results showed a clear discrepancy between the English and Finnish data sets. For the English data affinity propagation performed well in all data sets despite frequent outliers and considerable overlapping between real clusters. On the other hand, for the Finnish data sets the performance was poorer and none of the methods clearly outperformed others. The HITS analysis produced similar results. For the English data, one could restore virtually all main topics of answers by reading merely presentatives of the main clusters, but for the Finnish data, nearly one quarter of topics were missed. It is possible that the difference is partially explained by the larger vocabulary, longer answers, and less focused questions in the Finnish data.

The most interesting discovery was that stemming often deteriorated the clustering quality, sometimes dramatically. In future research, we intend to study reasons for this behaviour and also experiment with document expansion techniques that enrich the answers before clustering.

References


