

Self-Formed Quantum Wires and Dots in GaAsP–GaAsP Core–Shell Nanowires

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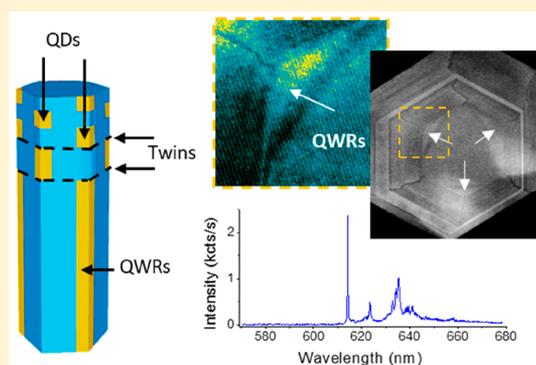
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Supporting Information

ABSTRACT: Quantum structures designed using nanowires as a basis are excellent candidates to achieve novel design architectures. Here, triplets of quantum wires (QWRs) that form at the core–shell interface of GaAsP–GaAsP nanowires are reported. Their formation, on only three of the six vertices of the hexagonal nanowire, is governed by the three-fold symmetry of the cubic crystal on the (111) plane. In twinned nanowires, the QWRs are segmented, to alternating vertices, forming quantum dots (QDs). Simulations confirm the possibility of QWR and QD-like behavior from the respective regions. Optical measurements confirm the presence of two different types of quantum emitters in the twinned individual nanowires. The possibility to control the relative formation of QWRs or QDs, and resulting emission wavelengths of the QDs, by controlling the twinning of the nanowire core, opens up new possibilities for designing nanowire devices.

KEYWORDS: Nanowire quantum wires, nanowire quantum dots, GaAsP nanowires



Over the past two decades, semiconductor nanowire architectures have been investigated as an alternative to thin films due to advantages, which include a larger active surface area with smaller foot print, lower material consumption, intrinsic formation of an optical cavity, and the ability to tolerate higher levels of strain without dislocation formation.^{1–3} Semiconductor nanowires allow the formation of heterostructures in all three directions, namely, along the growth axis and side facets, making them versatile building blocks in the design of complex device structures.⁴ The possibility of growth on multiple facets may result in the formation of unintentional features. Those that form on the side facets and vertices of nanowires by vapor–solid (VS) growth can be considered analogous to growth on nonplanar surfaces in thin film structures, where formation is driven by growth rate anisotropy on different facets, strain, differences in surface diffusion of adatoms, capillary effects arising from shape and entropy of mixing in alloys.^{5–7} Composition inhomogeneities may also occur for alloy nanowires in the axial direction, due to different diffusion lengths of the different atomic species,⁸ and, for nanowires grown by the vapor–liquid–solid (VLS) mechanism, unintentional core–shell structures may form due to different incorporation rates of species during the VLS and simultaneous VS growth mechanisms.^{9,10} Some of these unintentional features have been shown to exhibit optical properties consistent with

quantum structures, many of which with superior quality to those intentionally grown structures.^{11–17}

Quantum wires (QWRs) formed along the vertical edges of the nanowires have been demonstrated in the GaN–AlN material system.^{12,13} Here, it has been shown that the efficient charge transfer from the relatively large nanowire core enables high intensity luminescence from the QWRs, despite their small material volume.¹³ This demonstrates an advantage of the QWRs integrated to a nanowire template. Another advantage of such QWRs formed on nanowire templates is the ease of formation and control, compared to techniques such as template assisted growth, top-down etching and VLS growth, commonly used to form QWRs.^{18–21}

Quantum dots (QDs) are another structure that is reported to be self-assembled on nanowires.^{11,14–17} Here too, being hosted by a nanowire has proven to be advantageous in terms of subsequent photon extraction, as the host nanowire can be designed as an efficient photon out-coupler.²² The three-dimensional nature of the host nanowire is also beneficial in sensor applications as QDs can be placed in multiple directions, increasing sensor area as well as reducing the directionality compared to planar QD sensors.²³ Therefore,

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embedding of quantum structures within nanowires has many advantages, and their self-assembly has proven to bring unexpected benefits in terms of their structural and optical properties.

This work presents novel types of self-formed GaAsP QWRs and QDs in [111] grown GaAsP–GaAsP core–shell nanowires. The two types of structures are related and can be simply controlled by twinning of the host nanowire. The QWRs are formed as triangular cross-sectional GaAsP filaments that are demarcated by P rich bands and run along the edges of the GaAsP host nanowire (which will be referred to as the “nanowire” henceforth) core. In contrast to previously reported QWRs formed on nanowires,^{12,13} these QWRs only form on three of the six vertical nanowire edges. This enables the isolation and segmentation of the QWRs by twin planes in the nanowire, leading to the formation of QDs. Single band effective mass simulations and photoluminescence measurements confirm their respective QWR and QD-like behaviors.

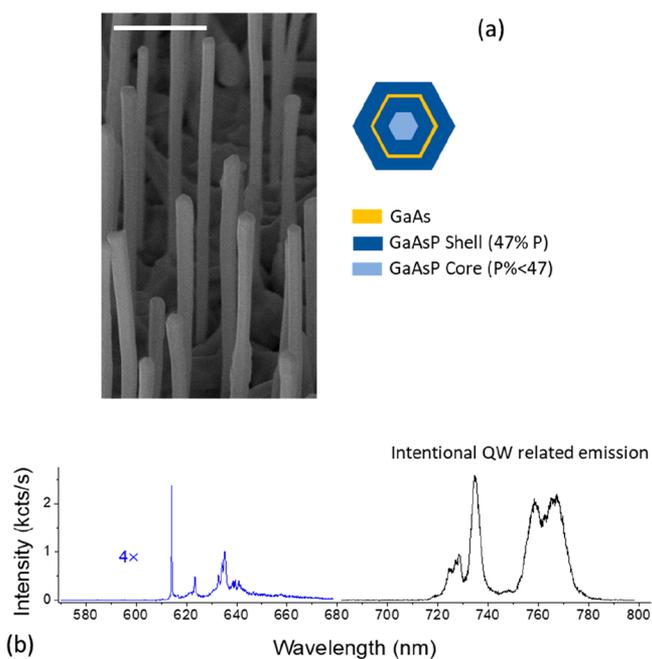


Figure 1. (a) SEM image of the nanowires with the inset showing a schematic of the intended cross-section. Scale bar is 1 μm . (b) A single nanowire PL spectrum obtained at 6 K. Sharp, less intense peaks are observed in the 610–650 nm wavelength region and the intentional QW emission is visible in the 720–780 nm range.

Results and Discussion. Figure 1a shows a scanning electron microscope (SEM) image of the core–multishell nanowires, and a schematic of the nominal structure with GaAsP core, inner GaAsP shell, GaAs quantum well (QW), and outer GaAsP shell. The nanowires are around 5.5 μm in length, and their tips are slightly thicker than the bases. Figure 1b shows a typical 6 K micro photoluminescence ($\mu\text{-PL}$) spectrum from the middle of a single nanowire. Bright emission is observed in the 720–780 nm range from the GaAs QW. Further details on this structural component will be discussed elsewhere.²⁴ In addition to the GaAs QW emission, a number of comparatively sharp, less intense, higher energy lines are observed in the 610–650 nm wavelength range. These lines are consistent with emission by a small number of quantum emitters.

A detailed structural analysis of the nanowires was performed to determine their nanoscale structure and to identify the origin of the short wavelength emission. Figure 2a shows a low magnification bright field (BF) transmission electron microscopy (TEM) image of a typical nanowire, with insets showing higher magnification images from the bottom, middle and upper regions of the nanowire. The bottom third of the nanowire is defect-free, with zinc-blende (ZB) crystal structure. Twin boundaries start to appear in the middle third, with the twinning frequency increasing toward the top of the nanowire. The uppermost third of the nanowire is heavily defective, as shown in the inset of Figure 2a.

Owing to the sequential nature of the microtome slicing, the position of each section along the nanowire can be identified, relative to its base (see Section S1 in Supporting Information (SI)). Figure 2b shows an annular dark field (ADF) scanning transmission electron microscopy (STEM) image of a nanowire cross section taken from the defect free bottom third of a nanowire. Contrast in this image is related to atomic number, with materials with higher atomic number in brighter contrast. Hence, GaAsP materials with higher P (lower As) content appear darker in Figure 2b. The intentional GaAs QW can be seen in bright contrast, between the two GaAsP shells, and the inset Fourier transform shows that the nanowire facets are of $\{110\}$ type. Alloy fluctuations, which are occasionally observed in molecular beam epitaxy grown AlGaAs²⁵ and GaAsP²⁶ nanowire shells, are also observed in the VS grown GaAsP shells, as striations (marked in Figure 2b).

In addition, triangular regions demarcated by darker bands can be seen at three alternating vertices closer to the GaAsP core of the nanowire (see Figure 2b). Around two-thirds of the nanowire cross-sections examined showed these features. As the facets of the nanowire are of $\{110\}$ type, the radial directions joining the vertices of the hexagonal cross-section are of the partially polar $\langle 112 \rangle$ type, alternating between A and B polarities. The polarity of the radial directions which pass through the vertices of the triangles have been identified and verified, as described under section S2 in the SI, and this result is consistent with the polarity of the directions of the alternating dark and light P rich radial lines that have been reported in GaAsP shells previously²⁶ (which is in opposite to other common III–III–V type nanowires shells^{27,28}). The observed triangular regions are formed along $\langle 112 \rangle$ B directions, with the P rich bases of the triangular regions forming on the $\{112\}$ B facets, as shown in the schematic in Figure 2c.

Consecutive microtome sections from the same nanowire were studied to ascertain the three-dimensional form of these triangular features. Figures S3c and d show two microtome slices from the bottom third of the nanowires, that are separated by approximately 150 nm. The same nanowire can be identified by its relative position with respect to neighboring nanowires, as shown by the respective colored circles. As Figures S3g and h illustrate a triangular feature of a similar size is present in both sections of the selected nanowire, establishing its “wire” like form along the edges of the GaAsP nanowire core.

Figure 2d shows the composition measured by energy dispersive X-ray (EDX) along a line crossing the triangular region, indicated by the red arrow in Figure 2b. This confirms that the dark band corresponds to a P rich region, while the triangular region is a relatively As rich area bound by the P rich band and relatively P rich GaAsP shell. The schematic in

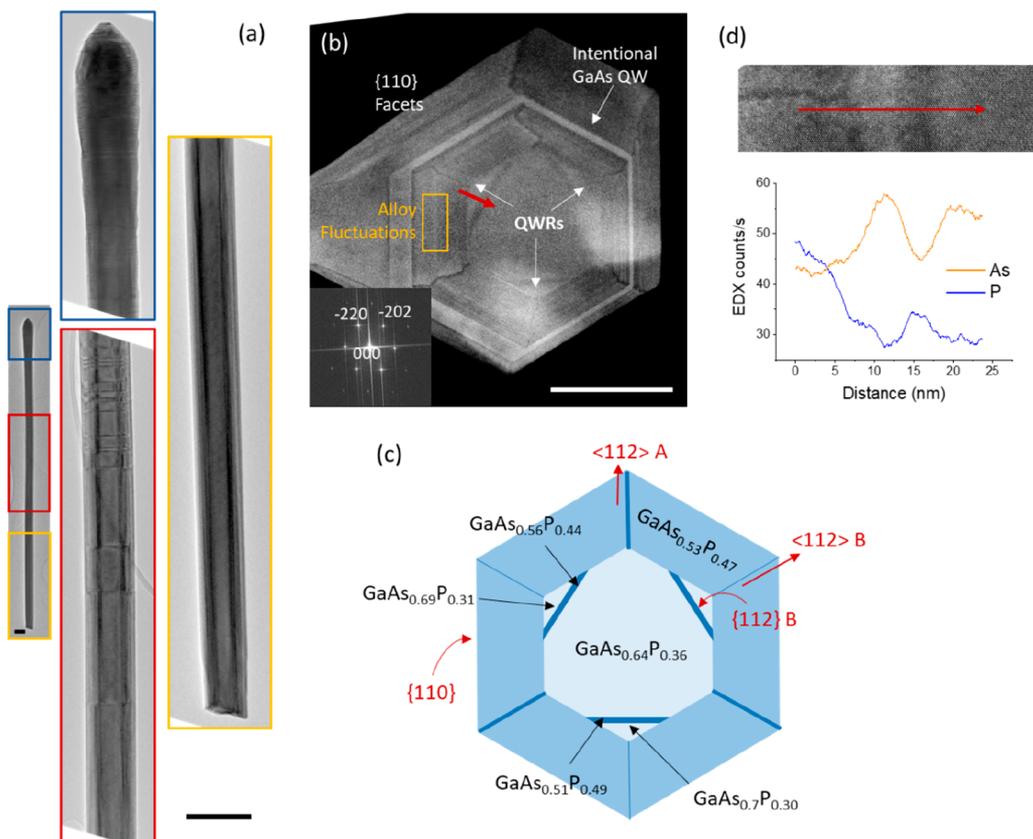


Figure 2. (a) BF TEM images from the base (yellow), middle (red), and tip (blue) sections of a nanowire. The base is defect-free, the middle is twinned with increasing twin density toward the top, while the tip is heavily defective. Scale bars are 200 nm. (b) Cross-section ADF STEM image from the bottom third of a nanowire showing triangular regions demarcated by P-rich bands at three of the alternating vertices. Inset shows the Fourier transform of the main image confirming {110} type side facets. Scale bar is 50 nm. (c) Schematic of the cross section in panel b, indicating the polarities of the facets and compositions determined by EDX from a representative nanowire. (d) EDX line scan along the red arrow indicated in panel b.

Figure 2c gives compositions measured in a single typical nanowire. Similar measurements on multiple nanowires showed that the core and QWR compositions vary considerably, while the $\text{GaAs}_{1-x}\text{P}_x$ shell composition remained constant at $x \approx 0.47$. The core has an As composition 4–12% higher compared to the shell, while the As composition of the triangular regions can be 2–17% higher than that of the shell. As a result, the As composition of the triangular regions can be higher or lower than that of the core, with variation within the triangular regions of the same nanowire. However, the As composition of the triangular regions always remains higher than that of the shell. This considerable variation in composition between nanowires could be one reason for these triangular regions to be unobserved in some nanowires, when the composition differences fall below the sensitivity of the EDX measurements.

Since P-rich material has a higher bandgap, the dark bands visible in Figure 2b can act as barriers to carrier transport. Thus, the relatively As-rich triangular wires demarcated by the {112} B P-rich bands could act as quantum wires (QWRs) and produce luminescence in the 610–650 nm range, which is seen in Figure 1b. To verify this hypothesis, their electronic structure was simulated using the single band effective mass approximation. Figure 3a shows the schematic of the approximated structure of the triangular region used for the simulations. The outer $\text{GaAs}_{0.53}\text{P}_{0.47}$ shell is considered to be sufficiently thick that it remains unstrained. The remainder of

the structure is considered to be strained with respect to the shell. As discussed above, and similar to previous report on self-formed GaN QWRs,¹² the current wires exhibit a significant variation in size (between different nanowires and within the same nanowire). In addition to this, in the current case, the associated compositions also vary as discussed previously. Hence, the variations observed in dimension and composition across the nanowire population were modeled with four parameters, compositions C_2 , C_3 , C_4 and triangle height D_4 . These parameters and their respective ranges considered are shown in Figure 3a.

Since the GaAs-GaP material system has a high lattice mismatch, the effect of strain is significant. Figure 3b shows the conduction and valence band edges (solid lines) along a line passing through the vertex of the triangular region (black dashed line in Figure 3a), with parameter values equal to the averages of the two shown in Figure 2c. The dashed lines show the same band edges, but without including strain. It is clear that the effects of strain arising from the relatively large GaAsP shell is significant. The two-dimensional strain distribution is found to be complex. The strain maps ϵ_{xx} and ϵ_{yy} for the same compositions as above, and a comparison of the simulation with an experimentally obtained strain map are given in Figure S4.

The overall form of the electronic structure of these triangular cross-sectioned filaments is found to result from the complex interplay between composition, strain and

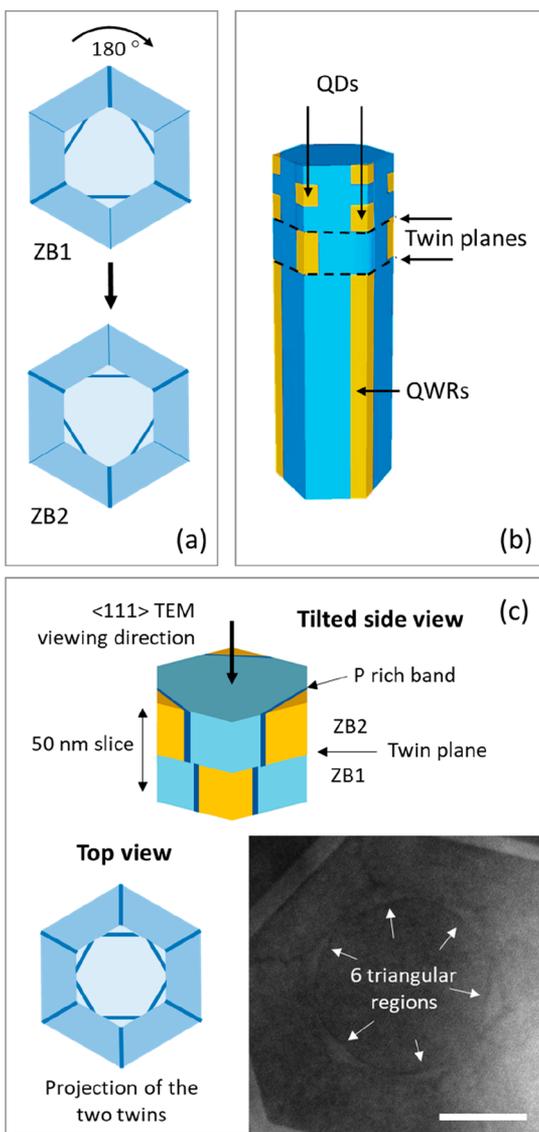


Figure 4. (a) Schematics of the transpose of QWRs to alternate vertices with twin rotation. (b) Segmentation of QWRs and formation of QDs with sequential twinning of the nanowire. (c) Schematic of a microtome slice containing a twin. Insets show the expected projection in the axial view and an ADF STEM image from the middle of a nanowire showing six triangular regions, instead of three. Scale bar is 20 nm. The GaAsP shell is not shown in the 3D schematics in panels b and c for clarity.

triangular regions instead of three are clearly visible due to the projection of QWR segments in both twins within the ~ 50 nm thick slice. In this case, there is no overlap between them, consistent with one of the requirements for the formation of QDs. This is schematically depicted in Figure 4c.

In order to estimate the expected emission from these QDs, simulations were carried out on a structure created by isolating a QWR segment similar to that in Figure 3a, within a GaAsP core in the $[111]$ direction (Figure S5a). The emission wavelength extracted for a dot with 8 nm height and lateral dimensions and compositions similar to the QWR in Figure 3a is 582 nm ($\equiv 2.129$ eV), 26 nm blue-shifted with respect to that of the respective QWR due to the additional confinement in the axial direction. Respective probability densities for electrons and holes are shown in Figure S5b,c.

The above results provide an explanation for the high energy emissions observed from these nanowires and predict that this emission could have QWR and QD characteristics. Detailed μ -PL measurements were carried out to further confirm the link between the 610–650 nm emissions and the nanoscale structure of these nanowires. PL spectra were collected from nine different nanowires at a sample temperature of 6K and with an incident laser spot size ~ 1 – 2 μ m, allowing the spatial variation of the emission to be studied as the excitation was moved along the nanowire. Around half the nanowires studied exhibit emission only when excited within the first 1–2 μ m from the base. As structural studies indicate the formation of QDs by twinning in the central third of some of the nanowires, here, we concentrate on nanowires that exhibit emission when excited in this region.

Figure 5a shows μ -PL spectra excited for different spatial positions along the length of an aforementioned nanowire (different to that shown in Figure 1b). The emission consists of a small number of sharp lines, the relative intensities of which vary with excitation position. Line widths as low as ~ 80 μ eV, which are close to the spectral resolution of the measurement system were measured. As the number of emission lines is relatively small, they are not consistent with the alloy fluctuations observed in the shell (Figure 2b).^{25,29} Furthermore, as those alloy fluctuations are present along the entire length of the nanowire, they should not show a strong spatial variation as seen here. For the current nanowire, the strongest emission is at 648 nm. This line is observed at all points along the nanowire, although its intensity becomes weaker at either end. The lines to longer wavelength follow the same spatial intensity behavior as the 648 nm line. The similar behavior of this set of lines suggests a common origin.

Lines to shorter wavelengths exhibit a more complex behavior, with the majority only observed for excitation toward the center of the nanowire and with a stronger variation in relative intensity as the excitation position is altered. In addition to the sharp emission lines there is a weak but broad underlying background emission, which extends between approximately 620 and 680 nm. The most likely origin of this emission is the central GaAsP core.

To probe further the origin of the sharp 610–670 nm emission lines, polarization and power-dependent measurements were performed with the laser spot focused on the middle of the nanowire. Figure 5b shows polarization dependent behavior of a lower wavelength and a higher wavelength peak. The emission at 648 nm (and lines to longer wavelengths) is preferentially polarized along the nanowire axis. In contrast, lines at shorter wavelengths are not as strongly polarized, and their polarization axes are inclined to that of the nanowire by up to 30° . Figure 5c shows the continuous wave laser excitation power dependence of three emission lines. All lines exhibit an initial increase in intensity with increasing power, with saturation at high powers and, in some cases, a decrease. The majority of lines exhibit an initial linear behavior consistent with single exciton recombination (either charged or uncharged), but a number of shorter wavelength lines show quadratic or cubic behavior, consistent with higher order excitonic processes.³⁰ Figure 5d shows μ -PL spectra as a function of temperature between 6 and 100 K. The emission lines below 640 nm are very temperature sensitive and their intensity is almost fully quenched by 25 K. In contrast, the longer wavelength lines (>640 nm) persist to significantly

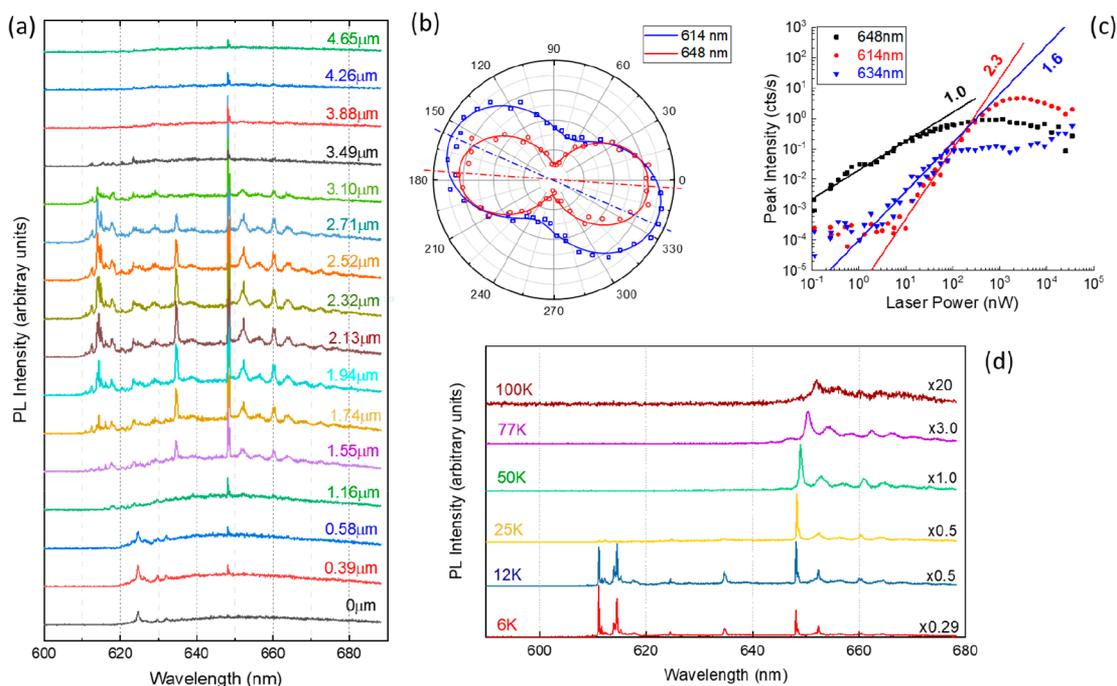


Figure 5. (a) μ -PL spectra recorded for different positions along the axis of a nanowire for a laser power of 50 nW. Distances are given with respect to the base. (b) Polarization plots for two of the sharp emission lines from panel a. The axis of the nanowire is horizontal, and the axis of the preferred polarization is indicated by the dashed lines in respective colors. (c) Log scale plot of the power dependent intensity of three of the sharp emission lines. (d) μ -PL spectra as a function of the sample temperature. The numbers on the right-hand side give the multiplication factor for each spectrum.

higher temperatures, displaying broadening and a bandgap related red shift for temperatures above 50 K.

The optical spectra of Figure 5a and d suggest two different types of quantum emitters. The longer wavelength lines, which show a similar spatial variation, are polarized parallel to the nanowire axis. This is consistent with QWRs running along the length of the nanowires, as this polarization behavior is expected due to shape anisotropy. The multiple lines may result from different charged exciton species and/or the three QWRs on the different facets. The shorter wavelength lines are only observed for excitation of the middle of the nanowire, the region expected to contain the twin induced QDs. Their polarization is expected to be only partly dependent on their height-to-width ratio and will not necessarily lie along the nanowire axis, as is observed experimentally. The blue-shift of the higher energy QD-like lines with respect to that of the QWR-like emission is consistent with the 20–30 nm blue shift predicted by the calculations for QD structures formed by multiple twinning. The overall blue shift observed between calculated and experimental absolute values obtained for the QWRs, QDs and core is most likely due to the assumed idealized structure used for the simulations. For example, the strain is more likely to be distributed between the core and the shell, decreasing bandgaps of both, core and shell, and hence red shifting the experimentally observed emissions.

Although the optical data are largely consistent with the two different types of quantum emitters, Figure 5a shows that the QWR emission is weaker at either end of the nanowire in comparison to the center. This is in apparent disagreement with the structural studies which show QWR formation at one end (base) of the nanowire. This may be caused by enhanced nonradiative recombination at the broken bottom end of the nanowire, which would degrade the QWR emission intensity.

Another discrepancy is the QD-like power dependence of the longer wavelength lines, which are otherwise attributed to QWR-like emissions. This suggests the existence of compositional and dimensional fluctuations along the QWRs that result in them being broken into elongated segments that act as QDs. With the current knowledge of structure (discussed in relation to Figure 2), it seems reasonable to assume that such fluctuations are possible.

The formation of previously reported triangular QWRs within nanowires has been attributed to preferential nucleation of the QWR material on selected facets of the preceding structure.¹² QWR formation is observed on all six edges in these wurtzite (WZ), GaN-AlN nanowire templates due to all six *a* plane facets in the WZ crystal structure, where QWRs have nucleated being identical. Also, in the case of ref 12, effects from segregation of alloys are absent due to both materials involved being binary. The present observation of QWR formation on only three alternating edges, and the resulting unique ability to form QDs by twinning, arises from the two different partial polarities of the {112} facets in the ZB crystal structure, and the possibility of the two polarities to have different properties, such as different growth rates, decomposition rates, and diffusion lengths.^{31–33} A detailed growth mechanism for the formation of these features is proposed in section S7 in the SI.

Conclusions. In summary, a novel form of self-assembled QWRs that form on the three {112} B faceted edges of GaAsP nanowires is presented. The QWRs convert to QDs via sequential twinning of the nanowire core owing to being restrained to only three of the six edges. Band structure simulations confirm the possibility of both types of structures being optically active emitters, and polarization, power, and temperature dependent PL measurements are consistent with

the respective QWR and QD-like optical behaviors. The QD emission can potentially be tuned using controlled twinning that has been demonstrated in previous works.³⁴ Overall, these nanowires demonstrate an architectural system where optically active QWs, QWRs, and QDs can be formed within the same nanowire.

Experimental Methods. The GaAsP–GaAsP core–shell nanowire sample was grown by solid-source molecular beam epitaxy (MBE) on Si (111) substrates. The GaAsP nanowire core was self-catalyzed using Ga droplets and the Ga beam equivalent pressure was 8.41×10^{-8} Torr, while the V/III and P/(P+As) flux ratios were maintained at 40 and 25%, respectively. The nanowire core was grown at 640 °C for 90 min. Ga particle solidification was carried out for 1 h in three steps following the core growth at the same temperature, but with the Ga beam switched off. As and P fluxes were reduced to 0.68×10^{-6} and 0.35×10^{-6} Torr for the first 30 min and increased to 2.21×10^{-6} and 2.32×10^{-6} , 5.11×10^{-6} and 5×10^{-6} Torr, respectively, for the following two 15 min steps. The Ga beam equivalent pressure, V/III flux ratio, and P/(P+As) for the shell growth were 8.41×10^{-8} Torr, 50, and 50%, respectively. The shell growth was carried out for 1 h at 550 °C. Following the first shell, an intentional GaAs QW and a second GaAsP shell were grown. Details of these growth steps will be published elsewhere.²⁴ Growth details of these layers will not be discussed in the current work as the feature of interest is formed within the first shell and is not influenced by the subsequent layers as discussed under the [Results and Discussion](#).

The morphology of the nanowires was analyzed using a Zeiss Gemini 500 Scanning Electron Microscope operating at 5 kV. Detailed structural and compositional analysis was carried out in transmission and scanning modes with Jeol 2100 and doubly corrected Jeol ARM200F transmission electron microscopes, both operating at 200 kV. Compositional analysis was carried out using a 100 mm² Oxford Instruments windowless EDX detector installed within the Jeol ARM200F microscope. Nanowire cross-sections were prepared by embedding them in low viscosity resin followed by microtome slicing along its length. The slices were approximately 50 nm thick.

Simulations of the electronic structure of the QWRs and QDs were performed using nextnano simulation software for semiconductor nanodevices.³⁵ Specific details of parameters used is given under the relevant section in the [Results and Discussion](#).

Microphotoluminescence (μ PL) measurements were carried out at 6 K by focusing the incident laser to a spot size of 1–2 μ m diameter using a 20 \times long working distance microscope objective. Nanowires were removed from the original substrate and transferred to a horizontal orientation on a new Si substrate. μ PL spectra of single nanowires were excited by a continuous wave 515 nm solid-state diode laser. The samples were measured under vacuum inside a continuous flow cryostat with base temperature of 6 K. The PL was collected by the same microscope objective and focused into a 0.75 m spectrometer, where the spectral components were resolved and detected using a 300 lines/mm grating and a nitrogen cooled CCD. The spectral resolution was \sim 0.5 meV. Higher resolution measurements were recorded using an 1800 lines/mm grating with a resolution of 0.09 meV.

■ ASSOCIATED CONTENT

📄 Supporting Information

The Supporting Information is available free of charge on the [ACS Publications website](#) at DOI: [10.1021/acs.nanolett.9b01673](https://doi.org/10.1021/acs.nanolett.9b01673).

Lateral cross-sectional TEM sample preparation process using microtome sectioning, polarity determination of cross-sections, determination of 3D form of triangular regions using consecutive microtome sections, simulated and experimental strain maps of QWR structures, types of optical behavior expected from axial wires formed at edges of GaAsP nanowire cores, single band effective mass simulations of QD structures formed by consecutive twinning of the nanowire, proposed growth mechanism of the QWRs ([PDF](#))

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Author Contributions

H.A.F., J.A.G., R.B., and A.M.S. carried out the structural characterizations of the nanowires. Y.Z. and H.L. grew the samples. H.A.F. performed the optical simulations. A.V.V., G.D.D., and D.J.M. carried out the optical analysis. A.M.S., D.J.M., and H.L. supervised the project. H.A.F. and D.J.M. prepared the manuscript with input from all authors.

Notes

The authors declare no competing financial interest.

The data set related to this publication may be obtained from <http://wrap.warwick.ac.uk/>.

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