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# A short proof of the middle levels theorem

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**ABSTRACT.** Consider the graph that has as vertices all bitstrings of length  $2n + 1$  with exactly  $n$  or  $n + 1$  entries equal to 1, and an edge between any two bitstrings that differ in exactly one bit. The well-known middle levels conjecture asserts that this graph has a Hamilton cycle for any  $n \geq 1$ . In this paper we present a new proof of this conjecture, which is much shorter and more accessible than the original proof.

**KEYWORDS:** Middle levels conjecture, Hamilton cycle, hypercube, vertex-transitive

## 1. INTRODUCTION

The question whether a graph has a Hamilton cycle or not is one of the oldest and most fundamental problems in graph theory, with a wide range of practical applications. Hamilton cycles are named after the Irish mathematician Sir William Rowan Hamilton, who lived in the 19th century and who invented a puzzle that consists of finding such a cycle in the graph of the dodecahedron. There are plenty of other families of highly symmetric graphs for which the existence of Hamilton cycles is a notoriously hard problem. Consider e.g. the graph  $G_n$  that has as vertices all bitstrings of length  $2n + 1$  with exactly  $n$  or  $n + 1$  entries equal to 1, and an edge between any two bitstrings that differ in exactly one bit. The graph  $G_n$  is a subgraph of the  $(2n + 1)$ -dimensional hypercube, or equivalently, of the cover graph of the lattice of subsets of a  $(2n + 1)$ -element ground set ordered by inclusion. The well-known *middle levels conjecture* asserts that  $G_n$  has a Hamilton cycle for every  $n \geq 1$ . This conjecture is a special case of Lovász' conjecture on the Hamiltonicity of connected vertex-transitive graphs [Lov70], which can be considered the most far-ranging generalization of Hamilton's original puzzle. The middle levels conjecture was raised in the 80s [Hav83, BW84], and has been attributed to Erdős, Trotter and various others [KT88]. It also appears in the popular books [Win04, Knu11, DG12] and in Gowers' recent expository paper [Gow17]. This seemingly innocent problem has attracted considerable attention over the last 30 years (see e.g. [Sav93, FT95, SW95, DKS94, Joh04]), and a positive solution has been announced only recently.

**Theorem 1** ([Müt16]). *For any  $n \geq 1$ , the graph  $G_n$  has a Hamilton cycle.*

The proof of Theorem 1 given in [Müt16] is long and technical (40 pages), so the main purpose of this paper is to give a shorter and more accessible proof. This is achieved by combining ingredients developed in [MSW18] with new ideas that allow us to avoid most of the technical obstacles in the original proof. The new construction also yields the stronger result from [Müt16] that the graph  $G_n$  has at least  $\frac{1}{4}2^{2^{\lfloor (n+1)/4 \rfloor}} = 2^{2^{\Omega(n)}}$  different Hamilton cycles. It also greatly simplifies the constant-time algorithm from [MN17] to generate each bitstring of the corresponding Hamilton cycle and several generalizations of it presented in [GM18]. Since its first proof, Theorem 1 has been used as an induction basis to prove several far-ranging generalizations, in particular Hamiltonicity of the bipartite Kneser graphs [MS17], so our new proof also shortens this chain of arguments considerably. Moreover, in two subsequent papers we apply the techniques developed here to resolve the case  $k = 1$  of a generalized version of the middle levels conjecture where the vertex set of the underlying graph

are all bitstrings with exactly  $w$  occurrences of 1 with  $w \in \{n - k, \dots, n + 1 + k\}$  [GJM<sup>+</sup>18] (the case  $k = 0$  is the original conjecture), and to prove that the sparsest Kneser graphs  $K(2n + 1, n)$ , also known as odd graphs, have a Hamilton cycle for any  $n \geq 3$ , settling an old conjecture from the 70s [MNW17].

**1.1. Description of the Hamilton cycle.** We start right away by giving an explicit description of a Hamilton cycle in the graph  $G_n$ . The construction proceeds in two steps: We first define a 2-factor in  $G_n$ , i.e., a collection of disjoint cycles which together visit all vertices of the graph. We then modify this 2-factor locally to join the cycles to a single cycle.

Specifically, the 2-factor  $\mathcal{C}_n$  is defined as the union of two edge-disjoint perfect matchings in  $G_n$ , namely the  $(n - 1)$ -lexical and the  $n$ -lexical matching introduced in [KT88], which will be defined later. The modification operation consists in taking the symmetric difference of  $\mathcal{C}_n$  with a carefully chosen set of edge-disjoint 6-cycles. Each 6-cycle used has the following properties: it shares two non-incident edges with one cycle  $C$  from the 2-factor  $\mathcal{C}_n$ , and one edge with a second cycle  $C'$  from the 2-factor, such that taking the symmetric difference between the edge sets of  $C, C'$  and the 6-cycle joins  $C$  and  $C'$  to one cycle, see Figure 3. Note that every 6-cycle in  $G_n$  can be described uniquely as a string  $x$  of length  $2n + 1$  over the alphabet  $\{0, 1, *\}$  with  $n - 1$  occurrences of 1,  $n - 1$  occurrences of 0 and three occurrences of  $*$ . The 6-cycle corresponding to this string  $x$  is obtained by substituting the three occurrences of  $*$  by all six combinations of symbols from  $\{0, 1\}$  that use each symbol at least once. We let  $D_i$  for  $i \geq 0$  denote the set of all bitstrings of length  $2i$  with exactly  $i$  occurrences of 1 with the property that in every prefix, the number of 1-entries is at least as large as the number of 0-entries, and we define  $D := \bigcup_{i \geq 0} D_i$  as the set of all such Dyck words. Let  $\mathcal{S}_n$  denote the set of all 6-cycles in  $G_n$  encoded by strings of length  $2n + 1$

$$(u_1, 0, u_2, 0, \dots, u_d, 0, 1, *, *, w, *, v_d, 1, v_{d-1}, 1, \dots, v_1, 1, v_0, 0) \quad (1)$$

for some  $d \geq 0$  and  $u_1, \dots, u_d, v_0, \dots, v_d, w \in D$ . We later prove that the 6-cycles from  $\mathcal{S}_n$  are pairwise edge-disjoint and that this set contains a subset  $\mathcal{T}_n \subseteq \mathcal{S}_n$  such that the symmetric difference of the edge sets  $\mathcal{C}_n \triangle \mathcal{T}_n$  is a Hamilton cycle in  $G_n$ .

**1.2. Proof outline.** After setting up some important definitions in Section 2, our proof of Theorem 1 proceeds as follows: We first establish crucial properties about the 2-factor  $\mathcal{C}_n$  and about the set of 6-cycles  $\mathcal{S}_n$  in Sections 3 and 4, captured in Propositions 2 and 3, respectively. In Section 5 we combine these properties into the final proof.

## 2. PRELIMINARIES

**Bitstrings and Dyck paths.** Recall the definition of  $D_n$  from before. We define the set  $D_n^-$  similarly, but we require that in exactly one prefix, the number of 1-entries is strictly smaller than the number of 0-entries. We often interpret a bitstring  $x$  in  $D_n$  as a *Dyck path* in the integer lattice  $\mathbb{Z}_2$  that starts at the origin and that consists of  $n$  upsteps and  $n$  downsteps that change the current coordinate by  $(+1, +1)$  or  $(+1, -1)$ , respectively, corresponding to a 1 or a 0 in  $x$ , see Figure 2. By the prefix property, the corresponding lattice path has no steps below the abscissa. Similarly, the lattice paths corresponding to bitstrings in  $D_n^-$  have exactly one downstep and one upstep below the abscissa. We refer to a subpath of  $x$  from the set  $D$  as a *hill* in  $x$ . Any bitstring  $x \in D_n$  can be written uniquely as  $x = (1, u, 0, v)$  with  $u, v \in D$ . We refer to this as the *canonic decomposition* of  $x$ . For any bitstring  $x$ ,  $\overline{\text{rev}}(x)$  denotes the reversed and complemented bitstring. In terms of lattice paths,  $\overline{\text{rev}}(x)$  is obtained by mirroring  $x$  at a vertical line. The operation  $\overline{\text{rev}}$  is applied to a sequence or a set of bitstrings by applying it to each entry or each element, respectively. For a set of bitstrings  $X$  and a bitstring  $x$ , we write  $X \circ x$  for the set obtained by concatenating each bitstring from  $X$  with  $x$ . The length of a sequence  $x$  is denoted by  $|x|$ .

**Rooted trees and plane trees.** An (*ordered*) *rooted tree* is a tree with a specified root vertex, and the children of each vertex have a specified left-to-right ordering. We think of a rooted tree as a tree embedded in the plane with the root on top, with downward edges leading from any vertex to its children, and the children appear in the specified left-to-right ordering. Using a standard Catalan bijection, every Dyck path  $x \in D_n$  can be interpreted as a rooted tree with  $n$  edges, see [Sta15] and Figure 2. Specifically, traversing the rooted tree starting at the root via a depth-first search, visiting the children in the specified left-to-right ordering, and writing an upstep for each visit of a child and a downstep for each return to the parent produces the corresponding Dyck path, and similarly vice-versa. A *rotation operation* moves the root to the leftmost child of the root, yielding another rooted tree, see Figure 1. Formally, in terms of Dyck paths, rotating the tree with canonic decomposition  $(1, u, 0, v)$ , where  $u, v \in D$ , yields the tree  $(u, 1, v, 0)$ . *Plane trees* are obtained as equivalence classes of rooted trees under rotation, so they have no root, but a cyclic ordering of all neighbors at each vertex.

**Lexical matchings.** We recap the definition of the  $(n - 1)$ -lexical and  $n$ -lexical matchings in  $G_n$  from [KT88]. We denote the two matchings as bijections  $M, N : B_n \rightarrow B'_n$ , where  $B_n$  and  $B'_n$  are the sets of bitstrings of length  $2n + 1$  with exactly  $n$  or  $n + 1$  occurrences of 1, respectively. These sets are the two partition classes of the bipartite graph  $G_n$ . Given  $x \in B_n$ , we sort all prefixes of  $x$  ending in 0 in decreasing order according to the surplus of the number of 0-entries compared to the number of 1-entries, breaking ties by sorting according to increasing lengths of the prefixes, yielding a total order on all these prefixes. Then  $M(x)$  is obtained by flipping the last bit of the second prefix in this total order, and  $N(x)$  is obtained by flipping the last bit of the first prefix in this total order. E.g., for  $x = 1101000$  the prefixes are ordered 1101000, 110100, 110, 11010, so  $M(x) = 1101010$  and  $N(x) = 1101001$ . Clearly,  $M(x) \neq N(x)$  for all  $x \in B_n$ . It is also easy to check that  $M$  and  $N$  are bijections. In fact,  $M^{-1}$  and  $N^{-1}$  are obtained by considering prefixes ending in 1 and by changing only the secondary criterion in the above definition of a total order by sorting according to decreasing (instead of increasing) lengths of the prefixes. It follows that  $M$  and  $N$  are edge-disjoint perfect matchings in  $G_n$ , and their union is our 2-factor  $\mathcal{C}_n = M \cup N$ .

### 3. PROPERTIES OF THE 2-FACTOR

As adjacent vertices in  $G_n$  differ only in a single bit, every cycle from the 2-factor  $\mathcal{C}_n$  can be described concisely by specifying a starting vertex on the cycle, and a sequence of bit positions to be flipped along the cycle until the starting vertex is reached again. Proposition 2 below states all relevant properties of the 2-factor  $\mathcal{C}_n$  that we use, and in particular gives such a description of the bitflip sequences that are encountered when following each cycle from our 2-factor  $\mathcal{C}_n$ . These sequences can be described nicely in terms of vertices of the form  $(x, 0)$  where  $x \in D_n$ . Specifically, we define for any  $x \in D_n$  a *bitflip sequence*  $\sigma(x)$  as follows: We consider the canonic decomposition  $x = (1, u, 0, v)$  and define  $a := 1$ ,  $b := |u| + 2$  and

$$\sigma(x) := (b, a, \sigma_{a+1}(u)) \text{ ,} \tag{2a}$$

where  $\sigma_a(x')$  is defined for any substring  $x' \in D$  of  $x$  starting at position  $a$  in  $x$  by considering the canonic decomposition  $x' = (1, u', 0, v')$ , by defining  $b := a + |u'| + 1$  and by recursively computing

$$\sigma_a(x') := \begin{cases} () & \text{if } |x'| = 0 \text{ ,} \\ (b, a, \sigma_{a+1}(u'), a - 1, b, \sigma_{b+1}(v')) & \text{otherwise .} \end{cases} \tag{2b}$$

Note that in these definitions,  $a$  and  $b$  are the positions of the first and last bit, respectively, of the substrings  $(1, u, 0)$  and  $(1, u', 0)$  in  $x$ . We denote by  $P_\sigma(x)$  the sequence of vertices in the  $2n$ -cube obtained by starting at the vertex  $x$  and flipping bits one after the other at the positions in the sequence  $\sigma(x)$ . We will prove in Proposition 2 that  $P_\sigma(x) \circ 0$  is in fact a path in the middle

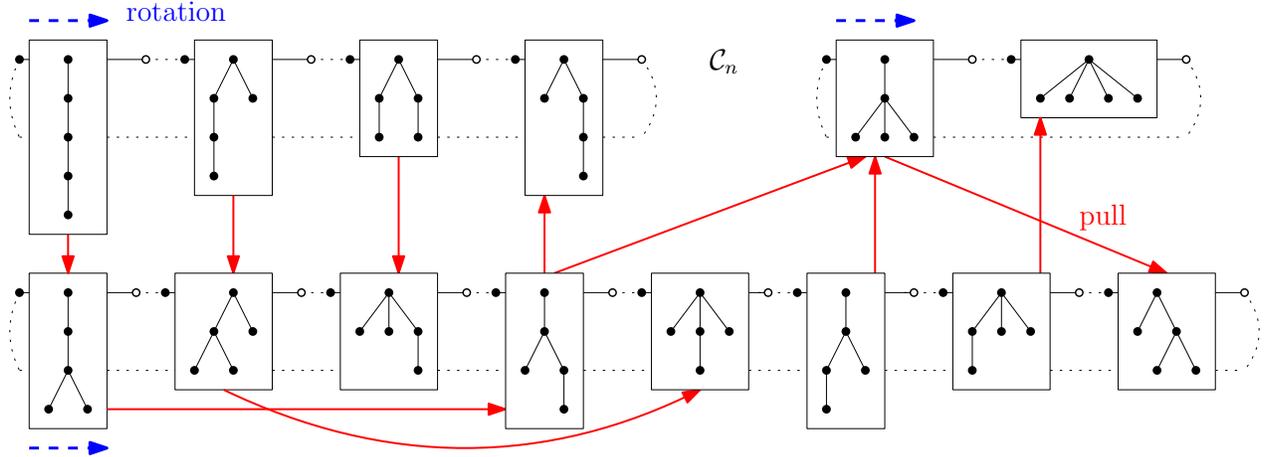


FIGURE 1. Cycle structure of the 2-factor  $\mathcal{C}_n$  and auxiliary graph  $\mathcal{H}_n$  for  $n = 4$ .

levels graph  $G_n$ . E.g., if  $x = 110100$ , then we have  $\sigma(x) = (6, 1, 3, 2, 1, 3, 5, 4, 3, 5)$ , so  $P_\sigma(x) = (110100, 110101, 010101, 011101, 001101, \dots, 101001)$ .

This definition has a straightforward interpretation in terms of Dyck paths. In (2a), we consider the first hill  $(1, u, 0)$  of the Dyck path  $x$ , first flip its last step (position  $b$ ), then its first step (position  $a$ ), and then recursively steps inside the hill. In (2b), we consider the first hill  $(1, u', 0)$  of the Dyck path  $x'$ , first flip its last step (position  $b$ ), then its first step (position  $a$ ), then recursively steps inside the hill, then the step to the left of the first step (position  $a - 1$ ), then the last step again (position  $b$ ), and finally we recurse into the remaining part  $v'$ .

**Proposition 2.** *For any  $n \geq 1$ , the 2-factor  $\mathcal{C}_n$  defined in Section 1.1 has the following properties:*

- (i) *Removing from  $\mathcal{C}_n$  the edges that flip the last bit yields two sets of paths  $\mathcal{P}_n \circ 0$  and  $\overline{\text{rev}}(\mathcal{P}_n) \circ 1$ .*
- (ii) *Each path from  $\mathcal{P}_n$  starts at a vertex from  $D_n$  and ends at a vertex from  $D_n^-$ . The sets of all first and last vertices are  $D_n$  and  $D_n^-$ , respectively.*
- (iii) *For any path  $P \in \mathcal{P}_n$  and its first vertex  $x \in D_n$  we have  $P = P_\sigma(x)$  with  $\sigma$  defined in (2).*
- (iv) *For any path  $P \in \mathcal{P}_n$ , consider its first vertex  $x \in D_n$  and last vertex  $y \in D_n^-$ . If  $x = (1, u, 0, v)$  is the canonic decomposition of  $x$ , then we have  $y = (u, 0, 1, v)$ . Moreover, the distance between  $x$  and  $y$  along  $P$  is  $2|u| + 2$ .*
- (v) *For any cycle  $C \in \mathcal{C}_n$ , consider two consecutive vertices that have the form  $(x, 0), (y, 0)$ , where  $x, y \in D_n$ . If  $x = (1, u, 0, v)$  is the canonic decomposition of  $x$ , then we have  $y = (u, 1, v, 0)$ . In terms of rooted trees,  $y$  is obtained from  $x$  by a rotation operation. Moreover, the distance between  $(x, 0)$  and  $(y, 0)$  along  $C$  is  $4n + 2$ .*
- (vi) *The set of cycles of  $\mathcal{C}_n$  is in bijection with the set of plane trees with  $n$  edges.*

The interpretation of the cycles of  $\mathcal{C}_n$  in terms of rooted trees is illustrated in Figure 1 (ignore the solid arrows for the moment).

*Proof.* To prove (i), let  $\mathcal{C}_n^-$  denote the spanning subgraph of  $\mathcal{G}_n$  obtained from  $\mathcal{C}_n$  by removing the edges that flip the last bit. As  $\mathcal{C}_n$  is a union of cycles,  $\mathcal{C}_n^-$  is a union of paths  $\mathcal{P}_n \circ 0$ ,  $\mathcal{P}'_n \circ 1$  and possibly some cycles  $\mathcal{R}_n \circ 0$ ,  $\mathcal{R}'_n \circ 1$ . Consider the automorphism  $f(x_1, \dots, x_{2n+1}) := (\overline{\text{rev}}(x_1, \dots, x_{2n}), \overline{x_{2n+1}})$  of the graph  $G_n$ . It is easy to check that  $f(M) = M$  and  $f(N) = N$ , implying that  $\mathcal{P}'_n = \overline{\text{rev}}(\mathcal{P}_n)$  and  $\mathcal{R}'_n = \overline{\text{rev}}(\mathcal{R}_n)$ , so we have

$$\mathcal{C}_n^- = (\mathcal{P}_n \cup \mathcal{R}_n) \circ 0 \cup \overline{\text{rev}}(\mathcal{P}_n \cup \mathcal{R}_n) \circ 1 . \quad (3)$$

This almost proves (i). The only thing left to verify is that  $\mathcal{R}_n = \emptyset$ , which will be done later.

To prove (ii)–(iv), consider an end vertex  $x$  of a path from  $\mathcal{P}_n$ . It corresponds to a vertex  $(x, 0) \in B_n$  such that either  $M$  or  $N$  flips the last bit of  $(x, 0)$ . By the definition of  $M$  and  $N$ , this happens if and only if  $x \in D_n^-$  or  $x \in D_n$ , respectively. Consequently, the end vertices of  $\mathcal{P}_n$  are given by  $D_n \cup D_n^-$ .

Now consider a path  $P \in \mathcal{P}_n$  with end vertex  $x \in D_n$ , and let  $x = (1, u, 0, v)$  be the canonic decomposition of  $x$ . We now show that  $P = P_\sigma(x)$ . Note that every recursion step in the definition (2) corresponds to a pair of indices  $1 \leq a < b \leq |u| + 2$  in  $x$  such that  $(x_a, \dots, x_b) = (1, w', 0)$  with  $w' \in D$ . We refer to such a pair  $(a, b)$  as a *base pair of  $x$* . For any such base pair  $(a, b)$ , we can partition  $x$  uniquely as

$$x = (1, u_1, 1, u_2, \dots, 1, u_d, 1, w', 0, v_d, 0, v_{d-1}, 0, \dots, v_1, 0, v) \quad (4)$$

with  $d \geq 0$  and  $u_1, \dots, u_d, v_1, \dots, v_d \in D$ , see Figure 2. Note that  $a = 1 + \sum_{i=1}^d (1 + |u_i|)$  and  $b = a + |w'| + 1$ . Let  $x'$  and  $x''$  denote the entries of the sequence  $P_\sigma(x)$  at positions  $2a - 1$  and  $2b - 1$ , respectively. These are well-defined vertices as  $\sigma(x)$  has length  $2|u| + 2$  by definition (2) and by the inequality  $a < b \leq |u| + 2$ . Using definition (2), a straightforward computation shows that for any base pair  $(a', b')$  and the corresponding substring  $(1, u', 0) \in D$  of  $x$ , applying the bitflips in  $\sigma(x)$  to this substring, every bit  $x_i$  followed by  $x_{i+1} = x_i$  is flipped twice, whereas every bit  $x_i$  followed by  $x_{i+1} = \bar{x}_i$  is flipped once or three times, depending on whether  $x_i = 1$  or  $x_i = 0$ , respectively. This effectively shifts the bitstring to the left, yielding  $(u', 0, x_{b'+1})$ . Using this observation, the vertices  $x'$  and  $x''$  can be computed from (4) as

$$x' = (u_1, 0, u_2, 0, \dots, u_d, 0, 1, w', 0, v_d, 1, v_{d-1}, 1, \dots, v_1, 1, v) \quad (5a)$$

$$x'' = (u_1, 0, u_2, 0, \dots, u_d, 0, w', 0, 1, v_d, 1, v_{d-1}, 1, \dots, v_1, 1, v) \quad (5b)$$

By (2a) and (2b), the next two bits flipped after  $x'$  are at positions  $b$  and  $a$ . Using (5a) and the definition of the mappings  $M$  and  $N^{-1}$ , these are exactly the two bits flipped along the edge from  $M$  that starts at  $(x', 0) \in B_n$  and along the edge from  $N$  that starts at  $M(x', 0) \in B'_n$ , respectively. Similarly, if  $b < |u| + 2$ , then by (2b), the next two bits flipped after  $x''$  are at positions  $a - 1$  and  $b$ . Using (5b) and the definition of  $M$  and  $N^{-1}$ , these are exactly the two bits flipped along the edge from  $M$  that starts at  $(x'', 0) \in B_n$  and along the edge from  $N$  that starts at  $M(x'', 0) \in B'_n$ , respectively. As this argument holds for all base pairs  $(a, b)$  of  $x$ , we obtain  $P = P_\sigma(x)$ , proving (iii). Applying (5b) for the base pair  $(a, b) = (1, |u| + 2)$  of  $x$  (in this case  $d = 0$  and  $w' = u$ ), the last vertex  $y$  reached on the path  $P = P_\sigma(x)$  is  $y = (u, 0, 1, v) \in D_n^-$ . This proves (ii). Recall that  $|\sigma(x)| = 2|u| + 2$ , so the distance between  $x$  and  $y$  along  $P$  is  $2|u| + 2$ , proving (iv).

To prove (v), consider a path  $P \in \mathcal{P}_n$  with first vertex  $x = (1, u, 0, v) \in D_n$ , where  $u, v \in D$ , and last vertex  $y' := (u, 0, 1, v) \in D_n^-$ . We consider the cycle  $C \in \mathcal{C}_n$  containing the path  $P \circ 0$  and continue to follow this cycle. The next edge of  $C$  after traversing  $P \circ 0$  flips the last bit, so from  $(y', 0)$  we reach the vertex  $(y', 1)$ . By (3), the path traversed by  $C$  until the last bit is flipped again is  $\overline{\text{rev}}(P') \circ 1$  for some  $P' \in \mathcal{P}_n$ . As the last vertex of  $P'$  is  $\overline{\text{rev}}(y') = (\overline{\text{rev}}(v), 0, 1, \overline{\text{rev}}(u)) \in D_n^-$ , its first vertex is  $x' := (1, \overline{\text{rev}}(v), 0, \overline{\text{rev}}(u)) \in D_n$  by (iv). As the path  $\overline{\text{rev}}(P') \circ 1$  is traversed backwards by  $C$ , the next vertex on  $C$  after traversing  $\overline{\text{rev}}(P') \circ 1$  is  $(y, 0)$  with  $y := \overline{\text{rev}}(x') = (u, 1, v, 0) \in D_n$ . The distance between  $(x, 0)$  and  $(y, 0)$  along  $C$  is  $(2|u| + 2) + (2|v| + 2) + 2$  by (iv), which equals  $2(|u| + |v| + 2) + 2 = 4n + 2$ . This almost proves (v), assuming that  $\mathcal{R}_n = \emptyset$  in (3). However, the total number of vertices visited by the paths  $\mathcal{P}_n \circ 0$  and  $\overline{\text{rev}}(\mathcal{P}_n) \circ 1$  is  $(4n + 2)|D_n|$ . As the cardinality of  $D_n$  is given by the  $n$ -th Catalan number [Sta15], this quantity equals  $2 \binom{2n+1}{n}$ , the total number of vertices of  $G_n$ . It follows that  $\mathcal{R}_n = \emptyset$  in (3), completing the proofs of (i) and (v).

Claim (vi) is an immediate consequence of (ii), (v), and the definition of plane trees.  $\square$

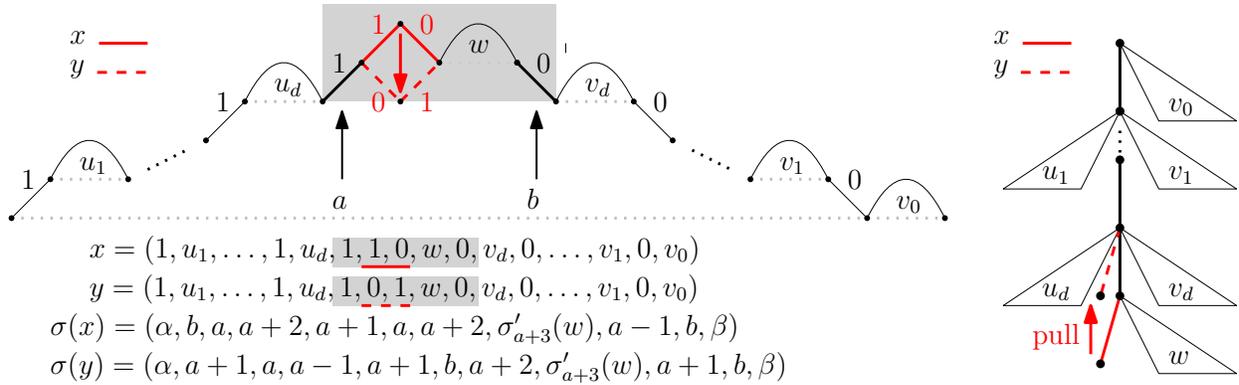


FIGURE 2. A flippable pair  $(x, y)$ , its Dyck path interpretation (left) and rooted tree interpretation (right).

#### 4. PROPERTIES OF THE 6-CYCLES

Proposition 3 below states all relevant properties of the set of 6-cycles  $\mathcal{S}_n$  that we use. To state the proposition, we say that  $x, y \in D_n$  form a *flippable pair*  $(x, y)$ , if

$$\begin{aligned} x &= (1, u_1, 1, u_2, \dots, 1, u_d, 1, 1, 0, w, 0, v_d, 0, v_{d-1}, 0, \dots, v_1, 0, v_0) , \\ y &= (1, u_1, 1, u_2, \dots, 1, u_d, 1, 0, 1, w, 0, v_d, 0, v_{d-1}, 0, \dots, v_1, 0, v_0) \end{aligned} \quad (6)$$

for some  $d \geq 0$  and  $u_1, \dots, u_d, v_0, \dots, v_d, w \in D$ . In terms of rooted trees, the tree  $y$  is obtained from  $x$  by moving a pending edge from a vertex in the left subtree to its predecessor, see Figure 2. We refer to  $(1, 1, 0, w, 0)$  and  $(1, 0, 1, w, 0)$  as *flippable substrings of  $x$  and  $y$*  corresponding to this flippable pair. The corresponding subpaths are highlighted with gray boxes in the figure. Note that a bitstring  $x$  may appear in multiple flippable pairs, as it may contain multiple flippable substrings.

Clearly, the set of 6-cycles  $\mathcal{S}_n$  defined in Section 1.1 is given by considering all flippable pairs  $(x, y)$ ,  $x, y \in D_n$ , as in (6), by defining

$$C_6(x, y) := (u_1, 0, u_2, 0, \dots, u_d, 0, 1, *, *, w, *, v_d, 1, v_{d-1}, 1, \dots, v_1, 1, v_0) \quad (7)$$

and by taking the union of all 6-cycles  $C_6(x, y) \circ 0$ . Note here that (1) and (7) differ only in the additional 0-bit in the end. In particular, all 6-cycles  $\mathcal{S}_n$  that we use to join the cycles in the 2-factor  $\mathcal{C}_n$  belong to the subgraph of  $G_n$  given by all vertices whose last bit equals 0.

**Proposition 3.** *For any  $n \geq 1$ , the 6-cycles  $C_6(x, y)$  defined in (7) have the following properties:*

- (i) *Let  $(x, y)$  be a flippable pair. The symmetric difference of the edge sets of the two paths  $P_\sigma(x)$  and  $P_\sigma(y)$  with the 6-cycle  $C_6(x, y)$  gives two paths  $P'(x)$  and  $P'(y)$  on the same set of vertices as  $P_\sigma(x)$  and  $P_\sigma(y)$ , where  $P'(x)$  starts at  $x$  and ends at the last vertex of  $P_\sigma(y)$ , and  $P'(y)$  starts at  $y$  and ends at the last vertex of  $P_\sigma(x)$ .*
- (ii) *Let  $(x, y)$  be a flippable pair and let  $a$  be the starting position of the corresponding flippable substring in  $x$ . The 6-cycle  $C_6(x, y)$  intersects  $P_\sigma(x)$  in the  $(2a - 1)$ -th and the  $(2a + 4)$ -th edge, and it intersects  $P_\sigma(y)$  in the  $(2a - 1)$ -th edge.*
- (iii) *For any flippable pairs  $(x, y)$  and  $(x', y')$ , the 6-cycles  $C_6(x, y)$  and  $C_6(x', y')$  are edge-disjoint.*
- (iv) *For any flippable pairs  $(x, y)$  and  $(x', y')$ , the two pairs of edges that the two 6-cycles  $C_6(x, y)$  and  $C_6(x', y')$  have in common with the path  $P_\sigma(x)$  are not interleaved, but one pair appears before the other pair along the path.*

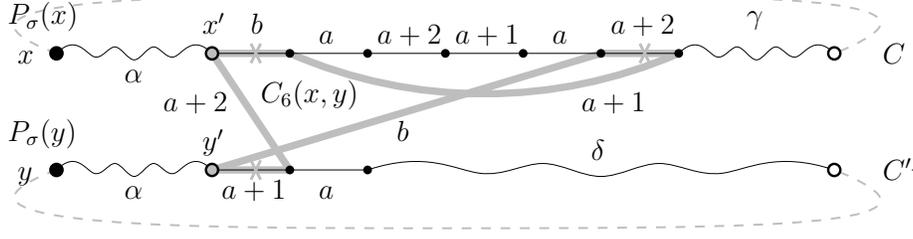


FIGURE 3. Two cycles from our 2-factor joined by taking the symmetric difference with a 6-cycle. The paths  $P_\sigma(x)$  and  $P_\sigma(y)$  (solid black) lying on the two cycles traverse the 6-cycle  $C_6(x, y)$  (solid gray) as shown. The symmetric difference yields paths  $P'(x) = P(x, \tau(x))$  and  $P'(y) = P(y, \tau(y))$  that have flipped end vertices.

Informally, the first property asserts that a 6-cycle from  $\mathcal{S}_n$  can be used to join two cycles from the 2-factor  $\mathcal{C}_n$  to a single cycle, see Figure 3. The last two properties ensure that no two 6-cycles interfere with each other when iterating this joining operation.

*Proof.* To prove (i), consider a flippable pair  $(x, y)$  as in (6), and let  $a$  and  $b$  be the first and last position of the corresponding flippable substring  $(1, 1, 0, w, 0)$  in  $x$ , respectively. Applying the definition (2), a straightforward computation yields the bitflip sequences

$$\begin{aligned}\sigma(x) &= (\alpha, b, a, a+2, a+1, a, a+2, \gamma) , \\ \sigma(y) &= (\alpha, a+1, a, \delta) ,\end{aligned}\tag{8a}$$

where if  $d = 0$  we define

$$\alpha := \beta := \delta := () \text{ and } \gamma := (\sigma_{a+3}(w)) .\tag{8b}$$

On the other hand, if  $d > 0$  then  $\alpha$  is the longest common prefix of  $\sigma(x)$  and  $\sigma(y)$ ,  $(b, \beta)$  is their longest common suffix, and

$$\gamma := (\sigma_{a+3}(w), a-1, b, \beta) \text{ and } \delta := (a-1, a+1, b, a+2, \sigma_{a+3}(w), a+1, b, \beta) .\tag{8c}$$

Note that  $(\alpha, \beta) = \sigma(1, u_1, 1, u_2, \dots, 1, u_d, v_d, 0, v_{d-1}, 0, \dots, v_1, 0)$  and that  $|\alpha| = 2(d + \sum_{i=1}^d |u_i|) = 2(a-1) = 2a-2$ . The last relation expresses that we count two flip operations for each of the steps from the hills  $u_1, u_2, \dots, u_d$ , one flip for each of the  $d$  upsteps preceding the hills  $u_i$ , and one flip for each of the  $d$  downsteps following the hills  $v_i$ . Specifically, the vertices  $x'$  and  $y'$  that are reached from  $x$  or  $y$  by flipping all  $2a-2$  bit positions in the sequence  $\alpha$  are

$$\begin{aligned}x' &= (u_1, 0, u_2, 0, \dots, u_d, 0, 1, 1, 0, w, 0, v_d, 1, v_{d-1}, 1, \dots, v_1, 1, v_0) , \\ y' &= (u_1, 0, u_2, 0, \dots, u_d, 0, 1, 0, 1, w, 0, v_d, 1, v_{d-1}, 1, \dots, v_1, 1, v_0) .\end{aligned}\tag{9}$$

Comparing (7) and (9) shows that these vertices belong to the 6-cycle  $C_6(x, y)$ . From (8a) we observe that the 6-cycle  $C_6(x, y)$  is then traversed as depicted in Figure 3. In particular,  $x'$  and  $y'$  are the first vertices from the paths  $P_\sigma(x)$  and  $P_\sigma(y)$  hitting the 6-cycle. By taking the symmetric difference of these edge sets, we obtain paths  $P'(x)$  and  $P'(y)$  on the same vertex set as  $P_\sigma(x)$  and  $P_\sigma(y)$  with flipped end vertices. Formally,  $P'(x)$  and  $P'(y)$  are obtained by starting at  $x$  and  $y$  and flipping bits according to the modified bitflip sequences

$$\begin{aligned}\tau(x) &:= (\alpha, a+2, a, \delta) , \\ \tau(y) &:= (\alpha, b, a, a+1, a+2, a, a+1, \gamma) ,\end{aligned}$$

respectively. This proves (i).

Recall from the previous argument that the distance between  $x$  and  $x'$  along the path  $P_\sigma(x)$  is  $|\alpha| = 2a-2$ , and the same holds for the distance between  $y$  and  $y'$  along the path  $P_\sigma(y)$ . The

6-cycle  $C_6(x, y)$  intersects  $P_\sigma(x)$  in the next edge after  $x'$  and in the edge that is five edges further away, and it intersects  $P_\sigma(y)$  in the next edge after  $y'$ . Combining these facts proves (ii).

To prove (iii), consider two 6-cycles  $C_6(x, y)$  and  $C_6(x', y')$ . Instead of comparing them directly, we consider how they intersect a fixed path  $P_\sigma(z)$  with  $z \in \{x, y\} \cap \{x', y'\}$ . This is possible because all edges of these 6-cycles either lie on such a path or they go between two such paths. Consider the two flippable substrings of  $z$  corresponding to  $C_6(x, y)$  and  $C_6(x', y')$  starting at positions  $a$  and  $a'$  in  $z$ , respectively. We assume w.l.o.g. that  $a' \geq a + 1$ .

We first consider the case  $z = y$  and  $z \in \{x', y'\}$ . By (ii) we know that the 6-cycle  $C_6(x, y)$  intersects the path  $P_\sigma(y)$  in the edge  $2a - 1$ . However, we also have  $2a' - 1 \geq 2(a + 1) - 1 = 2a + 1$ , so the edge(s) that the cycle  $C_6(x', y')$  has in common with  $P_\sigma(y)$  are separated by at least one edge along the path, proving that the two 6-cycles do not share any vertices on this path.

We now consider the case  $z = x$  and  $z \in \{x', y'\}$ . By (ii) we know that the 6-cycle  $C_6(x, y)$  intersects the path  $P_\sigma(x)$  in the edges  $2a - 1$  and  $2a + 4$ . If  $a' \geq a + 4$ , then we have  $2a' - 1 \geq 2(a + 4) - 1 = 2a + 7$ , so the edges that the cycle  $C_6(x', y')$  has in common with  $P_\sigma(x)$  are separated by at least two edges along the path, proving that the two 6-cycles do not share any vertices on this path. It remains to consider the subcases  $a' \in \{a + 1, a + 2, a + 3\}$ . The case  $a' = a + 2$  can be excluded, because this would mean that  $x$  has a 0-bit at position  $a + 2$  and  $x$  has a 1-bit at position  $a' = a + 2$  by (6), which is a contradiction. If  $a' = a + 1$ , then since  $x$  has a 0-bit at position  $a + 2$ , it follows from (6) that  $y' = x$  and that the flippable substring of  $x$  corresponding to  $(x, y)$  has the form  $(1, 1, 0, w, 0) = (1, 1, 0, 1, w', 0, 0)$ . Consequently, by (ii)  $C_6(x', y')$  intersects the path  $P_\sigma(x)$  in the edge  $2a' - 1 = 2(a + 1) - 1 = 2a + 1$ , which is separated by at least one edge from both edges  $2a - 1$  and  $2a + 4$ , so the two 6-cycles do not share any vertices on this path. If  $a' = a + 3$ , then either of the two cases  $x' = x$  or  $y' = x$  can occur, and in both cases the cycle  $C_6(x', y')$  intersects  $P_\sigma(x)$  in the edge  $2a' - 1 = 2(a + 3) - 1 = 2a + 5$ , and if  $x' = x$  also in the edge  $2a' + 4 = 2a + 10$  (which is safe for sure). The edge  $2a + 5$  is different from the edge  $2a + 4$  on  $P_\sigma(x)$ , but both share an end vertex, so the other two edges of the 6-cycles  $C_6(x, y)$  and  $C_6(x', y')$  starting at this vertex and not belonging to  $P_\sigma(x)$  could be identical. However, this is not the case as the corresponding edge from  $C_6(x, y)$  leads back to  $P_\sigma(x)$ , whereas the corresponding edge from  $C_6(x', y')$  leads to  $P_\sigma(y')$  if  $x' = x$  and to  $P_\sigma(x')$  if  $y' = x$ .

This completes the proof of (iii).

The previous analysis in the last case where  $z = x = x'$  also proves (iv).  $\square$

## 5. PROOF OF THEOREM 1

With Propositions 2 and 3 in hand, we are now ready to prove Theorem 1.

*Proof of Theorem 1.* Let  $\mathcal{C}_n$  and  $\mathcal{S}_n$  be the 2-factor and the set of 6-cycles defined in Section 1.1.

Consider two different cycles  $C, C' \in \mathcal{C}_n$  containing paths  $P \circ 0 \subseteq C$  and  $P' \circ 0 \subseteq C'$ , where  $P, P' \in \mathcal{P}_n$ , with first vertices  $x, y \in D_n$ , respectively, such that  $(x, y)$  is a flippable pair. By Proposition 3 (i), the symmetric difference of the edge sets  $(C \cup C') \Delta (C_6(x, y) \circ 0)$  forms a single cycle on the same vertex set as  $C \cup C'$ , i.e., this joining operation reduces the number of cycles in the 2-factor by one, see Figure 3. Recall from (6) that in terms of rooted trees, the tree  $y$  is obtained from  $x$  by moving a pending edge from a vertex in the left subtree to its predecessor. We refer to this as a *pull operation*, see Figure 2.

We repeat this joining operation until all cycles in the 2-factor are joined to a single Hamilton cycle. For this purpose we define an auxiliary graph  $\mathcal{H}_n$  whose nodes represent the cycles in the 2-factor  $\mathcal{C}_n$  and whose edges connect pairs of cycles that can be connected to a single cycle with such a joining operation that involves a 6-cycle from the set  $\mathcal{S}_n$ , see Figure 1. Formally, the node set of  $\mathcal{H}_n$  is given

by partitioning the set of all rooted trees with  $n$  edges into equivalence classes under tree rotation. By Proposition 2 (v) and (vi), each cycle  $C$  of  $\mathcal{C}_n$  can be identified with one equivalence class under tree rotation, so the nodes of  $\mathcal{H}_n$  indeed correspond to the cycles in the 2-factor  $\mathcal{C}_n$ . Specifically, each rooted tree belonging to some node of  $\mathcal{H}_n$  equals the first vertex  $x \in D_n$  of some path  $P \in \mathcal{P}_n$  such that  $P \circ 0$  lies on the cycle corresponding to that node. For every flippable pair  $(x, y)$ ,  $x, y \in D_n$ , we add the edge to  $\mathcal{H}_n$  that connects the node containing the tree  $x$  to the node containing the tree  $y$ . In Figure 1, those edges are drawn as solid arrows directed from  $x$  to  $y$ . By our initial argument, such a flippable pair yields a 6-cycle  $C_6(x, y)$  that can be used in  $G_n$  to join the two corresponding cycles to a single cycle. Note that  $\mathcal{H}_n$  may contain multiple edges or loops.

To complete the proof, it therefore suffices to prove that the graph  $\mathcal{H}_n$  is connected. Indeed, if  $\mathcal{H}_n$  is connected, then we can pick a spanning tree in  $\mathcal{H}_n$ , corresponding to a collection of 6-cycles  $\mathcal{T}_n \subseteq \mathcal{S}_n$ , such that the symmetric difference between the edge sets  $\mathcal{C}_n \Delta \mathcal{T}_n$  forms a Hamilton cycle in  $G_n$ . Here we need properties (iii) and (iv) in Proposition 3, which ensure that whatever subset of 6-cycles we use in this joining process, they will not interfere with each other, guaranteeing that inserting each 6-cycle indeed reduces the number of cycles by one, as desired.

At this point we have reduced the problem of proving that  $G_n$  has a Hamilton cycle to showing that the auxiliary graph  $\mathcal{H}_n$  is connected, which is much easier. Indeed, all we need to show is that any rooted tree with  $n$  edges can be transformed into any other tree by a sequence of rotations and pulls, and their inverse operations. Recall that rotations correspond to following the same cycle from  $\mathcal{C}_n$  (staying at the same node in  $\mathcal{H}_n$ ), and a pull corresponds to a joining operation (traversing an edge in  $\mathcal{H}_n$  to another node). For this we show that any rooted tree  $x$  can be transformed into the special tree  $s := (1, 1, 0, 1, 0, \dots, 1, 0, 0) \in D_n$ , i.e., a star with  $n$  rays rooted at a leaf, by a sequence of rotations and pulls. This can be achieved by rotating  $x$  until it is rooted at a leaf. Now the left subtree is the entire tree, so we can repeatedly pull pending edges towards the unique child of the root until we end up at the star  $s$ .

This completes the proof. □

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