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**Treatment of Cancer-Associated Venous Thromboembolism: 12-month outcomes of the placebo versus rivaroxaban randomisation of the SELECT-D Trial. (SELECT-D: 12m)**

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## **ESSENTIALS:**

- The ideal duration of treatment for cancer-associated venous thromboembolism (VTE) is unknown
- Patients were randomised to rivaroxaban or placebo after approximately 6 months of anticoagulants
- There was a trend towards a reduction in VTE recurrence with rivaroxaban compared to placebo.
- The absence of residual deep vein thrombosis defined a low VTE recurrence risk group.

## **ABSTRACT**

**Background:** The SELECT-D trial demonstrated reduction in recurrent venous thromboembolism (VTE) but increased bleeding with rivaroxaban compared to dalteparin for treatment of acute VTE in cancer patients, at 6 months. Uncertainty remains around optimal duration of anticoagulation.

**Objectives:** To assess VTE recurrence and bleeding, with anticoagulation or not, beyond 6 months

**Patients/Methods:** In SELECT-D, after 6 months of trial treatment for VTE, patients with active cancer and residual deep vein thrombosis (RDVT) or index pulmonary embolism (PE) were eligible for randomisation to a further 6 months of rivaroxaban or placebo. Patients with no RDVT stopped anticoagulation. Primary outcome was VTE recurrence at 12 months. The second randomisation closed prematurely due to low recruitment when 92 of the planned 300 patients were recruited.

**Results:** 92 of 136 eligible patients were randomised to rivaroxaban or placebo. The cumulative VTE recurrence after 6 months from the second randomisation, was 14% with placebo and 4% with rivaroxaban (Hazard Ratio 0.32; 95% CI 0.06-1.58). The major and clinically-relevant non-major bleeding rates were 0% and 0% with placebo; and 5% (95% CI 1-18%) and 4% (95% CI 1-17%) with rivaroxaban. In an exploratory analysis, 7 (15.2 %) of 46 placebo patients with RDVT or an index PE experienced recurrent VTE compared to none in the 35 patients in the RDVT-negative cohort (P=0.03).

**Conclusion:** The SELECT-D trial was underpowered to detect a statistically significant reduction in recurrent VTE with extended anticoagulation. The absence of RDVT and/or index PE, defined a population at low risk of recurrence.

**KEYWORDS:** Cancer-associated thrombosis; treatment duration; direct oral anticoagulant; venous thromboembolism recurrence; bleeding

## INTRODUCTION

Venous thromboembolism (VTE) in cancer patients is a common occurrence and can be clinically challenging. In many countries, low molecular weight heparin (LMWH) has been the standard of care for the treatment and prevention of recurrent VTE in cancer for over 15 years (1, 2). Direct oral anticoagulants (DOACs) are emerging as an alternative treatment to LMWH for cancer patients with VTE based on the results of two recent randomised controlled trials (RCTs): the Hokusai VTE Cancer trial (3) and the SELECT-D trial (4). In the Hokusai VTE Cancer trial, initial dalteparin for at least 5 days followed by edoxaban was compared with dalteparin alone, both treatments intended for 6 to 12 months (3). The primary outcome was a composite of VTE recurrence and major bleeding at 12 months post-randomisation. Edoxaban was found to be non-inferior to dalteparin. At 12 months, the recurrent VTE rate was higher in the dalteparin arm than in the edoxaban arm and the rate of major bleeding was significantly lower with dalteparin compared to edoxaban. The excess of major bleeding with edoxaban was confined to patients with gastrointestinal cancer. (5). In the SELECT-D trial, patients received rivaroxaban or dalteparin for 6 months (4). Using rivaroxaban reduced the 6-month VTE recurrence rate compared to dalteparin (hazard ratio (HR) = 0.43; 95% confidence interval (CI) 0.19-0.99). The rate of major bleeding was similar between groups (HR=1.83; 95%CI 0.68-4.96), but more clinically relevant non-major bleeding (CRNMB) was experienced by patients receiving rivaroxaban compared to dalteparin (HR=3.76; 95% CI 1.63-8.69). A recent meta-analysis of the efficacy and safety of DOACs, vitamin K antagonists (VKAs) and LMWH in patients with cancer associated thrombosis (CAT) found only these two aforementioned studies comparing DOAC and LMWH (6).

The duration of anticoagulant treatment in cancer patients with acute VTE is an unanswered question. Clinical practice guidelines have recommended continuing treatment for patients with risk factors for VTE recurrence or progression, including the presence of metastases or ongoing chemotherapies (7). Duration of treatment was hitherto based on the duration of treatment utilised in randomised trials (usually 6 months); extrapolated from patients with unprovoked VTE and not on published data; the duration differed depending on the anticoagulants used and the clinical circumstances.

There are also some data to suggest that the absence of residual deep vein thrombosis (RDVT) on compression ultrasound (CUS) predicts a low risk group for recurrent VTE (8). Based on these considerations, the SELECT-D trial included a second randomisation designed to investigate this important question of the clinical course of VTE, with anticoagulation or not, beyond 6 months. Patients in SELECT-D who had the presence of RDVT at approximately 5.5 months after randomisation or whose index VTE was a pulmonary embolism (PE) i.e. patients deemed at higher risk of VTE, were randomly allocated to continue rivaroxaban or placebo. The absence of RDVT mandated discontinuing anticoagulant therapy at 6 months. Rivaroxaban was the anticoagulant of choice as we were able to gauge the safety of rivaroxaban in the cancer population in the first six months post-randomisation. The focus of this manuscript is the clinical course of patients who entered the second randomisation.

## **METHODS**

### **Study design and patient population**

The SELECT-D trial design and the population including inclusion and exclusion criteria, have been previously defined (4). Briefly, patients with active cancer presenting with a primary objectively confirmed symptomatic lower-extremity proximal deep vein thrombosis (DVT), symptomatic or incidental PE, were randomised to dalteparin or rivaroxaban.

At around 5.5 months post randomisation, patients with an index DVT had a CUS to detect the absence or presence of a RDVT. If the CUS showed RDVT or if patients had presented with a PE, they were eligible to be randomly assigned to a further 6 months of rivaroxaban at 20mg orally once daily or placebo (second randomisation). To be eligible, patients should have received trial treatment for 6 months, not had a VTE recurrence, have an ECOG performance status of  $\leq 2$ ; and have adequate haematologic, hepatic, and renal function. A previous VTE in the first six months excluded patients from participating in the second randomisation. However, having a bleed was not an exclusion criterion, leaving the decision to further randomise or not to the discretion of the clinician. Patients with an absence of RDVT were not eligible for the second randomization and were mandated to discontinue anticoagulant therapy at 6 months.

All patients entering the first randomisation were assessed at 3-monthly intervals until month 12 and then 6-monthly until month 24. The trial was approved by the Coventry & Warwickshire Research Ethics Committee, the Health Research Agency and the Medicines and Healthcare Products Regulatory Agency, UK.

## **Random Assignment and Study Interventions**

Patients consenting to the second randomisation were randomised centrally by telephoning Warwick Clinical Trials Unit and randomly assigned to rivaroxaban or placebo in a 1:1 ratio using a computer-based minimisation algorithm. Patients were stratified by the trial treatment during the first 6 months of the trial, type of VTE, risk of clotting by tumour type, stage of disease and platelet count, at the time of the second randomisation.

Rivaroxaban and placebo were supplied by Bayer AG. The tablets were packaged, labelled and distributed by an independent company; the tablets and packaging were indistinguishable by patient or clinician. Each bottle of tablets had a unique drug pack number, allocated to a patient by the randomisation system. Emergency unblinding could be requested on safety grounds by the treating clinician by contacting an independent Emergency team. The treatment allocation was blinded to the patient, clinician and those evaluating outcomes. The treatment allocation was only unblinded to the trial statistician at the of the final analysis.

## **Outcomes**

The predefined primary outcome for the patients entering the SELECT-D second randomisation was VTE recurrence at 12 months post first randomisation. Reported VTE events were adjudicated by a central committee unaware of treatment allocation after the last patient was entered. Predefined secondary outcomes for SELECT-D comprised major bleeding (9); CRNMB (10), overall survival and VTE recurrence at 12 months for the subgroup of patients with no RDVT. Bleeding events were adjudicated by an independent committee of experienced clinicians unaware of treatment allocation.

## **Statistical Considerations**

In SELECT-D, the assumption was made that a sample size of 530 patients would allow sufficient numbers (300 in total) to continue onto the second randomisation to provide estimates for a future definitive duration study. However, the second randomisation closed on 1<sup>st</sup> September 2016 with only 92 patients recruited, based on a recommendation from the Data and Safety Monitoring Committee who recognised the futility of continued recruitment. Any patient randomised after 1<sup>st</sup> September 2016 was not eligible for the second randomisation. In addition, the protocol was also amended to exclude patients with esophageal and gastro-esophageal

cancer because of a bleeding signal. This exclusion did not effect the patients entering the second randomisation.

For the patients in the second randomisation, the time to a VTE recurrence was calculated from the date of second randomisation to the date of first VTE recurrence event, or censored at the date last known to be VTE recurrence-free or at 6 months post entry to second randomisation, whichever came first. Overall survival was calculated from the date of second randomisation to the date of death from any cause, or censored at the date last known to be alive or at 6 months.

Cumulative incidence curves for the time to a VTE recurrence were estimated using the complement of the Kaplan-Meier estimates. A competing risk analysis was performed using the cumulative incidence competing risk method to account for death as a competing risk (11). Kaplan-Meier estimates were also obtained for bleeding and overall survival. A Cox model was used to obtain hazard ratios (HRs) and associated 95% confidence interval (CIs). An exploratory comparison of patients with no RDVT and those with RDVT or PE on the placebo arm in terms of VTE recurrence was performed using the log rank test.

All analyses were performed on an intention-to-treat basis with the SAS statistical package version 9.4. SELECT-D was not powered for statistical testing between trial arms at 12 months.

## **RESULTS**

Between 6<sup>th</sup> September 2013 and 22<sup>nd</sup> December 2016, 406 patients were randomised into the SELECT-D trial. Two-hundred fourteen patients (53%) were males, the median age was 67 years (range 22-87 years), 234 (58%) had metastatic disease and 211 (52%) patients had an incidental PE (Table 1). The primary analyses of recurrent VTE and bleeding at 6 months have been published (4).

A total of 371 patients were randomised into the SELECT-D trial before participation in the second randomisation was closed; the remaining 35 patients were not considered for the second randomisation. Of the 371 patients, 94 (25%) died, 33 (9%) withdrew before 6 months, 10 (3%) had a DVT on entry but were not assessed for RDVT (as they had already stopped anticoagulation, had recurrent VTE or were found to be ineligible), 35 (9%) were assessed and found to be RDVT negative, leaving 199 (54%) patients with RDVT on CUS or PE at presentation to be considered for the second randomisation (Figure 1). Of these, 63 were excluded as they did not meet the inclusion criteria, resulting in 136 patients eligible for the second randomisation.

Forty-four eligible patients declined to participate or their clinicians advised them not to (Figure 1); 4 (9%) had an index DVT, 29 (66%) had an incidental PE and 11 (35%) had a symptomatic PE. There were 2 VTE recurrences and 6 deaths in this patient group between 6 and 12 months post first randomisation.

Only 92 patients were randomised (46 to rivaroxaban and 46 to placebo). The patients entering the second randomisation tended to have better ECOG performance status, early/locally advanced disease, incidental PE, and a lower risk of VTE tumour type compared to those 107 that were not randomised (Table 1). The patient and clinical characteristics were reasonably comparable between randomised treatment arms. (Table 2). Four patients were randomised despite having a bleed (1 major [haematoma] and 3 CRNMB) on anticoagulation within the first six months of the trial.

Fifty-eight (63%) of the 92 patients participating in the second randomisation completed 6 months of additional trial treatment, six (7%) failed to start the trial treatment and 28 (30%) stopped treatment early due to various factors including death, VTE recurrence, bleeding and clinical decision (Figure 1). Two patients had their second randomised treatment unblinded in order to treat the patient safely; one patient had a pleural effusion and the other, a haematemesis associated with grade 4 thrombocytopenia.

There were six patients on the placebo arm and two patients on the rivaroxaban arm that had VTE recurrence within 6 months from second randomisation. Two of the placebo events were incidental PEs. The Kaplan-Meier estimates of VTE recurrence were 14% (95% CI 7-29%) for patients on the placebo arm and 4% (95% CI 1-16%) for patients on the rivaroxaban arm at 6 months from second randomisation (HR=0.32; 95% CI 0.06-1.58, Figure 2). The incidence of VTE recurrence per subject month at risk for months 1-6 from the second randomisation was 3.0% (6/237) for the placebo arm patients and 0.8% (2/246) for those on rivaroxaban. There was no significant interaction between the first and second treatment arms (p=0.99) in terms of VTE recurrence i.e. the initial anticoagulation allocation did not impact on the VTE recurrence outcomes for patients in the second randomisation.

Two patients, one with lung cancer; one with colorectal cancer, on the rivaroxaban arm experienced major bleeds (both upper gastrointestinal haemorrhages) at 1 month and 6 months from the second randomisation, respectively; resulting in a major bleeding rate of 5% (95% CI 1-18%). Neither resulted in death. The major bleeding rate was 0% on the placebo arm. An

additional two patients on the rivaroxaban arm had CRNMBs at 1 and 3 months from second randomisation for a 4% (95% CI 1-17%) CRNMB rate, due to bruising of the eye (gastric primary) and bleeding from the nipple (breast primary) respectively. The CRNMB rate was 0% on the placebo arm.

Of the 92 patients participating in the second randomisation, 11 patients died of their cancer (five on the placebo arm; six on the rivaroxaban arm) within 6 months of second randomisation. The overall survival rate for those patients on the rivaroxaban arm at 6 months from second randomisation was 89% (95% CI 75-95%) and 87% (95% CI 73-94%) for those on the placebo arm (HR=1.16; 95% CI 0.36-3.81).

Of the 35 patients who had no RDVT on CUS around 5.5 months from the first randomisation and for whom the protocol specified stopping anticoagulation at 6 months, six had additional anticoagulation treatment beyond 6 months, advised by their clinicians. Three of the six patients had already switched from trial treatment to alternative anticoagulation (one at 1 month, and two at 5 months from first randomisation). For the other three patients, their hospital/clinician reported that they considered it appropriate to continue on anticoagulation as patients had active cancer and therefore still at risk due to the disease. None of the 35 RDVT-negative patients experienced a VTE recurrence between 6 and 12 months from trial entry; four patients died within this time frame (three as a result of their cancer and one from kidney failure). In an exploratory comparison, patients with no RDVT were significantly less likely to have a VTE recurrence than the RDVT positive and PE patients receiving placebo (log rank  $p=0.03$ ).

## **DISCUSSION**

There is limited evidence on the duration of anticoagulation specifically in cancer patients with VTE. Clinical Practice Guidelines have recommended 6 months of anticoagulant therapy (7). Beyond the initial six months, guidelines recommend that anticoagulation should be considered in patients with active cancer, such as those on chemotherapy treatment (7, 12). The clinical course of patients in SELECT-D who received anticoagulation or not between 6 and 12 months has provided us with the opportunity for potential insights on the duration of anticoagulation in cancer patients.

For those patients who participated in the second randomisation, there was a higher VTE recurrence rate in the placebo arm in comparison to the rivaroxaban arm, but the difference was not statistically significant. The failure to detect a significant difference between 6 and 12 months of anticoagulation is likely to be the result of a lack of power from the small sample size. Extended treatment comes with a potential cost of bleeding. Major bleeding and CRNMBs on rivaroxaban in patients randomised at 6 months was 5% and 4% respectively. There were no major or CRNMBs for patients on placebo between 6 and 12 months.

In the venous thrombosis literature, there is a school of thought that the duration of anticoagulant therapy can be tailored to the underlying risk of thrombosis i.e. provoked or unprovoked (13). In planning SELECT-D, we hypothesized that cancer patients with VTE who had received approximately 6 months of anticoagulant treatment could be stratified into low and high risk groups for recurrent VTE based on underlying risk for thrombosis. RDVT was chosen as the risk stratification approach at the time of the trial design as the results of the EXTENDED Cancer-DACUS had demonstrated that in patients without RVT, a short period of treatment with a LMWH is sufficient. In those with persistent RVT, treatment extended to 2 years substantially reduced, but did not eliminate, the risk of recurrent thrombosis (14). We also postulated that having had an index PE also predicted for recurrence. In the group of patients whom we postulated would be at low risk of recurrent VTE i.e. RDVT-negative (8), there were no subsequent events after 6 months. In contrast, there was a significant increase in recurrent VTE in the placebo patients who were deemed at higher risk of VTE defined by RDVT positivity or having an index PE. This exploratory analysis supports the hypothesis that the RDVT negative patients are a low risk group for VTE recurrence. However a much larger cohort would be required to provide robust evidence that risk stratification can help tailor duration of anticoagulant therapy.

For patients participating in the first and second randomisations, there was a similar major bleeding rate on rivaroxaban between the first and second 6 months. The more than 3-fold reduction in CRNMBs between the second 6 months and first 6 months for the respective periods, suggests that patients entering the second 6-month period were fitter and at lower bleeding risk.

Forty-four percent of the initial 406 patients stayed on trial for 12 months; the attrition rate was higher in the first 6 months of the study. The survival rates were considerably higher for those entering the second randomisation, confirming those patients had a more favourable prognosis. In addition, 50% of all enrolled patients had an incidental PE, whereas this increased to 66% of patients in the second randomisation, which may also reflect a more favourable attitude (physician and/or patient) towards randomizing a patient who has not associated their symptoms to a PE.

Although the acceptance rate for those patients approached for the second randomisation was reasonably high at 68%, the number of eligible patients was lower than predicted. Twenty-five percent of patients had died and 9% had withdrawn from the trial before the 6-month time point. Clinicians alongside the patients were choosing whether to enter the second randomisation, mostly likely on the basis of clinical factors, reasons similar to a UK anticoagulation duration study in cancer patients which failed to recruit (15). However, the type of VTE at trial entry and the clinical outcomes for the 44 eligible patients who did not participate in the second randomisation were similar to the 92 who did participate.

Hokusai VTE Cancer (n=1050) and SELECT-D (n=406) have recently driven the use of DOACs for selected cancer patients with VTE. The 12-month trial outcomes for both studies are not directly comparable; nevertheless, the 12-month VTE recurrence for patients on a DOAC are similar; recurrent VTE occurred in 7.9% in the edoxaban group in the Hokusai VTE Cancer trial and 10% of those randomised initially to rivaroxaban in SELECT-D. Similarly, major bleeding at 12 months, occurred in 6.9% of the edoxaban group and 5% in the rivaroxaban group. The heterogeneous nature of the anticoagulation over time should be noted; 46 patients in SELECT-D were randomised to 6 months of placebo and 16 patients chose not to continue with anticoagulation, thus having no active treatment, which may explain the slightly higher VTE recurrence rate and lower bleeding rate with SELECT-D in comparison to the rates in the Hokusai VTE Cancer trial.

SELECT-D is not without limitations. It was small in size, the patient population was heterogeneous and potentially biased toward being the fitter patients that successfully completed the initial 6 month course of anticoagulation, and clinicians did not always follow the study protocol. Furthermore, as SELECT-D was open to all cancer types and only stage of

disease could be accounted for in the stratification due to the sample size, there was an imbalance between treatment arms for some cancer types in particular colorectal cancer and ovarian cancer, which could have confounded the results.

It is unclear whether there will ever be a large randomised trial that specifically addresses the duration of anticoagulant therapy in cancer patients. Meanwhile, correlative biomarker research may improve risk stratification (16). In conclusion, individualised, informed decision-making between patient and clinician based on the trade-off of the risk of continuing thrombosis, and bleeding is recommended. SELECT-D provides numerical values of the risk of recurrent VTE as well as risk of major bleeding and CRNMB when anticoagulation is continued beyond six months. These data can be helpful for informed decision making.

#### **Addendum: Authorship Details**

A Young, A Marshall, M Levine, O Chapman, A Lokare, JA Dunn, FDR Hobbs, S Petrou, V Wilkie, A Kakkar were responsible for the conception and design. J Thirlwall, C Hill, D Hale, K French, A Maraveyas, A Arif were responsible for the collection and assembly of data. A Marshall and M Maredza were responsible for the data analysis. A Marshall, A Young and M Levine were responsible for the drafting of the manuscript. All authors contributed to the interpretation and reviewing of the manuscript.

#### **Conflicts of Interest**

Andrea Marshall; Research Funding: Bayer AG (Inst)

Mark Levine; Honoraria: Bayer

Catherine Hill; Research Funding: Bayer AG (Inst)

Danielle Hale; Research Funding: Bayer AG (Inst)

Jenny Thirlwall; Research Funding: Bayer AG (Inst)

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Karen French; Honoraria from Bayer, Bristol-Myers Squibb and Aspen

Ajay Kakkar;

*Honoraria: Bayer, Boehringer Ingelheim, Daiichi Sankyo, Sanofi, Janssen, Verseon*

*Consulting or Advisory Role: Bayer, Boehringer Ingelheim, Daiichi Sankyo, Sanofi, Janssen,*

Verseon

*Research Funding: Bayer (Inst)*

Anand Lokare; no relationship to disclose

Anthony Maraveyas

*Honoraria: Bayer AG, Bristol Myers Squibb*

*Consulting or Advisory Role: Bayer AG, Bristol Myers Squibb Speaker's Bureau: Bristol Myers Squibb, Pfizer*

Oliver Chapman; no relationship to disclose

Azra Arif; no relationship to disclose

Stavros Petrou; *Research Funding: Bayer AG (Inst)*

Mandy Maredza; *Research Funding: Bayer AG (Inst)*

F.D. Richard Hobbs *Honoraria: Bayer, Boehringer Ingelheim*

Janet A. Dunn; *Research Funding: Bayer AG (Inst)*

Annie Young;

*Honoraria from Bayer, Leo Pharma; BMS/Pfizer Alliance*

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**Table 1: Baseline patient characteristics for a) all 406 SELECT-D trial patients; b) those 92 patients that entered the second randomisation; c) those 107 with residual deep vein thrombosis (RDVT) positive or a pulmonary embolism (PE) at presentation that did not go onto the second randomisation ; and d) the 35 RDVT negative patients.**

| Characteristic                           | All patients        |    | Second randomisation |    | RDVT positive/PE but not randomised |    | RDVT negative       |    |
|--|---------------------|----|----------------------|----|-------------------------------------|----|---------------------|----|
|  | n                   | %  | n                    | %  | n                                   | %  | n                   | %  |
| <b>Number of patients</b>                | 406                 |    | 92                   |    | 107                                 |    | 35                  |    |
| <b>Sex</b>                               |                     |    |                      |    |                                     |    |                     |    |
| <b>Male</b>                              | 214                 | 53 | 47                   | 51 | 56                                  | 52 | 18                  | 51 |
| <b>Female</b>                            | 192                 | 47 | 45                   | 49 | 51                                  | 48 | 17                  | 49 |
| <b>Age years (Median(Range))</b>         | 67 (22-87)          |    | 68 (30-87)           |    | 68 (42-85)                          |    | 67 (34-82)          |    |
| <b>BMI (Median(Range))</b>               | 26.7<br>(14.9-50.4) |    | 27.7<br>(17.8-42.9)  |    | 28.5<br>(15.1-50.4)                 |    | 28.1<br>(16.8-46.2) |    |
| <b>ECOG performance status</b>           |                     |    |                      |    |                                     |    |                     |    |
| <b>0</b>                                 | 120                 | 30 | 36                   | 39 | 31                                  | 29 | 13                  | 37 |
| <b>1</b>                                 | 185                 | 46 | 40                   | 44 | 53                                  | 49 | 16                  | 46 |
| <b>2</b>                                 | 95                  | 23 | 14                   | 15 | 22                                  | 21 | 5                   | 14 |
| <b>Unknown</b>                           | 6                   | 1  | 2                    | 2  | 1                                   | 1  | 1                   | 3  |
| <b>Ethnicity</b>                         |                     |    |                      |    |                                     |    |                     |    |
| <b>White</b>                             | 389                 | 95 | 88                   | 96 | 104                                 | 97 | 33                  | 94 |
| <b>Mixed</b>                             | 3                   | 1  | 1                    | 1  | 1                                   | 1  | 1                   | 3  |
| <b>Asian or British Asian</b>            | 3                   | 1  | 2                    | 2  | 1                                   | 1  | 0                   | 0  |
| <b>Black or Black British</b>            | 6                   | 1  | 1                    | 1  | 1                                   | 1  | 0                   | 0  |
| <b>Chinese or other ethnic group</b>     | 3                   | 1  | 0                    | 0  | 0                                   | 0  | 0                   | 0  |
| <b>Unknown</b>                           | 2                   | 1  | 0                    | 0  | 0                                   | 0  | 1                   | 3  |
| <b>STRATIFICATION VARIABLES</b>          |                     |    |                      |    |                                     |    |                     |    |
| <b>Stage of disease at randomisation</b> |                     |    |                      |    |                                     |    |                     |    |
| <b>Early/locally advanced disease</b>    | 164                 | 40 | 44                   | 48 | 46                                  | 43 | 25                  | 71 |
| <b>Metastatic disease</b>                | 232                 | 57 | 44                   | 48 | 57                                  | 53 | 10                  | 29 |
| <b>Haematological malignancy</b>         | 10                  | 3  | 4                    | 4  | 4                                   | 4  | 0                   | 0  |
| <b>Platelet count at randomisation</b>   |                     |    |                      |    |                                     |    |                     |    |
| <b>&lt;=350,000/<math>\mu</math>l</b>    | 336                 | 83 | 84                   | 91 | 89                                  | 83 | 32                  | 91 |

|   |     |    |    |    |    |    |    |     |
|---|-----|----|----|----|----|----|----|-----|
| >350,000/ $\mu$ l                       | 70  | 17 | 8  | 9  | 18 | 17 | 3  | 9   |
| <b>Type of VTE</b>                      |     |    |    |    |    |    |    |     |
| <b>Symptomatic VTE</b>                  | 195 | 48 | 32 | 35 | 40 | 38 | 35 | 100 |
| <b>PE</b>                               | 80  | 20 | 22 | 24 | 26 | 26 | 0  | 0   |
| <b>DVT</b>                              | 110 | 27 | 10 | 11 | 14 | 12 | 35 | 100 |
| <b>PE and DVT</b>                       | 4   | 1  | 0  | 0  | 0  | 0  | 0  | 0   |
| <b>Unknown</b>                          | 1   | <1 | 0  | 0  | 0  | 0  | 0  | 0   |
| <b>Incidental PE</b>                    | 211 | 52 | 60 | 65 | 67 | 62 | 0  | 0   |
| <b>Risk of clotting by tumour type</b>  |     |    |    |    |    |    |    |     |
| <b>High risk</b>                        | 339 | 84 | 72 | 78 | 90 | 84 | 28 | 80  |
| <b>Low risk</b>                         | 67  | 16 | 20 | 22 | 17 | 16 | 7  | 20  |
| <b>First randomised trial treatment</b> |     |    |    |    |    |    |    |     |
| <b>Dalteparin</b>                       | 203 | 50 | 42 | 46 | 55 | 51 | 17 | 49  |
| <b>Rivaroxaban</b>                      | 203 | 50 | 50 | 54 | 52 | 49 | 18 | 51  |
| <b>Tumour type</b>                      |     |    |    |    |    |    |    |     |
| <b>Bladder</b>                          | 14  | 3  | 3  | 3  | 5  | 5  | 1  | 3   |
| <b>Breast</b>                           | 41  | 10 | 16 | 16 | 10 | 9  | 5  | 14  |
| <b>Brain</b>                            | 3   | 1  | 0  | 0  | 0  | 0  | 1  | 3   |
| <b>Cancer Unknown Primary (CUP)</b>     | 6   | 2  | 2  | 2  | 0  | 0  | 0  | 0   |
| <b>Chronic Lymphoid Leukaemia</b>       | 4   | 1  | 2  | 2  | 1  | 1  | 1  | 3   |
| <b>Colorectal</b>                       | 102 | 25 | 20 | 22 | 33 | 31 | 14 | 40  |
| <b>Gallbladder</b>                      | 4   | 1  | 0  | 0  | 0  | 0  | 0  | 0   |
| <b>Gastric</b>                          | 12  | 3  | 3  | 3  | 4  | 4  | 0  | 0   |
| <b>Gynaecological</b>                   | 13  | 3  | 2  | 2  | 5  | 5  | 0  | 0   |
| <b>Kidney</b>                           | 7   | 2  | 3  | 3  | 2  | 2  | 1  | 3   |
| <b>Lung</b>                             | 47  | 12 | 8  | 9  | 11 | 10 | 2  | 6   |
| <b>Lymphoma</b>                         | 22  | 5  | 10 | 11 | 5  | 5  | 1  | 3   |
| <b>Multiple myeloma</b>                 | 5   | 1  | 2  | 2  | 2  | 2  | 0  | 0   |
| <b>Oesophageal/gastro-oesophageal</b>   | 29  | 7  | 7  | 8  | 4  | 4  | 3  | 8   |
| <b>Ovarian</b>                          | 30  | 7  | 6  | 7  | 10 | 9  | 2  | 6   |
| <b>Pancreatic</b>                       | 30  | 7  | 2  | 2  | 6  | 5  | 0  | 0   |
| <b>Prostate</b>                         | 21  | 5  | 5  | 6  | 7  | 6  | 0  | 0   |

|  |     |    |    |    |    |    |    |    |
|--|-----|----|----|----|----|----|----|----|
| <b>Sarcoma</b>                               | 2   | 1  | 0  | 0  | 0  | 0  | 0  | 0  |
| <b>Other</b>                                 | 12  | 3  | 1  | 1  | 2  | 2  | 3  | 8  |
| <b>Unknown</b>                               | 2   | 1  | 0  | 0  | 0  | 0  | 1  | 3  |
| <b>Characteristics at 5.5. months</b>        |     |    |    |    |    |    |    |    |
| <b>ECOG performance status at 5.5 months</b> |     |    |    |    |    |    |    |    |
| <b>0</b>                                     | 100 | 25 | 43 | 47 | 27 | 25 | 15 | 43 |
| <b>1</b>                                     | 96  | 24 | 36 | 39 | 34 | 32 | 14 | 40 |
| <b>2</b>                                     | 24  | 6  | 7  | 8  | 13 | 12 | 2  | 6  |
| <b>3</b>                                     | 14  | 3  | 1  | 1  | 7  | 7  | 3  | 8  |
| <b>4</b>                                     | 1   | <1 | 0  | 0  | 1  | 1  | 0  | 0  |
| <b>Unknown</b>                               | 171 | 42 | 5  | 5  | 25 | 23 | 1  | 3  |
| <b>Stage of disease at 5.5. months</b>       |     |    |    |    |    |    |    |    |
| <b>Early/locally advanced disease</b>        | 158 | 39 | 44 | 48 | 44 | 41 | 24 | 69 |
| <b>Metastatic disease</b>                    | 238 | 59 | 44 | 48 | 59 | 55 | 11 | 31 |
| <b>Haematological malignancy</b>             | 10  | 2  | 4  | 4  | 4  | 4  | 0  | 0  |
| <b>Current status of cancer</b>              |     |    |    |    |    |    |    |    |
| <b>Complete Remission</b>                    | 35  | 9  | 15 | 16 | 13 | 12 | 4  | 11 |
| <b>Partial response</b>                      | 34  | 8  | 18 | 20 | 9  | 9  | 4  | 11 |
| <b>Stable disease</b>                        | 61  | 15 | 21 | 23 | 23 | 21 | 7  | 20 |
| <b>Progressive disease</b>                   | 48  | 12 | 10 | 11 | 23 | 21 | 8  | 23 |
| <b>Non-evaluable</b>                         | 6   | 1  | 3  | 3  | 2  | 2  | 1  | 3  |
| <b>Unknown</b>                               | 222 | 55 | 25 | 27 | 37 | 35 | 11 | 32 |

**Table 2: Patient characteristics by the second randomisation trial allocation**

| Characteristic                                  | Placebo          |    | Rivaroxaban      |    | Total            |    |
|---|------------------|----|------------------|----|------------------|----|
|   | n                | %  | n                | %  | n                | %  |
| <b>Number randomised</b>                        | 46               | 50 | 46               | 50 | 92               |    |
| <b>Sex</b>                                      |                  |    |                  |    |                  |    |
| <b>Male</b>                                     | 18               | 39 | 29               | 63 | 47               | 51 |
| <b>Female</b>                                   | 28               | 61 | 17               | 37 | 45               | 49 |
| <b>Age years (Median(Range))</b>                | 68 (30-87)       |    | 68(32-87)        |    | 68 (30-87)       |    |
| <b>BMI (Median(Range))</b>                      | 28.7 (17.8-42.9) |    | 25.8 (18.4-41.6) |    | 27.7 (17.8-42.9) |    |
| <b>ECOG performance status</b>                  |                  |    |                  |    |                  |    |
| <b>0</b>  | 20               | 44 | 16               | 35 | 36               | 39 |
| <b>1</b>  | 17               | 37 | 23               | 50 | 40               | 44 |
| <b>2</b>  | 7                | 15 | 7                | 15 | 14               | 15 |
| <b>Unknown</b>                                  | 2                | 4  | 0                | 0  | 2                | 2  |
| <b>Ethnicity</b>                                |                  |    |                  |    |                  |    |
| <b>White</b>                                    | 43               | 94 | 45               | 98 | 88               | 96 |
| <b>Mixed</b>                                    | 0                | 0  | 1                | 2  | 1                | 1  |
| <b>Asian or British Asian</b>                   | 2                | 4  | 0                | 0  | 2                | 2  |
| <b>Black or Black British</b>                   | 1                | 2  | 0                | 0  | 1                | 1  |
| <b>STRATIFICATION VARIABLES</b>                 |                  |    |                  |    |                  |    |
| <b>Stage of disease at second randomisation</b> |                  |    |                  |    |                  |    |
| <b>Early/locally advanced disease</b>           | 24               | 52 | 20               | 43 | 44               | 48 |
| <b>Metastatic disease</b>                       | 21               | 46 | 23               | 50 | 44               | 48 |
| <b>Haematological malignancy</b>                | 1                | 2  | 3                | 7  | 4                | 4  |
| <b>Platelet count at second randomisation</b>   |                  |    |                  |    |                  |    |
| <b>&lt;=350,000/<math>\mu</math>l</b>           | 42               | 91 | 42               | 91 | 84               | 91 |
| <b>&gt;350,000/<math>\mu</math>l</b>            | 4                | 9  | 4                | 9  | 8                | 9  |
| <b>Type of VTE</b>                              |                  |    |                  |    |                  |    |
| <b>Symptomatic VTE</b>                          | 16               | 35 | 16               | 35 | 32               | 35 |
| <b>PE</b>                                       | 10               | 22 | 12               | 26 | 22               | 24 |

|   | DVT | 6  | 13 | 4  | 9  | 10 | 11 |
|---|-----|----|----|----|----|----|----|
| <b>Incidental PE</b>                    |     | 30 | 65 | 30 | 65 | 60 | 65 |
| <b>Risk of clotting by tumour type</b>  |     |    |    |    |    |    |    |
| <b>High risk</b>                        |     | 36 | 78 | 36 | 78 | 72 | 78 |
| <b>Low risk</b>                         |     | 10 | 22 | 10 | 22 | 20 | 22 |
| <b>First randomised trial treatment</b> |     |    |    |    |    |    |    |
| <b>Dalteparin</b>                       |     | 21 | 46 | 21 | 46 | 42 | 46 |
| <b>Rivaroxaban</b>                      |     | 25 | 54 | 25 | 54 | 50 | 54 |
| <b>Tumour type</b>                      |     |    |    |    |    |    |    |
| <b>Bladder</b>                          |     | 1  | 2  | 2  | 4  | 3  | 3  |
| <b>Breast</b>                           |     | 9  | 18 | 7  | 15 | 16 | 16 |
| <b>Cancer Unknown Primary</b>           |     | 1  | 2  | 1  | 2  | 2  | 2  |
| <b>Chronic Lymphoid</b>                 |     | 0  | 0  | 2  | 4  | 2  | 2  |
| <b>Leukaemia</b>                        |     |    |    |    |    |    |    |
| <b>Colorectal</b>                       |     | 6  | 13 | 14 | 31 | 20 | 22 |
| <b>Gastric</b>                          |     | 2  | 4  | 1  | 2  | 3  | 3  |
| <b>Gynaecological</b>                   |     | 2  | 4  | 0  | 0  | 2  | 2  |
| <b>Kidney</b>                           |     | 2  | 4  | 1  | 2  | 3  | 3  |
| <b>Lung</b>                             |     | 3  | 7  | 5  | 11 | 8  | 9  |
| <b>Lymphoma</b>                         |     | 4  | 9  | 6  | 13 | 10 | 11 |
| <b>Multiple myeloma</b>                 |     | 1  | 2  | 1  | 2  | 2  | 2  |
| <b>Oesophageal/gastro-oesophageal</b>   |     | 4  | 9  | 3  | 7  | 7  | 8  |
| <b>Ovarian</b>                          |     | 6  | 13 | 0  | 0  | 6  | 7  |
| <b>Pancreatic</b>                       |     | 2  | 4  | 0  | 0  | 2  | 2  |
| <b>Prostate</b>                         |     | 2  | 4  | 3  | 7  | 5  | 6  |
| <b>Cholangiocarcinoma</b>               |     | 1  | 2  | 0  | 0  | 1  | 1  |
| <b>Ottawa score*</b>                    |     |    |    |    |    |    |    |
| <b>Low risk (&lt;0)</b>                 |     | 10 | 22 | 16 | 35 | 26 | 28 |
| <b>Moderate risk (=0)</b>               |     | 25 | 54 | 22 | 48 | 47 | 51 |
| <b>High risk (&gt;1)</b>                |     | 11 | 24 | 8  | 17 | 19 | 21 |

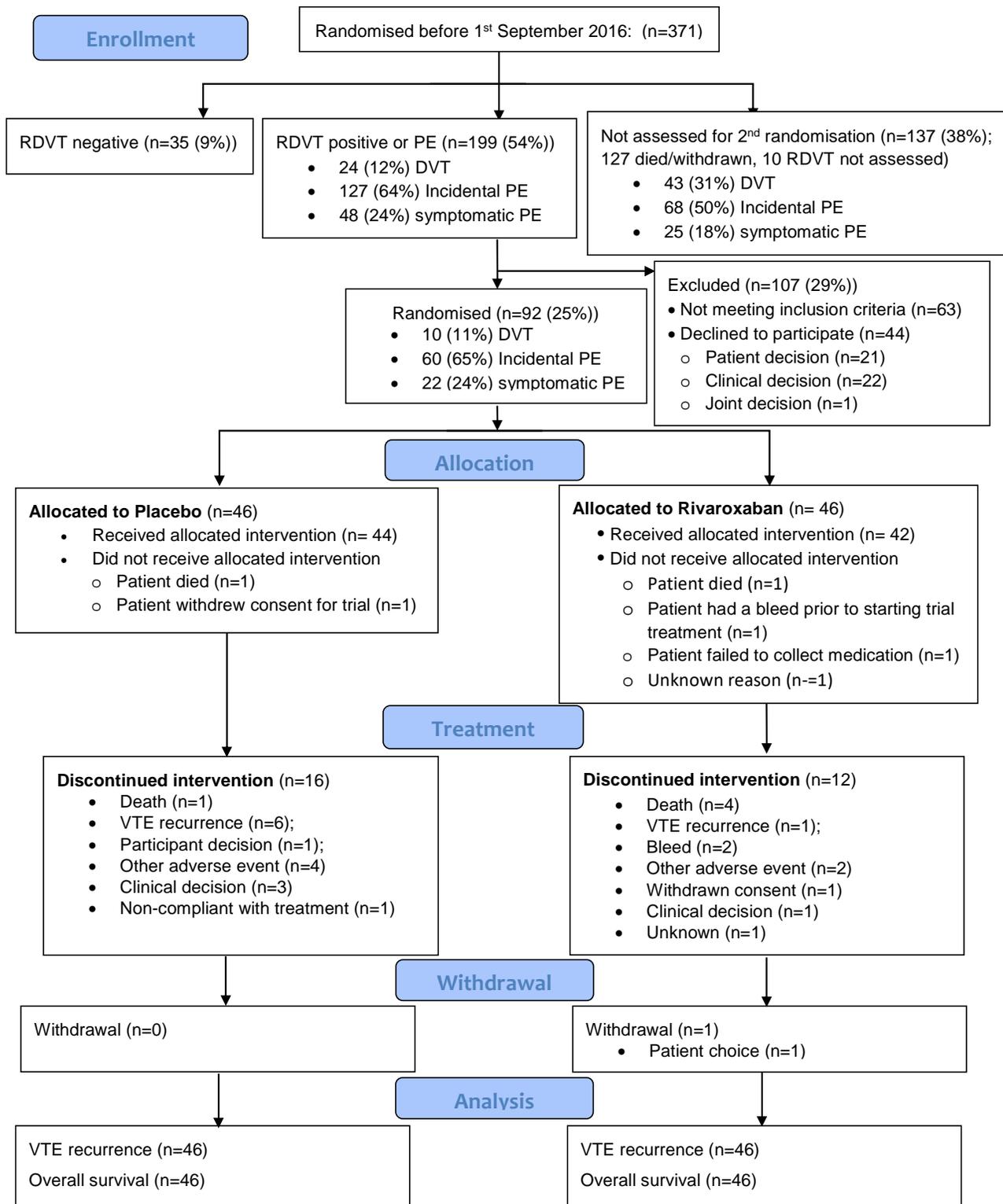
\*Louzada et al. Circulation 2012 (17)

**FIGURE LEGENDS**

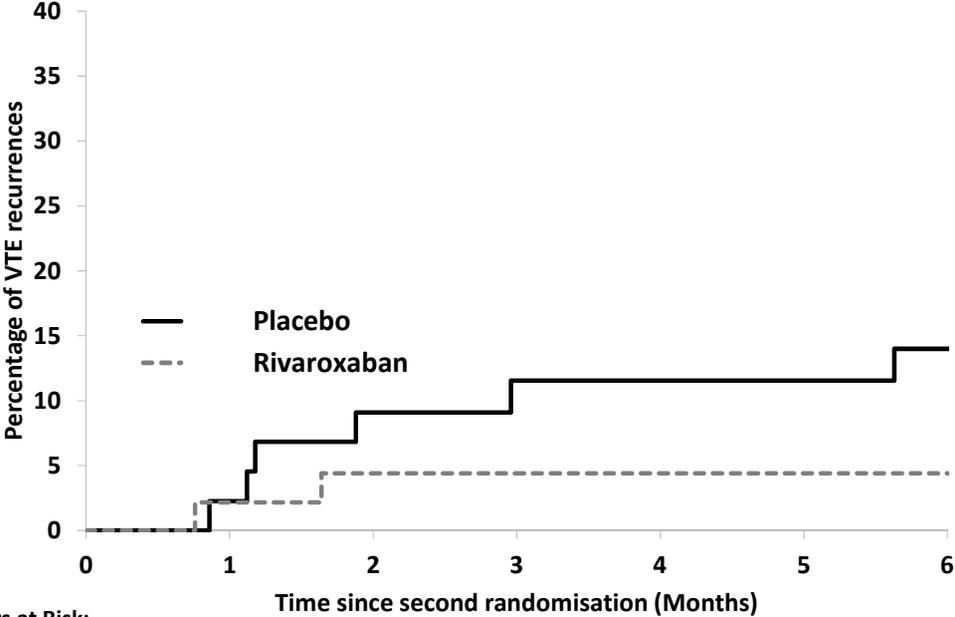
**Figure 1: Consort diagram for the second randomisation**

**Figure 2: VTE recurrence for those in the second randomisation**

**Figure 1: Consort diagram for the second randomisation**



**Figure 2: VTE recurrence for those in the second randomisation**



Numbers at Risk:

|             | 0  | 1  | 2  | 3  | 4 | 5 | 6 |
|-------------|----|----|----|----|---|---|---|
| Placebo     | 46 | 40 | 36 | 35 |   |   |   |
| Rivaroxaban | 46 | 42 | 38 | 37 |   |   |   |