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# Impact of ultrasonic welding on multi-layered Al-Cu joint for electric vehicle battery applications: A layer-wise microstructural analysis

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## Abstract

Multi-layered aluminium (Al) tabs to copper (Cu) busbar joints are increasingly being used for electric vehicle (EV) battery applications. Being a solid-state joining process, ultrasonic welding (USW) offers several benefits including less intermetallic or no porosity formation and larger weld area compared to fusion type welding, especially for highly conductive /reflective multi-layered dissimilar materials welding. In spite of being a suitable joining process, the impact of ultrasonic process parameters needs in-depth analysis for multi-layered stack-up where the process parameters play a pivotal role to join the layers of weldments. In this study, three layers of 0.3 mm Al tabs were welded to 1.0 mm single Cu busbar for the investigations of multi-layered Al-Cu dissimilar joints. Joint macro and microstructures, welding mechanism, layer-wise micro-hardness and grain formation were studied to understand the flow of material, the formation of grains and mixing of the Al and Cu for under-weld, good-weld and over-weld categories. The effects of amplitude of ultrasonic vibration, welding pressure and welding time were investigated to produce the satisfactory tab-to-busbar connection. The layer-wise microstructural study revealed the welding mechanism, propagation of micro-bonds and flow of material. The micro-hardness study unveiled different weld zones indicating the area of material mixing and the affected region whereas the crystallographic orientation maps disclosed the grain formation and recrystallization after the welding. The results showed that interfacial material mixing, wave-like material flow and interfacial micro-bonds formation were the prominent reasons for the satisfactory ultrasonic weld.

**Keywords:** Ultrasonic welding; multi-layered dissimilar joints; weld microstructure; micro-hardness distribution; grain formation; fractography.

## 33 **1 Introduction**

34 Recent uptake of electric vehicles and advancement in automotive technology urge improved  
35 techniques to meet the environmental challenges and market demand. Electric vehicles, hybrid  
36 or plug-in hybrid electric vehicles are increasingly being used for the reduction of emission of  
37 greenhouse gases and meeting the national and international legislation on emission target [1].  
38 The battery pack used within these vehicles are composed of a large number of battery cells,  
39 which are electrically connected and structurally held [2]. For example, in case of pouch cell  
40 based battery pack, cell tabs are to be connected with the busbar for making the successful  
41 electrical connection. However, the making of those connections is not trivial as multi-layered  
42 stack-up, highly reflective and conductive materials are to be joined. Therefore, there is a need  
43 for suitable joining techniques to address the need for manufacturing the battery pack. For  
44 example, multiple tabs protruded from the battery cell terminals are welded with the busbar  
45 within the battery pack of the electric vehicle [3]. Joint strength and electrical conductivity of  
46 tab to busbar joint are extremely important criteria for a successful connection [4]. The  
47 electrical conductivity through the weldments should be high enough so that the energy loss  
48 would be minimum possible [3], [5]. In addition, the joint strength should be sufficient to  
49 withstand all the impact and vibrational forces [3], [6].

50 To address the aforesaid challenges and requirements, a number of joining techniques are being  
51 investigated for battery pack manufacturing [7], [8] including conventional fusion welding and  
52 solid-state welding. In general, conventional fusion welding is associated with many problems  
53 ranging from brittle intermetallic formation to distortion in weldments [6], [9]. In addition,  
54 conventional fusion welding may not be suitable for highly conductive and reflective metals  
55 when large welding nugget is expected. As a solution to these problems, solid-state welding  
56 becomes popular due to the elimination of the metallurgical defects such as the formation of  
57 intermetallic compounds (IMC), brittle phases and porosities in the fused zone liquid phase  
58 reactions [10], [11], [12]. Two prominent solid-state welding processes are friction stir welding  
59 (FSW) and ultrasonic welding (USW). However, the application of FSW is restricted due to  
60 the formation of brittle intermetallic phases in the weldments and incapability to joint thin  
61 sheets effectively [13], [14], [15]. In contrast, USW is one of the promising techniques to join  
62 these multi-layered stack-ups for automotive battery manufacturing [6], [16]. As a solid-state  
63 welding process, USW avoids melting of the materials and joins them based on diffusion and  
64 adhesion of the softened metals due to interfacial friction [3], [17]. USW seems advantageous

65 in this case, as it provides necessary joint strength and it offers low or no brittle intermetallic  
66 layers along the weld line, which ensures less electrical resistance [6], [18], [19]. Hence, this  
67 process is suitable for highly conductive and reflective soft metals such as aluminium, copper,  
68 brass, silver and gold [20]. Therefore, USW emerges as an appropriate technique for thin sheets  
69 welding applicable to various electric vehicle battery, electrical and electronics industries.

70 The working principle of USW system can be explained in a few steps. A piezoelectric  
71 transducer converts the electrical energy to the shear vibration of the sonotrode, which helps  
72 the samples to joint together with the help of clamping force [21], [22]. At first, the surface  
73 oxide layers are removed from the sample interface [23] and material gets softened due to  
74 temperature rise at the sample interface. This ultrasonic vibration creates a diffusion of metals  
75 and subsequently adhesion [20]. Several bonding mechanisms were reported in the literature  
76 including interfacial diffusion, adhesion due to plastic deformation, local heating and  
77 mechanical interlocking [24]. However, the exact bonding mechanism varies from metal to  
78 metal due to the change in material properties and depends on the stack-up combination.

79 Various researchers had investigated the welding mechanism, optimal parametric conditions,  
80 joint strength and reliability of ultrasonic welds. USW of diverse combinations of metal or non-  
81 metal sheets such as Al-Cu, Al-steel, metal-ceramic and metal-glass was reported in the  
82 literature [25], [26]. Bakavos and Prangnell [21] studied the mechanism of Al-Al and reported  
83 that interfacial convolutes, swirls, ripples and micro-bonding were the mechanisms behind the  
84 weld. Chen et al. [27] studied the interfacial heat-affected zone (HAZ) along the weld line and  
85 explained that due to increase of interfacial temperature to a sufficiently high level (400° C),  
86 the softening became very swift and recovery was at a much faster rate than parent metal due  
87 to natural ageing. There are few measures adapted by researchers to evaluate weld quality. For  
88 example, several researchers tried to quantify bond quality in USW. Linear weld density was  
89 presented to quantify weld quality by Kong et al. [28]. The ratio of an actual bonded line along  
90 the weld interface to the entire weld along the weld interface was termed as linear weld density  
91 and it was used as a quantitative weld quality criterion for ultrasonic welds. Hu et al. [29]  
92 proposed peel test to analyse bond quality. The higher strength, a material offered during  
93 peeling it off from the weld, represented the higher bond quality. Hetrick et al. [30] assessed  
94 various microstructural phenomenon (i.e. various distances within the weld zone including  
95 peak and valley heights from the weld line) to describe the bonding of USW. A comprehensive  
96 evaluation was conducted by Lee et al. [6], where they studied bond density, post-weld  
97 thickness, thermomechanically affected zone (TMAZ) to characterize weld quality based on a

98 single-layered joint of 0.4 mm pure Cu sheet with 1 mm Ni plated Cu sheet. It was found that  
99 microhardness played an important role to explain the work hardening and thermal softening  
100 during USM and segregated the TMAZ from the base metal (BM) [6], [17]. For example,  
101 Macwan et al. [31] studied layer-wise micro-hardness values of multi-layered Al-Mg joints and  
102 correlated them with the weld strength observed from lap shear tests. Hence, the measurement  
103 of micro-hardness is an integral part to evaluate weld quality. Furthermore, Prangnell et al. [19]  
104 and Haddadi et al. [18] observed high-resolution electron back scattered diffraction (EBSD)  
105 Euler contrast maps at the weld interface of Al-Steel joint and investigated the dynamic  
106 recrystallization phenomena by analysing the grain size at the weld interface. Thus, EBSD  
107 study would reveal the grain formation and recrystallization phenomena of grains for different  
108 weld conditions. However, grain formation and recrystallization for multi-layered ultrasonic  
109 weld are not reported in the literature, and subsequently, their effects on the ultrasonic weld  
110 quality need to be addressed.

111 Few studies were conducted considering Al-Cu dissimilar material combination. For example,  
112 single layer based dissimilar Al-Cu joint was studied by Satpathy and Sahoo [16], Zhao et al.  
113 [11] and Balasundaram et al. [32] where they explored welding mechanism and micro-hardness  
114 distribution. In addition, Wu et al. [3] extended their study for multi-layered Al-Cu joint and  
115 they investigated the weld formation mechanism and failure modes using the lap shear test.  
116 Multi-layered dissimilar Al-Cu welding is essential for electric vehicle battery applications;  
117 however, extensive investigations are not performed yet. Therefore, this study focussed on in-  
118 depth weld mechanism study, layer-wise micro-hardness analysis and grain formation study  
119 for multi-layered dissimilar Al-Cu joint.

120 In this study, three layers of 0.3 mm Al sheet (i.e. representative of battery tabs) were welded  
121 to the single layer of 1.0 mm Cu sheet (i.e. representative of battery busbar) using USW and  
122 the impact of ultrasonic welding parameters on this multi-layered dissimilar materials were  
123 investigated. The welding mechanism of this multi-layered joint was investigated by observing  
124 material flow, interfacial material mixing and micro-bond formation with the help of optical  
125 microscopy. To reveal the various weld zones related to work hardening and thermal softening  
126 phenomena, the layer-wise micro-hardness measurement was carried out. Furthermore, this  
127 helped to segregate the TMAZ from the BM. Moreover, grain formation at the weld interface  
128 as well as under the sonotrode tip was investigated by observing grain size and dynamic  
129 recrystallization using high-resolution EBSD Euler maps. Grain formation analysis helped to  
130 understand the layer-wise welding mechanism better in a multi-layered USW weldment.

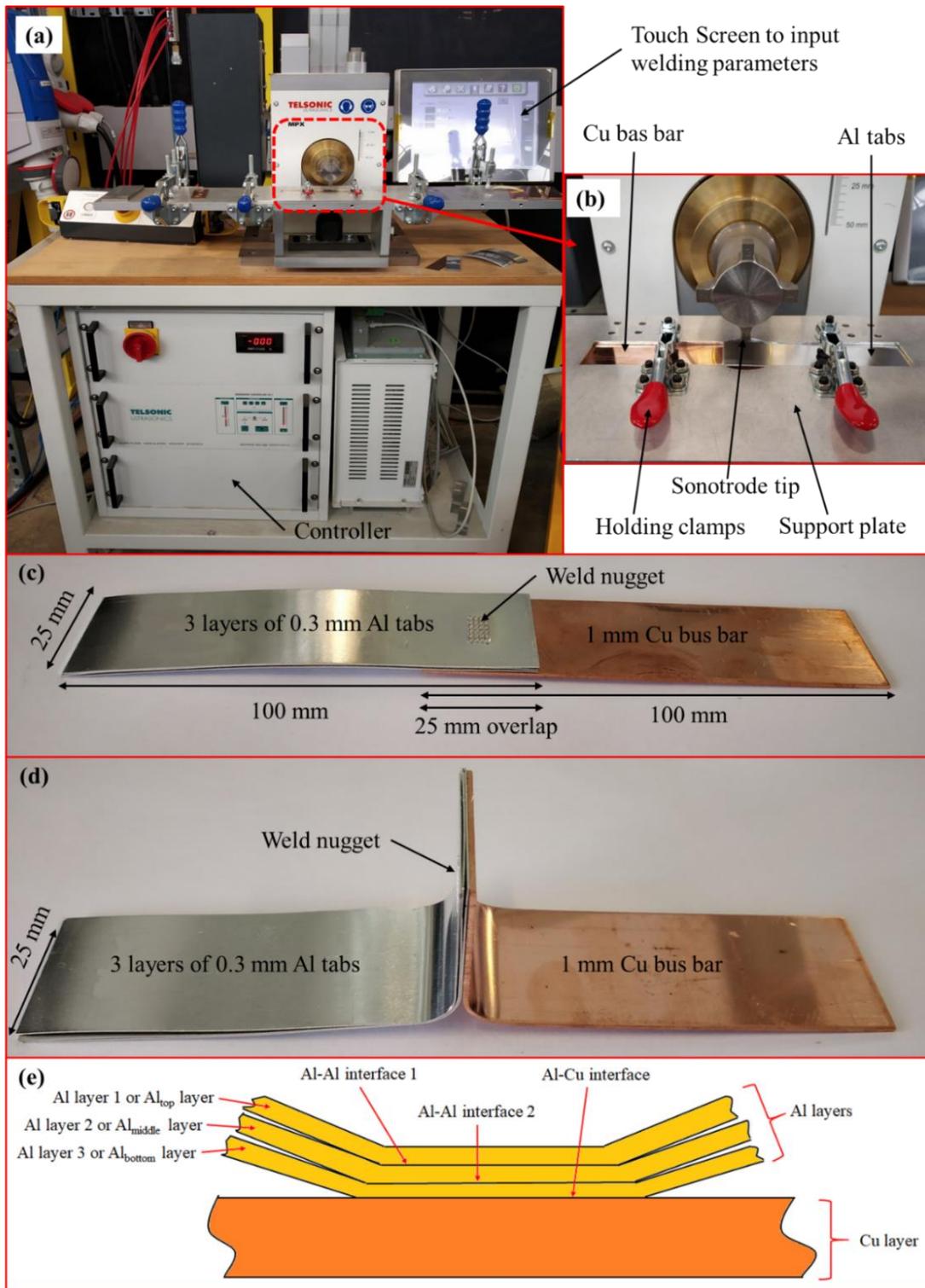
131 **2 Materials and methods**

132 Aluminium (Al) sheet of 0.3 mm was chosen as tab material and 1.0 mm copper (Cu) sheet  
 133 was selected as busbar. Chemical composition of both the metals are detailed in Table 1.  
 134 Samples with 100 mm length and 25 mm width were cut from the sheet metals for the  
 135 preparation of welding specimens. Two different types of welding specimens were prepared,  
 136 such as lap shear (refer to Fig. 1c) and T-peel (refer to Fig. 1d) configurations. During the  
 137 preparation of both the configurations, a single layer of Cu sample was kept at the bottom  
 138 whereas three layers of Al samples were kept on top of the Cu layer. For both the configuration,  
 139 25 mm overlap of Al and Cu samples was ensured. The welding of the specimens was carried  
 140 out by using Telsonic MPX ultrasonic welder. The maximum power and maximum force of  
 141 this welder were 6.5 kW and 5 kN respectively. Peak to peak maximum amplitude of ultrasonic  
 142 vibration was 60µm. The trigger mode time (time for converting traversing pressure to welding  
 143 pressure) was kept at 0.2 s. The sonotrode covered a weld area of 10 × 5 mm<sup>2</sup>. The detailed  
 144 diagram of the welding set-up is depicted in Fig. 1a-b. Fig. 1e represents the schematic of the  
 145 weld geometry with defined layers and interfaces. In this study, three Al layers are denoted as:  
 146 (i) Al layer 1 or Al<sub>top</sub> layer (i.e. the top Al sheet), (ii) Al layer 2 or Al<sub>middle</sub> layer (i.e. the middle  
 147 Al sheet) and (iii) Al later 3 or Al<sub>bottom</sub> layer (i.e. the bottom Al sheet). Similarly for the  
 148 interfaces, the notations are used as: (i) Al-Al interface 1 (i.e. interface in between Al<sub>top</sub> layer  
 149 and Al<sub>middle</sub> layer), (ii) Al-Al interface 2 (i.e. interface in between Al<sub>middle</sub> layer and Al<sub>bottom</sub>  
 150 layer) and (iii) Al-Cu interface (i.e. interface in between Al<sub>bottom</sub> layer and Cu sheet). These  
 151 notations are used throughout the entire paper.

152 Table 1: Chemical composition of metals investigated in this study.

Material	Grade	Chemical composition (wt%)
Aluminium (Al)	AW1050A-H18; BS EN546 CW004A-H065; BS	Si < 0.25, Fe < 0.40, Cu < 0.05, Mn < 0.05, Mg < 0.05, Zn < 0.07, Ti < 0.05, Al-balance 99.50
Copper (Cu)	EN1652 (C101HH; BS 2870)	Cu > 99.99, O < 0.0005, other-balance

153



154

155 Fig. 1: USW set-up: (a) USW machine, (b) welding head with sonotrode and clamps for holding  
 156 the work specimens, (c) lap shear joint configuration, (d) T-peel joint configuration and (e)  
 157 schematic representation of weld joint.

158 During performing ultrasonic welding, three input parameters namely amplitude of ultrasonic  
 159 vibration (denoted as amplitude), welding pressure (denoted as pressure) and welding time  
 160 (denoted as time) were varied one at a time while other input parameters were kept constant.

161 The input parameters with the various levels are shown in Table 2. The values of constant input  
 162 parameters throughout the entire study were: frequency of ultrasonic vibration was 20 kHz,  
 163 peak to peak amplitude of ultrasonic vibration was 60  $\mu\text{m}$ , holding time after welding was 0.3  
 164 s and joining area was  $10 \times 5 \text{ mm}^2$ . Based on the joint strength and failure mode during lap  
 165 shear and T-peel tests, the welded specimens were categorised into three different groups  
 166 namely under-weld, good-weld and over-weld. Lap shear and T-peel tests were conducted to  
 167 evaluate joint strength by applying 10 kN load in an Instron 5800 machine. Crosshead speeds  
 168 were kept at 2 mm/min and 10 mm/min for lap shear and T-peel tests respectively. The detailed  
 169 procedure of the selection of weld category was described in the literature [33].

170 Table 2: Input parameter variations adopted in this study.

Input parameter variations with corresponding weld energy					Constant parameters during each variation
Input parameters	Variation levels				
Amplitude, $a$ ( $\mu\text{m}$ )	40 $\mu\text{m}$	45 $\mu\text{m}$	50 $\mu\text{m}$	55 $\mu\text{m}$	Pressure: 1 bar Time: 0.60 sec
Corresponding average weld energy	310 J	427 J	615 J	790 J	
Pressure, $p$ (bar)	1 bar	2 bar	3 bar	4 bar	Amplitude: 50 $\mu\text{m}$ Time: 0.60 sec
Corresponding average weld energy	615 J	655 J	734 J	741 J	
Time, $t$ (second)	0.15 sec	0.30 sec	0.45 sec	0.60 sec	Amplitude: 50 $\mu\text{m}$ Pressure: 1 bar
Corresponding average weld energy	111 J	269 J	427 J	615 J	

171

172 To investigate the welding mechanism, weld zones and grain formation for three different weld  
 173 categories, namely under-weld, good-weld and over-weld, several microstructural analyses  
 174 were conducted. The welded samples of these three weld categories were cut along the cross-  
 175 section and were cold mounted; and subsequently polished using SiC abrasive paper, diamond  
 176 suspension solutions and colloidal silica solution. Microstructural analysis was performed by  
 177 observing weld microstructures in Nikon Eclipse LV150N optical microscope (OM) and  
 178 ZEISS SIGMA field emission scanning electron microscope (FE-SEM) equipped with electron  
 179 backscattered diffraction (EBSD) systems. EBSD scanning was done with 0.5  $\mu\text{m}$  step size.  
 180 Vickers micro-hardness of the weld samples was measure by using fully automatic Buehler's

181 Wilson VH1202 micro-hardness testing machine by applying 50 gm force (i.e. 0.49 N) for 10  
182 sec dwell time. Fractography was conducted by observing fracture surface, obtained during lap  
183 shear and T-peel tests, in the ZEISS SIGMA FE-SEM.

### 184 **3 Results**

#### 185 **3.1 Lap shear and T-peel strengths**

186 Lap shear and T-peel are the two important tests to check the weld strength. A good-weld  
187 always imparts a satisfactory strength during the lap shear and T-peel tests. In the previous  
188 study by Das et al. [33], lap shear and T-peel tests of USW welded samples were carried out  
189 and subsequently, all the welded samples were classified into three weld categories (i.e. under-  
190 weld, good-weld and over-weld) according to the load-displacement features and failure modes  
191 obtained from lap shear and T-peel tests. The same approach was adapted in this study to  
192 classify the welds into the three weld categories. Understanding the effect of input parameters  
193 on weld strength is an important aspect to visualize how the input parameters play an important  
194 role in weld mechanism. To realise the main effects of input parameters, three main input  
195 parameters were varied which were amplitude, pressure and time. This was obtained by varying  
196 one parameter at a time while keeping the other parameters at a constant value. The details of  
197 the input parameter variations are presented in Table 2.

198 The effects of input parameters i.e. amplitude, pressure and time on maximum load obtained  
199 from the lap shear and T-peel tests are depicted in Fig. 2. The effect of amplitude on the  
200 maximum load during lap shear and T-peel tests is shown in Fig. 2a. Amplitude variation  
201 changed the maximum load at lap shear test within the limit of 371 N (i.e. 1534 N lap shear  
202 load was obtained at 40  $\mu\text{m}$  amplitude and increased to 1905 N at 45  $\mu\text{m}$  and thereafter  
203 gradually decreased to 1770 N at 55  $\mu\text{m}$  amplitude) whereas it changed the T-peel maximum  
204 load around 500 N (i.e. 113 N T-peel load was obtained at 40  $\mu\text{m}$  amplitude and gradually  
205 increased to 613 N at 55  $\mu\text{m}$ ). In contrast, increasing pressure had a decreasing effect on both  
206 maximum lap shear and T-peel loads. For example, maximum lap shear and T-peel loads were  
207 decreased by around 632 N and 302 N respectively when the pressure was increased from 1  
208 bar to 4 bar as indicated in Fig. 2b. Fig. 2c shows that the time variation increased the maximum  
209 load obtained from the lap shear test by 676 N while the maximum load obtained from T-peel  
210 test was increased by 344 N due to incremental increase in the welding time from 0.15 sec to  
211 0.60 sec. It was prominent that the maximum load during lap shear tests reduced gradually with  
212 the increase of pressure, whereas higher time helped to improve maximum load during lap

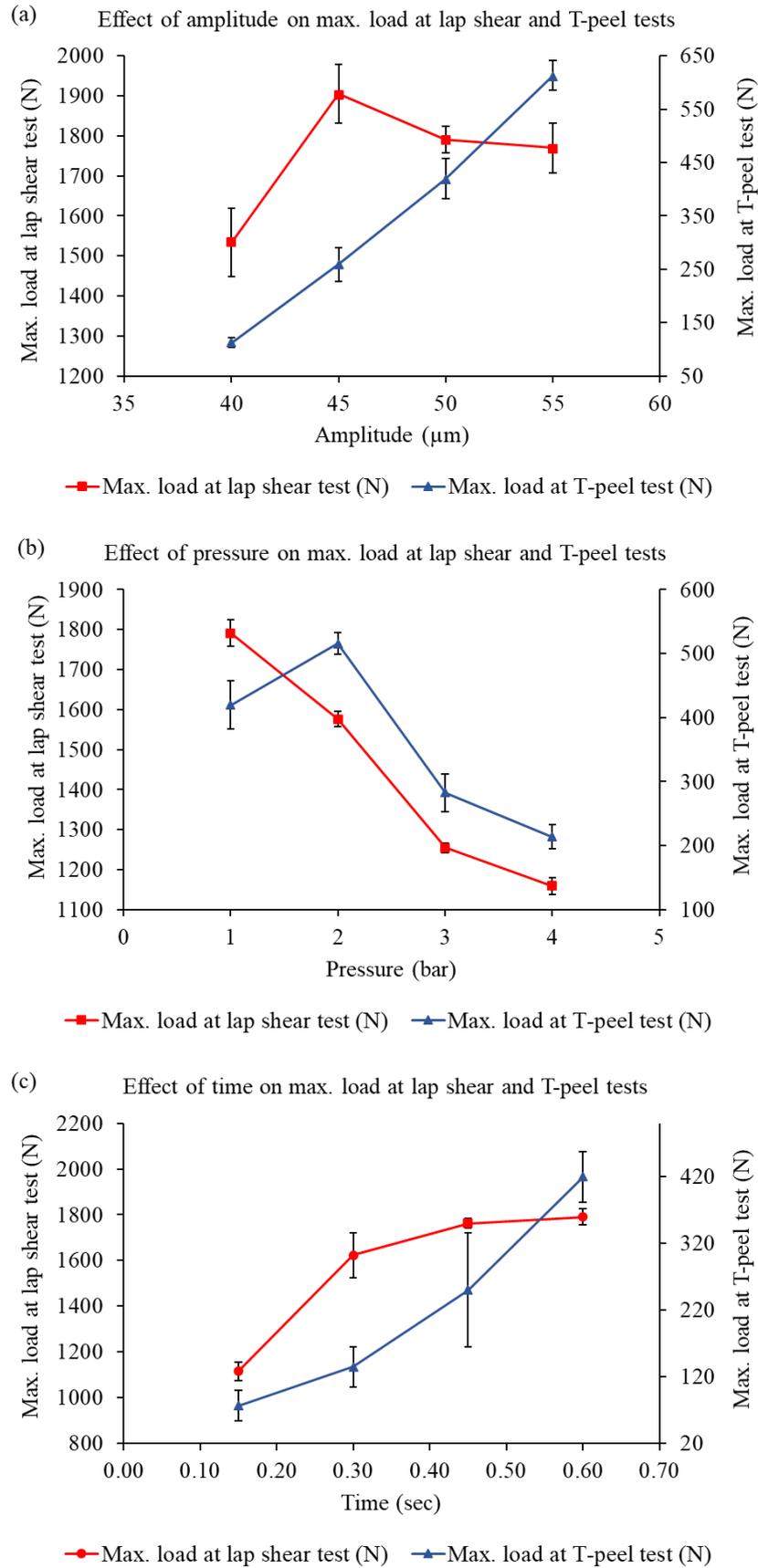
213 shear tests. Amplitude variation did not play a major role as it improved the maximum load  
 214 during lap shear tests by a small amount. On the other hand, amplitude variation played a  
 215 significant role in improving the maximum load during T-peel tests. Both the higher amplitude  
 216 and higher time increased the maximum load during T-peel tests, however, a high welding  
 217 pressure reduced the maximum load obtained from T-peel tests. Hence, pressure and time were  
 218 the two main parameters to improve the lap shear strength of a joint while amplitude and time  
 219 were the most critical parameter for improving T-peel strength. Overall, time is the most critical  
 220 parameter for improving both lap shear and T-peel joint strengths followed by pressure and  
 221 amplitude.

222 Variation in input parameters played a significant role in deriving the weld categories from lap  
 223 shear and T-peel tests. The methodology to derive the weld categories from lap shear and T-  
 224 peel tests results were described in the literature [33]. The effect of input parameter variations  
 225 on weld category is summarized in Table 3. Amplitude variation helped the weld category to  
 226 be elevated from under-weld to good-weld for both the lap shear and T-peel tests whereas  
 227 pressure variations had the potential to change the weld category from good-weld to over-weld.  
 228 However, time variation mostly helped to elevate the weld category from under-weld to good-  
 229 weld within the investigated time boundaries.

230 Table 3: Effect of input parameter variations on weld category.

Input parameter variation		Weld category as per lap shear test	Weld category as per T-peel test
Amplitude	40 $\mu\text{m}$	Under-weld	Under-weld
(Constant-	45 $\mu\text{m}$	Good-weld	Under-weld
Pressure: 1 bar,	50 $\mu\text{m}$	Good-weld	Under-weld
Time: 0.60 sec)	55 $\mu\text{m}$	Good-weld	Good-weld
Pressure	1 bar	Good-weld	Under-weld
(Constant-	2 bar	Good-weld	Good-weld
Amplitude: 50 $\mu\text{m}$ ,	3 bar	Over-weld	Good-weld
Time: 0.60 sec)	4 bar	Over-weld	Over-weld
Time	0.15 sec	Under-weld	Under-weld
(Constant-	0.30 sec	Under-weld	Under-weld
Amplitude: 50 $\mu\text{m}$ ,	0.45 sec	Good-weld	Under-weld
Pressure: 1 bar)	0.60 sec	Good-weld	Under-weld

231



232

233 Fig. 2: Effect of (a) amplitude, (b) pressure and (c) time on maximum load obtained from the  
 234 lap shear and T-peel tests.

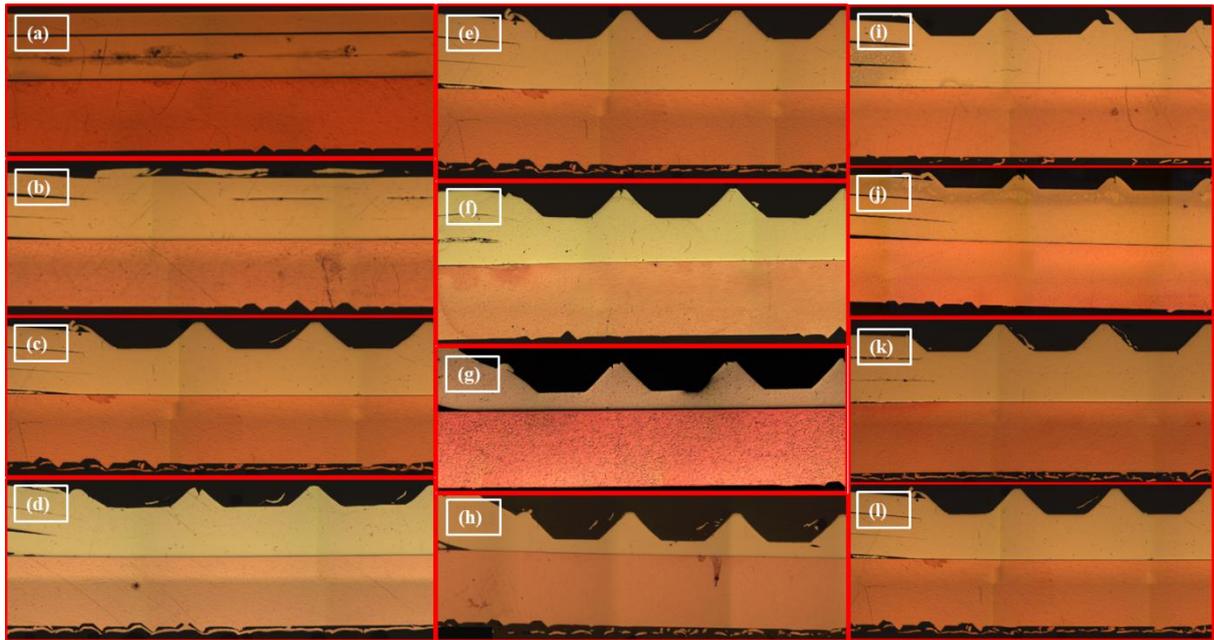
## 235 **3.2 Effect of process parameters on weld microstructure**

236 Study of weld microstructure is an effective method to understand the overall weld mechanism  
237 and effect of different weld parameters on weld quality. In this work, the effects of the three  
238 weld parameters on the weld quality and weld mechanism were studied by critically observing  
239 the weld microstructure. The observed weld parameters were amplitude, pressure and time.

### 240 **3.2.1 Effect of amplitude**

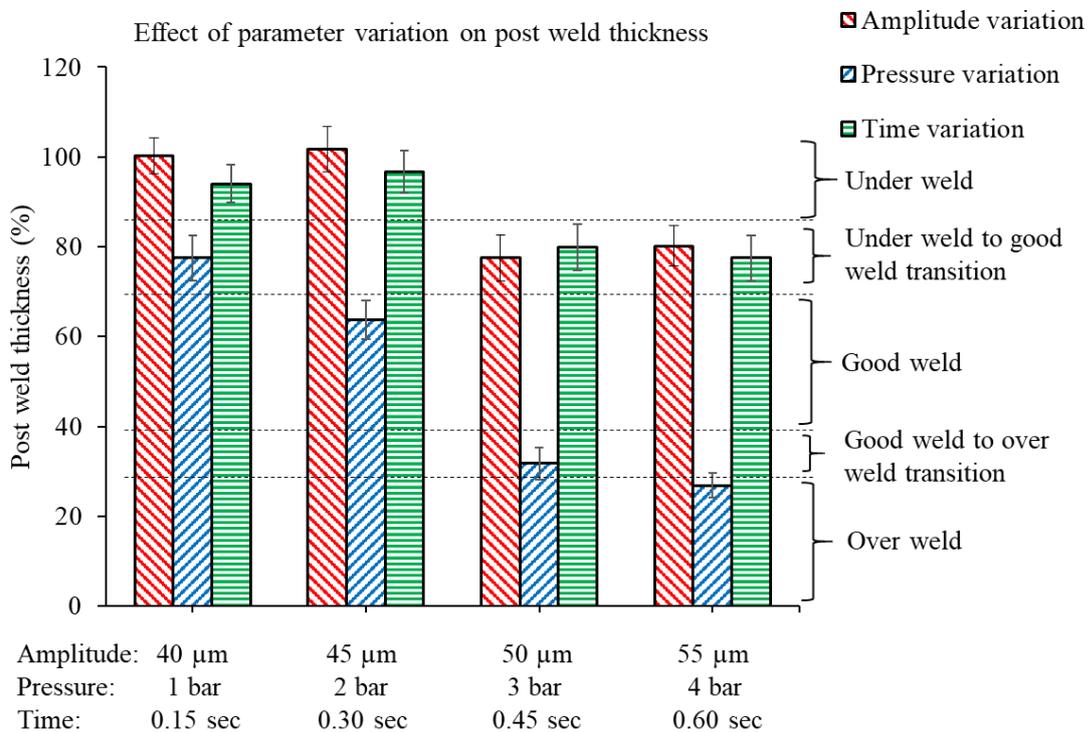
241 To evaluate the effect of amplitude, it was varied from 40  $\mu\text{m}$  to 55  $\mu\text{m}$  by a step of 5  $\mu\text{m}$  at a  
242 time while the other input parameters were kept at fixed values as shown in Table 2. Amplitude  
243 played an important role in multi-layered welding of dissimilar joints as depicted in Fig. 3a-d.  
244 It was prominent that the interfacial gaps at Al-Al interface 1, Al-Al interface 2 and Al-Cu  
245 interface were diminished with higher amplitude. The Al layers were not fully welded together  
246 when the amplitude was 40  $\mu\text{m}$  (Fig. 3a). In addition, there was a gap in between the Al-Cu  
247 interface (Fig. 3a). When the amplitude was increased to 45  $\mu\text{m}$ , these gaps between two  
248 subsequent Al layers were minimised (Fig. 3b). The Al<sub>top</sub> and Al<sub>middle</sub> layers were welded  
249 together in some places whereas gaps were visible at intermediate locations. However, the gap  
250 at Al-Al interface 2 was minute (Fig. 3b). For the other two amplitudes (i.e. 50  $\mu\text{m}$  and 60  $\mu\text{m}$ ),  
251 there was no visible gap at Al-Al interface 1, Al-Al interface 2 and Al-Cu interface (Fig. 3c-  
252 d).

253 For further characterising the weld category, the post-weld thickness was studied for all the  
254 amplitude variations, which is shown in Fig. 4. The percentage of post-weld thickness was  
255 calculated by taking the ratio of the actual measured post-weld thickness to the sum of the  
256 initial thickness of Al layers. Then, the percentage of post-weld thickness was split into five  
257 categories as indicated in Fig. 4 and these divisions were made by analysing the lap shear and  
258 T-peel test data as depicted in Table 3. When the amplitude was at mid-levels (i.e. 40  $\mu\text{m}$  and  
259 45  $\mu\text{m}$ ), the post-weld thickness was varied in the range of 85% to 100% and the welds  
260 belonged to the category of under-weld. As the amplitude increased to 50  $\mu\text{m}$  and 55  $\mu\text{m}$ , the  
261 post-weld thickness fell in the range of 70 % to 85%, which belonged within the under-weld to  
262 good-weld transition zone.



263

264 Fig. 3: Effect of input parameter variations on overall weld quality. Variation of amplitude: (a)  
 265 40  $\mu\text{m}$ , (b) 45  $\mu\text{m}$ , (c) 50  $\mu\text{m}$  and (d) 55  $\mu\text{m}$  when pressure: 1 bar and time: 0.60 sec; variation  
 266 of pressure: (e) 1 bar, (f) 2 bar, (g) 3 bar and (h) 4 bar when amplitude: 50  $\mu\text{m}$  and time: 0.60  
 267 sec; variation of time: (i) 0.15 sec, (j) 0.30 sec, (k) 0.45 sec and (l) 0.60 sec when amplitude:  
 268 50  $\mu\text{m}$  and pressure: 1 bar.



269

270 Fig. 4: Effect of input parameter variations on post-weld thickness.

### 271 **3.2.2 Effect of pressure**

272 The effect of pressure on welding was observed by varying the welding pressure from 1 bar to  
273 4 bar with the incremental step of 1 bar. From the weld images in Fig. 3e-h, it was noticeable  
274 that all the Al layers were held together and there was no gap visible at the Al-Cu interface. In  
275 addition, there was no Al-Al interfacial joining layer visible as no gap resulted after the  
276 welding. It is worth noticing that the thickness of Al layers was reduced when the welding  
277 pressure was increased. This was further verified by analysing the post-weld thickness as  
278 shown in Fig. 4. At the pressure of 1 bar, the post-weld thickness was around 80% which was  
279 in the transition zone from under-weld to good-weld. When the pressure was increased to 2  
280 bar, the post-weld thickness was reduced to around 60%. The range of good-weld lay in the  
281 region between 40% and 70% of the post-weld thickness. Hence, the weld at 2 bar pressure  
282 was in the good-weld category. However, a further increase in the pressure to 3 bar, the post-  
283 weld thickness remained in the zone of 30% to 40% and this zone was the transition from good-  
284 weld to over-weld. Similarly, when the pressure reached at 4 bar, the post-weld thickness was  
285 below 30% which was the lowest in the category and termed as over-weld.

### 286 **3.2.3 Effect of time**

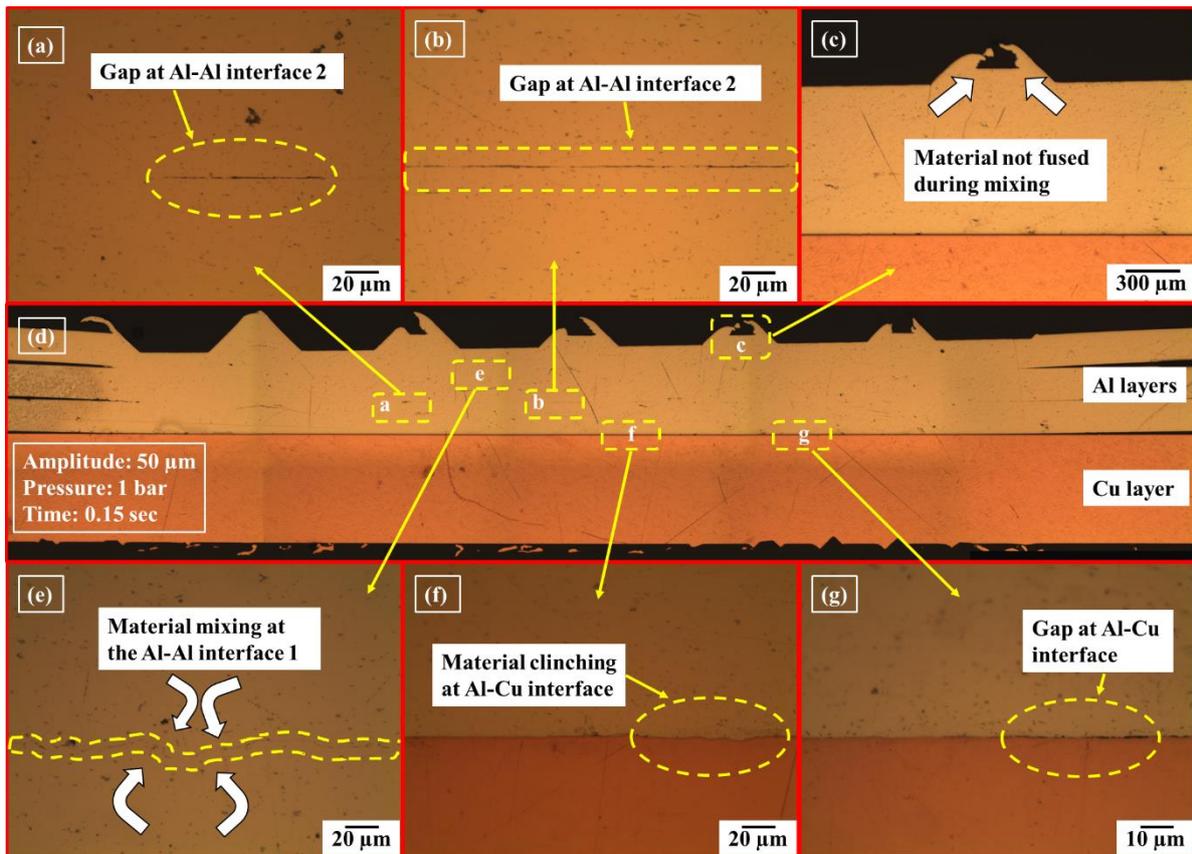
287 Welding time was varied from 0.15 sec to 0.60 sec with an incremental step of 0.15 sec to  
288 visualise the effect of welding time on weld microstructure. Welding images (Fig. 3i-l) showed  
289 that there were no interfacial gaps in between two subsequent Al layers and at Al-Cu interface.  
290 In addition, there was no prominent weld line visible at the Al-Al layer interfaces. It was also  
291 noticeable that the Al layers were welded together and the combined thickness of Al layers was  
292 not varied much. Post-weld thickness graph (Fig. 4) revealed that time variation created a  
293 similar effect of amplitude variation. At the welding time of 0.15 sec and 0.30 sec, the weld  
294 category remained in the under-weld zone where the post-weld thickness was within 85% to  
295 100%. On the other hand, when the welding time was at 0.45 sec and 0.60 sec, the welding  
296 belonged to under-weld to good-weld transition zone where the post-weld thickness was varied  
297 from 70% to 85%.

### 298 **3.3 Microstructure based classification of weld categories**

299 Visualising the joint formation is extremely crucial to understand the weld mechanism and the  
300 effects of the welding parameters. As there were three prominent weld categories, i.e. under-  
301 weld, good-weld and over-weld, the overall welding mechanism was analysed by observing  
302 these weld categories.

303 **3.3.1 Under-weld**

304 A typical optical micrograph of under-weld is shown in Fig. 5, which was obtained by using  
305 the input parameters as amplitude at 50  $\mu\text{m}$ , pressure at 1 bar and time at 0.15 sec. Fig. 5d  
306 showed the weld micrograph with the Al layers and Cu layer where the Al layers were attached  
307 together and there was no gap visible at the Al-Al interfaces as well as at the Al-Cu interface  
308 when observing under the optical microscope at low magnification (i.e. 5x magnification).  
309 Optical micrographs at higher magnifications (i.e. 20x or 50x magnification) were carried out  
310 to investigate the interfacial gaps and interfacial material mixing.



311

312 Fig. 5: Optical micrograph of a typical under-weld joint showing the welding mechanism.

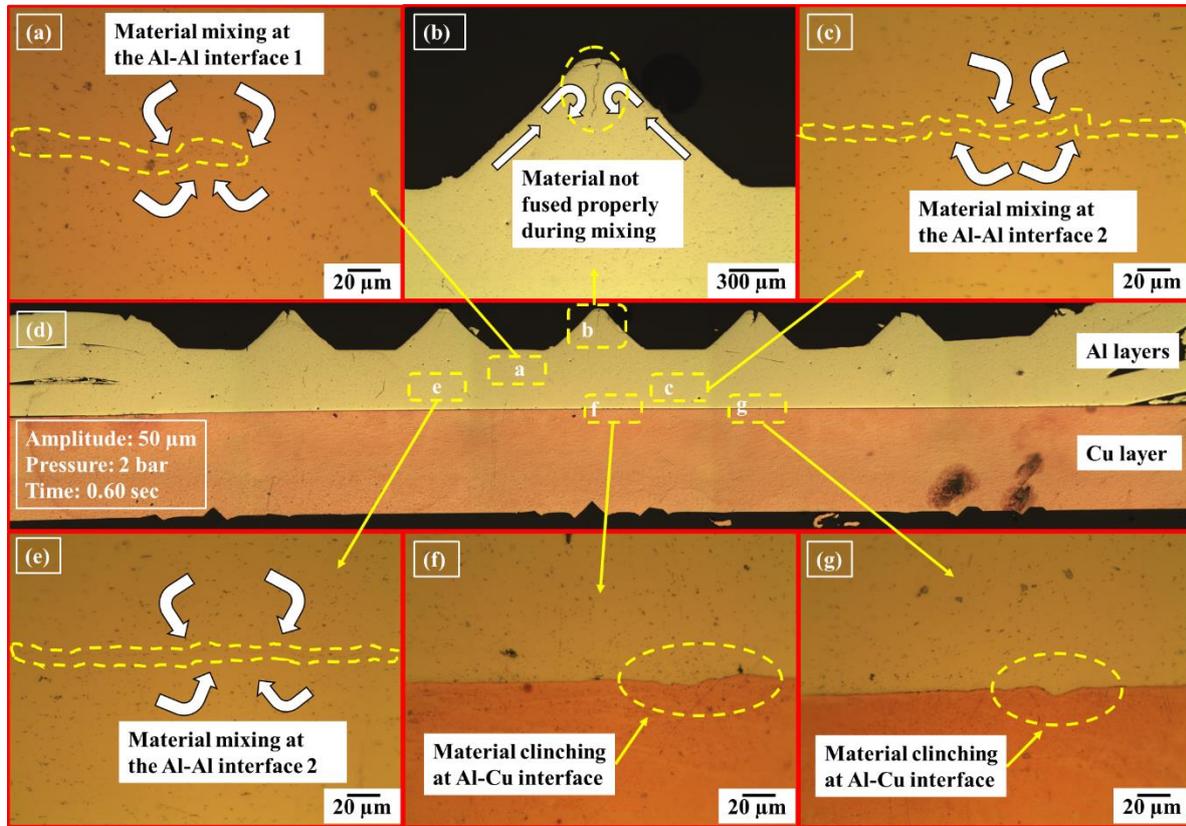
313 Higher magnification revealed that there were gaps (surrounded by a dotted line) at Al-Al  
314 interface 2 and the Al-Cu interface (Fig. 5a, Fig. 5b and Fig. 5g). However, these gaps were  
315 not continuous. When the sonotrode tips applied the pressure on the Al layers during USW, the  
316 ongoing plastic deformation forced the material to flow (indicated by arrow) in order to fill the  
317 space in between two sonotrode tips (Fig. 5c). During under-weld, the flow of material was not  
318 sufficient to fill the space completely. Hence, there was a gap and material was not mixed or  
319 fused properly as shown in Fig. 5c. The plastically deformed material also helped to mix the  
320 materials at the two subsequent Al layers as well as at the Al-Cu interface. At the Al-Al

321 interface 1, the material mixing was observed (indicated by arrow) and the micro-bonds, as  
322 well as wave-like interface [34], [35] (highlighted by the dotted line), was obtained (Fig. 5e).  
323 However, there was no gap observed at the Al-Al interface 1. On the other hand, the mixing of  
324 materials at the Al-Al interface 2 was not in higher intensity. Thus, there were intermittent  
325 interfacial gaps at the Al-Al interface 2 (surrounded by a dotted line in Fig. 5a and Fig. 5b). At  
326 the Al-Cu interface, material clinching, micro-bonds and wave-like interfaces [34], [35]  
327 (surrounded by a dotted line in Fig. 5f) were observed. However, at some portion, interfacial  
328 gaps or unbounded regions were observed (surrounded by a dotted line in Fig. 5g) as the  
329 ultrasonic energy input was not sufficient to create a continuous bond in under-weld.

### 330 3.3.2 Good-weld

331 Fig. 6 shows the typical micrograph of a good-weld. The welding parameters used to obtain  
332 the good-weld were amplitude at 50  $\mu\text{m}$ , pressure at 2 bar and time at 0.60 sec. Any kind of  
333 interfacial (Al-Al and Al-Cu interfaces) gaps, cracks or unbonded regions were not observed  
334 in the lower magnification micrograph of optical microscope (Fig. 6d). Whereas higher  
335 magnification revealed many underlying features which helped to understand the joint  
336 behaviours. When the sonotrode tips plunged into the Al layers, the materials were plastically  
337 deformed and the material was started to flow in the space between two sonotrode peaks and  
338 eventually tried to be mixed and fused properly (indicated by arrows in Fig. 6b). However,  
339 there was a thin gap or unbonded line under the sonotrode valley region (inverted delta or crest)  
340 as indicated by the dotted circle in Fig. 6b and it shows that the material mixing and fusion  
341 were not perfect at few crests of the good-weld. The sonotrode vibration helped the plastically  
342 deformed materials to be mixed properly at the Al-Al interfaces (Fig. 6a, Fig. 6c and Fig. 6e)  
343 and Al-Cu interface (Fig. 6f-g) as indicated by a dotted line. The mixing of materials at the Al-  
344 Al interface 1 was so intense that no continuous interfacial line was visible at the interface. The  
345 material mixing is indicated by the arrows (Fig. 6a). On the other hand, a continuous interfacial  
346 line was observed at the Al-Al interface 2 (Fig. 6c and Fig. 6e). A close view at the interfacial  
347 line revealed that the line was not straight rather it was wave-like. These suggested that the  
348 micro-bonds and swirls along with the interface occurred due to severe material mixing  
349 (indicated by arrows in Fig. 6c and Fig. 6e). The effect of severe material mixing was also  
350 prominent at the Al-Cu interface (Fig. 6f and Fig. 6g). Furthermore, material clinching and  
351 micro-bonds were observed at the Al-Cu interface (surrounded by a dotted line in Fig. 6f and  
352 Fig. 6g) due to the material mixing and there was no gap at the interface. This wave-like

353 interface of micro-bonds at Al-Cu interface confirmed that the good-weld was obtained [34],  
 354 [35]. Also, the energy input for good-weld was higher than the under-weld.



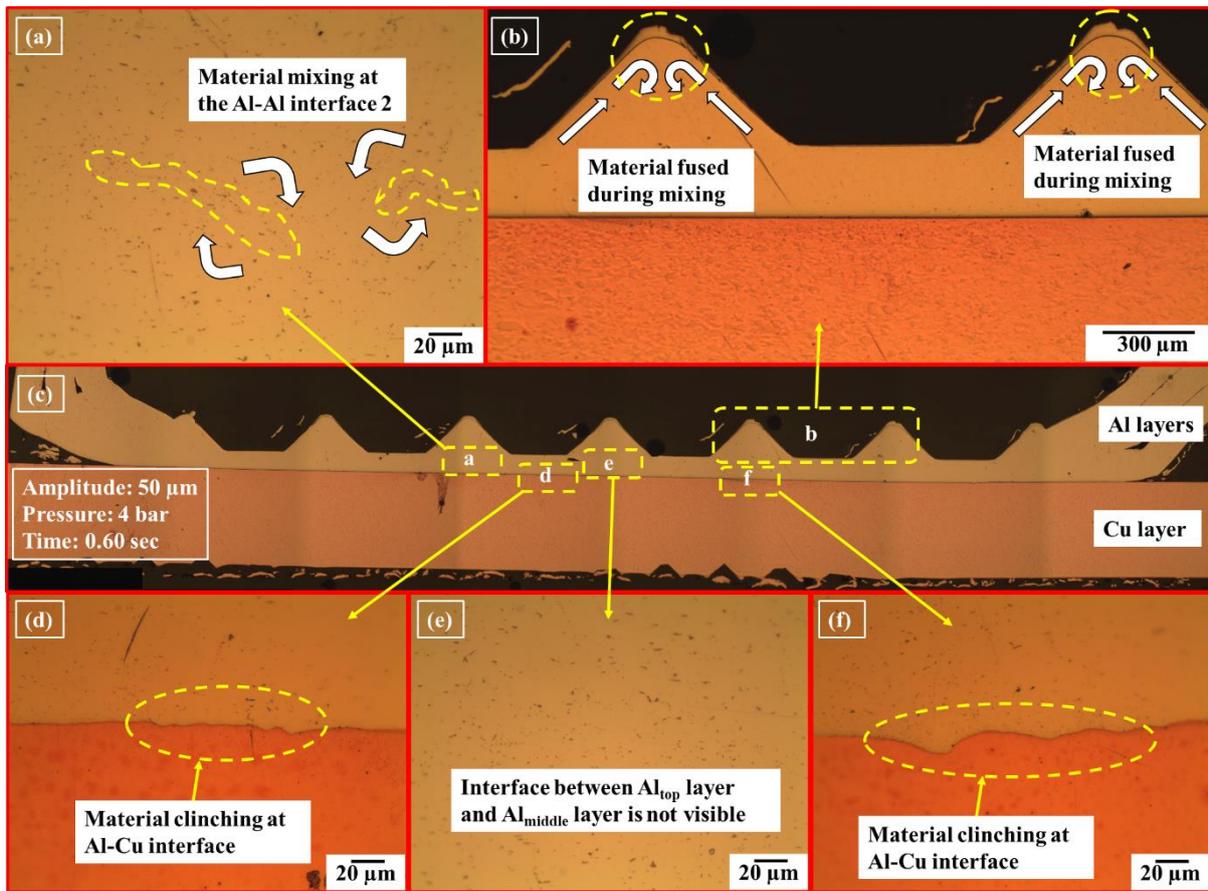
355

356 Fig. 6: Typical optical micrograph of a good-weld indicating welding mechanism.

357 **3.3.3 Over-weld**

358 A typical over-weld micrograph is presented in Fig. 7 where the Al layers and Cu layer are  
 359 indicated in the micrograph. The welding parameters used to produce the over-weld were  
 360 amplitude: 50 μm, pressure: 4 bar and time: 0.60 sec. From the optical micrograph (Fig. 7c) at  
 361 lower magnification, no gaps, cracks or unbonded region were observed. Whereas, the  
 362 thickness of the Al layers were reduced significantly after welding as compare to unwelded  
 363 samples. Higher magnification micrograph showed the material mixing. Vibrational movement  
 364 of the sonotrode helped the plastically deformed material to flow and fill the inter sonotrode  
 365 tip spaces. As the sonotrode tips went further into the material, the mixing (indicated by arrows  
 366 in Fig. 7b) was sufficient to fill the space between two sonotrode tips and fused properly (shown  
 367 by a dotted circle in Fig. 7b). Hence, no gap or unbonded line was observed at the crests. As  
 368 the plastic deformation and mixing of material were severe, no interfacial gap was noticed at  
 369 the Al-Al and Al-Cu interfaces. In addition, any kind of crack was not present at the interfaces.  
 370 The material mixing was so intense that there was no interface distinguished between Al<sub>top</sub> and

371 Al<sub>middle</sub> layers (Fig. 7e). On the other hand, there were small segments of discrete interfaces  
 372 (indicated by a dotted line in Fig. 7a) were observed at the Al-Al interface 2. In other locations,  
 373 the material mixing was very high (shown by arrows in Fig. 7a) and hence, no interfacial line  
 374 was revealed. Whereas, the material clinching and micro-bonds (indicated by a dotted line in  
 375 Fig. 7d) were extremely prominent at the Al-Cu interface. Furthermore, the micro-bonds  
 376 formed a wavy interface layer [34], [35] (highlighted by dotted area in Fig. 7f) which showed  
 377 the high material mixing characteristic of over-weld. In addition, the energy input to the over-  
 378 weld was the highest among all the weld categories.

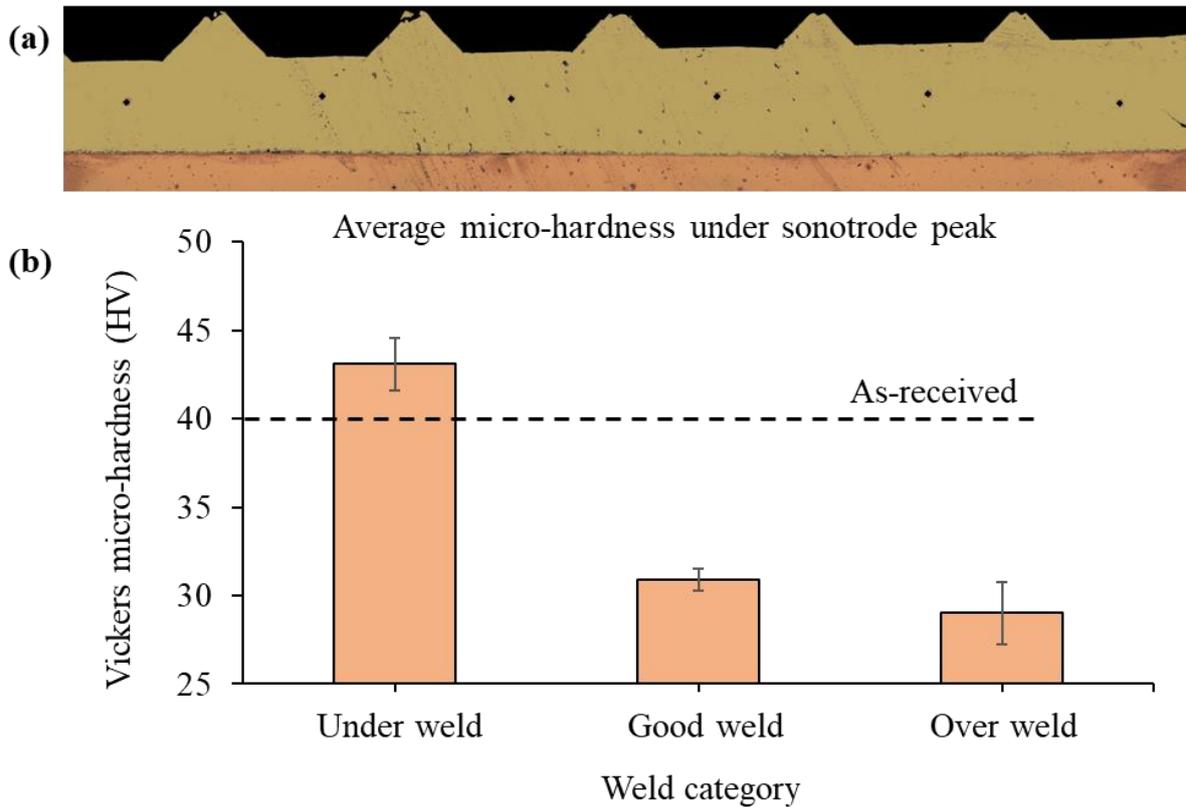


379  
 380 Fig. 7: Optical micrograph of a typical over-weld elucidating the welding mechanism.

381 **3.4 Micro-hardness distribution**

382 To characterize work hardening and softening phenomena, Vickers micro-hardness test was  
 383 carried out on the polished surface of the weld cross-section to evaluate the micro-hardness  
 384 profile of the under-weld, good-weld and over-weld categories. Due to high plastic  
 385 deformation, the average micro-hardness under the sonotrode peak for all the weld categories  
 386 is presented in Fig. 8. In under-weld, the average micro-hardness under sonotrode peak was  
 387 increased by 8% than the as-received material micro-hardness (40 HV) due to a large amount

388 of cold work or work hardening. On the contrary, the micro-hardness values for the good-weld  
 389 and over-weld were measured around 23% and 28% below the as-received material  
 390 respectively. The reason for this was the heat generation and subsequent thermal softening  
 391 process during the welding operation. In order to construct a layer-wise two-dimensional  
 392 micro-hardness map of the Al layers, the micro-hardness distribution was evaluated along (i)  
 393 horizontal direction and (ii) vertical direction.



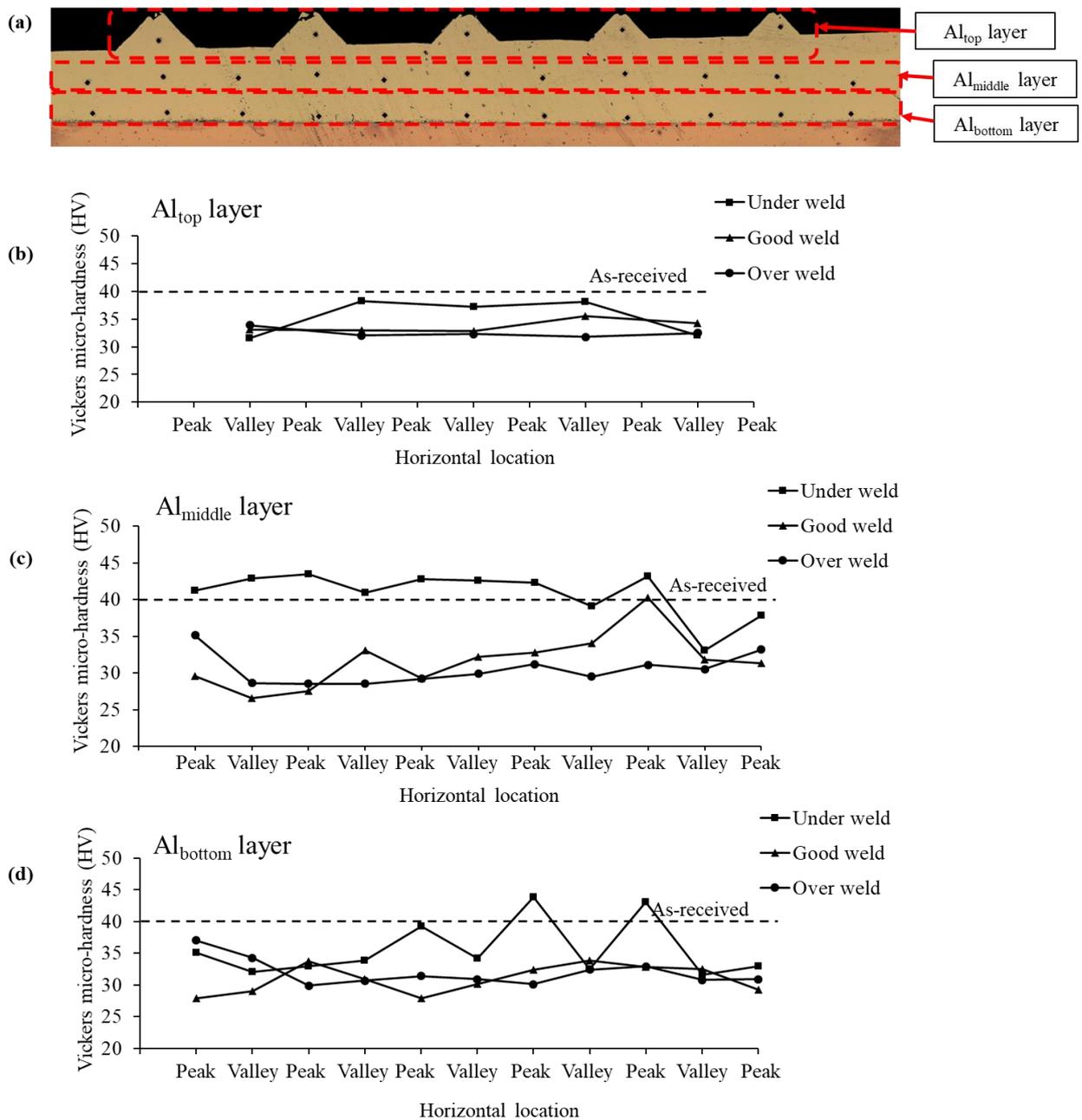
394

395 Fig. 8: (a) Locations of Vickers indentation during micro-hardness measurement and (b)  
 396 average micro-hardness under sonotrode peak for under-weld, good-weld and over-weld.

### 397 3.4.1 Layer-wise two-dimensional micro-hardness map

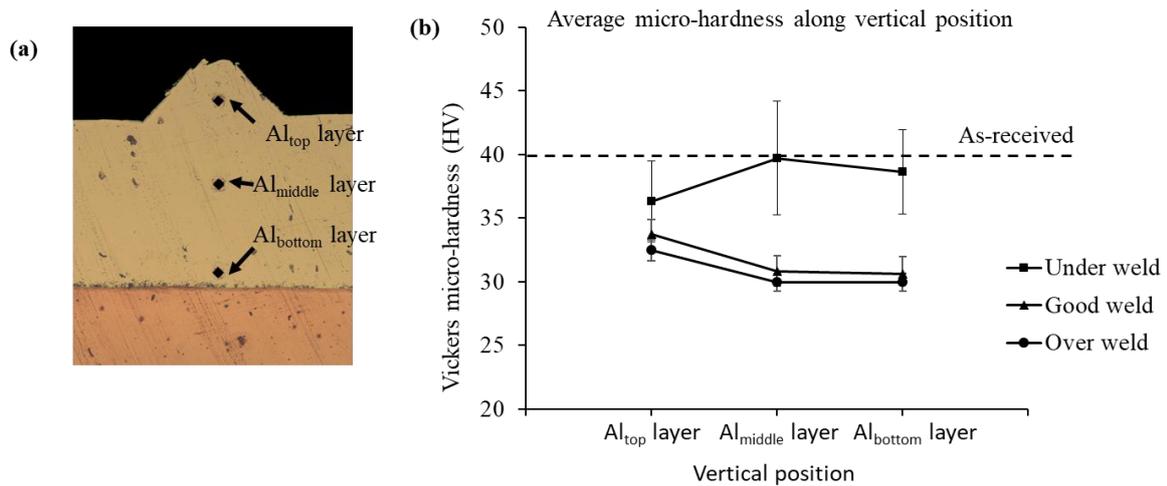
398 The micro-hardness distribution along horizontal and vertical directions for each layer of Al  
 399 are shown in Fig. 9 and Fig. 10 respectively for all weld categories i.e. under-weld, good-weld  
 400 and over-weld. For horizontal micro-hardness map, the micro-hardness was measured only at  
 401 crests (i.e. formed at sonotrode valley) in Al<sub>top</sub> layer, whereas for the other two layers (i.e.  
 402 Al<sub>middle</sub> and Al<sub>bottom</sub>), micro-hardness values were calculated at the regions under both the peak  
 403 and valleys of sonotrode (see Fig. 9a). In case of vertical micro-hardness map, micro-hardness  
 404 measurement points are shown in Fig. 10a representing the average micro-hardness for each  
 405 layer to demonstrate the propagation of plastic deformation and thermal softening behaviour.  
 406 When the sonotrode tips impinged on the material surface, the materials started to flow towards

407 the sonotrode valley position and created crest (an inverted delta shape) as shown in Fig. 9a  
408 and Fig. 10a. Fig. 9b shows the micro-hardness variation of  $Al_{top}$  layer along the horizontal  
409 direction for three weld categories. It was observed that the micro-hardness at the  $Al_{top}$  layer  
410 for under-weld was slightly higher than the other two weld categories. Micro-hardness  
411 variation at the  $Al_{middle}$  layer along the horizontal direction is depicted in Fig. 9c for all the weld  
412 categories. As the sonotrode peaks impinged on the metal surface during USW, the plastically  
413 deformed material moved towards the sonotrode valley locations as well as the Al-Cu interface.  
414 However, for the under-weld, the material was not fused properly below the Sonotrode valley  
415 and at the Al-Cu interface while producing unfused zone and interfacial gaps as described in  
416 section 3.3.1. Hence, the material at the  $Al_{middle}$  layer was affected most than  $Al_{top}$  and  $Al_{bottom}$   
417 layers in term of work hardening intensity and thus, the  $Al_{middle}$  layer had higher micro-hardness  
418 (see Fig. 9c and Fig. 10b) due to the severe cold work occurred during plastic deformation. On  
419 the contrary, the micro-hardness of all the peaks and valleys at the  $Al_{middle}$  layer for the good-  
420 weld and over-weld were below the as-received micro-hardness due to thermal softening. For  
421 the good-weld, micro-hardness at  $Al_{middle}$  layer was a little higher than that of over-weld as the  
422 extent of thermal softening was greater in case of over-weld. The horizontal variation of micro-  
423 hardness distribution at the  $Al_{bottom}$  layer is shown in Fig. 9d. The micro-hardness of the under-  
424 weld joint revealed that its values under sonotrode peaks were higher than that of the valleys.  
425 This was observed due to the fact that the plastic deformation was started at the sonotrode tip  
426 when the sonotrode peak was impinged on the  $Al_{top}$  layer and subsequently it extended  
427 outwards as the ultrasonic weld proceeds. Hence, the area under sonotrode peaks was more  
428 work-hardened than the valleys [6], [17]. However, this difference in micro-hardness values at  
429 sonotrode peaks and valleys at the  $Al_{bottom}$  layer of the good-weld and over-weld was relatively  
430 low due to the thermal softening [6], [17]. Moreover, the  $Al_{bottom}$  layer was more thermally  
431 softened than the  $Al_{top}$  layer. Hence, the micro-hardness of the  $Al_{bottom}$  layer was much less  
432 than that of the  $Al_{top}$  layer. Similar observations were obtained from the average micro-  
433 hardness values along the vertical direction for all the weld categories as indicated in Fig. 10b.  
434



435

436 Fig. 9: Micro-hardness profile along the horizontal direction indicating micro-hardness at each  
 437 Al layers: (a) locations of Vickers indentation, (b) micro-hardness at the  $Al_{top}$  layer, (c) micro-  
 438 hardness at the  $Al_{middle}$  layer and (d) micro-hardness at the  $Al_{bottom}$  layer.



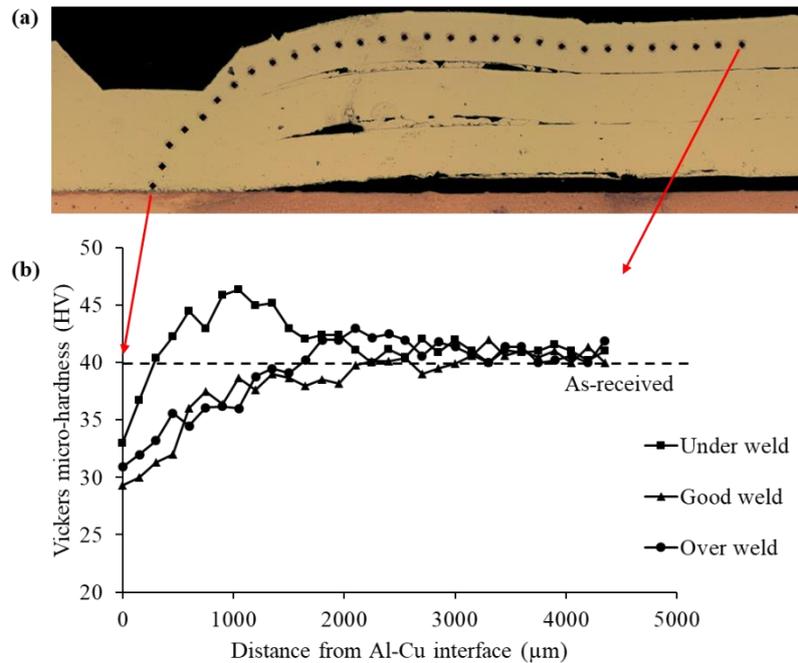
439

440 Fig. 10: Micro-hardness variation along vertical direction: (a) locations of Vickers indentation  
 441 and (b) average micro-hardness along with the vertical position for all weld categories.

442 **3.4.2 Micro-hardness profile outside of weld zone**

443 Micro-hardness profiles outside of weld zone of different weld categories namely under-weld,  
 444 good-weld and over-weld were measured and are presented in Fig. 11. To create the micro-  
 445 hardness profile outside the weld nugget, the Vickers indentations were made after every 0.15  
 446 mm distance starting from the Al-Cu interface and continued until 4.35 mm along the midplane  
 447 of the Al<sub>top</sub> layer (Fig. 11a). Fig. 11b shows the variation in micro-hardness profiles for  
 448 different weld categories. The micro-hardness variation confirmed that each weld category had  
 449 experienced a different pattern of work hardening and thermal softening. It was evident that,  
 450 for the under-weld, the micro-hardness was below the as-received micro-hardness at the Al-Cu  
 451 interface and it was rapidly increased (40% increase) to maximum micro-hardness near the  
 452 weld zone boundary (around 1 mm from the Al-Cu interface). Thereafter, the micro-hardness  
 453 values were reduced and settled down near as-received micro-hardness value. In case of the  
 454 good-weld and over-weld, the highest micro-hardness value shifted further away from the weld  
 455 zone boundary. For good-weld and over-weld specimens, the micro-hardness at the Al-Cu  
 456 interface was below the as-received micro-hardness and it was increased likewise and finally  
 457 reached to the maximum micro-hardness at two different distance from the weld zone. In case  
 458 of good-weld, it was around 1.5 mm from Al-Cu interface while in over-weld specimen it was  
 459 nearly at 2 mm from Al-Cu interface. Both these distances were far from the weld zone  
 460 boundary. After the maximum micro-hardness, both good-weld and over-weld specimens  
 461 maintained steady micro-hardness similar to the as-received micro-hardness value. The high  
 462 micro-hardness value away from weld zone was caused by the plastic deformation and cold  
 463 work due to the cyclic stresses exerted by ultrasonic vibration (horizontally) and clamping force

464 (vertically) during the USW process [6], [17]. However, for the good-weld and over-weld, the  
 465 decrease of micro-hardness at the weld zone was due to the thermal softening associated with  
 466 temperature rise. Hence, the regions outside of weld zone for good-weld and over-weld were  
 467 thermally and mechanically affected by USW process.



468

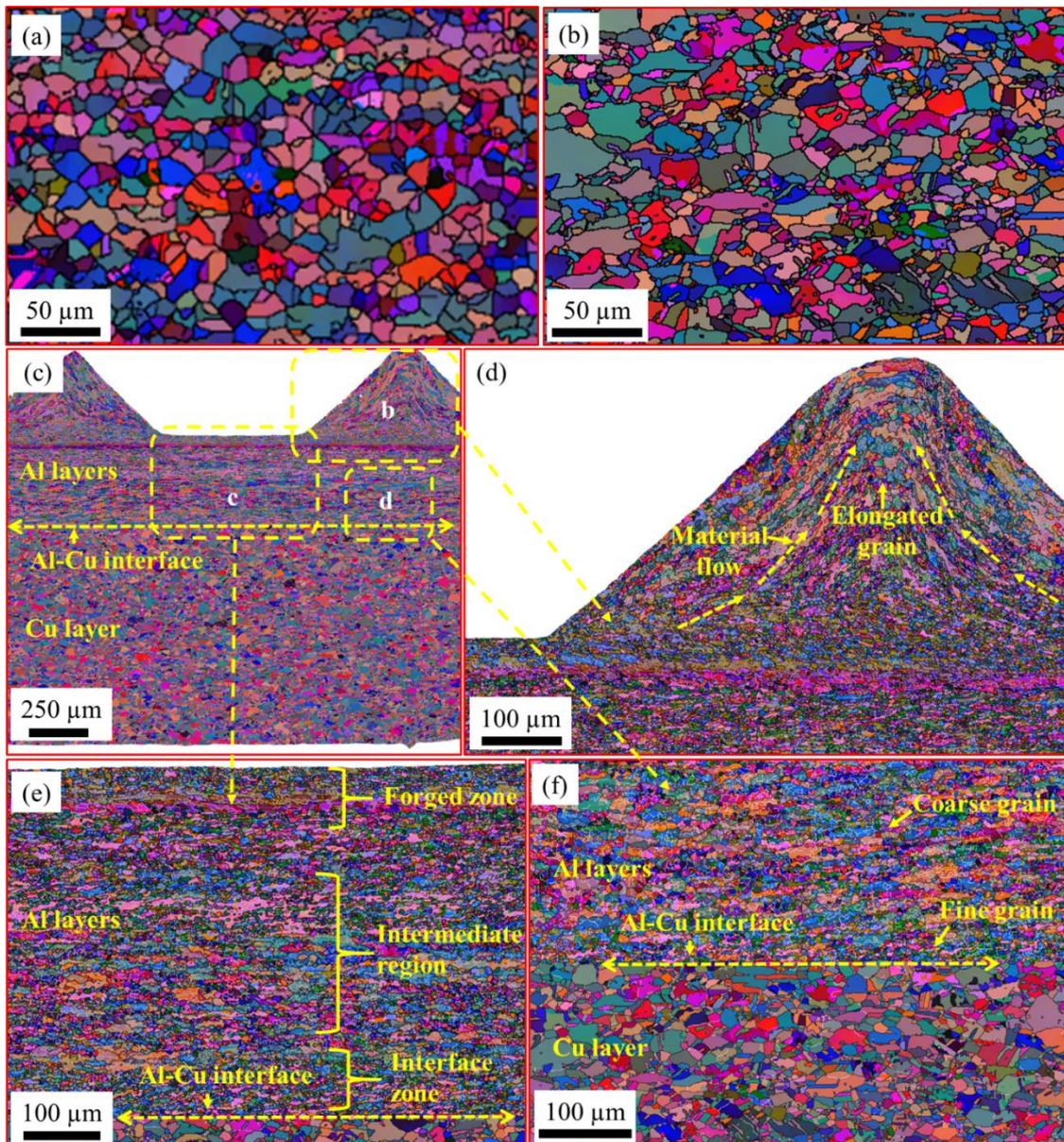
469 Fig. 11: Micro-hardness profile outside of weld zone: (a) micrograph containing micro-  
 470 hardness indentation marks and (b) Vickers micro-hardness profile of three different weld  
 471 categories.

### 472 3.5 Study of grain formation and recrystallization

473 Grain formation within the weld zone of different weld categories was studied using electron  
 474 back scattered diffraction (EBSD) orientation mapping to understand the deformation, bonding  
 475 and recrystallization during USW. A representative slice of full EBSD orientation map  
 476 obtained at the centre of a typical good-weld is shown in Fig. 12.

477 The EBSD micrographs of Al sheet and Cu sheet are shown in Fig. 12a and Fig. 12b  
 478 respectively before the welding. The average grain size observed at the Al sheet before welding  
 479 was around 18 μm. The full EBSD orientation map is shown in Fig. 12c whereas the various  
 480 region of interests are shown in Fig. 12d-f. The crest (Fig. 12d) was formed due to the material  
 481 flow (indicated by yellow dotted lines) from the region beneath the sonotrode peaks to fill the  
 482 space beneath the sonotrode valleys. The movement of the material rendered the grains to  
 483 become elongated (Fig. 12d). The EBSD data of the region beneath the sonotrode peak revealed  
 484 that there were three distinct zones observed in the Al layers of the Al-Cu weld (Fig. 12e): (i)

485 a severely deformed region of fine grains ( $\sim 5\mu\text{m}$ ) close to the Al-Cu interface named as  
 486 interface zone; (ii) a forged zone beneath the sonotrode tips, where the topmost Al sheet layer  
 487 had been largely deformed as a result of compression when the sonotrode tips had sunk into  
 488 the material and subsequently, softened due to the temperature rise; and (iii) an intermediate  
 489 region where distinct evidence of plastic deformation was found and elongated grains were  
 490 visible [19]. The Al-Cu interface region is shown in Fig. 12f. The grains near the Al-Cu  
 491 interface were fine ( $\sim 5\mu\text{m}$ ) whereas the grains far from the Al-Cu interface were relatively  
 492 coarse ( $\sim 13\mu\text{m}$ ) in nature.



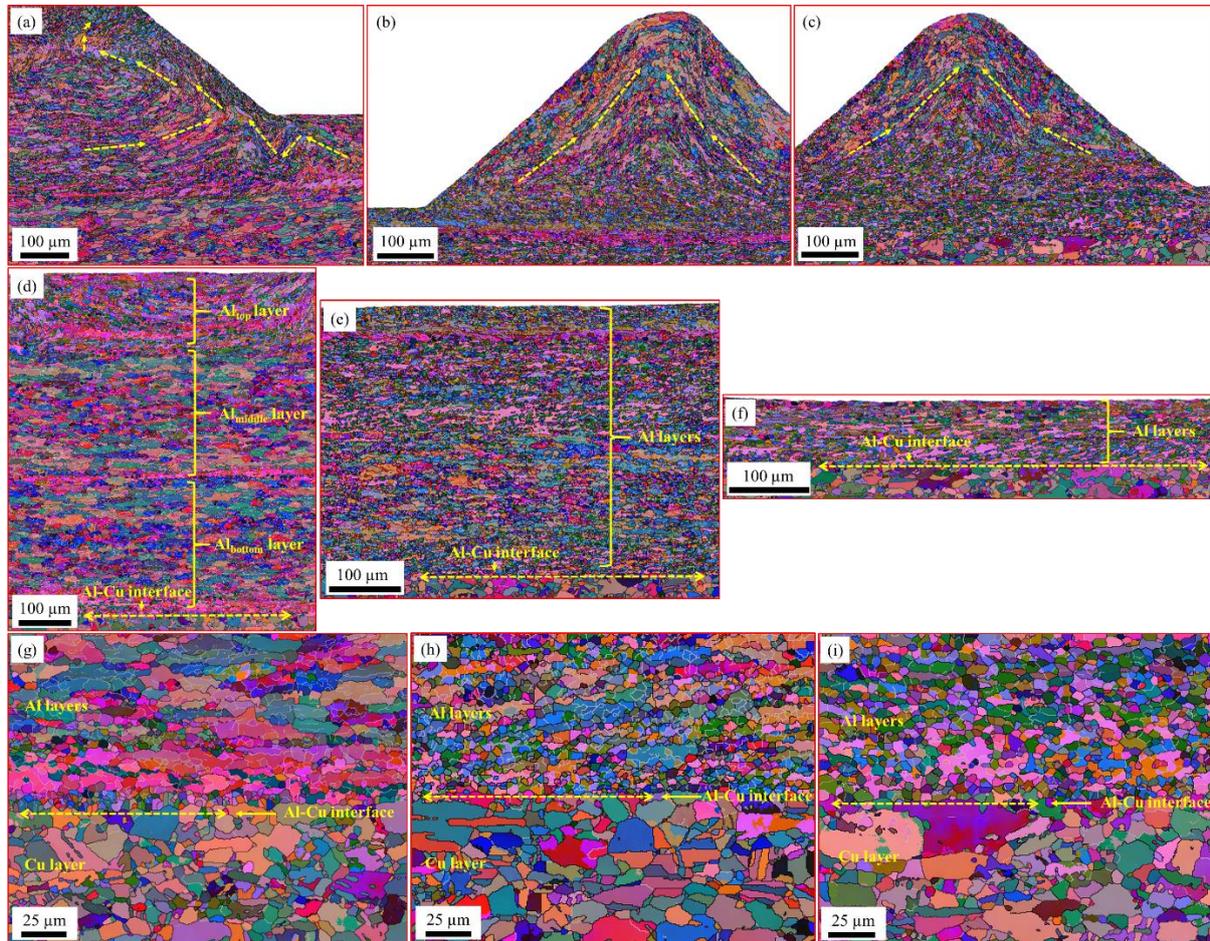
493

494 Fig. 12: EBSD micrograph (Euler contrast) of (a) Al sheet and (b) Cu sheet before the welding.  
 495 High resolution EBSD orientation maps (Euler contrast) of good-weld indicating: (c) a typical  
 496 slice at the centre of weld zone, (d) the crest, (e) material beneath the sonotrode peak and (f)  
 497 Al-Cu interface region.

498 The comparison of three different weld locations named as (i) the crest, (ii) Al layers under the  
499 sonotrode tips and (iii) Al-Cu interface of all the weld categories are depicted in Fig. 13. The  
500 pattern of material mixing during the formation of the crest of under-weld was different from  
501 the pattern in good-weld and over-weld (Fig. 13a-c). During under-weld, the material was  
502 flowing towards the crest from the region under the sonotrode peaks as well as from the region  
503 in between two subsequent peaks as shown in yellow dotted line in Fig. 13a. The material  
504 movement during the formation of the crest in good-weld and over-weld is shown in yellow  
505 dotted line in Fig. 13b-c respectively. The Al layers of under-weld, good-weld and over-weld  
506 under the sonotrode peaks are shown in Fig. 13d-f that portrayed the evidence of plastic  
507 deformation in the material compressed during the welding process. In under-weld, three Al  
508 layers were prominently observed (Fig. 13d) and the Al<sub>top</sub> layer was severely deformed whereas  
509 other two layers (i.e. Al<sub>middle</sub> and Al<sub>bottom</sub> layers) were less deformed. The total weld energy  
510 applied during the weld formation was relatively less for under-weld than the other two weld  
511 categories. Therefore, this insufficient energy was able to mostly deform the Al<sub>top</sub> layer leaving  
512 the other two layers relatively less deformed. The shear band could also be found in the Al<sub>top</sub>  
513 layer indicating the direction of material flow. In between any two Al layers, a thin layer of  
514 fine grains was observed. This layer of fine grains was observed due to the intermixing of the  
515 material from the top and bottom Al layers. In good-weld and over-weld, the Al layers were  
516 mixed properly and represented as a single layer (Fig. 13e-f). Hence, the individual layers were  
517 not prominent. The grains were more elongated in over-weld than good-weld as higher energy  
518 was put into the material during the material compression. The compressed layer thicknesses  
519 of good-weld and over-weld were measured around 400  $\mu\text{m}$  and 100  $\mu\text{m}$  respectively.

520 In the Al-Cu welds, the grain structure of Cu sheet, as evident from the EBSD orientation  
521 mapping, was virtually identical for all weld categories (Fig. 13g-i). Hence, the induced  
522 deformations during the USW process were mostly confined within the Al layers. In the under-  
523 weld Al layers, a thin band of ultrafine ( $\sim 3\mu\text{m}$ ) grains was observed at the weld interface (Fig.  
524 13g). However, the grain size rapidly increased and elongated ( $\sim 26\mu\text{m}$ ) and furthermore, the  
525 density of high angle ( $>15^\circ$ ) grain boundaries (HAGB) was decreased with distance from the  
526 Al-Cu interface, even though a relatively high density of low angle ( $<15^\circ$ ) grain boundaries  
527 (LAGB) was still observed far from the Al-Cu interface [19]. This suggested that less  
528 deformation was occurred at the middle portion of each Al layers (i.e. Al<sub>top</sub>, Al<sub>middle</sub> and Al<sub>bottom</sub>)  
529 in under-weld (Fig. 13g). In good-weld, aluminium grains at the Al-Cu interface became  
530 slightly larger ( $\sim 5\mu\text{m}$ ) (Fig. 13h), and the density of HAGBs was decreased. In contrast,

531 number of HAGBs was increased and LAGBs were decreased when they were measured away  
 532 from the Al-Cu interface. For the over-weld, the grain structure within the aluminium side of the  
 533 weld became more uniform and equiaxed (average grain size  $\sim 9\mu\text{m}$ ), indicating that  
 534 recrystallization had occurred (Fig. 13i) [18]. Furthermore, the number of HAGBs and LAGBs  
 535 was decreased within the over-weld (Fig. 13i).

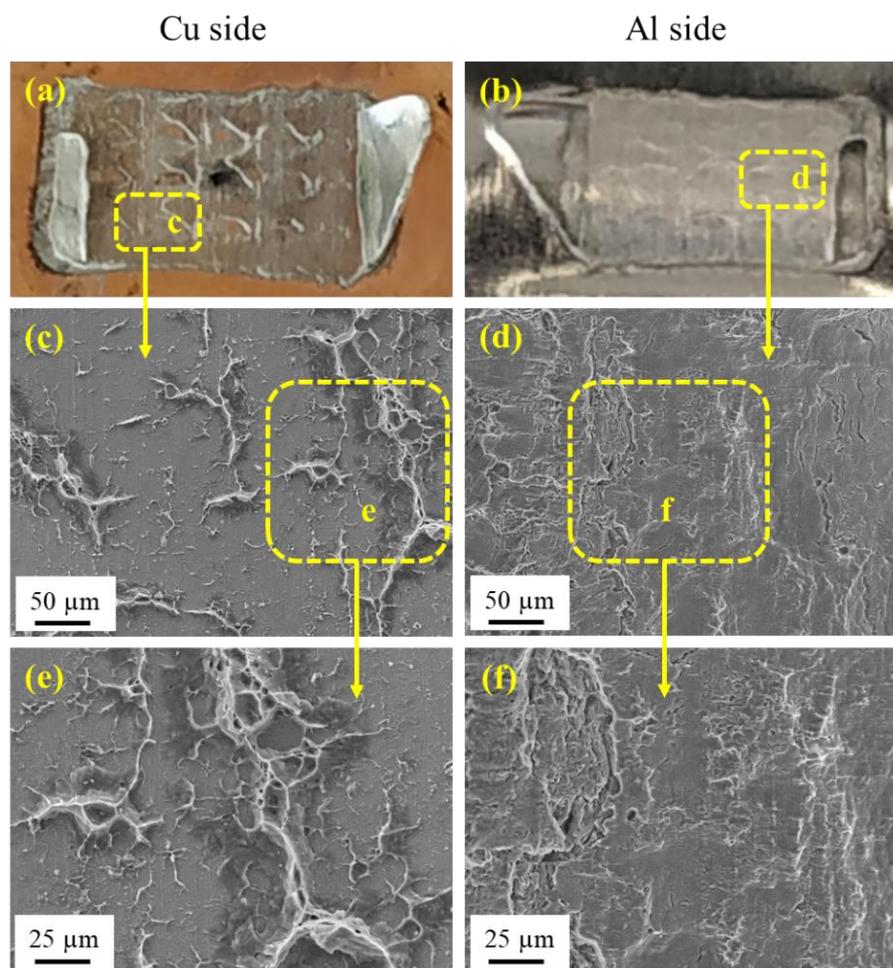


536  
 537 Fig. 13: High resolution EBSD orientation maps (Euler contrast) of the crest (a-c), Al layers  
 538 under the sonotrode peak (d-f) and Al-Cu interface (g-i) of under-weld (a, d, g), good-weld (b,  
 539 e, h) and over-weld (c, f, i) respectively. High angle grain boundaries ( $>15^\circ$ ) are shown by dark  
 540 lines and low angle grain boundaries ( $<15^\circ$ ) by light grey lines.

### 541 3.6 Fractography analysis

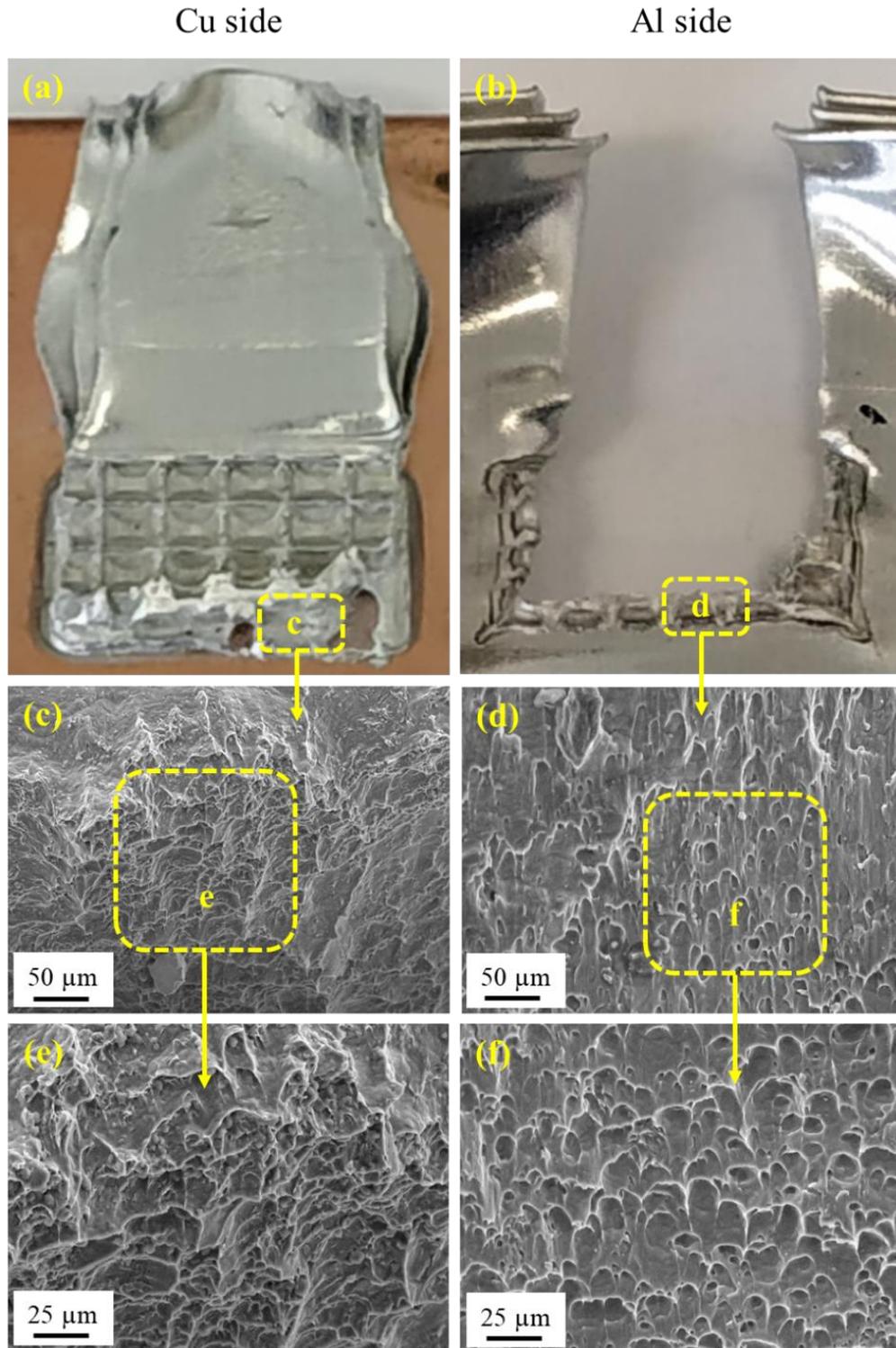
542 Typical SEM images of tensile lap shear fracture surfaces of under-weld, good-weld and over-  
 543 weld of dissimilar Al-Cu joints are illustrated in Fig. 14, Fig. 15 and Fig. 16 respectively. In  
 544 the lap-shear tensile tests, the welds were generally fractured along the weld interface of the  
 545 under-weld (Fig. 14a-b), while fracture occurred at the circumference of the weld (nugget pull  
 546 out) in over-weld [36] (Fig. 16a-b). The failure mode in good-weld was partial nugget pull out  
 547 with material sticking at the weld interface (Fig. 15a-b). It can be seen from Fig. 14 that whole

548 fracture surface is flat in the under-weld with some trace of Al attached to Cu surface. The  
 549 higher resolution images of the yellow-boxed areas in Fig. 14 shows that the attached material  
 550 with the fracture surface is very little and not covering the entire weld surface. As this little  
 551 amount of material did not provide sufficient resistance during the lap shear test, the weld  
 552 strength was not high in under-weld. In contrast, a characteristic dimple-rupture failure mode  
 553 [36] was found in good-weld as shown in Fig. 15. The dimples provided sufficient strength  
 554 [37] during the lap shear test and as a result, the highest weld strength was observed in good-  
 555 weld. On the other hand, the fracture mode observed in over-weld (Fig. 16) was mainly a  
 556 ductile fracture. As the nugget pull out was observed in the over-weld, only the periphery of  
 557 the weld was prone to ductile fracture. Hence, the weld strength was lower than good-weld but  
 558 much higher than under-weld.



559

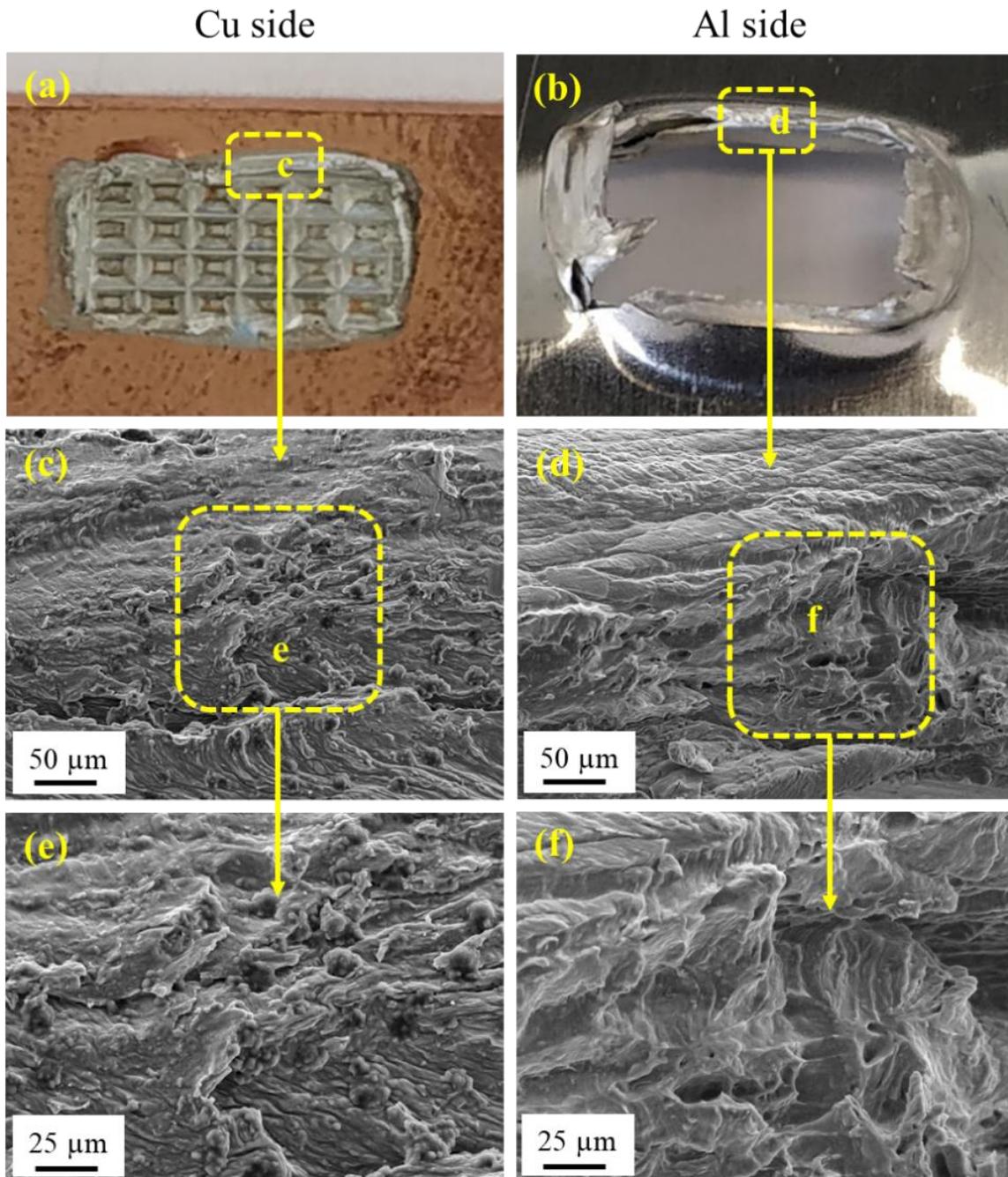
560 Fig. 14: Typical SEM images of tensile lap shear fracture surfaces of under-weld: (a) overall  
 561 view of Cu side, (b) overall view of Al side, (c) magnified image of the box in (a), (d) magnified  
 562 image of the box in (b), (e) magnified image of the box in (c), and (f) magnified image of the  
 563 box in (d) revealing fracture along with the interface.



564

565 Fig. 15: Typical SEM images of tensile lap shear fracture surfaces of good-weld: (a) overall  
 566 view of Cu side, (b) overall view of Al side, (c) magnified image of the box in (a), (d) magnified  
 567 image of the box in (b), (e) magnified image of the box in (c), and (f) magnified image of the  
 568 box in (d) showing dimple like fracture.

569



570

571 Fig. 16: Typical SEM images of tensile lap shear fracture surfaces of over-weld: (a) overall  
 572 view of Cu side, (b) overall view of Al side, (c) magnified image of the box in (a), (d) magnified  
 573 image of the box in (b), (e) magnified image of the box in (c), and (f) magnified image of the  
 574 box in (d) conforming ductile fracture.

575

## 576 **4 Discussion**

577 The welding mechanism in USW is a complex process where ultrasonic vibration causes cyclic  
578 deformation by applying low amplitude and high-frequency vibration with the help of high  
579 pressure [21]. Generally, the deformation during USW is mainly confined at the weld line and  
580 the bonding mechanism is mainly dominated by interfacial micro-bonds formation arising after  
581 the break-up of the interfacial oxide layer [21]. Application of continuous pressure and  
582 vibration raises the temperature at the interface to such an extent that it becomes sufficiently  
583 soft to undergo plastic deformation and intermixing happens at the weld line [21]. The input  
584 parameters i.e. welding pressure, welding time and amplitude of ultrasonic vibration play a  
585 significant role in the bonding mechanism [38]. In this study, the weld strength was measured  
586 by the lap shear test and T-peel test. The attainment of higher weld strength was associated  
587 with a transition from weld interface failure to weld nugget pull out [21]. The higher weld  
588 strength was achieved at an optimum range of weld energy when plastic deformation expanded  
589 to the whole weld zone, rather than just at the weld interface, resulting in a macroscopic wave-  
590 like material clinching at the interface [21].

### 591 **4.1 Effect of input parameters on weld strength**

592 Welding parameters have the potential to change the weld strength as welding parameters  
593 control the overall energy input to the weld. In this study, the effects of amplitude, pressure  
594 and time were studied on weld strength in term of maximum loads obtained from lap shear and  
595 T-peel tests.

#### 596 **4.1.1 Lap shear strength**

597 Lap shear strength was mainly influenced by pressure and time as described in section 3.1.  
598 However, during the amplitude variation the weld energy was varied from 310 J - 790 J (Table  
599 2), the amplitude variation did not produce a similar effect in comparison with the time  
600 variation (Fig. 2) (weld energy varied from 111 J - 615 J) (Table 2). Generally, when the weld  
601 energy was below 400 J, under-weld was produced. Good-weld was produced in the typical  
602 range of 400 J - 700 J and over-weld was obtained above 700 J (Table 2 and Table 3). During  
603 amplitude variation, weld energy was varied from under-weld to over-weld zone, the weld  
604 strength did not change much (within 20% of lap shear strength). Hence, amplitude variation  
605 was not an effective way to alter the weld strength in term of lap shear strength when the weld  
606 energy was in between 300 J - 800 J. This may be attributed to the weld energy where the

607 amplitude of vibration was not fully transmitted to the weld material and there might be loss  
608 of vibrational energy, which did not fully convert to the heat generated at the weld interface.

609 On the other hand, time variation had a prominent effect on weld energy. During time variation,  
610 the weld energy was varied from 111 J to 615 J, which was ranging from under-weld to good-  
611 weld (Table 2 and Table 3). Within this range of weld energy, the higher welding time was  
612 favourable for the weld strength (Fig. 2c). As the time was increased, the weld interface got  
613 time to be heated up and plastically deformed the material at the weld interface as well as under  
614 the sonotrode tip. It got favourable time to mix properly and recovery of the deformed grains  
615 helped to produce a sound weld.

616 During pressure variation, the weld energy was varied from good-weld to over-weld (615 J –  
617 741 J) (Table 2 and Table 3). In this range of weld energy, lower pressure was favourable (Fig.  
618 2b) as high pressure deformed the weld material to a great extent which hindered the flow of  
619 plastically deformed material and the sonotrode tips drastically plunged into the material which  
620 did not give much space of the plastically deformed material to flow under the sonotrode valley  
621 regions. However, sufficient pressure was required to plunge the sonotrode into the weld  
622 material, otherwise the plastically deformed material could not reach the sonotrode valley  
623 regions and may lead to under-weld.

624 Overall, weld energy in the range of 400 J - 700 J and amplitude in the range of 45  $\mu\text{m}$  - 50  
625  $\mu\text{m}$ , lower pressure (1 bar - 2 bar) and higher time (0.45 sec - 0.60 sec) would lead to good-  
626 weld strength in term of lap shear test. Time was the most critical parameter than pressure and  
627 amplitude.

#### 628 **4.1.2 T-peel strength**

629 T-peel strength was affected by all the three welding parameters namely amplitude, pressure  
630 and time in this study as reported in section 3.1. According to T-peel strength, weld energy  
631 below 650 J produced under-weld while weld energy in between 650 J - 740 J produced good-  
632 weld and above 740 J produced over-weld (Table 2 and Table 3). During the amplitude  
633 variation, weld energy varied from under-weld to good-weld (310 J – 790 J) (Table 2 and Table  
634 3). In this range of weld energy, the higher amplitude was favourable for good joint formation  
635 (Fig. 2a). The amplitude should be high enough so that the vibrational loss was compensated  
636 and a good amount of vibrational energy was transmitted to the weld zone. On the other hand,  
637 pressure should be low to moderate in order to produce good joint (Fig. 2b). During pressure  
638 variation in this study, the weld energy varied in the range of under-weld to over-weld (615 J

639 – 741 J) (Table 2 and Table 3). The pressure should be low but sufficient for pushing the  
640 sonotrode tips into the metal so that there should be sufficient area the plastically deformed  
641 material can travel and mix properly. High pressure was detrimental, as it did not give the  
642 softened material sufficient space to flow and mix properly. During time variation, weld energy  
643 varied from 111 J – 615 J (Table 2 and Table 3) that belonged to weld categories covering  
644 under-weld to good-weld. In this range, higher time was necessary (Fig. 2c) for the plastically  
645 deformed material to flow and mix properly. Otherwise, the mixing would not be proper if the  
646 time was less and it might lead to void formation at the weld interface and gap formation under  
647 the sonotrode peaks.

648 Hence, keeping weld energy in the range of 650 J - 740 J and pressure in the range of 1 bar - 2  
649 bar, higher amplitude (50  $\mu\text{m}$  - 55  $\mu\text{m}$ ) and higher time (0.45 sec - 0.60 sec) would lead to  
650 good-weld in term of weld strength measure by T-peel test. Time was the most critical  
651 parameter than pressure and amplitude.

#### 652 **4.2 Study of weld formation for three weld categories**

653 In this work, three layers of 0.3 mm Al sheet were welded with a single layer of 1.0 mm Cu  
654 layer for multi-layered USW process. The three different weld categories defined as under-  
655 weld, good-weld and over-weld were studied. The welding mechanisms of each weld  
656 categories were different from others. The main input parameters i.e. amplitude, pressure and  
657 time, had the main impact on the welding mechanism. The classification of the weld categories  
658 is described in section 3.3.

659 In under-weld, the input energy on the weld was less than the other two categories. Hence, the  
660 materials were not deformed much and the material flow was not sufficient to have a good  
661 intermixing. That was prominent at the Al-Al interface 2 and Al-Cu interface (Fig. 5). The gap  
662 at these interfaces was the main reason for low weld strength. Furthermore, the insufficient  
663 material flow was noticed when the materials were not fused properly at the crests (Fig. 5c);  
664 although, there was some evidence of material micro-bonds formation and material clinching  
665 at the Al-Cu interface (Fig. 5f). This material micro-bonds and clinching were not continuous  
666 throughout the interface (Fig. 5g), rather it was intermittent for under-weld when insufficient  
667 weld energy was put to the weldments. However, the material mixing was proper at the Al-Al  
668 interface 1 (Fig. 5e). This happened because the sonotrode peaks were impinged on the top  
669 surface of Al<sub>top</sub> layer and a sufficiently high amount of weld energy was confined at the Al-Al  
670 interface 1. Overall, the material deformation was quite less due to less weld energy and the

671 post-weld thickness was appeared to be within 85% - 100% of the undeformed material  
672 thickness before welding (Fig. 4). The low strength at the Al-Cu interface was further justified  
673 from the flat fracture surface with comparatively less material sticking (Fig. 14).

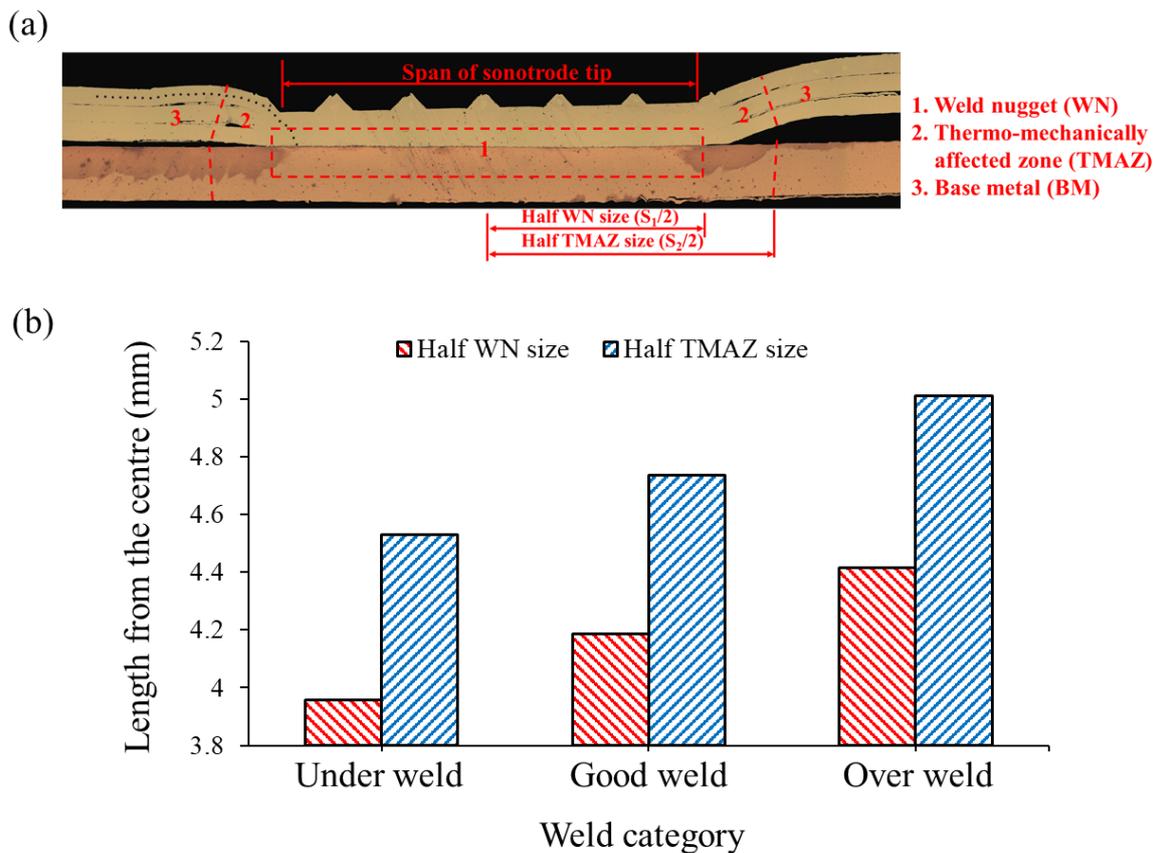
674 In good-weld, the applied weld energy was higher than that of under-weld and subsequently,  
675 the material deformation was higher. The post-weld thickness was in the range of 40% to 70%  
676 of the unwelded specimen (Fig. 4). The material flow and material intermixing were sufficient  
677 enough such that the weld strength obtained from the lap shear and T-peel tests were higher  
678 and the fracture surface contained dimple fracture (Fig. 15). This confirmed the material micro-  
679 bonds formation and material clinching throughout the Al-Cu weld interface. The optical  
680 micrograph (Fig. 6) shows that the micro-bond formation and material clinching at the Al-Al  
681 interface 1, Al-Al interface 2 and Al-Cu interface. There was no gap in the material mixing in  
682 the good-weld except at some crests. The material was not fused properly at the crest and a  
683 slight gap was observed at the crest (Fig. 6b). However, the material mixing at the crest did not  
684 contribute much during the lap shear and T-peel tests. Therefore, slight higher weld energy  
685 may be put on the weldments in order to fill this gap.

686 In over-weld, the weld energy applied to the metal was highest of all the weld categories. This  
687 produced the highest material deformation and the post-weld thickness was less than 30% of  
688 the parent metal thickness (Fig. 4). In this weld category, the material intermixing was so  
689 intense that no prominent weld line was appeared in between two conjugative Al layers (Fig.  
690 7). In addition, the micro-bond formation and material clinching at the Al-Cu interface were  
691 severe (Fig. 7d and Fig. 7f). As a result, it was difficult to separate the Al layers from Cu layer  
692 and the fracture shows the circumferential fracture (nugget pullout) (Fig. 16). The excessive  
693 material flow made the joint weaker around the circumference of the weld and hence, the  
694 fracture happened at the circumference of the weld during the lap shear and T-peel tests. The  
695 material fusion at the crest was intense and there was no gap or unbonded region at the crest in  
696 the over-weld (Fig. 7b).

### 697 **4.3 Layer wise micro-hardness analysis**

698 Layer wise micro-hardness values for all weld categories are presented in section 3.4. At the  
699 starting of the welding process, the sonotrode tips impinged on the top surface of Al<sub>top</sub> layer.  
700 All the weld energy was imposed on the top layer and hence deformation at this layer was  
701 enormous. Due to the plastic deformation, the material flow was observed towards the valley  
702 of sonotrode and the crest was formed (Fig. 12d). The evidence of severe plastic deformation

703 is shown at forged zone in Fig. 12e. The deformation was then extended towards the interface  
 704 of Al-Cu and finally, the welding occurred at this interface. Thus, Al<sub>middle</sub> layer had a higher  
 705 micro-hardness than the Al<sub>top</sub> layer (micro-hardness measured at crest locations) and Al<sub>bottom</sub>  
 706 layer for under-weld (Fig. 9 and Fig. 10). However, due to heat generation and thermal  
 707 softening [6] for good-weld and over-weld, the micro-hardness values at the three Al layers  
 708 were remained below than the as-received micro-hardness of Al sheet (Fig. 9 and Fig. 10). The  
 709 thermal softening further resulted in dynamic recrystallization and the as-received cold worked  
 710 grains were converted to nearly equiaxed grains (Fig. 13h-i) for good-weld and over-weld.



711  
 712 Fig. 17: (a) Classification of weld regions indicating the dimension of each region and (b)  
 713 variation of half WN size and half TMAZ size with weld category.

714 The entire area in a welded sample can be divided into three distinct zones [7, 18] mentioned  
 715 in Fig. 17a. The actual interfacial bonding occurred at area ‘1’, which is called weld nugget  
 716 (WN). During USW, the severe plastic deformation induced micro-bonding and temperature  
 717 rise at the metal-to-metal contact areas and the temperature rise aided to recrystallize the grain  
 718 structure. Area ‘2’ was affected by both plastic deformation and temperature rise; and it was  
 719 named as thermo-mechanically affected zone (TMAZ). Area ‘3’ was not affected by

720 deformation and temperature rise, and it remained the same in term of microstructure and  
721 material properties as base metal (BM). These areas are superimposed on the optical  
722 micrograph of the weld cross-section of good-weld and presented in Fig. 17a.

723 Strength of the weld is generally based on the failure mechanism during destructive tests [21].  
724 In tensile lap shear test, the failure mechanism was different for all the three weld categories  
725 as discussed in section 3.6. This difference could be attributed to the changes in microstructure  
726 and mechanical properties with space and time [6], [17]. Thus, the location of stress  
727 concentration was varied during the lap shear test and the failure location was different for  
728 three different weld categories. Hence, a qualitative relationship between the weld category  
729 and the size variation of the weld region (i.e., WN, TMAZ) within each weld categories needed  
730 to be established. The boundaries between each weld zone (e.g. WN to TMAZ or TMAZ to  
731 BM) were evaluated by the locations where the micro-hardness curve changed its gradient [7,  
732 18] as shown in Fig. 11b. For instance, the micro-hardness curve of good-weld exhibited a  
733 sudden increase at a location away from the outermost sonotrode teeth (~1.5mm). The micro-  
734 hardness profile went past its highest point and then reduced to the micro-hardness of as-  
735 received material at the location quite far from the outermost sonotrode teeth (~2.7mm). The  
736 first transition region was considered as the boundary of the WN to TMAZ while the latter one  
737 was regarded as the boundary of the TMAZ to BM. Half sizes of WN and TMAZ regions  
738 (represented in Fig. 17a) of these three weld categories were estimated from the micro-hardness  
739 profiles for the respective weld categories and are presented in Fig. 17b. It is evident from Fig.  
740 17b that half TMAZ size increased more sharply than half WN size when the weld categories  
741 were shifting from under-weld to over-weld surpassing the good-weld. The half WN size was  
742 related to the actual bonding zone during USW [6], [17] and it was increased with the increase  
743 of weld energy when welding was shifting from under-weld to over-weld surpassing good-  
744 weld. On the other hand, TMAZ was the total affected zone of plastic deformation and  
745 temperature rise [6], [17]. The TMAZ zone was increased at a much higher rate than the weld  
746 energy input. Hence, the half TMAZ size was increased more rapidly when welding was  
747 shifting from under-weld to over-weld surpassing good-weld.

#### 748 **4.4 Grain formation study**

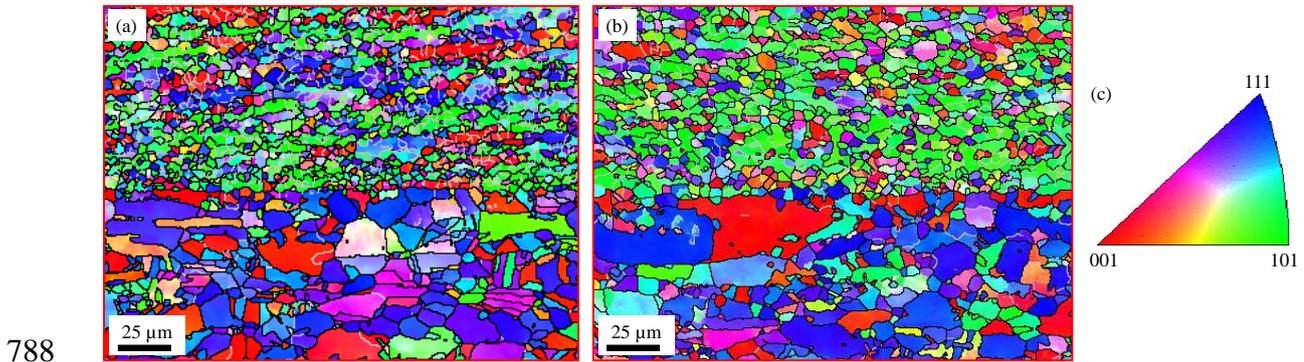
749 In USW, the compressive force was applied on the weldments, which was caused by the  
750 opposing teeth of the sonotrode tips and resulted in a net average compressive strain through  
751 the sheet thickness [21]. In addition, a net shear strain was also imposed on the weld sheets, as  
752 there was a tendency for the sonotrode tips to be displaced, relative to each other while the

753 welding process was going on. The sonotrode was designed to vibrate under the applied  
754 compressive clamping pressure and due to the coupled effects of vibration and pressure, they  
755 deflected the weld material laterally as the material softens and the entire weld zone started to  
756 plastically deform [21].

757 During USW, generated shear and compressive strains by the ultrasonic vibration caused the  
758 plastic deformation primarily in the aluminium weldment sheets of Al-Cu joints leading to the  
759 formation of a fine grain structure at the weld interface. This plastic deformation accompanied  
760 by vibration resulted in heat generation at the interface as well as at the entire weld zone. The  
761 heat softened the materials and caused grain structure evolution. In under-weld, a large volume  
762 of low angle grain boundaries was formed and subsequently, they rotate to become high angle,  
763 which resulted in the formation of a thin layer of fine grain structure at the interface (Fig. 13g).  
764 This phenomenon is widely called as a continuous dynamic recrystallization mechanism during  
765 USW process [18], [31]. For good-weld and over-weld, heat generation was increased to a  
766 higher level and the average grain size of aluminium at the interface became larger while the  
767 overall density of low angle boundaries was reduced (Fig. 13h-i). This could be attributed to  
768 boundary migration of the recrystallized grains occurring at higher temperatures [18].  
769 Furthermore, the lower density of low angle boundaries could be related to dislocation  
770 annihilation due to dynamic recovery at higher temperature [18]. As soon as the dislocations  
771 were formed, they disappeared at a higher temperature during the USW process. Local  
772 migration of high angle grain boundaries might also lead to low angle grain boundary  
773 annihilation at higher temperature [18], [31]. Overall, the entire Al weld zone was a recovered  
774 deformation structure, with a thin band of Al grains near the weld interface showing evidence  
775 of severe deformation leading to the formation of fine grains. Far from the weld interface, the  
776 distorted parent grain structure can be observed for under-weld while it was absent in good-  
777 weld and over-weld due to dynamic recrystallization of the grains. On the other hand, the grains  
778 at the top surface follows the flow pattern that was consistent with the deformation induced by  
779 the impression of the sonotrode tips.

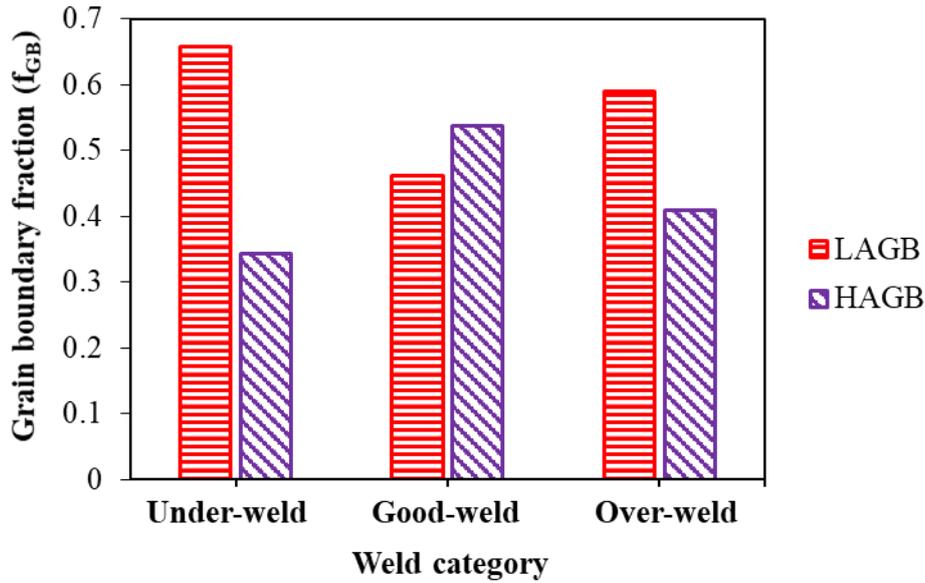
780 In order to visualise the texture formation in the recrystallized regions of good-weld and over-  
781 weld, the EBSD mapping was performed and shown in Fig. 18 with indicating the inverse pole  
782 figure (IPF) Z colour coding. It was prominent that the grains oriented in [101] Z direction in  
783 the recrystallized region were low in good-weld while it increased in over-weld. The amount  
784 of recrystallized grains was more in over-weld than good-weld and it seemed that during  
785 crystallization more grains were oriented in [101] Z direction in over-weld. Hence, at the

786 recrystallized region, the texture formation along [101] Z direction was less in good-weld while  
787 the over-weld showed strong texture along [101] Z direction.



789 Fig. 18: EBSD mapping of the grains (a) good-weld and (b) over-weld with (c) inverse pole  
790 figure (IPF) Z colouring.

791 Grain boundary fraction of low angle (LAGB) and high angle (HAGB) grain boundaries [39]  
792 in all the weld categories (i.e. under-weld, good-weld and over-weld) were calculated from the  
793 EBSD map of Al-Cu interfaces (Fig. 13g-i) of respective weld categories and presented in Fig.  
794 19. Good-weld shows the highest HAGB fraction followed by over-weld and under-weld while  
795 the LAGB fraction follows exactly the opposite trend of that of HAGB for different weld  
796 categories. Actually, HAGB hindered the dislocation gliding and increased the dislocation  
797 density at the grain boundary. The accumulated dislocations cancelled the dislocation  
798 movement emerging from the applied stress field and consequently the strain energy  
799 accumulated at the grain boundary [40]. The plastic deformation occurred when the  
800 accumulated strain energy reached a threshold value. As good-weld posed higher HAGB  
801 fraction than other two weld categories, the number of accumulated dislocations was higher in  
802 the good-weld. Hence, the good-weld provided relatively higher weld strength than the other  
803 two weld categories because of the higher amount of stress to be applied for plastic deformation  
804 to occur and proceeded to weld failure during lap shear test.



805

806 Fig. 19: Grain boundary fraction of low angle (LAGB) and high angle (HAGB) grain  
807 boundaries in different weld categories i.e. under-weld, good-weld and over-weld

## 808 5 Conclusions

809 In-depth joint behaviours of multi-layered Al-Cu dissimilar joints (i.e. three layers of 0.3 mm  
810 Al tabs ultrasonically welded to 1.0 mm single Cu busbar) to represent electric vehicle battery  
811 interconnects were investigated in this paper. The effects of input process parameters (i.e. the  
812 amplitude of vibration, welding pressure and welding time) on joint strength, formation of weld  
813 microstructure, micro-hardness distribution, layer-wise grain distribution at Al layers and  
814 fracture surfaces from tensile tests were analysed for the understanding of multi-layered Al-Cu  
815 ultrasonic joints. In-depth analysis was conducted on ultrasonic weld specimens based on the  
816 three identified weld categories: under-weld, good-weld and over-weld. Based on the results  
817 obtained from this study, the following conclusions were drawn:

- 818 • Lap shear and T-peel strengths were used to classify the three weld categories, i.e.  
819 under-weld, good-weld and over-weld. These weld categories were further associated  
820 with typical weld energy ranges. In case of lap shear, under-weld and over-weld were  
821 produced under 400 J and over 700 J respectively, and good-weld was produced in-  
822 between under- and over-welds. These values for the T-peel tests were around 650 J  
823 and 740 J respectively.
- 824 • Study of weld microstructure and material flow revealed the layer-wise bond formation  
825 including a wavy interface layer showing mixing of materials, clinching of materials,  
826 and wave-like interface of micro-bonds.

- 827 • Work hardening and thermal softening were observed at the weld nugget as an effect  
828 of process parameters. In case of under-weld, micro-hardness was increased by 8% in  
829 comparison with as-received material due to cold work or work hardening. In contrast,  
830 micro-hardness values for good-weld and over-weld were measured around 23% and  
831 28% below than the as-received material due to temperature rise and subsequent  
832 thermal softening during the welding.
- 833 • Crystallographic orientation and grain distribution by EBSD maps of good-weld  
834 revealed the material flow, severely deformed fine grains (~5µm) at the Al-Cu  
835 interface), a highly deformed forged zone beneath the Sonotrode tips, and an  
836 intermediate region with relatively coarse elongated grains (~13µm).
- 837 • Higher grain boundary fraction of high angle boundary was the reason for higher weld  
838 strength in good-weld. In over-weld, the lower density of high angle boundaries was  
839 related to dislocation annihilation due to dynamic recovery at a higher temperature in  
840 comparison with good-weld.
- 841 • The fracture analysis using SEM revealed the weld interface failure for under-weld,  
842 partial nugget fracture with a material tear for good weld and circumferential failure for  
843 over-weld. The insufficient bonding was observed for under-weld whereas a  
844 characteristic dimple-rupture and a ductile fracture around the weld nugget were the  
845 main failure modes for good-weld and over-weld, respectively.

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