

Manuscript version: Author's Accepted Manuscript

The version presented in WRAP is the author's accepted manuscript and may differ from the published version or Version of Record.

Persistent WRAP URL:

<http://wrap.warwick.ac.uk/138978>

How to cite:

Please refer to published version for the most recent bibliographic citation information. If a published version is known of, the repository item page linked to above, will contain details on accessing it.

Copyright and reuse:

The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions.

© 2020 Elsevier. Licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International <http://creativecommons.org/licenses/by-nc-nd/4.0/>.



Publisher's statement:

Please refer to the repository item page, publisher's statement section, for further information.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk.

Title: A systematic review of COVID-19 and obstructive sleep apnoea.

Michelle A Miller PhD¹, Francesco P Cappuccio^{1,2}

¹Division of Health Sciences (Mental Health & Wellbeing), Warwick Medical School,
University of Warwick, Coventry, UK, ²University Hospitals Coventry &
Warwickshire NHS Trust, Coventry, UK

Short title: Sleep, COVID-19 and OSA

Word count 5,359, 1 Table, 1 Figure

26th June 2020

Correspondence to:

Michelle A Miller

University of Warwick,

Warwick Medical School

Division of Health Sciences (Mental Health and Wellbeing)

Gibbet Hill

Coventry,

CV4 7AL UK

Michelle.miller@warwick.ac.uk

Tel: 02476 575104

Summary

The aims of the study were to review the rapidly emerging COVID-19 literature to determine (a) the relationship between obstructive sleep apnoea (OSA) and adverse COVID-19 outcomes and, (b) potential causal mechanisms (c) what effect COVID-19 has had on OSA diagnosis and (d) what effect COVID-19 has had on treatment and management of OSA during this period. PubMed was systematically searched up to 020620. Studies were included if they had examined the relationship between COVID-19 and OSA. Studies were included that were in English and had the full text available. The findings from this study suggest that many of the risk factors and co-morbidities associated for OSA which include obesity, hypertension and diabetes mellitus are associated with poor COVID-19 outcomes. There are plausible mechanisms by which OSA may independently increase one's risk of morbidity and mortality associated with COVID-19 and data from the newly published CORONADO study suggests that OSA treated patients may be at increased risk of death from COVID-19. It is clear that the pandemic has had a major effect on the treatment management and diagnosis of OSA and moving forward it may be necessary to explore new diagnosis and treatment pathways for these individuals.

Keywords: OSA, CPAP, sleep, inflammation, Vitamin D, Melatonin

Abbreviations:

AASM American **academy** of sleep medicine,

ACE2 Angiotensin converting enzyme 2,

AFSORL French Association of Otorhinolaryngology and Sleep disorders

AHI Apnoea hypopnea index,

ARDS Acute respiratory distress syndrome

AST Aspartate transaminase

AVAPS Average volume-assured pressure support

COPD Chronic obstructive pulmonary disease,

CORONADO Coronavirus SARS-CoV-2 and Diabetes Outcomes

COVID-19 Novel coronavirus disease,

CPAP Continuous positive airway pressure,

EDS Excessive daytime sleepiness,

EERS Enhanced expiratory rebreathing space,

GERD Gastroesophageal reflux disease,

IPF Idiopathic pulmonary fibrosis,

NIV Non-invasive ventilation,

NIPPV Non-invasive positive pressure ventilation,

OSA Obstructive sleep apnoea,

PPE Personal protection equipment,

PRISMA Preferred reporting items for systematic reviews

RASS Renin-angiotensin-aldosterone system,

ROS Reactive oxygen species,

SARS-CoV-2 Severe acute respiratory syndrome coronavirus 2,

SDB Sleep disordered breathing.

SFORL French Society of Otorhinolaryngology

Introduction

The latter part of 2019 saw the emergence of a new coronavirus, Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), in Wuhan China. The virus has now reached global pandemic proportions. As of 26th June 2020, there have been 9,612,250 confirmed cases of Novel coronavirus disease 2019 (COVID-19) due to infection of SARS-CoV-2 in the world, with 489,372 fatalities globally [1]. Some individuals are at greater risk from adverse outcomes associated with the disease. These include older adults, and those with respiratory and cardiovascular risk factors like, obesity and diabetes mellitus [2]. Furthermore, a disproportionate number of black and minority ethnic individuals have been affected by COVID-19. In England a third of confirmed cases admitted to critical care are non-white [3]

The sleep disorder, Obstructive sleep apnoea (OSA) is characterized by repetitive partial or complete blockages of the airway during sleep, leading to interruptions in breathing, blood oxygen desaturation and arousals from sleep. In the UK there are approx. 1.5M adults with OSA. It is associated with increased prevalence of hypertension (39%), obesity (34%), depression (19%), gastroesophageal reflux disease (GERD)(18%), diabetes mellitus (15%), hypercholesterolemia (10%), asthma (4%) [4]. Many of these factors have also been identified as risk factors for poor COVID-19 outcomes [5]. It is unclear whether the virus might pose an increase risk for patients with OSA.

The aims of this study were to conduct a systematic review to examine the potential relationship between COVID-19 severity and outcomes, and OSA, and to examine possible mechanistic pathways, and to determine what effect the COVID-19 pandemic has had on the diagnosis and treatment of this condition.

Methods

Search strategy and selection criteria

Systematic searches using the Preferred reporting items for systematic reviews guidelines (PRISMA) [6] to identify studies that reported the association between COVID-19 and OSA were performed. Electronic searches were performed (from 1966 to 2nd June 2020) of PubMed. The following “COVID-19 terms” (coronavirus OR corona-virus OR COVID OR COVID-19 OR COVID-2019 OR severe acute respiratory syndrome coronavirus OR severe acute respiratory syndrome coronavirus 2 OR 2019-nCoV" OR SARS-CoV-2 OR 2019nCoV) in combination with Sleep terms” (sleep OR sleep wake disorders OR sleep disturbance OR ("sleep apnoea syndromes OR OSA OR OSAS OR sleep initiation and maintenance disorders OR insomnia OR bedtime OR "nightmare) were used. The articles identified by the searches were reviewed along with any relevant references cited within them.

Inclusion and Exclusion Criteria

Papers were included if they examined the relationship between COVID-19 outcomes and OSA, or were related to the effect of COVID-19 pandemic on the diagnosis and treatment of this condition.

Papers were excluded if the full paper was not available or if they were not published in English.

A total 251 potential studies were identified after removal of duplicates (see figure 1). Abstract scanning yielded 26 for full text evaluation from which 17 were included for the review [7-23] a further study was identified by reference searching [24].

Results

Of the 18 studies identified 8 were mainly related to risk of COVID-19 mortality and 10 to diagnosis, treatment and management.

OSA and COVID-19 morbidity and mortality

Eight papers, seven from the original search [7,8,17,18,20,21,22] and one from reference searching [24] were examined. Of these five contained original data [17,18,20,22,24] (see table 1; part (a)); two were viewpoints [7,8]. Pazarlı et al [7] noted that common risk factors for COVID-19 mortality were shared with OSA, and these included increased age, hypertension, cardiovascular diseases, lung diseases, and diabetes mellitus. Likewise, McCurry [8] also noted that morbidity and mortality worldwide were particularly high amongst those with these comorbidities but that obesity, which is also commonly associated with OSA, might also be important.

Of the six studies with original data, Arentz et al demonstrated that OSA was present in 28% of the 21 patients with coronavirus disease who presented to their intensive care unit [24]. Likewise, Bhatraju et al found that 21% of patients with severe COVID pneumonia had OSA [17]. Gupta noted that of the first twenty-one patients admitted to a hospital in India with COVID-19 one had OSA [20].

Memsoudis et al noted that of sixty ICU patients 8.3% had OSA and of the non-ICU patients 6.3% had OSA [21]. He reflected that as nearly all the patients were obese the number of expected OSA patients was low and might reflect under-diagnosis of this disease, See table 1; part (a). The Coronavirus SARS-CoV-2 and Diabetes Outcomes

(CORONADO) study, aims to identify the clinical and biological features associated with risk of poor COVID-19 outcomes. In this study of people with diabetes hospitalized for COVID-19, Cariou et al reported that treated obstructive sleep apnoea was independently associated with an increased risk of death on day 7 (OR 2.80 [1.46, 5.38]) [18]. In a single case study a 74-year old male, with notable OSA, presented to hospital with hypoxia and dyspnea. He tested positive for COVID-19 but was successfully extubated and treated with average volume-assured pressure support (AVAPS) [22].

What are the potential mechanistic pathways by which COVID-19 may affect OSA patients?

A number of different biochemical and inflammatory mechanisms are associated with the pathophysiology and progression of OSA and its associated poor health outcomes, some of which have also been associated with COVID-19 disease. The sleep-time disruptions in breathing that accompany OSA are associated with intermittent blood gas disturbances (hypercapnia and hypoxemia) and surges of sympathetic activation. Increased inflammatory markers have been reported in OSA but it remains to be determined if this is a result of the associated comorbidities [25]. Increased underlying inflammation however may be of particular importance in obese patients as potentially it may contribute to worsening hypoxemia and the cytokine storm [26] that occurs in COVID-19 pneumonia patients and in those with subsequent multi-organ failure [26]. Results from a French study of 124 COVID patients indicated that obesity (BMI>30kg/m²) was a risk factor for invasive mechanical ventilation independent of age, diabetes mellitus and hypertension but frequency of OSA was not

reported [27].

Recent studies have suggested that the sleep hormone melatonin may be beneficial for the treatment of COVID-19 [28-30]. Melatonin may decrease oxidative stress, inflammation and the immune response, which may be particularly important in patients with OSA in whom these pathways are already activated. Activation of these pathways leads to a cytokine storm and subsequent progression to acute lung injury/ acute respiratory distress syndrome (ARDS) and often death. Melatonin may also improve sleeping quality, which might also be beneficial for better clinical outcomes for COVID-19 patients [28].

There is increasing evidence to suggest that vitamin D deficiency may be a risk factor for CVD [31]. Vitamin D may play an important role in the suppression of harmful reactive oxygen species (ROS) and inflammatory markers and may stimulate protective endothelial nitric oxide production [31]. In a study of 176 children, Kheinrandish-Gozal *et al* demonstrated that OSA was independently associated with higher hsCRP and lipids, and lower vitamin D [32]. Furthermore, multiple logistic regressions identified a strong effect of African American race on lower vitamin D levels, and showed the severity of OSA as measured by the Apnoea Hypopnea Index (AHI) correlated with lower vitamin D [32]. Vitamin D levels may be influenced by both diet and ethnicity. Vitamin D also enhances cellular immunity, in part by reducing the cytokine storm induced by the innate immune system and it has been suggested that low levels of vitamin D may in part be associated with poor COVID-19 outcomes [33]. A recent study from the UK Biobank, however, did not find any evidence to support this [34]. They examined the data from 348,598 UK Biobank participants of whom 449 had confirmed COVID-19 infection. They found that whilst

vitamin D was associated with COVID-19 infection this did not persist after adjustment for confounders. They concluded that ‘their findings did not support a potential link between vitamin D concentrations and risk of COVID-19 infection, nor that vitamin D concentration may explain ethnic differences in COVID-19 infection’ [34].

OSA is observed in a large proportion of individuals with resistant hypertension. A recent meta-analysis demonstrated that OSA is associated with higher Angiotensin II and aldosterone levels, especially in hypertensive patients. It has been suggested therefore that OSA may lead to an increase in blood pressure through stimulation of the renin-angiotensin-aldosterone system (RAAS) [35]. The Angiotensin converting enzyme 2 (ACE2) has been identified as the entry receptor of SARS-CoV-2 [36] but unlike ACE, ACE2 does not convert Angiotensin I to Angiotensin II. Further investigation is therefore warranted to determine whether individuals, in whom there is an increased stimulation of the RASS from OSA, are at increased risk of the cardiovascular complications and comorbidities seen in COVID-19 patients.

Idiopathic pulmonary fibrosis (IPF) is a chronic lung disease that is associated with oxygen desaturations and pulmonary arterial hypertension. OSA is often reported in patients with IPF and recent IPF guidelines have recognised OSA as an important associated comorbidity that can affect patient's survival [37]. It is recommended that that newly diagnosed IPF patients should be referred to sleep centers for the diagnosis and treatment of OSA [38]. Fibrotic changes have also been seen in patients after COVID-19 [39]. It is therefore important that patients who have had COVID-19 and who have fibrotic changes are screened for potential OSA. It is as yet unclear whether OSA patients with existing fibrotic changes may be at increased risk from the

effects of COVID-19.

The effect of COVID-19 pandemic on treatment and management of OSA.

According to the available evidence, SARS-CoV-2 transmission occurs through droplets. Exhaled aerosol size depends on a number of factors including the characteristics of the fluid, the force and pressure at the moment of emission, and the environmental conditions [40]. Treatment of OSA with Continuous positive airway pressure (CPAP) improves health and wellbeing of patients and improves hypertension management and control in those with moderate-to-severe disease. CPAP is included in the non-invasive ventilation (NIV) category is included in the WHO list of high-risk aerosol-generating procedures [40]. The use of CPAP may therefore put those in its vicinity at increased risk of viral exposure and at a high risk of contagion [9]. It is for this reason that Barker et al has argued that community CPAP and NIV should be stopped unless it is medically necessary for life support [10]. They acknowledge that evidence is limited but suggest that continued CPAP use might put other members of the household / carers at increased risk. In response to this Baker and Sovani have argued that there are risks from stopping NIV and CPAP for patients [11]. See table 1; part (b) for more details.

Cessation of CPAP treatment may be associated with a return of symptoms. These may include effects on daytime sleepiness, concentration, memory and mood. A return of symptoms, in particular, excessive sleepiness, may also be harmful to the general public as a result of poor concentration and increased risk of performance errors and road traffic accidents [41],

OSA is a relatively common disorder with many of the 1.5 million individuals diagnosed in the UK alone being treated with CPAP. Given that the pandemic is affecting millions of people globally it is likely that many OSA CPAP-treated patients will be affected. Ways to reduce viral shedding from positive airway devices are therefore important. Bacterial/ viral filters are being designed to trap particles and recently Kryger et al described how the use of Enhanced Expiratory Rebreathing Space (EERS) might help reduce viral shedding [12]. They suggest, however, that an individual with severe OSA who contracts COVID-19 might be best managed in a healthcare facility where staff might be able to take necessary precautions and use Personal Protection Equipment (PPE).

In the UK, the British Sleep Society with the OSA Alliance (incorporating British Thoracic Society, British Sleep Society, Association for Respiratory Technology and Physiology, Sleep Apnoea Trust Association) has just released guidelines with regards to the use of CPAP during the pandemic [42]. The guidance suggests that people with OSA should continue to use their CPAP at home as normal but suggests that individuals might wish to consider taking steps to distance themselves from vulnerable household members by changing bedrooms or stopping CPAP for a short time. It encourages patients to persist with CPAP when experiencing symptoms of respiratory infection. The current National Health Service guidance states, for patients, who remain at home during the coronavirus pandemic, to continue with their usual method of ventilation.

In France, the French Association of Otorhinolaryngology and Sleep disorders (AFSORL) and the French Society of Otorhinolaryngology (SFORL) put forward a summary of the measures for continuing the treatment of sleep apnoea syndrome in

these new practice conditions [23] see table 1; part (b). Interestingly, in a separate study of 7485 patients it was noted that compliance to CPAP improved during lockdown compared with data one month before and when compared with the same period the preceding year. It was suggested that this may be the result of publicity regarding COVID-9, which has described as a disease that affects the airways and, a fear of hospitalization may have motivated patients to adhere to treatment. Spending more time at home might have also led to Increased opportunity to sleep and to use the CPAP treatment [23].

Oral devices may also be used for the treatment for OSA. Given that many dental procedures generate aerosols there is a concern that viral droplets may remain suspended in the air. Thus whilst oral appliance treatments may be low-risk procedures there may be risk to sleep patients from other procedures conducted at dental practices. Likewise, due to the transmission risk, the procedures for cleaning oral sleep appliances, which include mandibular advancement appliance for snoring and sleep apnea, may need to be reviewed. [14]

The effect of COVID-19 on OSA diagnosis

OSA is very prevalent worldwide, and given that the comorbidities of OSA patients are shared with those of COVID-19 patients who experience adverse outcomes it may be of great importance to ensure that OSA patients receive effective CPAP therapy if confronted with COVID-19 infection. Despite this, COVID-19 has had a major effect on sleep services both in the UK and elsewhere (see table 1; part (c)). A recent study by Grote et al assessed the impact of the COVID-19 pandemic on the management of

sleep disordered breathing (SDB) in patients in nineteen European countries [15]. In 31 of the total 40 participating centers patients were unable to physically attend because of travel restrictions. It was found that major changes to the services provided occurred during the pandemic. For the diagnosis of OSA it was reported that, prior to COVID-19 pandemic, 92.5% used in lab Polysomnography, 87.5% used Polygraphy at home and 30% used Telemedicine. During the pandemic, in lab polysomnography had significantly reduced to 20%, at home Polygraphy to 32.5% and Telemedicine based diagnosis was used in 27.5%. They also reported that commencement of treatment for SDB by various types of positive airway pressure therapy were equally reduced in the vast majority of centres and countries. Furthermore, staffing levels in the sleep medicine service was reduced to 25% for physicians and to 19% for nurses/technicians compared to pre-pandemic levels.

[15]

In China, in high epidemic areas, such as Wuhan and the nearby cities in Hubei province, sleep study and non-invasive positive pressure ventilation (NIPPV) were suspended except in case of emergency whereas for areas with low COVID-19 it was decided that in lab sleep studies could be performed in 'at risk' individuals providing the possibility of COVID-19 infection could be excluded and with the proviso that appropriate PPE is available and virus control procedure are followed. Other sleep services and follow up appointments are provided via online services [13].

In the US, the American Academy of Sleep Medicine (AASM) issued revised recommendations on 27th April 2020 that include the postponement of in-laboratory sleep studies for all patients [43]. For necessary testing, it recommends that

consideration is given to using single-use, fully disposable devices and/or components. If reusable devices are used these must be cleaned and sanitized according to CDC disinfection standards and manufacturer's instructions and that personnel should have appropriate PPE. It advises that a reusable device should be removed from service for at least 72 hours in addition to disinfection before its next use [43]. Similar procedures should be followed for home testing and the use of a mail delivery service would ensure that patients do not have to leave their home to receive or return the device. [16,43]. Telemedicine may play a more important role in the diagnosis and management of these conditions. For individuals who do need to be seen in sleep clinics it is clear that measures to screen individuals arriving at the clinics as well as increased personal protection measures and sanitization measures need to be implemented. New procedures for the treatment of patients requiring ventilation who become infected with COVID-19 may be required to prevent spread to staff, carers or family members [16].

Discussion

This comprehensive systematic literature review is consistent with a recent study of 1099 COVID-19 patients, which did not specifically look at OSA, but which showed higher rates of chronic obstructive pulmonary disease (COPD), diabetes and hypertension in patients who were admitted to intensive care units, required mechanical ventilation or died [44]. In the majority of the reviewed studies it has not been possible to establish whether OSA is simply a co-morbidity that is associated with Covid-19 morbidity and mortality or whether is it potentially and independent risk factor for poor COVID-19 outcomes. However, the CORONADO study has

examined this in more detail [18]. Data published online on May 29th, documents the clinic characteristics of the 1317 individuals with diabetes, in the study prior to admission to hospital with coronavirus symptoms; of these 1189 individuals had treated OSA. The study demonstrated that dyspnea, lymphocyte count, C-reactive protein and AST measured on admission were independent predictors of the primary outcome of tracheal intubation and /or death at day 7. Age, treated obstructive apnoea and microvascular and macrovascular complications were also independently associated with risk of death at day 7 [18]. Further studies are therefor warranted to establish this potentially causal relationship between OSA and COVID-19 outcomes, both in individuals with and in those without diabetes.

In the UK it has been estimated that more than 85% of potential cases of OSA remain undetected [45], and so potentially there could be a large number of unidentified individuals who may be at increased risk from COVID-19.

There are a number of plausible pathways by which COVID-19 might have an adverse effect on OSA patients and further research is warranted to investigate these pathways. Furthermore, given that recent studies suggest that melatonin may be beneficial for the treatment of COVID-19 [28-30] it remains to be seen if sleep and treatment for sleep disorders may have beneficial effects on COVID-19 outcomes.

Many COVID-19 patients suffer pulmonary fibrosis which itself is a risk factor for future development of OSA. Careful monitoring of discharged patients for thrombotic and fibrotic effects and subsequent OSA development are warranted.

Moving forward it is necessary that guidelines are reviewed so that as new knowledge is acquired the best practices for the diagnosis and treatment of sleep disorders under

these restrictive pandemic conditions may be developed. Many sleep laboratory teams have been assisting with the respiratory effects suffered by COVID-19 patients and it is clear for 'normal' sleep services to resume, all sleep center staff including physicians, sleep technicians and respiratory physiotherapists or respiratory nurses will need ongoing training in updated clinical knowledge of the COVID-19 pandemic. It will be necessary to continually review the practices and to develop new safe operating procedures with reference to the guidelines from the government, scientific societies and national authorities.

The emergence of disposable kits for overnight sleep studies is important as they may provide a new and safe way for the diagnosis of sleep conditions, under these restrictive conditions.

Conclusions

The findings from this study suggest that many of the risk factors for OSA are associated with poor COVID-19 outcomes. There are plausible mechanisms by which OSA may be associated with poor outcomes and further studies are required to corroborate the data from the CORONADO study which suggests that treatment for OSA is independently associated with risk of death from COVID-19. The pandemic has had a major effect on the treatment management and diagnosis of OSA and moving forward it may be necessary to explore new diagnosis and treatment pathways for these individuals. This may include the increased telemedicine and the use of disposable diagnostic tools and non-contact sleep surveillance for sleep apnoea diagnosis. Those already diagnosed but awaiting treatment may need priority at this time to mitigate any potential increase in risk.

Practice Points

A review of the current diagnosis, treatment and management protocols for OSA may be required in light of the COVID-19 pandemic

1. Common risk factors and co-morbidities for OSA are shared with those individuals who have poor COVID-19 outcomes;
2. There are plausible mechanistic pathways by which COVID-19 may have adverse effects on OSA and treated OSA patients may be at increased risk of death from COVID-19;
3. COVID-19 has had major effects on the treatment, management and diagnosis of OSA during the pandemic;
4. Moving forward sleep centres may need to establish new ways to diagnose patients with OSA, potentially using robust decontamination procedures for reusable equipment or disposable sleep study kits;
5. The management and treatment of existing patients will need to be changed, possibly using more telemedicine.

Research Agenda

In the future we need to be able to safely and effectively diagnose and manage OSA and to:

1. Determine whether questionnaire based screening programmes or disposable sleep tests can be used for OSA detection;
2. Corroborate the data that suggest that individuals treated for OSA may be at increased risk of death from COVID-19;
3. Determine whether any adverse risk from COVID-19 for OSA patients can be modified by effective treatment compliance.

Disclosure Statements

Financial statement:

The study is part of the Sleep, Health & Society Programme of The University of Warwick.

Conflict of interest: None

References

1. John Hopkins Coronavirus Research Centre.
<https://coronavirus.jhu.edu/map.html> (Accessed 26 June 2020) □
2. Yang J, Zheng Y, Gou X, Pu K, Chen Z, Guo Q, et al. Prevalence of comorbidities and its effects in patients infected with SARS-CoV-2: a systematic review and meta-analysis. *Int J Infect Dis* 2020; 94: 91-95.
3. Pareek M, Bangash MN, Pareek N, Pan D. Ethnicity and COVID-19: an urgent public health research priority. *The Lancet* 2020
[https://doi.org/10.1016/S0140-6736\(20\)30922-3](https://doi.org/10.1016/S0140-6736(20)30922-3).
4. Pinto JA, Ribeiro DK, da Silva Cavallini AF, Duarte C, Freitas GS. Comorbidities associated with obstructive sleep apnea: a retrospective study. *International archives of otorhinolaryngology*, 2016. 20(02): p. 145-150.
5. Cappuccio FP, Siani A. Covid-19 and cardiovascular risk: susceptibility to infection to SARS-CoV-2, severity and prognosis of Covid-19 and blockade of the renin-angiotensin-aldosterone system. An evidence-based viewpoint. *Nutr Metab Cardiovasc Dis* 2020; (in press).
6. Moher D, Liberati A, Tetzlaff J, Altman DG. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *BMJ* 2009; 339: b2535.
7. Pazarlı AC, Ekiz T, İlik F. Coronavirus disease 2019 and obstructive sleep apnea syndrome [published online ahead of print, 2020 Apr 28]. *Sleep Breath*. 2020;1. doi:10.1007/s11325-020-02087-0

8. McSharry D, Malhotra A. Potential Influences of Obstructive Sleep Apnea and Obesity on COVID-19 Severity. *J Clin Sleep Med*. 2020;10.5664/jcsm.8538. doi:10.5664/jcsm.8538
9. Lance CG. PAP Therapy Increases the Risk of Transmission of COVID-19. *Cleve Clin J Med* 2020 May 5. doi: 10.3949/ccjm.87a.ccc003. Online ahead of print.
10. Barker J, Oyefeso O, Koeckerling D, Mudalige NL, Pan D . COVID-19: community CPAP and NIV should be stopped unless medically necessary to support life. *Thorax* 2020;**75**:367.
11. Baker JG, Sovani M. Case for continuing community NIV and CPAP during the COVID-19 epidemic. *Thorax* 2020;**75**:368.
12. Kryger M, Thomas R. Home PAP devices in COVID-19 infected patients. *J Clin Sleep Med*. Published Online:April 9, 2020 <https://doi.org/10.5664/jcsm.8490>.
13. Zhang XL, Xiao Y. Sleep Health Service in China During the COVID-19 Outbreak. *J Clin Sleep Med*. 2020 Apr 6. doi: 10.5664/jcsm.8472. Online ahead of print. DOI: 10.5664/jcsm.8472
14. Lavigne G, Fabbro CD, Babiloni AH, Huynh N, Gauthier L, Arcache P et al. Dental sleep medicine perspectives post-COVID-19: interprofessional adaptation and directions. *J Clin Sleep Med*. 2020 May 4. doi: 10.5664/jcsm.8546. Online ahead of print.
15. *Grote L, McNicholas WT, Hedner J, ESADA collaborators. Sleep Apnoea Management in Europe During the COVID-19 Pandemic: Data From the European Sleep Apnoea Database (ESADA). *Eur Respir J* 2020

- May 4;2001323. doi: 10.1183/13993003.01323-2020. Online ahead of print.
16. Drummond M. Sleep Labs, Lung Function Tests and COVID-19 Pandemic - Only Emergencies Allowed! *Pulmonology* 2020 Apr 27;S2531-0437(20)30089-1. doi: 10.1016/j.pulmoe.2020.04.002. Online ahead of print.
 17. *Bhatraju PK, Ghassemieh BJ, Nichols M, Kim R, Keith R, Jerome KR et al. N Covid-19 in Critically Ill Patients in the Seattle Region - Case Series. *New Engl J Med.* 2020 Mar 30. doi: 10.1056/NEJMoa2004500
 18. *Cariou B, Hadjadj S, Wargny M, Pichelin M, Al-Salameh A, Allix I. *et al.* Phenotypic characteristics and prognosis of inpatients with COVID-19 and diabetes: the CORONADO study. *Diabetologia* (2020).
<https://doi.org/10.1007/s00125-020-05180-x>
 19. *Attias D, Pepin JL, Pathak A. Impact of COVID-19 lockdown on adherence to continuous Positive Airway Pressure (CPAP) by Obstructive Sleep Apnoea Patients. *Eur Respir J.* 2020 May 19;2001607. doi: 10.1183/13993003.01607-2020. Online ahead of print
 20. *Gupta N, Agrawal S, P Ish, Mishra S, Gaiind R, Usha G et al. Clinical and Epidemiologic Profile of the Initial COVID-19 Patients at a Tertiary Care Centre in India. 1. *Monaldi Arch Chest Dis.* 2020 Apr 10;90(1). doi: 10.4081/monaldi.2020.1294.
 21. *Memtsoudis SG, Ivascu NS, Pryor KO, Goldstein PA. Obesity as a risk factor for poor outcome in COVID-19-induced lung injury: the potential role of undiagnosed obstructive sleep apnoea. *Br J Anaesth.* 2020 May 1. doi: [10.1016/j.bja.2020.04.078](https://doi.org/10.1016/j.bja.2020.04.078) [Epub ahead of print]

22. *Mittal A, Forte M, Leonard R, Sangani R, Sharma S. Refractory Acute Respiratory Distress Syndrome Secondary to COVID-19 Successfully Extubated to Average Volume-assured Pressure Support Non-invasive Ventilator. *Cureus*. 2020;12(4):e7849. Published 2020 Apr 27. doi:10.7759/cureus.7849
23. Bastier PL, Aisenberg N, Durand F, Lestange P, Abedipour D, Gallet de Santerreh O, et al. Treatment of sleep apnea by ENT specialists during the COVID-19 pandemic. *European Annals of Otorhinolaryngology, Head and Neck diseases* (2020) “in press”.
24. *Arentz M, Yim E, Klaff L, Lokhandwala S, Riedo FX, Chong M, et al. Characteristics and Outcomes of 21 Critically Ill Patients With COVID-19 in Washington State. *JAMA*. 2020;323(16):1612–1614. doi:10.1001/jama.2020.4326
25. Unnikrishnan D, Jun J, Polotsky V. Inflammation in sleep apnea: an update. *Rev Endocr Metab Disord*. 2015;16(1):25–34. doi:10.1007/s11154-014-9304-x
26. Jose RJ, Ari Manuel A. COVID-19 cytokine storm: the interplay between inflammation and coagulation. *Lancet Respir Med*. 2020;S2213-2600(20)30216-2. doi:10.1016/S2213-2600(20)30216-2.
27. Simonnet A, Chetboun M, Poissy J, Raverdy V, Noulette J, Duhamel A et al. High prevalence of obesity in severe acute respiratory syndrome corona- virus-2(SARS-CoV-2) requiring invasive mechanical ventilation. *Obesity* (Silver Spring). 2020 Apr 9. doi: 10.1002/oby.22831.

28. Zhang R, Wang X, Ni L, Di X, Ma B, Niu S, et al. COVID-19: Melatonin as a Potential Adjuvant Treatment. *Life Sci* 2020 Jun 1;250:117583. doi: 10.1016/j.lfs.2020.117583. Epub 2020 Mar
29. Shneider A, Kudriavtsev A, Vakhrusheva A. Can Melatonin Reduce the Severity of COVID-19 Pandemic? *Int Rev Immunol*. 2020 Apr 29;1-10. Doi 10.1080/08830185.2020.1756284
30. Zambrelli E, Canevini M, Gambini O, D'Agostino A. Delirium and Sleep Disturbances in COVID-19: A Possible Role for Melatonin in Hospitalized Patients? *Sleep Med* 2020 Apr 17;70:111. doi: 10.1016/j.sleep.2020.04.006. Online ahead of print.
31. Kassi E, Adamopoulos C, Basdra EK, Papavassiliou AG. Role of vitamin D in atherosclerosis. *Circulation*. 2013; 128(23):2517–31.
32. Kheirandish-Gozal L, Peris E, Gozal D. Vitamin D levels and obstructive sleep apnoea in children. *Sleep Med*. 2014; 15(4):459–63.
33. Grant WB, Lahore H, McDonnell SL, Baggerly CA, French CB, Aliano JL et al. Evidence that Vitamin D Supplementation Could Reduce Risk of Influenza and COVID-19 Infections and Deaths. *Nutrients*. 2020;12(4):988. Published 2020 Apr 2. doi:10.3390/nu12040988
34. Hastie CE, Mackay DF, Ho F, Celis-Morales CA, Katikireddi SV, Niedzwiedz CL, et al. Vitamin D concentrations and COVID-19 infection in UK Biobank [published online ahead of print, 2020 May 7]. *Diabetes Metab Syndr*. 2020;14(4):561–565. doi:10.1016/j.dsx.2020.04.050

35. Jin Z-N Wei Y-X. Meta-analysis of effects of obstructive sleep apnea on the renin-angiotensin-aldosterone system. *J Geriatr Cardiol*. 2016 May; 13(4): 333–343. doi: 10.11909/j.issn.1671-5411.2016.03.020.
36. South AM, Diz DI, Chappell MC. COVID-19, ACE2, and the Cardiovascular Consequences *Am J Physio Heart Circ Physiol*. 2020;318(5):H1084–H1090. doi:10.1152/ajpheart.00217.2020
37. Schiza S, Mermigkis C, Margaritopoulos GA, Daniil Z, Harari S, Poletti V, et al. Idiopathic pulmonary fibrosis and sleep disorders: no longer strangers in the night. *European Respiratory Review* 2015 24: 327-339; DOI: 10.1183/16000617.00009114
38. Lancaster LH, Mason WR, Parnell JA, Rice TW, Loyd JE, Milstone AP, et al. Obstructive sleep apnea is common in idiopathic pulmonary fibrosis. *Chest*. 2009;136(3):772–778. doi:10.1378/chest.08-2776
39. Ye, Z., Zhang, Y., Wang, , Huang Z, Song B. Chest CT manifestations of new coronavirus disease 2019 (COVID-19): a pictorial review. *Eur Radiol* (2020). <https://doi.org/10.1007/s00330-020-06801-0>
40. World Health Organization. Rational use of personal protective equipment for coronavirus disease 2019 (COVID-19) Interim guidance. https://apps.who.int/iris/bitstream/handle/10665/331215/WHO-2019-nCov-IPCPPE_use-2020.1-eng.pdf. Date last updated: 27 February 2020; date last accessed: 25th June 2020
41. Garbarino S, Durando P, Guglielmi O, Dini G, Bersi F, Fornarino S. Sleep apnea, sleep debt and daytime sleepiness are independently associated with

road accidents. A cross-sectional study on truck drivers. *PLoS One*, 2016.

11(11)

42. *<https://brit-thoracic.org.uk/media/455098/osa-alliance-cpap-covid-19-advice-20-3-20-v10.pdf>
43. *<https://aasm.org/covid-19-resources/covid-19-mitigation-strategies-sleep-clinics-labs>
44. Guan WJ, Ni ZY, Hu Y, Liang W, Ou C, He J, et al. Clinical characteristics of coronavirus disease 2019 in China. *New Engl J Med*. 2020
45. Mackay T. OSA working towards the development of minimal standards for referral, investigation and treatment in Scotland. British lung foundation tool kit
https://www.blf.org.uk/sites/default/files/OSA_Toolkit_2015_BLF_0.pdf
f. 2010.

Figure Captions

Figure 1. PRISMA flow chart

Journal Pre-proof

TABLE 1. Summary of studies included in systematic review

Author	Study details	Main results and Authors' conclusions	Strengths and Limitations
<i>Part (a) OSA and COVID-19 morbidity and mortality</i>			
<i>Arentz et al 2020</i> ²⁴	Case Series (n=21) Patients with confirmed SARS-CoV-2 infection admitted to the ICU at Evergreen Hospital, Washington State. Feb 20 th -5 th March 2020	Mean age, 70 years [range, 43-92 years]. 52% men. Comorbidities were identified in 18 cases (86%), with chronic kidney disease and congestive heart failure being the most common. 28.6% of patients had obstructive sleep apnoea. Mechanical ventilation was initiated in 71% of patients. As of March 17, 2020, mortality was 67% and 24% of patients remained critically ill. Emphasizes the need to limit exposure of nursing home residents to SARS-CoV-2.	Small number from a single center, the study population included older residents of nursing facilities
<i>Bhatraju et al 2020</i> ¹⁷	Case series (n=24) min 14 days follow up. Patients from nine Seattle-area hospitals, admitted to ICU with confirmed SARS-CoV-2. February 2020	Mean age 64+/-18years, BMI 33.2+/- 7.2Kg. 63% men. 58% had diabetes mellitus, 22% were current or former smokers, 21% had chronic kidney disease, 21% had obstructive sleep apnoea, 14% had asthma. All the patients were admitted for hypoxemic respiratory failure, 75% of the patients required mechanical ventilation. 50% died. The most common reason for admission to ICU was hypoxemic respiratory failure requiring mechanical ventilation. Mortality was high.	Small sample size.
<i>Cariou et al 2020</i> ¹⁸	The CORONADO Study. Observational Study Nationwide study in 53 French centers 10 th -31 st March 2020.	The primary outcome was combined tracheal intubation for mechanical ventilation and/or death within 7 days of admission. 1317 participants: 64.9% men, mean age 69.8 ± 13.0 years, median BMI 28.4 (25 th -75 th percentile: 25.0-32.7) kg/m ² ; with a predominance of type 2 diabetes (88.5%) Age (OR 2.48 [1.74, 3.53]), treated obstructive sleep apnoea (OR 2.80 [1.46, 5.38]), and microvascular (OR 2.14 [1.16, 3.94]) and macrovascular complications (OR 2.54 [1.44, 4.50]) were independently associated with the risk of death on day 7. OSA is an independent risk factor for risk of death in COVID-19 patients.	
<i>Gupta et al 2020</i> ²⁰	Case series (n=21) of the first COVID-19 patients admitted to a tertiary care center in India. 1 st Feb-19 th March 2020	The mean age of the population was 40.3 years (range 16-73 years). There was a male preponderance (66.7%). All patients were Indian. Six patients (28.6%) had comorbidities. The most common comorbidity was hypertension (5 patients) and diabetes mellitus (3 patients) adequately controlled with drugs. One (4.8%) patient with hypertension and one with diabetes mellitus also showed anxiety disorder and hypothyroidism. Another patient had an underlying migraine and obstructive sleep apnoea. As of 19 th March 19 of the patients had been discharged	Small sample size.
<i>Memtsoudis et al 2020</i> ²¹	Case series (n=124) of whom 60 in ICU and 64 non-ICU	In ICU the average BMI was: males, 28.3 [SD 5.3] (n=44) of whom 5 had OSA and females, 30 [6.3] (n=16) of whom none had OSA. In patients severely ill with respiratory failure but not in ICU and therefore not requiring mechanical ventilation) the average BMI was: males, 29 [6.1] (n=35) of whom 2 had OSA and females average BMI, 33.5 [12.1] (n=21) of whom 2 had OSA. The authors hypothesise that the low incidence of diagnosed OSA in a high-risk obese patient population may reflect under-diagnosis.	
<i>Mittal et al 2020</i> ²²	Case Study	74 yrs old male with notable OSA and COVID-19. Successfully intubated and treated with AVAPS.	Small sample size

Part (b) The effect of COVID-19 pandemic on treatment and management of OSA

Attias et al 2020 ¹⁹	<p>In a cohort of 7485 patients with OSA the impact of the coronavirus disease (COVID-19) national lockdown in France on objective adherence to CPAP was assessed by telemonitoring. Data was compared from the pre-COVID-19 period (one month before 15 March 2020, the date of the national lockdown announcement) to data post-lockdown. There was a 3.9 % (p<0.001) increase in adherence from a mean value of 386 min per night pre-COVID-19 to 401 min per night during lockdown. These data were confirmed by comparing the CPAP adherence rate during the first month of lockdown (15th March 2020 to 15th April 2020).</p> <p>The proportion of very low adherers (less than 10 minutes of CPAP use per night) dropped by 18.5 % (p<0.001) between the similar periods in 2019 and 2020).</p> <p>The authors hypothesise that fear of being hospitalized may have motivated patients to increase CPAP adherence and decreased occupational stress and increased opportunity for sleep may be important.</p>	Large sample size Prospective cohort.
Baker & Sovani 2020 ¹¹	<p>Opinion piece</p> <p>Summary of main points: NIV: This is primarily used for those with previous, or at risk from, hypercapnic respiratory failure).</p> <ol style="list-style-type: none"> 1. Stopping home NIV risks the return of symptoms (lethargy, headache, dyspnoea and confusion), increased patient and family anxiety and precipitating life-threatening acute hypercapnia, 2. Home NIV patients often have limited mobility or are housebound. There is therefore a higher risk of a carer to patient transmission. 3. There is a risk to carers from infected patients. The use of a non-vented mask with a viral filter reduces will reduce viral spread. 4. Measures to reduce viral load in a room (e.g. by opening windows and doors) should be employed. Use of PPE will be required. <p>For patients in care facilities hospitals use of a side room and change of mask and circuit with a viral filter should minimise risk.</p> <p>CPAP: This is primarily used for those with OSA.</p> <ol style="list-style-type: none"> 1. CPAP treatment improves symptoms (sleepiness, headaches, concentration, memory and mood) but is rarely life preserving. 2. Stopping home CPAP may cause deterioration in physical and mental health. Increased sleepiness will affect driving and safety critical jobs and work productivity may fall. 3. The return of snoring may had adverse effects on family members. 4. Stopping CPAP for the entire epidemic duration cannot be recommended, especially for those with safety-critical jobs and those with increased workload during the pandemic. 5. If a CPAP- user develops symptoms (or has asymptomatic proven COVID-19) then self-isolation and stopping CPAP for 2 weeks might be sensible. 6. Patients not to bring home CPAP equipment to hospitals for inpatient admissions. 7. Patients with decompensated obesity hypoventilation or COPD/OSA overlap will be provided with a non-vented mask, viral filter and vented circuit in a side room with a hospital CPAP machine. <p>Whilst there is an unknown, potentially increased, risk of viral transmission for other household members and carers, should the NIV-user or CPAP- user be COVID-19 positive, the authors believe that blanket advice to</p>	Non-systematic

		withhold home NIV and CPAP for all users, for what may be a substantial period (maybe 12 weeks or even longer), will cause significant, and measurable, patient harm.	
Barker et al 2020¹⁰	Opinion piece	<p>The authors summarise existing evidence which suggests that:</p> <ul style="list-style-type: none"> - NIV-induced aerosols secretions from asymptomatic patients may pose high-dose viral transmission risks in households. - Isolation may be required following NIV usage. - Universal isolation for NIV and CPAP usage within households may not be practical. - Individualized consideration should be given to temporary cessation of community ventilation. <p>The authors believe there is a risk of high-dose viral transmission from CPAP users to household members, with potentially severe consequence. Clearer instructions for patients and their physicians regarding isolation or cessation of treatment are required.</p>	Non-systematic
Bastier et al 2020²³		The French Association of Otorhinolaryngology and Sleep disorders (AFSORL) and the French Society of Otorhinolaryngology (SFORL) put forward a summary of the measures for continuing the treatment of sleep apnoea syndrome in these new practice conditions. Emphasis is placed on teleconsultation, the conditions for treatment by CPAP, and the postponement of more invasive treatments	
Kryger & Thomas 2020¹²		<p>The authors describe a new method to reducing viral shedding in COVID-19 patients on PAP devices. The new circuit elements are designed to vent exhaled air away from the patient and impose a filter before the air can exit the system</p> <p>As at home, it may be difficult protect others, it may be better to treat patients with severe OSA and COVID-19 infection in a healthcare facility.</p>	Non-systematic
Lance et al 2020⁹	Review	<p>Summary main points:</p> <p>PAP therapy may increase the risk of transmission of COVID-19 to others in the environment.</p> <p>PAP therapy user should sleep in a separate bedroom and use a separate bathroom where possible.</p> <p>PAP equipment should be diligently cleaned.</p> <p>For infected patients it may be reasonable to discontinue PAP or to maintain strict quarantine.</p> <p>AASM recommendations include: Postponing in-laboratory sleep studies for all patients.</p> <p>Postponing home sleep apnea testing unless using disposable testing devices.</p> <p>Sleep clinics should remain open for phone calls, telemedicine visits, and emergency in-person visits only.</p> <p>Basic continuous PAP and bilevel PAP devices are not a substitute for the use of a ventilator in the setting of acute respiratory failure.</p> <p>Should the need arise to use PAP/NIV devices to assist with mild hypoxemia and hypercapnia pending endotracheal intubation, adaptations will need to be made to the mask and filtration systems.</p>	Non-systematic
Lavigne et al 2020¹⁴		<p>Whilst oral appliance treatments are normally low-risk procedures, dental and sleep medicine professionals may have to review the required levels of protection required, for patients, families, and staff, with respect to COVID-19. There are a number of issues that need to be addressed including whether mouth-breathing sleepers, patients with positive disease history, and “healthy” carriers pose risks for their sleep partners. And, are greater precautions now needed when cleaning oral sleep appliances?</p> <p>It is hoped that the AASM may add recommendations for oral devices to any sleep related COVID treatment guidance.</p>	Non-systematic

Part (c) The effect of COVID-19 on OSA diagnosis

Grote et al 2020 ¹⁵	Questionnaire study 25 of 29 sleep centers from the European Sleep Apnoea Database (ESADA) and 15 of 283 accredited sleep centres of the German Sleep Society (DGSM).	<p>Prior to the pandemic, laboratory-based polysomnography was performed in 92.5% of centres v 20% during the pandemic ($p < 0.001$).</p> <p>Telemedicine- based sleep apnoea diagnosis was used in 30.0% of centres prior to the pandemic v 27.5% during the pandemic.</p> <p>Prior to the pandemic, laboratory-based CPAP or bi-level PAP titration and initiation were practiced in almost all centres but less than one fifth of centres continued this routine during the pandemic ($p < 0.001$).</p> <p>Prior to the pandemic, 39 centres report regular follow-up procedures in patients with SDB. This service continued in 36 centres during the pandemic but the mode of follow up had changed. The majority of centers provided follow-up by phone or video-calls.</p> <p>Staffing levels in the sleep medicine service was reduced to 25% (Interquartile range (IQR) 40) for physicians and to 19% (IQR 28) for nurses/technicians compared to pre-pandemic levels.</p> <p>1) European sleep medicine services have been reduced by almost 80% during the first 1-2 months of the COVID-19 pandemic. 2) More comprehensive sleep studies have been completely interrupted, or practiced only to a very limited extent. 3) Commencement of treatment for SDB has been reduced. 4) Patient follow-up is mainly via telemedicine 5) The full potential of telemedicine has not been explored</p>	Large European-wide study. Descriptive report from questionnaire findings
Drummond 2020 ¹⁶	Review	<p>Summary points include:</p> <ol style="list-style-type: none"> 1. Polysomnography sleep studies should be avoided. 2. Use of equipment that cannot be sterilized adequately should be avoided. 3. The entire sleep lab teams need to be provided with ongoing receive training pertinent to COVID-19 4. Full PPE needs to be available. 5. Telemedicine should be used for existing patients 6. Patients under NIV infected by SARS-CoV-2 should be changed to non-vented masks, provided with filter in the circuit. Equipment needs to be changed and cleaned post-infection. 7. Non-emergency diagnostic or therapeutic procedure should be postponed. <p>During the COVID-19 pandemic doctors should avoid unnecessary procedures that put patients and health care professionals at risk of infection. Clinical routines need to be adapted. Non-emergency diagnostic or therapeutic procedures should be postponed.</p>	Non-systematic
Zhang & Xiao 2020 ¹³	Findings overview from task force Chinese sleep centers Task force commissioned by the Chinese Thoracic	<p>The aim of the task force was to develop a consensus on sleep study and NIPAP treatment during the epidemic of COVID-19 in China.</p> <p>Recommendations include:</p> <ol style="list-style-type: none"> 1. High epidemic area: Sleep study and NIPPV suspended, except in case of emergency. 2. Areas with only sporadic cases or small clusters: Sleep study & NIPAP continued, use portable home sleep study and auto-adjusting PAP at home. Use disposable equipment where possible. 	Task force of sleep medicine specialists and pulmonologists

Society	<ol style="list-style-type: none">3. Low epidemic area, in lab sleep study and NIPAP could be initiated after confidently ruling out the possibility of COVID-194. For sleep technicians appropriate PPE must be used and equipment cleaned and disinfected.5. Telemedicine to be used where possible. <p>The best infection prevention and control practices and the optimization of diagnosis and treatment protocol for sleep disorders during this outbreak should be developed based on the epidemic situation, culture, the availability of medical resources and healthcare system of local area.</p>	
---------	--	--

Figure 1. PRISMA flow chart

