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1 **Effect of thermal history on the deformation of non-metallic**
2 **inclusions during plain strain compression**

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40 **Abstract:** The deformation of inclusions in the steel was affected by the thermal
41 history during the physical simulation of steel processing. After plain strain
42 compression with a reduction of 30%, the average aspect ratio of inclusions in the
43 steel sample cooled down to 1673 K from semi-solid state was 1.89, which was
44 significantly higher than 1.29 in the steel sample heated persistently up to the same
45 temperature. The mechanism was revealed by inclusion transformation.

46 **Keywords:** Inclusion deformation, thermal history, inclusion transformation,
47 semi-solid steel

48 Steel samples were heated up from the cold state to a target temperature during
49 physical simulations, which was usually below 1373 K in Gleeble simulations for hot
50 rolling process^[1, 2]. This temperature was designed based on that in the steel
51 production, while the thermal history was quite different, especially in continuous
52 casting-hot charging rolling (CC-HCR) or continuous casting-direct rolling (CC-DR)
53 process. For the steel matrix, studies on physical simulation of continuous casting
54 showed that the hot ductility of steels was affected by the thermal history^[3, 4]. The hot
55 ductility of specimen subjected to a thermal history similar to that of billet continuous
56 casting, which included a major temperature drop that occurred just below the mold, a
57 reheat of the surface, and a relatively slow cooling to the unbending temperature, was
58 lower than that predicted by conventional isothermal test. For non-metallic inclusions
59 in pipeline steels, the deformation of alumina with different modification extents and
60 sizes was related to the inclusion composition and the morphology of CaS phase in
61 inclusions^[5]. The elongation of precipitates varied with inclusion composition^[6, 7].
62 Moreover, it was found in the study on deformation behaviors of oxide inclusions that
63 the phase transformation occurred during the multi-pass hot rolling process^[8]. It was
64 reported that in Al-killed Ca-treated steels, CaO in inclusions was transformed into
65 CaS during continuous casting^[9], as well as heat treatment^[10]. The deformation
66 behavior of inclusions during the rolling process was related to the transformation
67 ratio of inclusions, which was influenced by the thermal history. Small size calcium
68 aluminate inclusions with low melting temperature were deformed very well along the
69 hot rolling direction regardless of the CaS layer. For inclusions with large size,
70 calcium aluminate oxide inclusions were continuously deformed during hot rolling,
71 while CaS and spinel complex inclusions were divided into several parts^[5, 11].
72 Physical simulation of continuous casting and rolling process can provide an insight
73 into the properties and performances of steel product. However, it was usually
74 performed by heating a cold material to the target temperature instead of undergoing
75 the actual thermal history^[12]. Errors caused by the inaccurate thermal history can not
76 be ignored for the consideration of both the steel matrix and inclusions.

77 The current study was to demonstrate that not only temperature but also the thermal
78 process should be designed based on the simulated process when the deformation of
79 inclusions was concerned. Deformation of inclusions in the semi-solid steel matrix
80 was also investigated.

81 Steel samples were cut from a slab of a pipeline steel and polished. Main composition
 82 of the steel is listed in **Table I**. A Gleeble HDS-V40 thermomechanical simulator was
 83 used for direct resistance heating and plain strain deformation trials. Steel samples
 84 with the size of 160 mm x 10 mm x 25 mm were clamped and water cooled at both
 85 ends. The center part was heated to the target temperature under the control with a
 86 thermocouple, which was connected to the surface of steel samples at the midpoint of
 87 length. The width of the anvil was 10 mm.

88 Table I. Composition of the Steel Used in the Current Study (wt %)

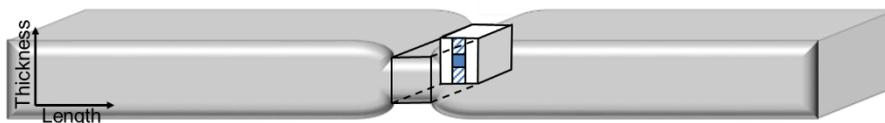
C	Si	Mn	P	T.S	Al _s	T.Ca	T.Mg	T.O	Fe
0.073	0.18	1.48	0.0082	0.0014	0.021	0.0008	0.0003	0.0019	balanced

89 Three tests as listed in **Table II** were performed in a vacuum of 5×10^{-3} mbar. Samples
 90 A and B were heated to 1673 K and 1723 K respectively at the rate of 5 K/s. After
 91 holding for 2 minutes, the plain strain compression was performed with a 30%
 92 reduction, followed by a rapid cooling. Sample C was first heated to 1723 K and held
 93 for 2 minutes, then cooled down to 1673 K at the rate of -5 K/s before soaking for
 94 another 2 minutes. After that, a 30% reduction was carried out before the rapid
 95 cooling. The reduction of 30% was chosen based on pre-experiments, as 30% was the
 96 maximum reduction that could be performed without steel leakage when the
 97 deformation was carried out on semi-solid steel samples.

98 Table II. Three Experiments

Sample	Temperature 1 (K)	Holding time 1 (s)	Temperature 2 (K)	Holding time 2 (s)	Reduction (%)	Strain rate (s ⁻¹)
A	1673	120	-	-	30%	5
B	1723	120	-	-	30%	5
C	1723	120	1673	120	30%	5

99 Metallographic samples were cut from the center of steel samples after deformation,
 100 as shown in **Figure 1**. A FEI Versa 3D was used for the analysis of inclusions,
 101 concentrating on the dash area, which was 3 mm in width and about 7 mm along
 102 sample thickness. In order to eliminate errors caused by the temperature gradient and
 103 the randomness of aspect ratio (the ratio of the maximum to minimum diameter of
 104 inclusions) of small inclusions, only $>5 \mu\text{m}$ inclusions in the 3 mm x 3 mm center
 105 area were selected to analyze the deformation of inclusions. Inclusions in the
 106 metallographic sample cut from the original pipeline steel slab was also analyzed to
 107 provide a baseline.



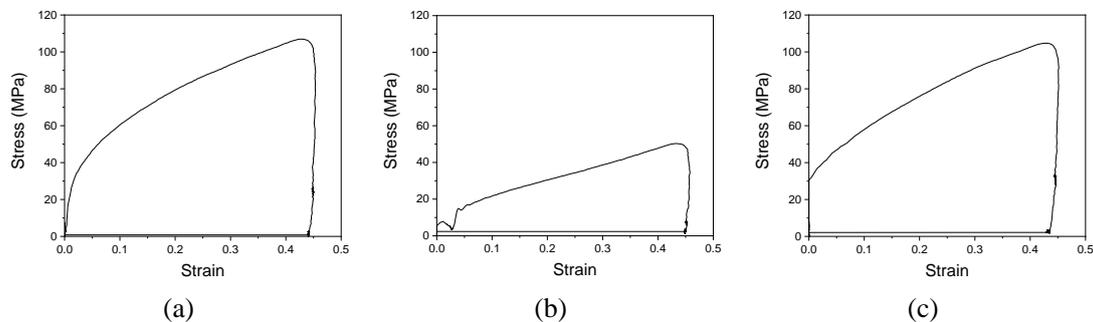
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109

Fig. 1- Sampling of metallographic sample

110 Stress-strain curves of sample A and sample C during plain strain compression at
 111 1673 K were similar. As shown in Figure 2, the maximum stress of sample A and
 112 sample C was 107 MPa and 105 MPa, while that of sample B was only 50 MPa,
 113 indicating that the steel sample at 1723 K was softer than the other two samples at
 114 1673 K during deformation. It should be noted that the small fluctuation at the
 115 beginning of the stress-strain curve was considered as a tolerable error, which was
 116 caused by the equipment. Shrinkage cavities were observed in the center of the steel
 117 sample heated to 1723 K without deformation. It indicated that when the surface
 118 temperature reached 1723 K, a semi-solid zone was formed in the center part of
 119 sample B and sample C as a joint result of direct resistance heating, water cooling at
 120 both ends, and heat radiation from the sample surface to the surroundings. While the
 121 deformations of sample A and sample C at 1673 K were pure solid.

122



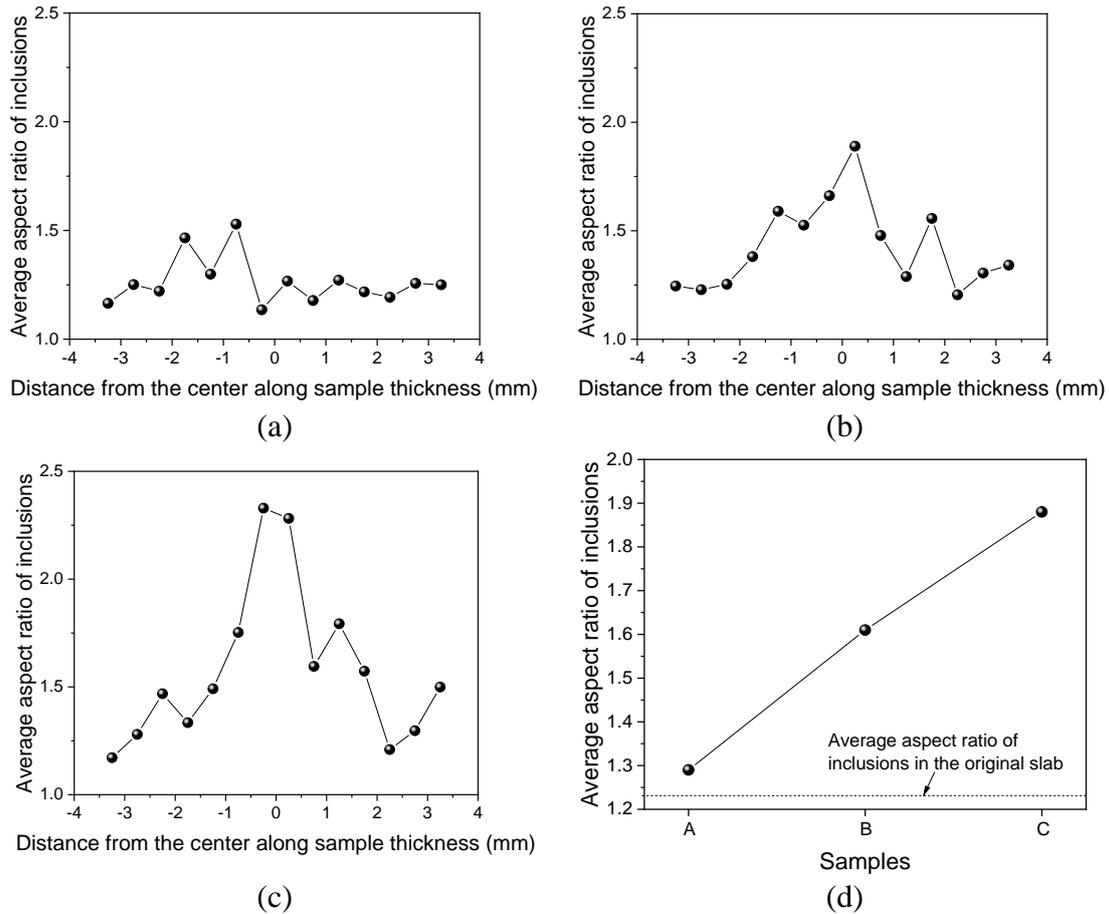
123

Fig. 2- Stress-strain curves during deformation

124

(a) sample A, (b) sample B, and (c) sample C

125 The average aspect ratio of inclusions in the same layer along sample thickness was
 126 plotted in **Figure 3 (a)-(c)**. A parabolic pattern was shown along sample thickness
 127 with the highest value in the middle, which was in consistence with the strain
 128 distribution of general rolling samples^[13, 14]. The average aspect ratio of inclusions in
 129 the 3 mm x 3 mm center area of each sample was compared in **Figure 3 (d)**. A small
 130 area of 9 mm² was chosen so that the analysis area in sample B was limited to the
 131 semi-solid zone to maintain the sample characteristics and eliminate the effect of the
 132 solid shell. The average aspect ratio of inclusions in the original slab was 1.23. For
 133 sample A, after deformed at 1673 K, it increased to 1.29. While for sample B and
 134 sample C, they were 1.61 and 1.89, respectively.

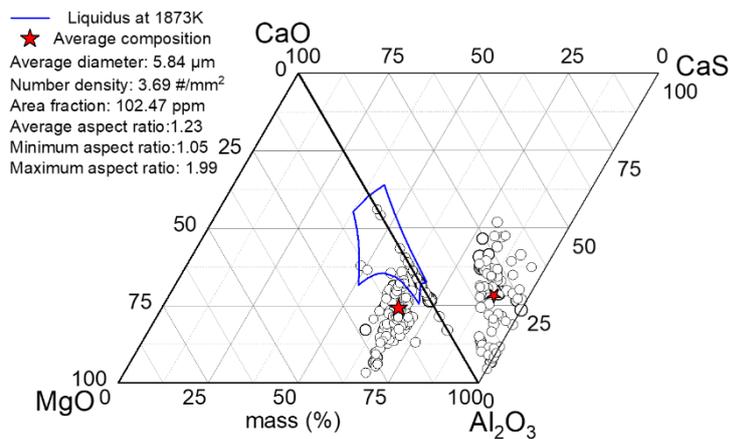


135 Fig. 3- Average aspect ratio of inclusions in (a) sample A, (b) sample B, (c) sample C,
 136 and (d) their comparison

137 The deformation of inclusions in sample A was very small because the strain in
 138 sample A was concentrated on steel phase, which was softer than the inclusion phase
 139 when both phases were solid or near solid at 1673 K. For sample B, it was rather
 140 particles flowed in a semi-solid pool under pressure than the strain partitioning
 141 between the steel phase and the inclusion phase as in pure solid deformation. It was
 142 noted that although both the steel phase and the inclusion phase in sample C were in
 143 similar states as those in sample A, the aspect ratio of inclusions in sample C,
 144 especially in the center, was significantly higher than both sample A and sample B.

145 The composition of inclusions in each sample was plotted in the
 146 CaO-CaS-Al₂O₃-MgO phase diagrams, as shown in **Figure 4**, where each dot
 147 represented an inclusion. The aspect ratio of inclusions was indicated by the size of
 148 dot. If the CaS content of the inclusion was higher than the MgO content, it was
 149 plotted in the triangle of CaO-CaS-Al₂O₃, otherwise it was in the triangle of
 150 CaO-Al₂O₃-MgO. The average composition of inclusions in each sample was plotted
 151 as a star. The average composition of inclusions in the original slab was
 152 60.4% Al₂O₃-17.5% CaO-10.8% MgO-11.3% CaS. After the samples was undergone
 153 different thermal histories and the followed deformation, the average composition of
 154 inclusions in samples A to C changed to

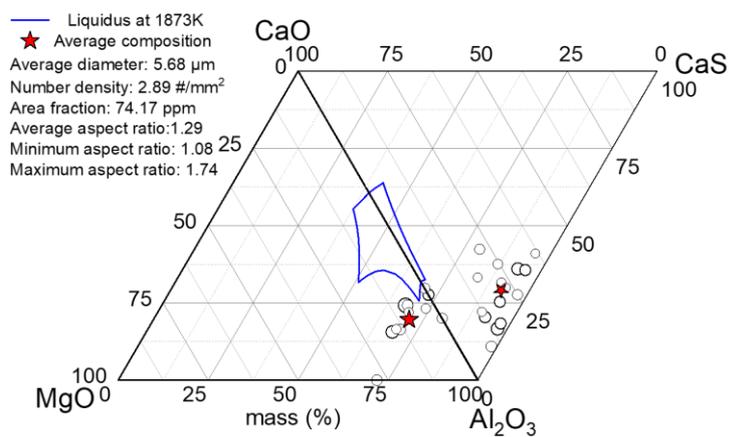
155 62.6% Al₂O₃-11.4% CaO-10.1% MgO-16.0% CaS,
 156 58.0% Al₂O₃-22.8% CaO-14.4% MgO-4.8% CaS, and
 157 59.8% Al₂O₃-14.1% CaO-11.6% MgO-14.3% CaS, respectively. The content of Al₂O₃
 158 and MgO of inclusions in samples A to C was similar. Compared to inclusions in the
 159 original slab, the CaS content increased by 4.6% in sample A, which was heated up to
 160 1673 K and soaked for 2 minutes before deformation. While the CaS content
 161 decreased by 6.5% in sample B after being heated for 2 minutes under 1723 K. For
 162 sample C, the thermal history of which combined those of sample A and sample B, the
 163 CaS content of inclusions was closed to, but a little lower than that of sample A. The
 164 CaO content of the inclusion in steel samples was sample B > original slab > sample
 165 C > sample A, which was opposite to the CaS content. There was no obvious variation
 166 trend of the number density of inclusions. More dots were shown in Fig. 4 (a) because
 167 the scanning area of inclusions in the original slab was much bigger than the other
 168 steel samples. The variation of number density was probably due to the limited
 169 number of inclusions selected for deformation analysis.



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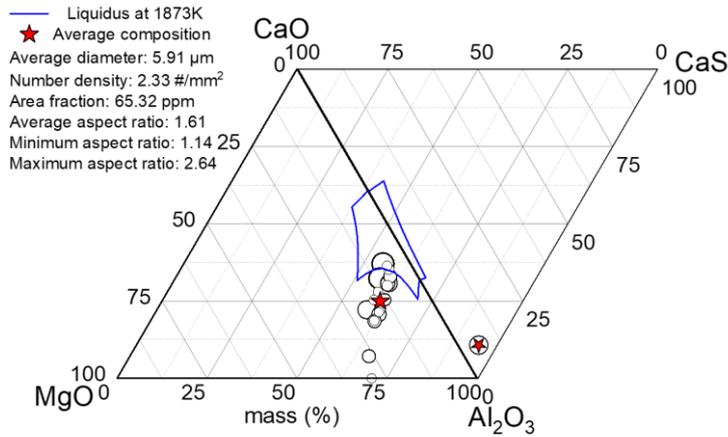
(a)



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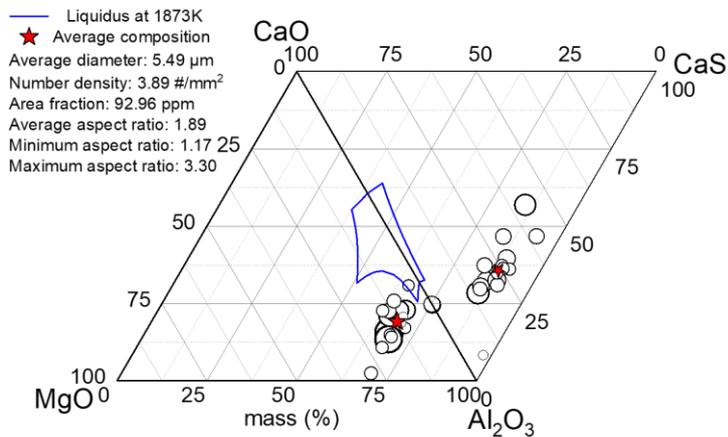
(b)



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(c)



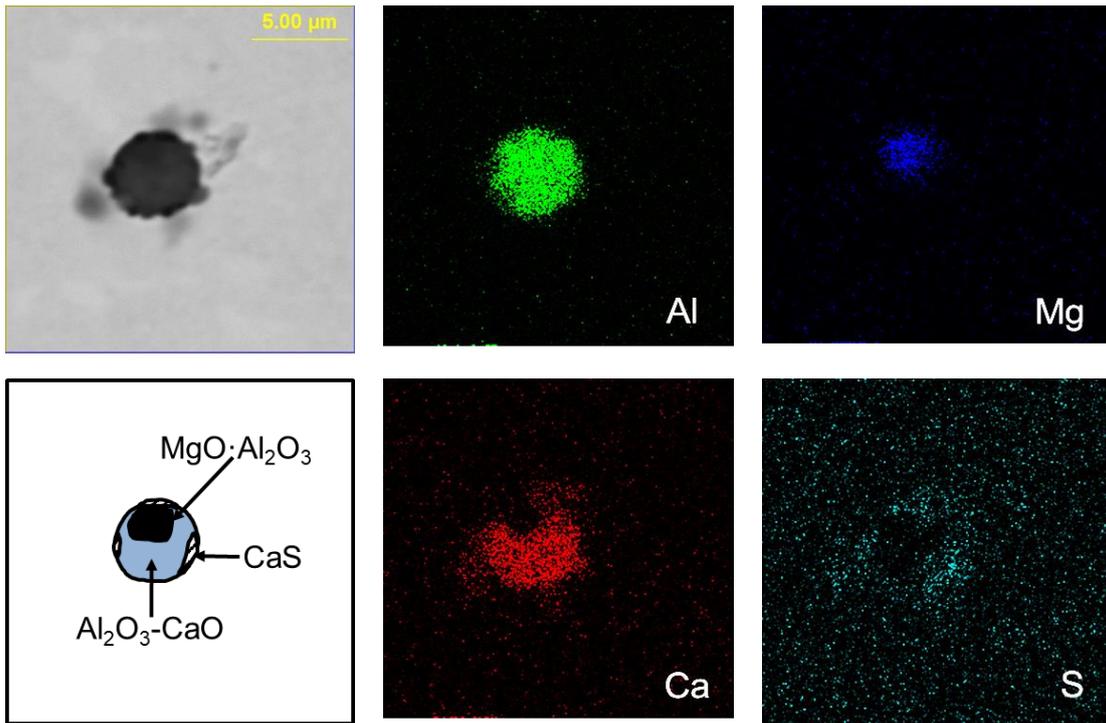
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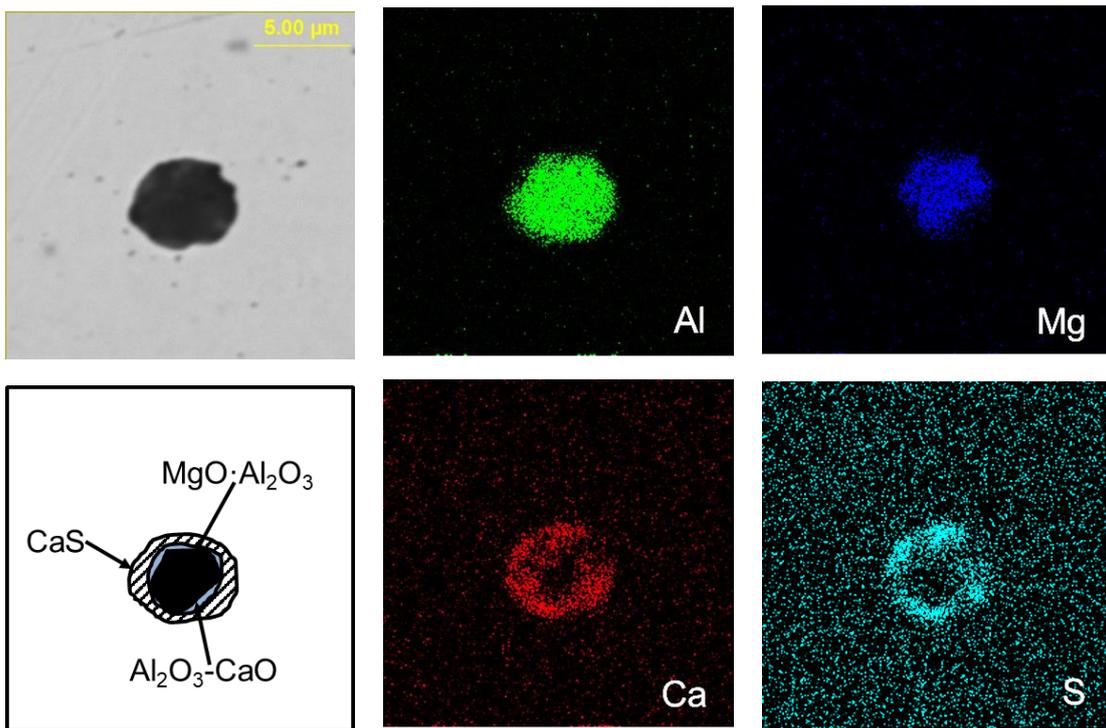
(d)

178 Fig. 4- Composition of inclusions in (a) original slab, (b) sample A, (c) sample B, and
179 (d) sample C

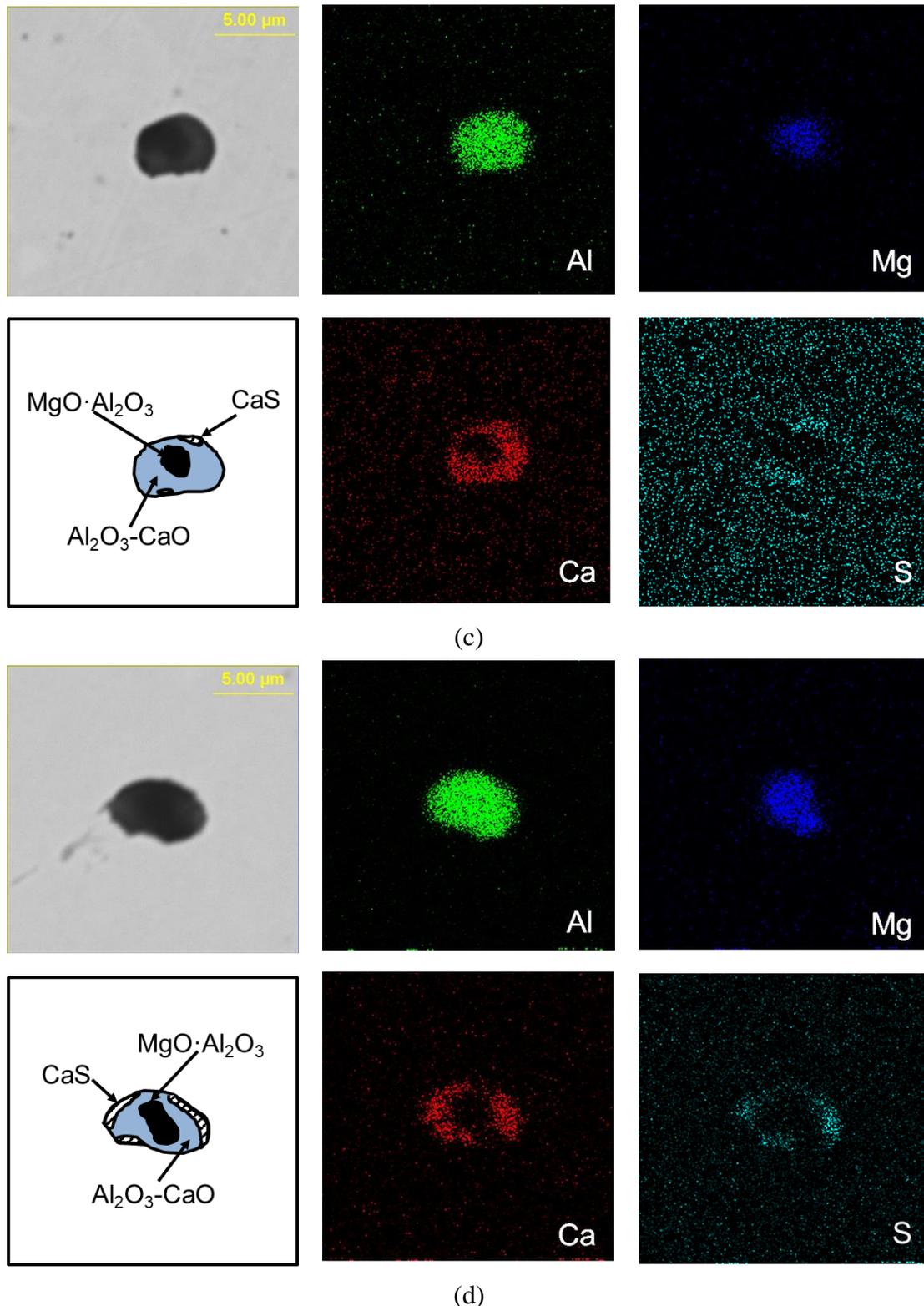
180 The elemental mapping of typical inclusions in each sample was shown in **Figure 5**.
181 Inclusions in the original slab were near-spherical $\text{Al}_2\text{O}_3\text{-MgO-CaO}$ with a slight CaS
182 outer layer. After deformation trials, $\text{MgO}\cdot\text{Al}_2\text{O}_3$ phase, $\text{Al}_2\text{O}_3\text{-CaO-(MgO)}$ phase,
183 and varied amounts of CaS -phase existed in inclusions. The amount of CaS outer
184 layer was consistent with the average composition of inclusions mentioned above.
185 There was a thicker CaS outer layer in sample A and a thinner one in sample B.
186



(a)



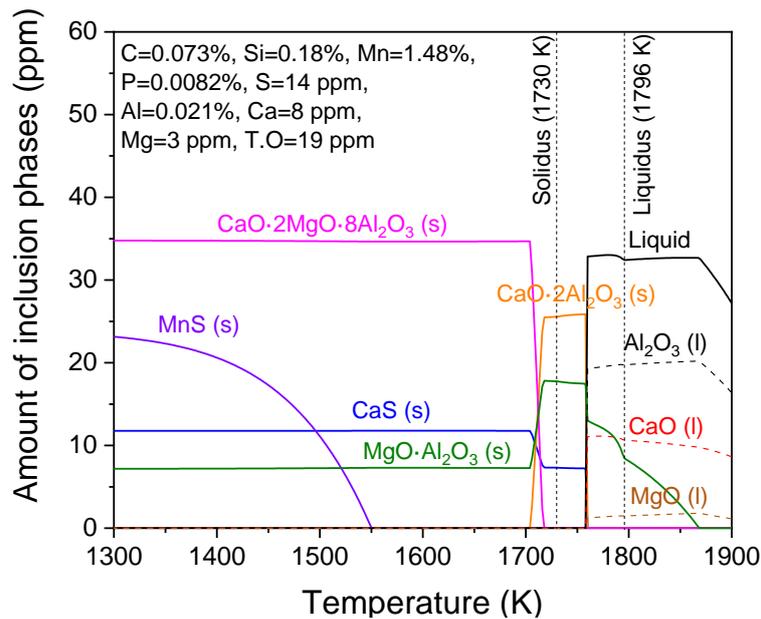
(b)



187 Fig. 5- Elemental mapping of typical inclusions in (a) original slab, (b) sample A, (c)
 188 sample B, and (d) sample C

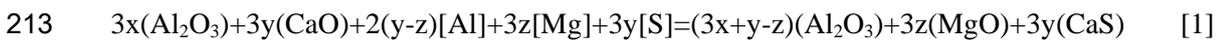
189 Thermodynamic calculation for the transformation of inclusions was performed using
 190 the thermodynamic software FactSage 7.0 with databases of FactPS, FToxid, and
 191 FSstel^[15, 16]. Phases in the inclusions during solidification and cooling process of the

192 pipeline steel were shown in **Figure 6**. Inclusions were liquid calcium-aluminate and
 193 solid spinel in the molten steel. As the temperature decreased during the solidification
 194 of the steel, the liquid phase was transformed to solid phases of $\text{CaO}\cdot 2\text{Al}_2\text{O}_3$,
 195 $\text{MgO}\cdot\text{Al}_2\text{O}_3$, and CaS at the temperature between liquidus and solidus of the steel.
 196 During further cooling process of the steel, $\text{CaO}\cdot 2\text{MgO}\cdot 8\text{Al}_2\text{O}_3$ phase and CaS phase
 197 precipitated, while the amount of $\text{MgO}\cdot\text{Al}_2\text{O}_3$ phase decreased. The inclusion was
 198 transformed from $\text{Al}_2\text{O}_3\text{-CaO-MgO}$ to $\text{Al}_2\text{O}_3\text{-CaS-MgO-CaO}$, which agreed with the
 199 transformation between CaO and CaS in sample A and sample B. It should be noticed
 200 that the temperature in the center of the sample was higher than that detected by the
 201 thermocouple that was connected to the surface of the steel sample.



202
 203 Fig. 6- Transformation of inclusions during solidification and cooling process of the
 204 steel

205 A kinetic model was developed to simulate the dynamic transformation in the
 206 composition of inclusions during the cooling and second soaking process of sample C.
 207 It was assumed that the inclusion was homogeneous, the transformation rate of the
 208 inclusion was controlled by the element diffusion between the steel matrix and the
 209 inclusion, and the local equilibrium was achieved at the steel-inclusion interface. The
 210 main reaction between the inclusion and the steel matrix is presented by Eq.[1].
 211 Diffusion rate of Al, Mg, Ca, S, and O in the steel is shown by Eq.[2]. Details of the
 212 kinetic model was presented elsewhere^[17].

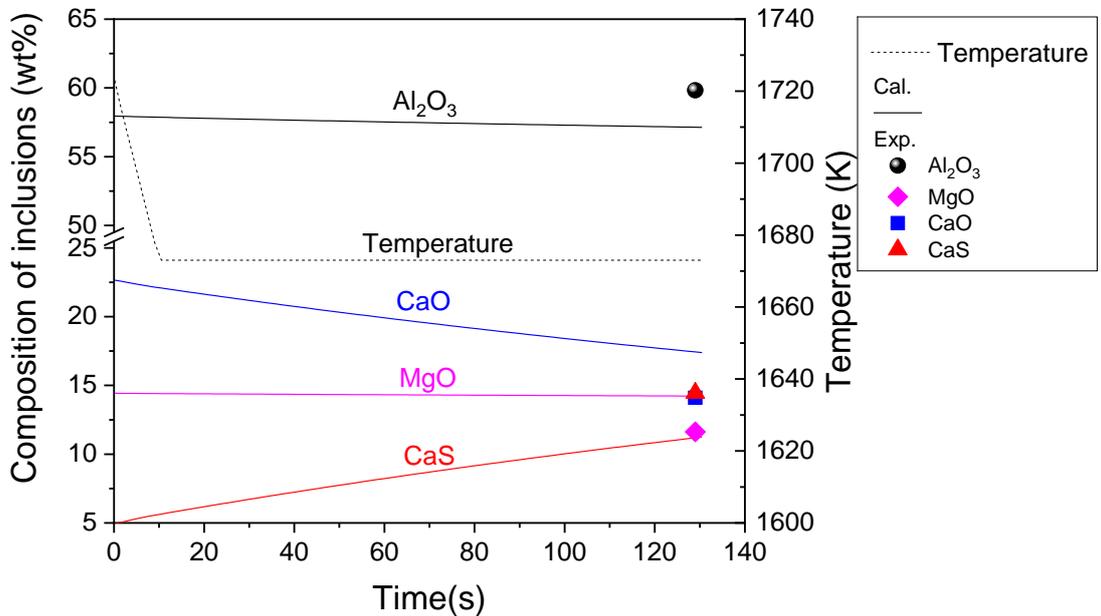


214
$$\frac{dm}{dt} = \frac{[\%i]_{\text{bulk}} - [\%i]_{\text{int}}}{100} 4\pi Dr\rho_{\text{steel}} \quad [2]$$

215 Where [%i] is the mass percentage of element; D is the diffusivity, m^2/s ; r is the radius

216 of the inclusion, m ; and ρ_{steel} is the density of the steel matrix, kg/m^3 . Eq. [1] was given
 217 based on the detected inclusion composition and the evolution of inclusion
 218 composition from thermodynamic calculation. CaS precipitated at the interface of the
 219 inclusion phase and the steel matrix. The element of [S] came from the steel matrix,
 220 while [Ca] originated from calcium aluminate. Dissolved [Al] and [Mg] diffused from
 221 the steel matrix to the interface, and reacted with calcium aluminate. From the
 222 calculation results of FactSage 7.0, the equilibrium mass fractions of [Mg] in the steel
 223 matrix were 1.1055×10^{-8} at 1723 K and 6.7224×10^{-9} at 1673 K, which were higher
 224 than the [Ca] contents of 9.5055×10^{-12} and 3.1452×10^{-12} at corresponding temperature.
 225 Therefore, though the mass fraction of total calcium (T.Ca) in the steel was higher
 226 than that of the total magnesium (T. Mg), as shown in Table I, [Mg] was included in
 227 Eq. [1] instead of [Ca].

228 After heating up to 1723 K and holding for 2 minutes as sample B, the temperature of
 229 sample C was decreased to 1673 K within 10 s, followed by another 2 minutes of
 230 soaking. Taking the detected composition of inclusions in sample B as the basis, the
 231 transformation in the composition of inclusions during the cooling and second
 232 soaking process of sample C is shown in **Figure 7**. The content of CaO in inclusions
 233 decreased, while CaS content increased. The transformation rate of inclusions was
 234 relatively high, as 1673 K was a high temperature comparing to the heating treatment
 235 temperature commonly used^[10].

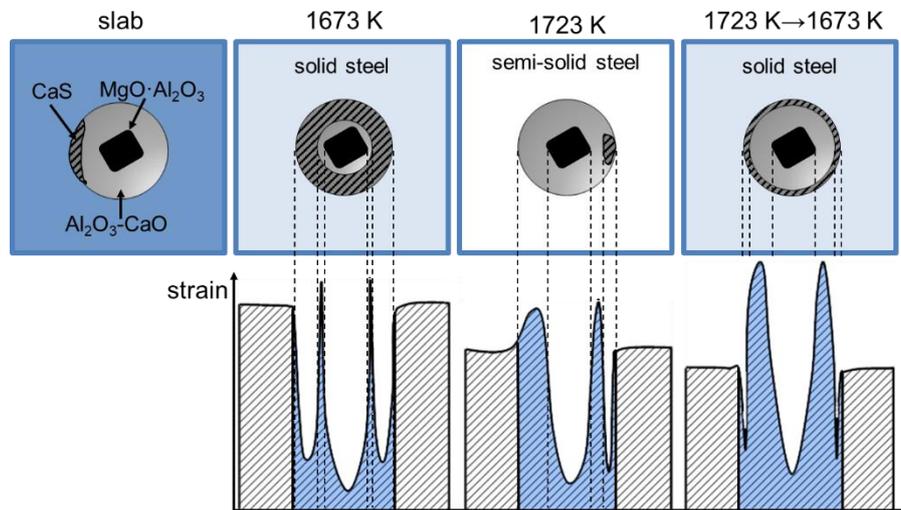


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 237
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Fig. 7- Kinetic variation of the composition of inclusions with time

239 The thermodynamic calculation (**Figure 6**) explained the difference between sample
 240 A and B, while the kinetic model (**Figure 7**) presented that between sample B and C.

241 Based on the transformation of inclusions discussed above, the difference in the
 242 deformation of inclusions among steel samples undergoing various thermal histories
 243 is explained by **Figure 8**. Represented by the shaded area in the lower part of **Figure**
 244 **8**, the sum strains of the inclusion phase and the steel matrix were equal among
 245 samples deformed under the same reduction. The aspect ratio of inclusions after the
 246 deformation was dominated by the strain partitioning, which was caused by the
 247 difference of the hardness between the inclusion phase and the steel phase^[18, 19]. The
 248 data of hardness of inclusion phases at high temperature was not available. But
 249 generally speaking, the inclusion phase with a higher melting point tended to exhibit a
 250 higher hardness^[20]. The melting point of CaS was 2673 K, which was much higher
 251 than that of calcium-aluminates. Therefore, when steel samples were deformed in the
 252 solid state at 1673 K, with a similar MgO·Al₂O₃ core in the center, inclusions in
 253 sample C were softer than those in sample A due to a thinner hard CaS outer layer,
 254 while the hardness of the steel matrix was almost the same. More stain concentrated
 255 on the soft phase than the hard phase^[21]. The total strain of the inclusion phase shown
 256 by the dark color in the lower part of **Figure 8** was larger in sample C than that of
 257 sample A, resulting in a higher aspect ratio of inclusions after the deformation. For the
 258 deformation in the semi-solid steel, although the inclusion phase was softer than those
 259 in the other two solid samples, the hardness of the semi-solid steel phase was also
 260 much lower. The smaller hardness difference between the soft inclusion phase and the
 261 soft steel matrix caused that the aspect ratio of inclusions in sample B was between
 262 those in sample A and sample C.



263
 264 Fig. 8- Schematic deformation mechanism of inclusions in the steel under different
 265 thermal history

266 In conclusion, the deformation of inclusions was influenced by the thermal history of
 267 the steel during plain strain compression. Under the same deformation temperature of
 268 1673 K, the aspect ratio of inclusions in the persistent heating sample was
 269 significantly lower than that in the sample going through melting and solidification
 270 process in the current study. The aspect ratio of inclusions in the steel deformed in

271 semi-solid state at 1723 K was between those of the former two. The transformation
272 of inclusions in steel samples undergone different thermal histories caused various
273 amounts of CaS precipitated in the outer layer of inclusions. The variation of the
274 inclusion aspect ratio was explained by the strain partitioning caused by the hardness
275 difference between the inclusion phase and the steel phase.

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282 (USTB), China.

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329 Fig. 4- Composition of inclusions in (a) original slab, (b) sample A, (c) sample B, and
330 (d) sample C

331 Fig. 5- Elemental mapping of typical inclusions in (a) original slab, (b) sample A, (c)
332 sample B, and (d) sample C

333 Fig. 6- Transformation of inclusions during solidification and cooling process of the
334 steel

335 Fig. 7- Kinetic variation of the composition of inclusions with time

336 Fig. 8- Schematic deformation mechanism of inclusions in the steel under different
337 thermal history

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