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# Spatial distribution of wave-by-wave overtopping behind vertical seawall with recurve retrofitting

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## Abstract

Understanding the spatial distribution of wave overtopping water behind coastal protection structures is essential for assessing the safety level of coastal regions behind the coastal defences. The spatial distribution of wave overtopping volume determines the planning and arrangements of critical infrastructures (e.g., railways, roads and buildings) in coastal regions. This study presents a series of laboratory-scale physical modelling experiments to investigate the spatial distribution of wave overtopping volume behind plain vertical seawall and seawall with recurve retrofitting. The hydrodynamic conditions are designed to mimic both swell and storm sea conditions. Tests were conducted for a range of relative freeboard and empirical-based predictive equations were derived for both mean and extreme overtopping events under impulsive and non-impulsive wave conditions. Test results showed that overtopping impact hazard zone reduces up to 87% with the increase of relative freeboard ( $R_c/H_{m0}$ ). The hazard zone for extreme overtopping events was found to be up to 3 times of the mean overtopping volumes. The effectiveness of recurve retrofitting on mitigating spatial distribution of wave overtopping were investigated with three recurve prototypes of varying overhang length and recurve's height. It was found that increase in overhang length provides higher reductions in the spatial distribution of hazard zone behind the wall and improve the climate resilience of the

25 seawall. The results highlight that recurve retrofitting is more effective in reducing the length  
26 of hazard zone under impulsive wave conditions. The findings of this study provide key data  
27 and predictive formulae for robust assessments of the hazard zones behind the key coastal  
28 defence infrastructures during mild and extreme climatic events.

29 **Keywords:** wave overtopping, vertical seawall, recurve wall, retrofitting, hazard-zone, spatial  
30 distribution, coastal resilience, coastal flooding, extreme climatic conditions

### 31 **1. Introduction**

32 Understanding the response of coastal defence infrastructures under different climatic  
33 conditions has been subject of research for many years. The majority of existing studies have  
34 focused on quantifying and predicting mean overtopping volumes from the coastal defences,  
35 as the main indicator for assessment of the safety level behind the defences. The significance  
36 of direct impact of overtopping events on the safety of vehicles, pedestrians and assets in  
37 coastal regions has received increasing attentions in recent years (Franco et al., 1994; Bruce et  
38 al., 2003; Allsop et al., 2005a; Bruce et al., 2005; Van der Meer and Bruce, 2014; Salauddin et  
39 al., 2017; Salauddin and Pearson, 2018 and 2020). Knowledge of the spatial distribution of  
40 wave overtopping from defence infrastructures is key to understand the safe zone behind  
41 coastal defences. The existing predictive tools for determining the spatial distribution of  
42 overtopping are based on empirical formulae, derived from the mean overtopping volumes.  
43 However, severe post overtopping hazards more commonly occur from extreme individual  
44 wave overtopping events.

45 Jensen and Sorensen (1979) undertook experimental investigations on the spatial distribution  
46 of overtopping water behind the rubble mound breakwaters and derived empirical equations to  
47 describe the intensity of overtopping water as a function of the distance behind the defence.

48 Bruce et al. (2005) and Pullen et al. (2006), studied the spatial distributions of overtopping  
49 waves behind vertical seawalls by undertaking field and laboratory-scale investigations,  
50 highlighting the significance of wind speed in the spatial distribution of overtopping. Pullen  
51 et al. (2009) showed that the shape of spatial distribution of overtopping can be described as a  
52 function of the wind speed and incident wave characteristics.

53 The existing data on spatial distribution of overtopping waves behind the seawalls are mainly  
54 focused on mean overtopping water, which help understanding the intensity of the mean  
55 overtopping over the duration of a typical storm. However, the characteristics of spatial  
56 distribution of overtopping from an extreme individual overtopping wave is still not well  
57 understood. For rubble mound breakwaters, Andersen and Burcharth (2005) and Andersen et  
58 al. (2009) undertook field and laboratory studies and proposed empirical relations suggesting  
59 spatial distribution can be predicted as a function of wave steepness.

60 Peng and Zou (2011) simulated the spatial distribution of overtopping water from both sloping  
61 and vertical structures using a RANS-VOF numerical model with standard  $k - \varepsilon$  turbulence  
62 closure model. The findings of Peng and Zou (2011) confirm the predictions formulated by  
63 Andersen et al. (2009) and Pullen et al. (2009), and show that the maximum individual  
64 overtopping event travels further than the mean overtopping distribution, although the overall  
65 distribution profile had similar characteristics. Despite the recent advancement in  
66 understanding spatial distribution of wave overtopping behind coastal defences, there are little  
67 information available on the travel distance of extreme individual overtopping events.

68 The combined effects of climate change and sea-level rise (IPCC, 2018), increase the frequency  
69 of extreme climatic events which can lead to severe overtopping hazards (Dong et al., 2020a,  
70 b; Abolfathi et al., 2016, 2018, 2020; Cheon and Suh, 2016; Chini and Stansby, 2012). The  
71 reduced freeboard of existing defences due to sea-level-rise together with aging coastal defence

72 infrastructures can reduce the level of protection they provide against extreme climatic events  
73 and can potentially lead to catastrophic floods. Therefore, in recent years several research  
74 projects focused on enhancing climate resilience of existing defences through retrofitting (e.g.,  
75 Pearson, 2010; Van Doorslaer and De Rouck, 2011; Dong et al., 2018 and 2020a; Salauddin et  
76 al., 2020a, b). Despite extensive research has been carried out to understand wave runup and  
77 mean overtopping attenuations from recurve walls, very limited information is available on the  
78 post overtopping performance of recurves and spatial distribution of overtopping (Kortenhaus  
79 et al., 2003; Pearson et al., 2004).

80 This paper presents comprehensive laboratory-scale physical modelling investigations on the  
81 spatial distribution of overtopping volumes at plain vertical and recurved seawalls under both  
82 impulsive and non-impulsive conditions. The spatial distributions of extreme overtopping  
83 events are compared with mean overtopping volume. Physical modelling experiments for  
84 recurve walls include three size of recurves with varying height and overhang length to better  
85 understand the role of geometrical properties of recurves on the distributions of overtopping  
86 behind the seawall. Using data from physical modelling, this study develops reliable physics-  
87 based predictive tools for spatial distribution of wave-by-wave and overall overtopping events  
88 in an overtopping sequence of waves.

## 89 **2. Previous work**

### 90 **2.1 Existing empirical-based predictive relations**

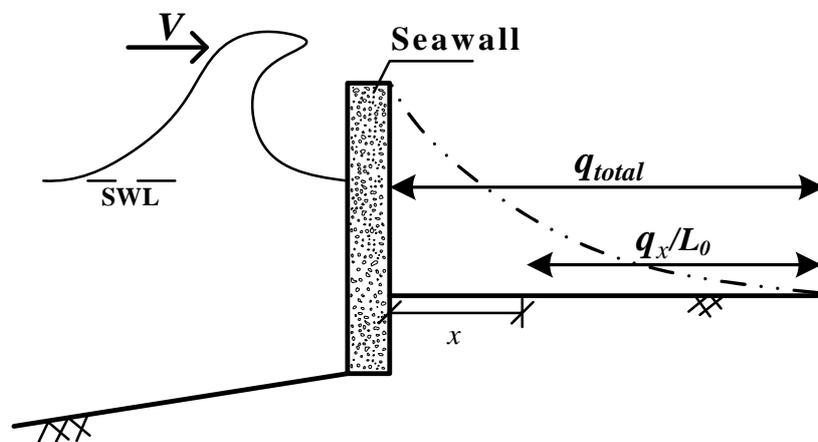
91 Wave overtopping processes on vertical walls are complicated function of non-linear wave  
92 hydrodynamics, structural configurations, wave-structure interactions and relative crest  
93 freeboard of structures. Understanding the influence of wave breaking phenomena and runup  
94 at seawall, during highly turbulent storm conditions, add to the complexity of the overtopping  
95 processes at the seawall. Bruce et al. (2005) and Pullen et al. (2009) using data from laboratory

96 and field measurements, investigated the spatial distribution of overtopping from composite  
 97 and steep structures and suggested that the spatial distribution of overtopping water can be  
 98 described as the relationship between overtopping volumes and the travel distance of  
 99 overtopping waves behind the defence structure (Pullen et al., 2006). To minimise the scatters  
 100 in results, the overtopping discharge is normalised by the total discharge  $q$ , and travel distance  
 101 is normalised by the offshore wavelength  $L_0$ . Eq. (1) presents Pullen et al. (2006) empirical-  
 102 based prediction for spatial distribution of overtopping:

$$103 \quad q^* = \frac{q_x}{q_{total}} = e^{(-k(x'))} \quad [1]$$

104 where  $q^*$  presents the ratio of discharge lands after the normalised overtopping distance  $x'$  to  
 105 the total discharge ( $q_x/q_{total}$ ),  $x'$  is the normalised overtopping distance defined as  $x'=x/L_0$ ,  $k$   
 106 is the empirical coefficient, which is set to 29 when no wind effects are considered. The  $k$   
 107 coefficient also controls the shape of spatial distribution of overtopping. Figure 1 illustrates the  
 108 parameters used in Eq. (1) for describing the spatial distribution of overtopping water. Eq. (1)  
 109 has been used for analysing the spatial distribution of both mean overtopping volume and  
 110 extreme individual wave events (Pullen et al., 2006).

111  
 112



113

114 Figure 1 – Schematic of parameters used in describing spatial distribution of wave  
115 overtopping. The  $q_{total}$  represents the total overtopping discharges,  $q_x$  is the discharge in the  
116 distance of  $x$  behind the seawall,  $L_o$  is the offshore wavelength,  $\nu$  represents the direction of  
117 incident waves.

## 118 2.2 Wind effects

119 In order to provide a robust prediction of the spatial distribution of overtopping in real-life field  
120 conditions, it is very important to understand the influence of wind effects on wave overtopping.  
121 Previous work confirmed increase on the overtopping discharge under shore-ward wind effects  
122 (de Waal et al., 1997; Ward et al., 1996; Pullen et al., 2006). Pullen et al. (2006) investigated  
123 the influence of wind on overtopping and proposed a revised empirical coefficient  $k$  as a  
124 function of wind speed,  $V_w$  (Eq. 2):

$$125 \quad k = 29e^{(-0.03V_w)} \quad [2]$$

126 The empirical coefficient  $k$  in Eq.1 and Eq. 2 is conservatively determined based on field and  
127 laboratory-based measurements following the largest travel distance in all measured conditions,  
128 resulting in a conservative engineering design (Pullen et al., 2009). However, the predictions  
129 from Eq. (1) and Eq. (2) noticeably overestimate spatial distribution of overtopping, especially  
130 immediately behind the seawall structure. More research and data are needed in order to better  
131 understand the post overtopping processes and optimizing the existing predictive tools.

## 132 2.3 Scale effects

133 According to field and laboratory measurements, Andersen et al. (2009) and Pullen et al. (2009)  
134 concluded that for impermeable sloping and vertical structures, scale effects on the spatial  
135 distributions of overtopping waves are negligible. However, difficulties in replicating field-  
136 based wind effects in laboratory experiments, can lead into scale difference in air and spray  
137 effects. The scale difference in the interactions between wind and spray can lead to differences

138 in overtopping measurements between laboratory and field conditions. Therefore, a scaling  
139 effects should be considered in prediction of spatial distribution of overtopping when wind  
140 effects is considered.

#### 141 **2.4 Extreme overtopping events**

142 Previous studies highlight the importance of maximum individual overtopping events for  
143 assessing hazard level behind the coastal defences, given that the discharge of extreme  
144 individual overtopping events can be significantly larger than mean overtopping discharge  
145 (Allsop et al., 2005b; Besley et al., 1998). Similarly, the affected area of overtopping water for  
146 extreme individual overtopping events can be much larger than mean overtopping and therefore  
147 understanding post overtopping processes for extreme events is key to protect people and  
148 critical infrastructures in coastal region against overtopping hazards (Andersen and Burcharth,  
149 2007; EurOtop, 2018).

150 The majority of existing data focus on the spatial distribution of mean overtopping discharge,  
151 while limited information is available for extreme overtopping events. Andersen et al. (2009)  
152 investigated post overtopping processes for rubble mound breakwater and demonstrated  
153 similarities exist between spatial distributions characteristics of mean and extreme overtopping  
154 events. No significant deviations were observed between spatial distributions of the wave-by-  
155 wave and mean overtopping events for the case of rubble mound breakwater (Andersen et al.,  
156 2009). However, Peng and Zou (2011) using numerical simulations, showed the difference  
157 between the spatial distribution of the largest overtopping event and the mean overtopping  
158 volume.

159 In recent years, application of numerical modelling in understanding complex wave-structure  
160 interactions and the influence of nearshore processes on the performance of coastal defence  
161 structures has received more attention (e.g., Yeganeh-Bakhtiary et al., 2017 and 2020;

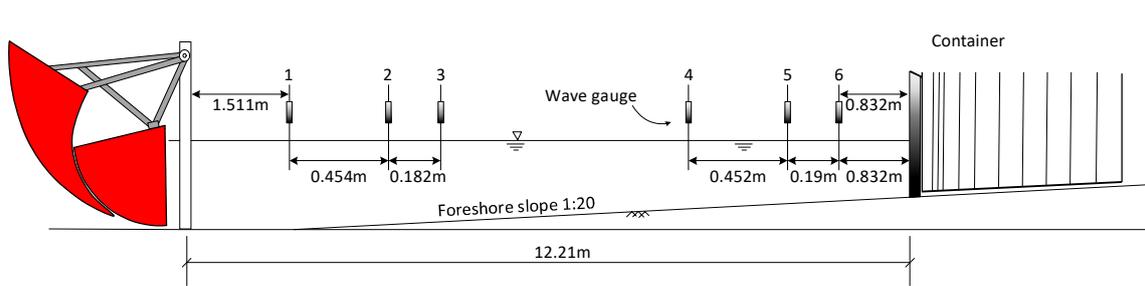
162 Abolfathi et al., 2017 and 2018; Fitri et al., 2019; Liu et al., 2020a,b). Peng (2010) adopted  
163 RANS-VOF modelling technique with  $k - \varepsilon$  turbulence closure to study spatial distributions  
164 of overtopping water. Peng (2010) numerical results showed good agreement with Andersen  
165 et al. (2009) data for the sloping structures, and Pullen et al. (2009) measurements for vertical  
166 seawall. Numerical studies also illustrated that overtopping water in extreme events affects  
167 larger coastal area in comparison to the time-averaged spatial distributions for both sloping and  
168 vertical seawalls (Peng, 2010).

169 Analysis of existing data on spatial distributions of overtopping highlights the importance of  
170 high-resolution measurement of overtopping volumes behind the seawall to have in-depth  
171 understanding of post overtopping hazards. For laboratory and field-based studies, appropriate  
172 design of overtopping measurement system is recommended to capture high-resolution detailed  
173 changes in overtopping discharges with the distance from the seawall. However, to this date,  
174 no research investigated the effects of overtopping measurement resolutions on the spatial  
175 distribution of mean and wave-by-wave overtopping water.

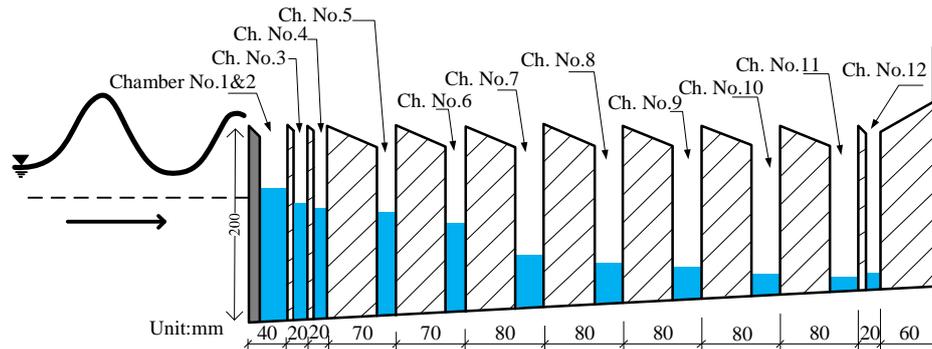
### 176 **3. Experimental Set-up**

177 The physical modelling tests were undertaken in a two-dimensional wave flume at Warwick  
178 Water facility, the University of Warwick. The flume has dimensions of 22.0 ( $L$ )  $\times$  0.6 ( $W$ )  $\times$   
179 1.0 ( $H$ ) m with a 1:20 smooth foreshore beach slope made from glass (Figure 2a). The flume  
180 is equipped with a piston-type wave generator and an active wave absorption system (AWAS).  
181 Experiments were carried out with a vertical seawall fixed at a distance of 12.21m from the  
182 wave paddle. The recurve retrofitting structure was placed in front of the seawall crest.

183



(a)



(b)

184 Figure 2 – Schematic of (a) the 2D wave flume with active absorption piston-type wave  
 185 generator, experimental setup and location of wave gauges (adopted from Dong et al., 2020b)  
 186 and (b) container designed for the measurement of spatial distribution of wave overtoppings  
 187 from the seawall

188 In order to control and minimize the uncertainties in experimental results, each test case was  
 189 consisted of approximately 1000 pseudo-random waves based on the JONSWAP spectrum  
 190 with  $\gamma$  factor of 3.3. The tests were designed at a length scale of 1:50. The characteristics of  
 191 incident and reflected waves were determined using two sets of three wave gauges which were  
 192 placed close to paddle and seawall, respectively. The distance between each set of three gauges  
 193 was determined based on the Least-Square Method described by Mansard and Funke (1980).  
 194 Additional tests were carried out in the ‘bare’ flume without the structure in place to measure  
 195 the inshore wave conditions with limited influence of reflection from the seawalls, as adopting  
 196 the typical wave measurement techniques in small-scale wave flume investigations, e.g.,

197 Pearson et al., 2002; Dong et al., 2018; Salauddin et al., 2020b, 2021. To avoid any uncertainty  
 198 which may arise in the measurement of wave conditions, this study adopts the wave conditions  
 199 determined from calibration conditions ('bare flume'). The overtopping measurements was  
 200 recorded continuously and without any interruption during all the tests.

201 Table 1 summarizes the details of wave conditions and seawall configurations tested within  
 202 this study. The experiments were designed to incorporate significant wave height ranging  
 203 between 0.041m and 0.105m, and wave periods ranging from 0.8s – 1.75s. This study  
 204 investigated overtopping for a range of wave steepness ranging from 0.016 to 0.068 under both  
 205 swell and storm wave conditions. To provide comprehensive dataset representative of a range  
 206 of operational conditions, dimensionless freeboard from 0.86 to 3.2 were tested in this study.

207 Table 1 – Nominal wave conditions used for the physical modelling tests

Height of seawall [m]	water depth [m]	Nominal wave period [s]	Significant wave height [mm]
0.21	0.07	0.80	60
		0.90	78
		0.95	97
		1.20	59
		1.50	65
		2.00	69
		0.80	66
	0.09	0.90	78
		0.95	79
		1.00	62,68,92
		1.10	80
		1.20	72,117
		1.25	67,74
		1.35	75
	0.11	0.85	73
		0.90	76
		0.95	80
		1.00	95
		1.10	105
		1.15	118
	1.35	77	
	1.42	79	
	1.80	79,85	

		0.90	58,61,64,72,76
		1.00	43
	0.15	1.20	50,54
		0.95	78
		1.00	49,83
		1.10	71
	0.18	1.20	57
		1.35	63,71
0.31		1.50	48,51
		0.90	71
		0.95	72
		1.00	87
	0.21	1.10	85
		1.20	60,74
		1.25	54
		1.35	68
		1.50	57

208

209 A multi-chamber container was designed for capturing detailed and high-resolution  
210 information on the spatial distribution of overtopping water as well as mean overtopping  
211 volume. The chamber was fixed behind the vertical seawall for all test configurations. Figure  
212 2b shows the design details of the multi-chamber overtopping collection system used in the  
213 study. The container is designed to have higher resolution immediately after the seawall (i.e.  
214 smaller distance between the chambers), and as moving further from the seawall distance  
215 between the chambers were increased. The top of each chamber's wall was made into a slope  
216 with a sharp edge in order to minimize the overtopping volumes jumping into adjacent  
217 chambers. A LED band is mounted across the crest of all chambers, which is built to light up  
218 chambers and help controlling water level in chambers. The overtopping measurement  
219 chambers were designed to ensure high-resolution data especially in the area close to the  
220 seawall crest to address existing gap of knowledge in terms of assessing hazards immediately  
221 after the seawall. The summation of the overtopping discharge ( $q_x$ ) measured in individual  
222 chamber is the total overtopping discharge ( $q_{total}$ ) measured behind the seawall.

223 The influence of recurve geometrical shape and size on the distribution of wave overtopping  
224 and hazard zone behind the wall were investigated using three recurve sizes in the physical  
225 modelling experiments. The test cases with recurve retrofitting of similar overhang length and  
226 height, named as Small Recurve (SR), is considered as base-case for comparing the  
227 performance of other retrofitting cases including Long Recurve (LR) and High Recurve (HR).  
228 The dimensions of recurve retrofitting tested in this study are presented in Figure 3. Prior to  
229 testing recurve walls, overtopping discharge and its spatial distribution were recorded at the  
230 plain vertical seawall for all the hydrodynamics conditions described in Table 1. Investigations  
231 are undertaken for the influence of both hydrodynamics and geometrical properties of  
232 retrofitting on the spatial distribution of hazard zone behind the seawall. This study has also  
233 investigated the response of recurve walls against steep and smooth incident waves.

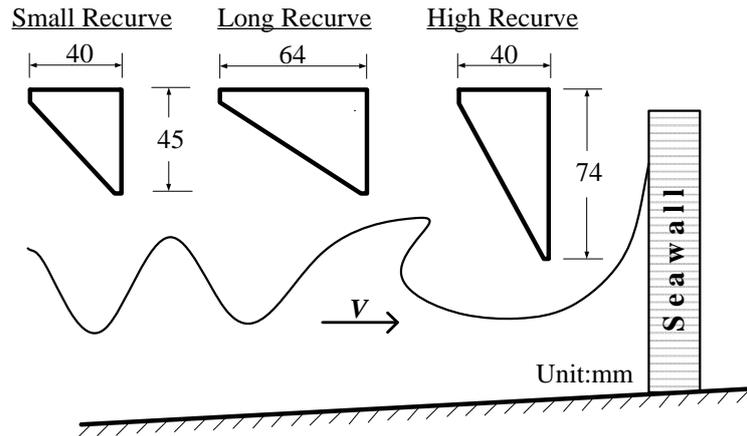


Figure 3 – Schematic of the three recurve walls tested in this study

## 4. Results and Discussions

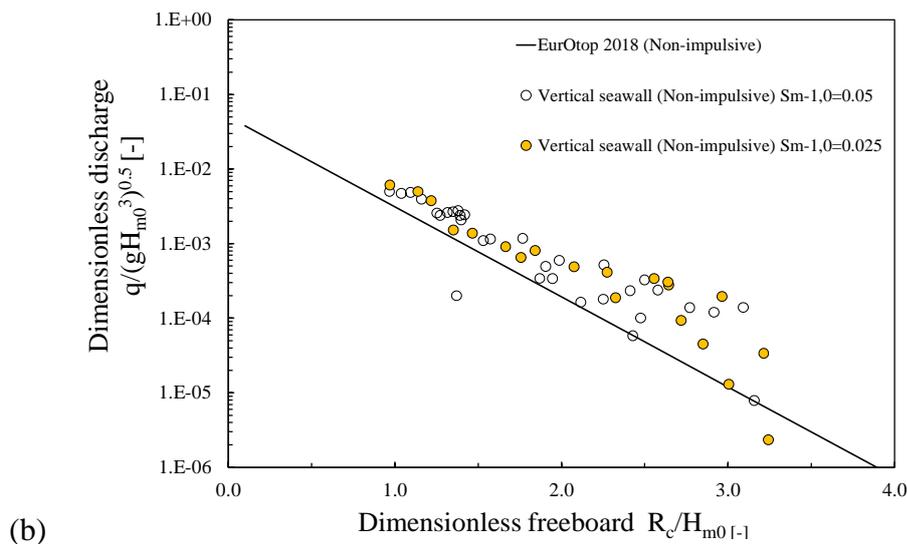
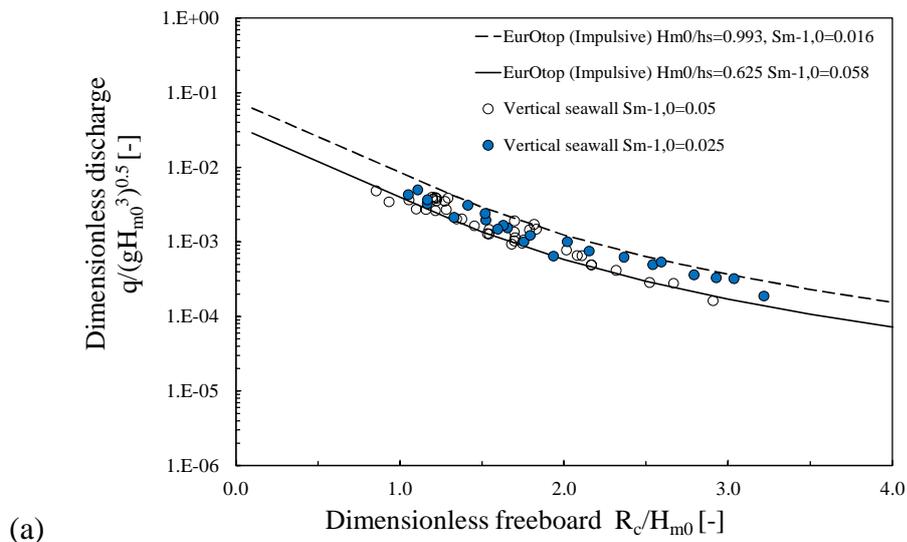
### 4.1 Overtopping discharges

The mean overtopping discharge is a key indicator for assessing the hazards from wave overtopping in coastal regions. Several studies have been undertaken to derive robust predictive relations for mean overtopping discharge from vertical seawalls (e.g., Besley, 1999; Franco et al., 1994; Allsop et al., 2005b; Goda, 2009; Van der Meer and Bruce, 2014; Dong et al., 2020a; O’Sullivan et al., 2020; Salauddin and Pearson 2019, 2020; Salauddin et al., 2020a). The mean overtopping discharge measured for the plain and recurve seawalls in this study are compared to EurOtop (2018) predictions for both impulsive and non-impulsive wave conditions.

Figure 4 shows the measured mean overtopping discharge from plain vertical seawall for the case of impulsive and non-impulsive waves. The comparison of measured overtopping rates with the empirical-based predictions indicates robust predictions from EurOtop (2018) formulae. However, for the case of non-impulsive waves and seawall configurations with large freeboard, a noticeable underestimation of EurOtop (2018) predictions is observed (Figure 4b). The deviations between measurements and EurOtop (2018) predictions in Figure 4b, are particularly larger for the test cases with small wave steepness and for those configurations with higher relative freeboard. Further analysis of the results presented in Figure 4b shows that

253 existing prediction formulae tends to underestimate the mean overtopping discharges for the  
 254 cases with  $h^*$  lower than 0.3. Besley et al. (1998), reported similar trend in their measurement  
 255 for non-impulsive wave conditions. The physical modelling results for the cases of plain  
 256 vertical seawall are used as reference cases in this study.

257



258 Figure 4 – Mean overtopping discharge on plain vertical seawall for (a) Impulsive wave  
 259 conditions and, (b) Non-impulsive wave conditions

## 4.2 Spatial distribution of the wave overtopping volume

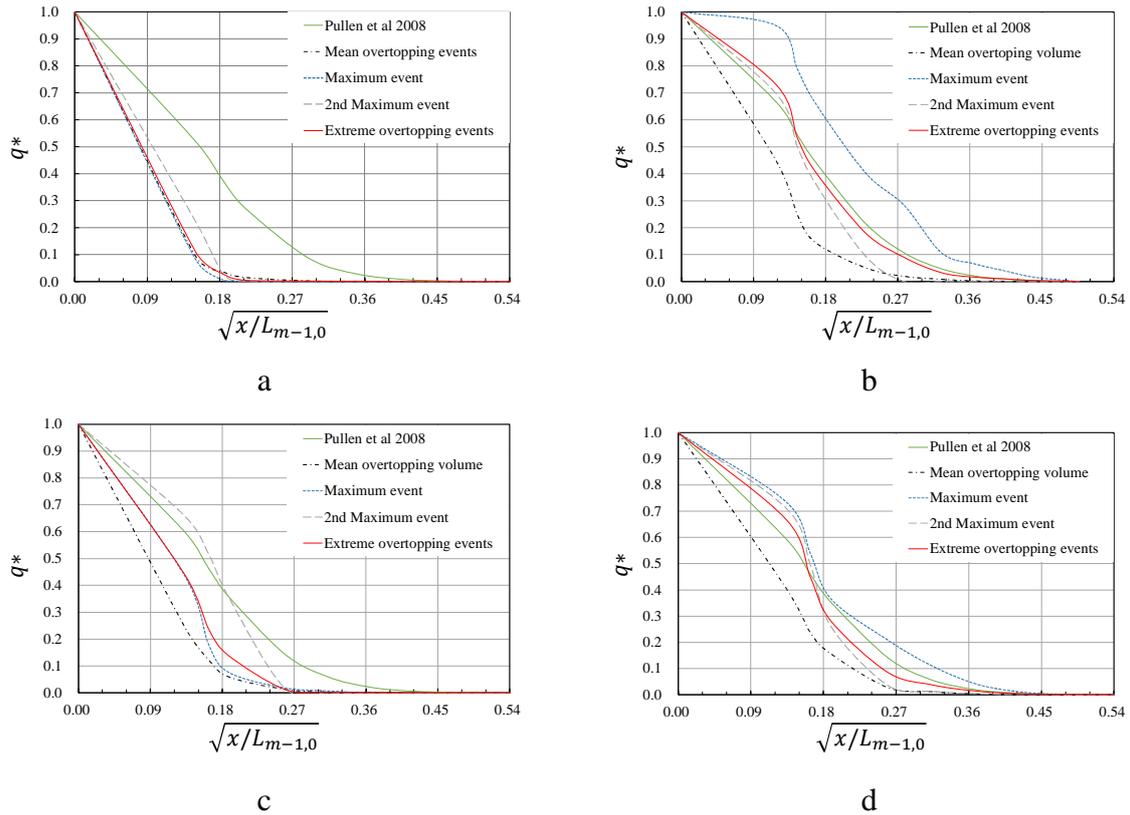
### 4.2.1 Comparison with existing predictions

Pullen et al. (2009) suggested empirical-based equations for prediction of spatial distributions of wave overtopping behind plain vertical seawalls (Eq. (1)). Figure 5 compares the measured spatial distribution of wave overtopping from this study with the predictions from Eq. (1), for the cases with plain vertical seawall and without considering the wind effects. Figure 5 (a-d) present the measured spatial distribution of the mean, the highest two and, the extreme overtopping events. The extreme overtopping events in Figure 5 is defined as the average distribution of the highest five overtopping events, to minimise the uncertainties of taking an individual overtopping event as extreme event. The distributions of overall and extreme overtopping events measured for the cases with large freeboard are smaller than the predictions from Pullen et al. (2009) formulae, indicating existing empirical-based predictions overestimate the travel distance of overtopping water, especially for the cases with high freeboard (Dong and Pearson, 2018). Pullen et al. (2009) highlights that 90% of overtopping water lands behind the seawall within a distance of 0.08 of incident wavelength. The measurements from this study show that similar volume of overtopping water lands within a distance of  $0.04 \times L_0$  of incident waves. However, over-prediction in spatial distribution of overtopping from Pullen et al. (2009) formulae reduces and become negligible when freeboard approaching 1.

280 Review of the findings from Pullen et al. (2006) and Pullen et al. (2009) studies show that their  
281 empirical formula for spatial distribution of overtopping was derived considering the most  
282 conservative scenarios of affected area behind coastal defences. The overtopping travel  
283 distances recorded in this study from the physical modelling tests show significant deviations  
284 from the conservative predictions recommended by Pullen et al. (2009), especially for the cases  
285 with large freeboard. Despite conservative predictions of spatial distribution of overtopping  
286 may not be critical for the coastal regions with farmlands or flat field, these predictions can  
287 have significant consequences for the cases with limited space in coastal area, such as a railway  
288 on the cliff, or those densely populated regions with high socioeconomic values. Therefore, the  
289 influence of freeboard on the spatial distribution should be carefully considered when robust  
290 predictions of overtopping spatial distributions is necessary.

291 To provide reliable assessments of the risks of damages, caused by wave overtopping in coastal  
292 areas, high resolution data on spatial distribution of significant overtopping events are required.  
293 Figure 5 improves the existing knowledge of spatial overtopping distribution immediately after  
294 the seawall by presenting high-resolution measurements of overtopping distributions near the  
295 parapet region behind the seawall. The spatial distribution of overtopping in Figure 5 is shown  
296 as a function of square-root of travel distance of  $x/L_{m-1,0}$ . The data presented in Figure 5 (a-d),  
297 covers both impulsive and non-impulsive wave conditions and present an improved  
298 understanding of the spatial distribution of 95% of overtopping discharges behind the coastal  
299 defences. In Figure 5, the high-resolution spatial distribution of the mean overtopping volume  
300 landing within the distance of 0.1 wavelength is presented. The results presented in Figure 5  
301 indicate that less than 3% of the mean overtopping volume travels beyond the distance of 0.1  
302 of wavelength.

303



304 Figure 5 – The spatial distribution in near parapet region behind the plain vertical seawall -  
 305 (a)  $R_c/H_{m0}=1.755$  (Impulsive), (b)  $R_c/H_{m0}=1.109$  (Impulsive), (c)  $R_c/H_{m0}= 2.273$  (Non-  
 306 impulsive), (d)  $R_c/H_{m0}= 1.138$  (Non-impulsive). [ $q^*=q_{x/Lm-1,0}/q_{total}$ ]

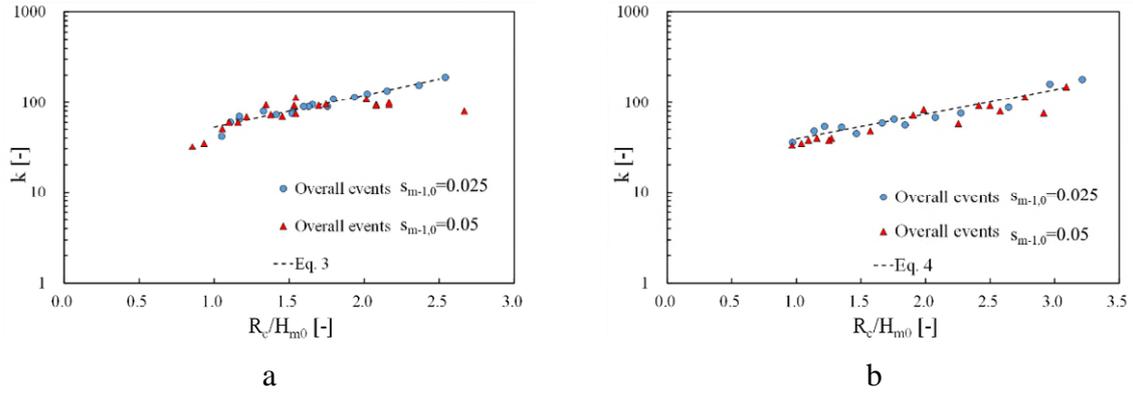
307 Despite Pullen et al. (2009) empirical relations led to over predictions of spatial distribution of  
 308 overtopping near the parapet region, the shape of spatial distribution from empirical relation is  
 309 similar to the shape of spatial distribution measured in this study. Thus, this study adopts the  
 310 same methodology outlined in Pullen et al. (2009) to derive new and improved predictive  
 311 relations for the spatial distribution of both overall and extreme overtopping events. In Eq. (1),  
 312 the shape of the spatial distribution is determined by the empirical parameter  $k$ . Figure 6a and  
 313 b show differences in the shape of spatial distribution, with the spatial distribution of  
 314 overtopping waves further from the seawall. The data obtained from the measurements will be  
 315 further analysed in the next section to investigate the possible reasons for the differences in the

316 shape of the spatial distribution of overtopping volume across the tested configurations and  
317 wave conditions.

#### 318 **4.2.2 Mean overtopping events**

319 On sloping structures, Peng (2010) and Andersen et al. (2009) reported influences from relative  
320 freeboard to the shape of spatial distribution. Lower freeboards are associated with longer  
321 travel distance of overtopping water for sloping structures. Identical phenomenon is also  
322 observed from the physical modelling data on the vertical seawalls. Comparison of the spatial  
323 distribution of overtopping between Figure 5a and 5b highlights the significance of crest  
324 freeboard level on the shape of overtopping spatial distribution immediately behind the wall.  
325 In Figure 5, for the impulsive wave conditions, when  $R_c/H_{m0}=1.755$ , 85% of overtopping water  
326 locates within a distance of 0.02 wavelength. Meanwhile, by reduction of  $R_c/H_{m0}$  to 1.109, the  
327 travel distance of 85% overtopping water increases to 0.031 wavelength. Moreover, comparing  
328 Figure 5a and b, the travel distance of the mean overtopping discharge increases 1.5 times on  
329 plain vertical seawall due to the reduction in freeboard. Analysis of physical modelling data in  
330 this study shows that the shape parameter ( $k$ ) in the empirical predictions of spatial distribution  
331 of overtopping is changing as a function of the relative freeboard  $R_c/H_{m0}$ .

332 Figure 6 plots the spatial distribution shape parameter  $k$  against the relative freeboard for the  
333 plain vertical seawall under impulsive and non-impulsive conditions. Analysis of data  
334 presented in Figure 6 shows that an exponential function of relative freeboard can approximate  
335 the  $k$  value with good precision. The relationship between  $k$  and relative freeboard for the tests  
336 with impulsive wave conditions, is empirically determined and presented in Eq. 3 with the root  
337 mean square error (RMSE) of 0.075.



338 Figure 6 – Relationship between  $k$  and  $R_c/H_{m0}$  for the spatial distribution of mean overtopping  
 339 discharge (a) Impulsive test conditions (b) Non-impulsive test conditions

340 For the non-impulsive overtopping events, crest freeboard has similar significance in  
 341 determining the shape of spatial distribution of overtopping waves in the landward area behind  
 342 the seawall. Figure 5d shows that for non-impulsive waves, over 85% of the overtopping water  
 343 lands within a distance of 0.45 offshore wavelength behind the crest of structure. However, this  
 344 distance of 0.45 offshore wavelength reduces in Figure 5c due to the increase in  $R_c/H_{m0}$ . The  
 345 comparison between Figure 5c and 5d shows that the spatial parameter  $k$  can also be described  
 346 with an exponential function of relative freeboard, as seen in Figure 6b. The parameter  $k$  is  
 347 empirically derived and presented in Eq. 4, with the RMSE of 0.12.

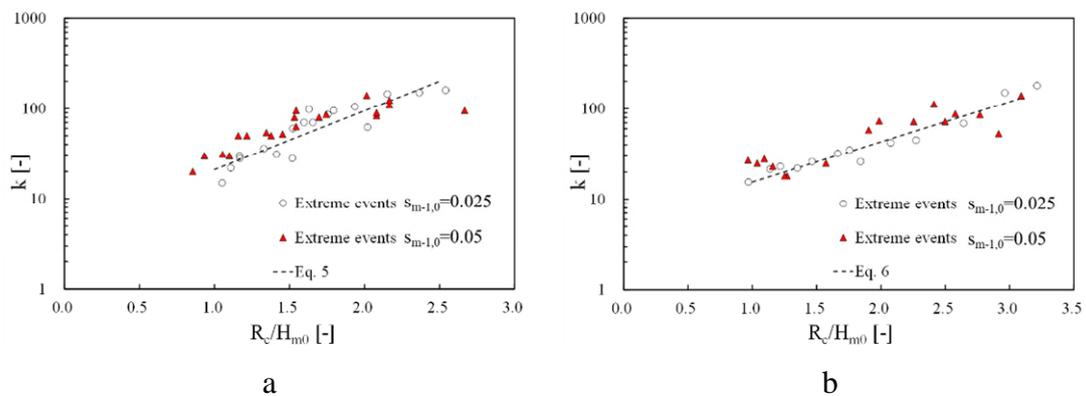
348 
$$k = 23e^{\frac{0.82R_c}{H_{m0}}} \quad \text{Impulsive conditions } 0.9 < R_c/H_{m0} < 2.5 \quad [3]$$

349 
$$k = 21e^{\frac{0.63R_c}{H_{m0}}} \quad \text{Non-impulsive conditions } 1.0 < R_c/H_{m0} < 3.0 \quad [4]$$

### 350 4.2.3 Extreme overtopping events

351 The significance of extreme overtopping events in the assessments of coastal areas behind  
 352 defence structures has been emphasised by many researchers and design guidelines, over the  
 353 years. Previous studies investigated spatial distribution on maximum overtopping events on  
 354 sloping structures and reported similarities between the travel distance of maximum  
 355 overtopping events (see Andersen et al. 2009). Numerical investigations highlighted that the

356 maximum overtopping events can travel further than the mean overtopping (Peng and Zou,  
 357 2011). This study investigated the influence of extreme overtopping events on plain vertical  
 358 seawall. The results confirmed that extreme overtopping events generally travels further than  
 359 the mean overtopping measurements. Further analysis of Figure 6 shows that the  $k$  parameter  
 360 for both total distribution and the individual overtopping events follows the exponential  
 361 relation, meaning that the value of  $k$  for the extreme overtopping events can also be  
 362 approximated as an exponential function of relative freeboard (Figure 7).



363 Figure 7 – Relationship between  $k$  and  $R_c/H_{m0}$  for the average spatial distribution of the  
 364 extreme overtopping events with largest 5 discharges. (a) Impulsive test conditions (b) Non-  
 365 impulsive test conditions.

366 The spatial shape parameter  $k$  for extreme overtopping events are derived based on physical  
 367 modelling data and described in Eq. 5 and 6, for impulsive and non-impulsive wave conditions,  
 368 respectively. The RMSE for Eq. 5 and 6 are determined to be 0.11 and 0.14, respectively.

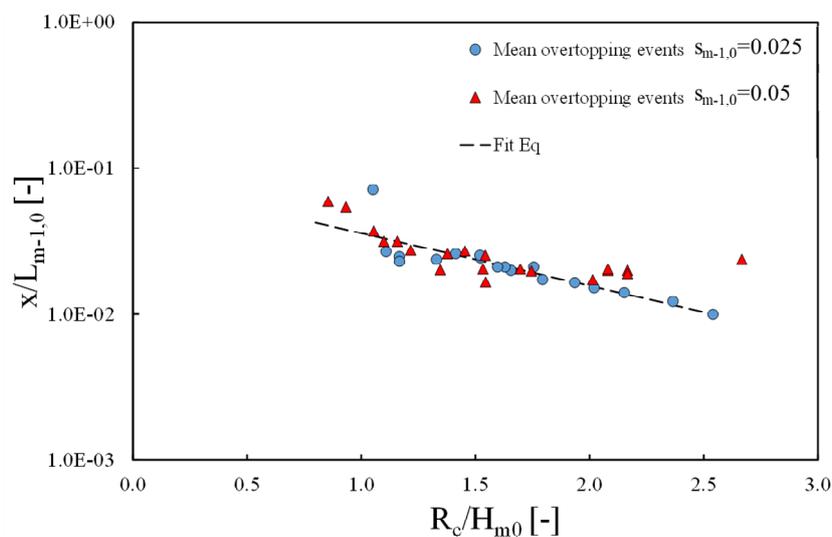
369 
$$k = 4.7e^{1.5R_c/H_{m0}} \quad \text{Impulsive conditions} \quad 0.9 < R_c/H_{m0} < 2.5 \quad [5]$$

370 
$$k = 5.6e^{1.02R_c/H_{m0}} \quad \text{Non-impulsive conditions} \quad 1.0 < R_c/H_{m0} < 3.0 \quad [6]$$

371 To highlight the influence of  $R_c/H_{m0}$  on the spatial distribution of hazard zone behind the  
 372 seawall, the affected range of significant overtopping discharge with various  $R_c/H_{m0}$  were

373 analysed. Figure 8 shows the relationship between the dimensionless travel distance of 85%  
 374 mean overtopping discharge with relative freeboard  $R_c/H_{m0}$ , indicating that overtopping travel  
 375 distance falls sharply as the crest freeboard increases. A reduction of up to 87% is observed in  
 376 travel distance when the relative freeboard  $R_c/H_{m0}$  increases from 0.85 to 2.5. The parametric  
 377 analysis confirms the significance of freeboard level in determining the wave overtopping  
 378 hazard zone, and the reduction in travel distance due to the increase of freeboard is quantified.

379



380

381 Figure 8 – The variations in travel distance ( $x/L_{m-1,0}$ ) of 85% mean overtopping discharges by  
 382 changes in dimensionless freeboard ( $R_c/H_{m0}$ )

#### 383 **4.2.4 Influence of wave steepness**

384 Despite the obvious impact of wave characteristics on the wave-structure interactions, dynamic  
 385 response of defence infrastructure to incident wave attack and the consequent wave  
 386 overtopping, the influence of key underlying wave climate parameters, such as wave steepness,  
 387 on the spatial distribution of wave overtopping is not fully understood yet. Previous studies  
 388 show reduction of the mean overtopping discharge with an increase in wave steepness (Besley  
 389 et al., 1998; Goda, 2000; Van der Meer and Bruce, 2014; Dong et al., 2020a). Results from this  
 390 investigations the underlying physical processes of wave overtopping, show that the wave

391 impulsiveness parameter ( $h_*$ ) can influence the throw-up velocity during the overtopping  
392 processes which can directly impact the spatial distribution of hazard zone behind seawalls  
393 (Bruce et al., 2003). This study hypothesised that the wave steepness can alter the trajectory of  
394 overtopping waves, given that the shape of incident waves can significantly change the travel  
395 distance of overtopping water behind the defence structure.

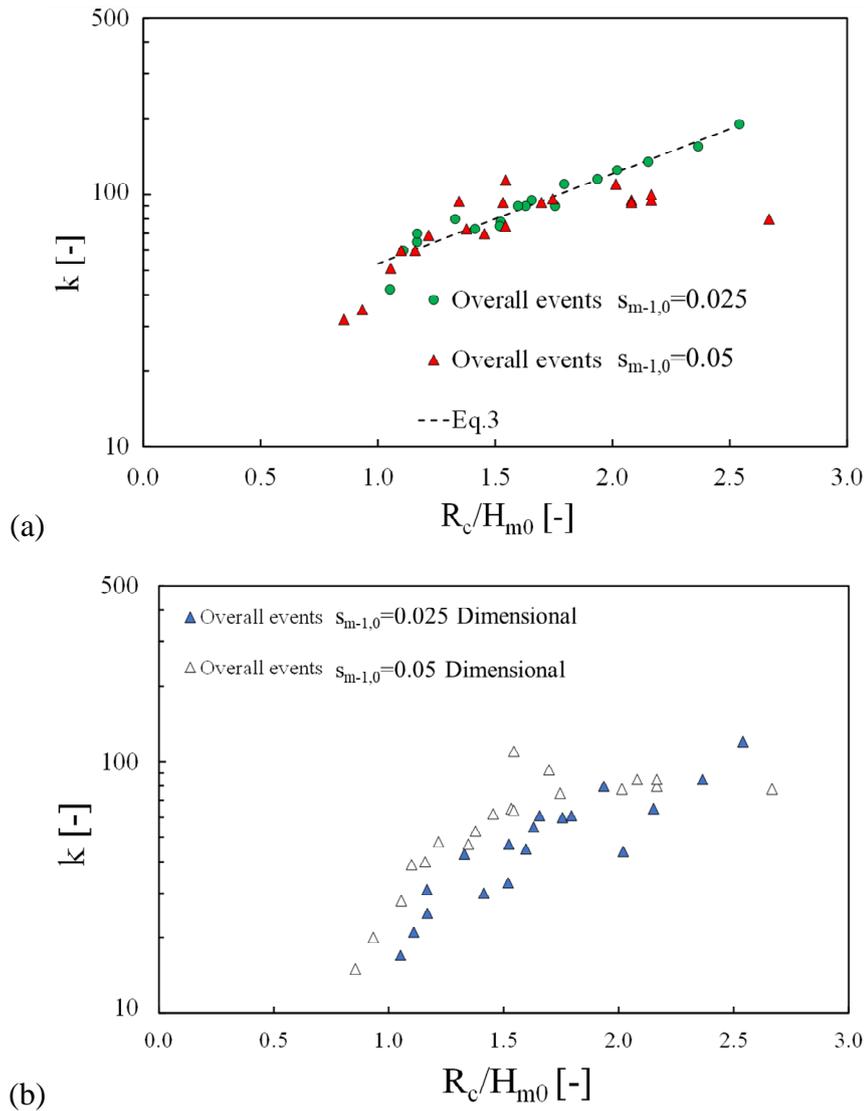
396 The measurements of overtopping from plain vertical seawall show that increase in wave  
397 steepness values (from 0.025 to 0.05) for the non-impulsive wave conditions is not influencing  
398 the  $k$  parameter (Figure 6 and Figure 7). However, a clear exponential correlation between all  
399 measured  $k$  with  $R_c/H_{m0}$  is observed for the case of non-impulsive test conditions. Similar  
400 findings are observed for tests with impulsive wave conditions when  $R_c/H_{m0} < 2.0$ . For those  
401 tests with  $R_c/H_{m0}$  larger than 2.0, the wave steepness values play a more distinctive role in  
402 influencing  $k$  values, where  $k$  for those conditions with large wave steepness remains  
403 approximately constant, and for the case of small wave steepness,  $k$  has increased exponentially  
404 with  $R_c/H_{m0}$ .

405 Pullen et al. (2009) proposed normalising the overtopping travel distance by wavelength for  
406 the individual overtopping scenarios. This study confirms the findings of Pullen et al. (2009),  
407 and given that the non-normalised wave overtopping travel distance is influenced by both  
408 wavelength and wave period, wave steepness parameter is proposed as effective index to  
409 evaluate post overtopping processes of individual overtopping cases. The physical modelling  
410 measurements show that longer wave periods lead to an increase in the  $k$  value of the spatial  
411 distribution of wave overtopping, even if the overall travel distance is not changed.

412 To evaluate the effects of incident wave steepness on the spatial distribution of hazard zone  
413 behind the seawall, the measured spatial distribution of overtopping volume is described as a  
414 function of absolute travel distance ( $x$ ) instead of being normalised by offshore wavelength,

415 i.e.,  $x/L_{m-1,0}$ . Figure 10 presents the observed  $k$  values for all the test configurations against the  
416 relative freeboard. The dimensionless  $k$  values derived based on Pullen et al. (2009)  
417 methodology are shown in Figure 9(a), whereas the proposed new dimensional spatial  
418 parameter  $k$  values are presented in Figure 9(b). Data in Figure 9 demonstrate that both  
419 dimensional and dimensionless values of  $k$  increase exponentially with the relative freeboard  
420 of the seawall structure. However, dimensional values of  $k$  in Figure 9 (b) are relatively smaller  
421 than those reported for non-dimensional cases in Figure 9 (a) and, they are also more scattered  
422 across all the test cases which can be associated with the lack of normalising processes. The  
423 results shown in Figure 9 (b) reveal the impacts of wave steepness on the variation of  $k$   
424 parameter. The analysis of the measured data show that the  $k$  values correspond to the large  
425 wave steepness of  $s_{m-1,0} = 0.05$ , are overall greater than those determined for test configurations  
426 with small wave steepness conditions. While the deviations in  $k$  parameter caused by wave  
427 steepness are not remarkable, they imply that the influence of wave steepness in the spatial  
428 distribution of overtopping is not negligible. As the freeboard increases, the difference of  
429 distribution of overtopping volume between large and small wave steepness conditions  
430 becomes limited.

431



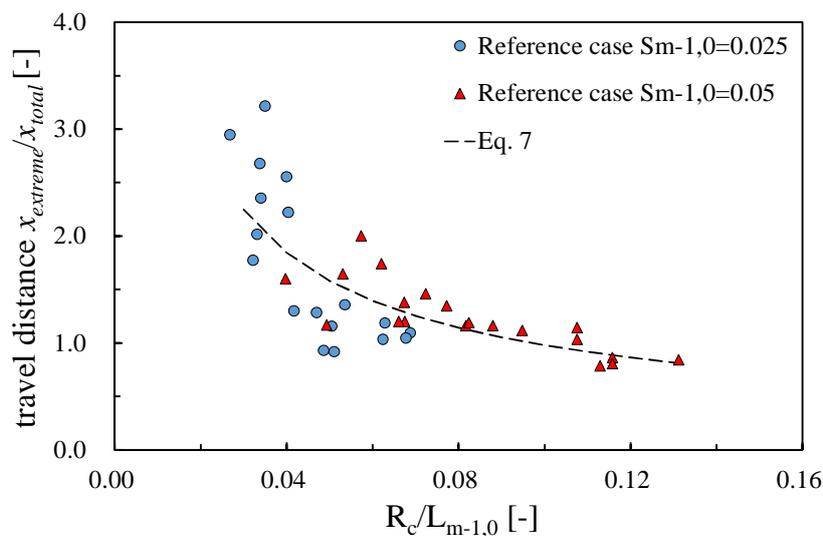
432

433 Figure 9 – Influence of incident wave steepness on the spatial distribution of wave  
 434 overtopping: (a)  $k$  derived from the dimensionless relationship between  $q^*$  and  $x/L_{m-1,0}$ , (b)  $k$   
 435 derived from the dimensional relationship between  $q^*$  and  $x$ .

### 436 4.3 Travel distance of overall and extreme overtopping events

437 So far, this paper discussed the value of  $k$  parameter determined from the measurements for the  
 438 cases with plain vertical seawall and highlighted the influence of relative freeboard and wave  
 439 steepness in the spatial distribution of overtopping hazard. To undertake a systematic and  
 440 comprehensive analysis of the influence of structural configurations and hydrodynamic

441 conditions on the spatial distribution of overtopping, travel distance of overall and extreme  
 442 overtopping events is determined. It was shown that, across all the tested wave steepness, travel  
 443 distance of extreme overtopping event is larger than those values determined for time-averaged  
 444 spatial distributions (Figure 10). Also, it was shown that relative freeboard is also playing a  
 445 key role in determining distribution of wave overtopping. Increase of freeboard was associated  
 446 with a more intense increase of  $k$  parameter for extreme overtopping events. Additionally,  
 447 under wave conditions with both large and small wave steepness, overtopping waves generally  
 448 travelled further during extreme overtopping events with  $R_c/H_{m0} < 1.5$ . With the increase in  
 449 freeboard  $R_c/H_{m0}$ , the gaps between spatial distribution of extreme and total events was reduced.  
 450 The scatter between the distributions extreme and total overtopping events become negligible  
 451 when  $R_c/H_{m0} > 2.0$ .



452  
 453 Figure 5 – Increases in travel distance for 85% of overtopping volume.

454 To understand the difference between spatial distributions of overall and extreme events, the  
 455 travel distance of 85% mean overtopping discharge is compared with the travel distance of 85%  
 456 extreme discharge. The underlying rationale behind analysing 85% proportion of overtopping  
 457 discharge is the significant role it plays in determining the shape of spatial distribution. Hence,  
 458 the influence of remaining overtopping discharge proportion in distribution of hazard zone is

459 negligible. The analysis of spatial distribution of overtopping volumes confirm that freeboard  
 460 and wave steepness affect the travel distance, and the crest freeboard takes the dominant role  
 461 in determining the distribution of hazard zone behind the seawall. It was shown that the travel  
 462 distance of overtopping waves increases when freeboard and wave steepness parameters  
 463 decrease. Thus, changes in travel distance are correlated with  $R_c/H_{m0} \times S_{m-1,0} = R_c/L_{m-1,0}$ . Figure  
 464 10 shows the increases in travel distance for 85% of overtopping volume. It is evident from the  
 465 graph that increase of  $R_c/L_{m-1,0}$  results in reduction of ration of travel distance of extreme events  
 466 over total overtopping. Continuous increase of  $R_c/L_{m-1,0}$  led to reduction of the increase ratio in  
 467 travel distance from around 4.0 to 1.0. This indicates that under low freeboard or low wave  
 468 steepness conditions, travel distance of extreme overtopping events is significantly longer than  
 469 the average of all the overtopping events. Increase of freeboard and wave steepness lead to  
 470 similar travel distance for both extreme events and overall overtopping. The findings of this  
 471 study confirm Peng and Zou (2011) results that larger overtopping discharge will create a larger  
 472 hazard zone behind the seawall. It was shown that for extreme overtopping events a more  
 473 significant increase in the hazard zone is expected in comparison to mean overtopping events.  
 474 The increase ratio in travel distance is determined from physical modelling measurements and  
 475 is described by Eq. 7 with an RMSE value of 0.38:

$$476 \quad \frac{x_{extreme}}{x_{total}} = 0.2 \times \frac{R_c}{L_{m-1,0}}^{-0.69} \quad [7]$$

#### 477 **4.4 Effects of recurve walls**

478 To mitigate the long-term challenge of sea-level rise and more extreme overtopping hazards,  
 479 applications of additional retrofitting structures are necessary. The performance of retrofitting  
 480 structures is evaluated by the enhanced mitigations they provide with reducing the wave  
 481 overtopping discharges. Previous studies proposed empirical-based predictive tools for  
 482 evaluating overtopping discharges behind recurve walls placed on the crest of sloping and

483 vertical structures (Pearson et al., 2004; Van Doorslaer et al., 2010). However, very limited  
484 information is provided for assessments of overtopping hazard zones behind recurve walls,  
485 which is crucial for planning coastal infrastructures and managing the risks from extreme  
486 climatic events.

487 This study presents physical modelling measurements of overtopping rates and the spatial  
488 distribution of overtopping events from vertical seawall with recurve retrofitting. The recurve  
489 prototypes with varying size were tested to evaluate the effects of geometrical properties of  
490 recurve structure on the distribution of hazard zone behind the seawall. The recurve walls were  
491 separately tested under both impulsive and non-impulsive wave conditions, in order to capture  
492 different overtopping processes and the structural response of recurve wall to these  
493 hydrodynamic conditions. Tests are designed to investigate the impacts of recurve's  
494 dimensions and wave characteristics on the distribution of hazard zone behind the seawall.

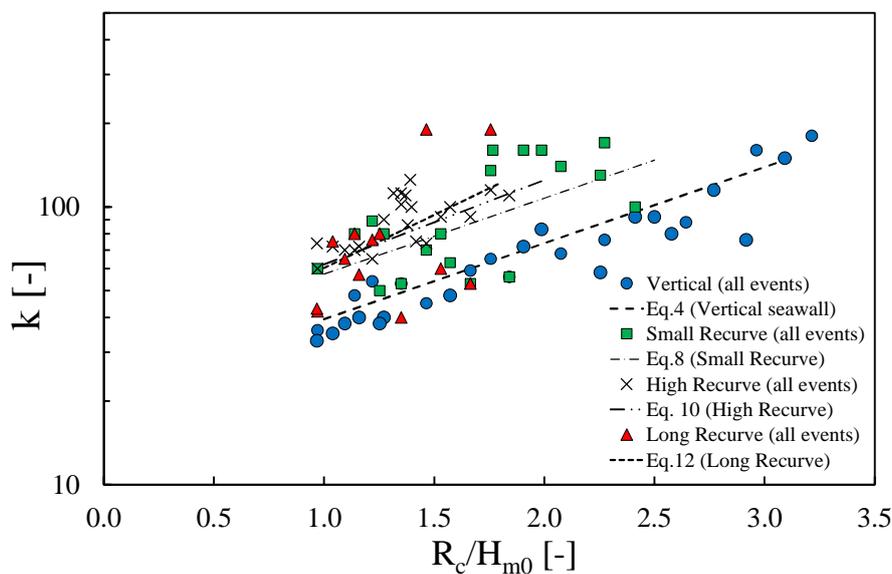
#### 495 **4.4.1 Non-impulsive wave conditions**

496 In previous sections it was shown that  $R_c/H_{m0}$  plays a dominant role in determining the value  
497 of  $k$  for the spatial distribution of overtopping. The measurements for the cases of plain vertical  
498 seawall showed that value of  $k$  increases exponentially with  $R_c/H_{m0}$ . Figure 11 plots the  
499 dimensionless  $k$  against  $R_c/H_{m0}$  for overall overtopping events from plain vertical seawall  
500 retrofitted with three tested recurve configurations. The results for the non-impulsive wave  
501 conditions show that all measured  $k$  from recurve configurations remain above those values  
502 measured from the reference cases, indicating reduction of hazard zone behind the seawall by  
503 recurve retrofitting. On average, the travel distance of 85% overtopping discharge for the  
504 recurve retrofitting test cases reduce by 45% for the temporally averaged distribution behind  
505 the seawall. Similarly, for the case of extreme overtopping events, the recurve walls reduced  
506 the hazard zone behind the seawall by up to 53% in comparison to those cases tested for the

507 plain vertical wall. For recurve retrofitting cases, predictive relations are derived for the spatial  
 508 distribution of hazard zone based on the physical modelling measurements. The empirical-  
 509 based predictive equations are determined for the  $k$  parameter for both mean and extreme  
 510 overtopping events from the recurve walls (Eq. 8 – 13).

511 Figure 11 highlights that regression lines for the three tested recurve prototypes are  
 512 approximately parallel to the results obtained for the reference case of the plain vertical seawall,  
 513 which led to very similar exponent values in the empirical formulae (Eq. 8 – 13) for each  
 514 configuration. The location of these regression lines represent reduction in travel distance for  
 515 the recurve retrofitting prototypes tested in this study. The higher the regression lines, represent  
 516 a shorter travel distance of overtopping water. The findings of this study show that smallest  
 517 overtopping hazard zone is observed for the case of LR, followed by HR retrofitting. Although  
 518 SR resulted in the largest distribution of hazard zone behind the seawall in comparison to the  
 519 other tested recurve walls, the measurements show that SR retrofitting is still effective in  
 520 reducing travel distance of overtopping water, with an average reduction of 33% for overall  
 521 overtopping events compared to the plain vertical seawall.

522



523

524 Figure 6 – Variation in spatial parameter  $k$  and its empirical equations for mean overtopping  
525 events from all tested configurations (Non-impulsive).

526 Eq. 8 – 13 present the empirical-based equations for predicting the distribution of wave  
527 overtopping hazard zone behind the seawall for the cases with recurve retrofitting. Eq. 8 and 9  
528 are the proposed predictive relations for  $k$  parameter for the case of SR retrofitting for overall  
529 and extreme overtopping events, respectively:

$$530 \quad k = 30.5e^{0.63R_c/H_{mo}} \quad \text{overall events} \quad [8]$$

$$531 \quad k = 12.7e^{1.02R_c/H_{mo}} \quad \text{extreme events} \quad [9]$$

532 The performance of the proposed predictive relations was evaluated with statistical error index.  
533 The RMSE values for Eq. 8 and 9 are determined as 0.19 and 0.16, respectively.

534 For the cases of HR retrofitting, Eq. (10) and Eq. (11) are proposed for the overall and extreme  
535 overtopping events, respectively. RMSE for Eq. (10) and Eq. (11) are determined as 0.17 and  
536 0.19, respectively.

$$537 \quad k = 25e^{0.88R_c/H_{mo}} \quad \text{overall events} \quad [10]$$

$$538 \quad k = 18.9e^{0.95R_c/H_{mo}} \quad \text{extreme events} \quad [11]$$

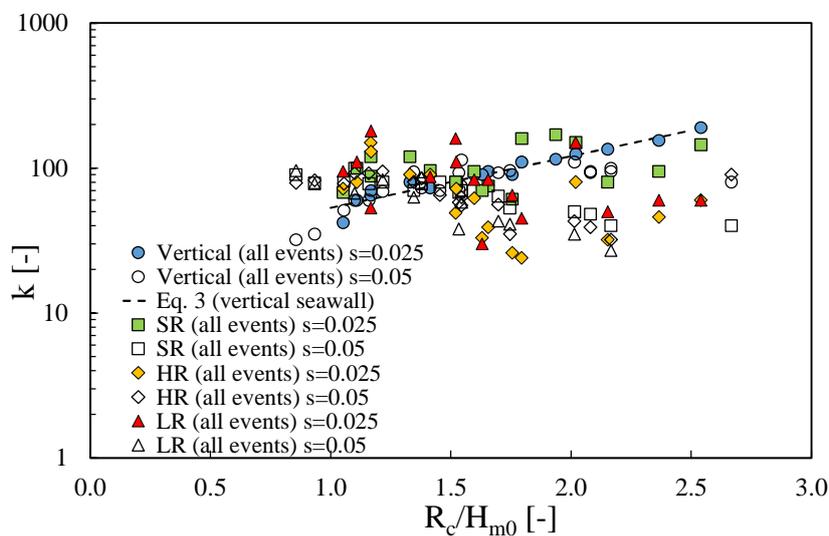
539 For the case of LR retrofitting, Eq. (12) is derived for the overall (RMSE=0.09) and Eq. (13)  
540 for the extreme (RMSE=0.17) overtopping events.

$$541 \quad k = 30e^{0.7R_c/H_{mo}} \quad \text{overall events} \quad [12]$$

$$542 \quad k = 13.6e^{0.96R_c/H_{mo}} \quad \text{extreme events} \quad [13]$$

543 **4.4.2 Impulsive wave conditions**

544 Analysis of the physical modelling data for the cases of recurve wall under impulsive wave  
 545 conditions shows that wave-structure interactions and overtopping processes have more  
 546 complicated behaviour in comparison to the non-impulsive waves, with more scatters in the  
 547 recorded  $k$  parameter across all configurations tested. Figure 12 shows the variations of  
 548 measured  $k$  with relative freeboard on plain vertical seawall and the three recurve walls tested  
 549 under impulsive waves. The measured  $k$  from recurve wall configurations do not follow the  
 550 empirical relationship derived based on the measurements from the plain vertical seawall. Also,  
 551 evaluating the mitigating performance of each recurve prototype based on the  $k$  values is a  
 552 difficult task. When  $R_c/H_{m0} < 1.3$ , most of measured  $k$  from all the recurve prototypes are larger  
 553 than the values recorded for the reference cases. On the contrary, when  $R_c/H_{m0} > 1.8$ , the  $k$   
 554 values become significantly smaller than those  $k$  values measured from the plain vertical  
 555 seawall, and for  $1.3 < R_c/H_{m0} < 1.8$ , the  $k$  values decrease sharply with  $R_c/H_{m0}$ .



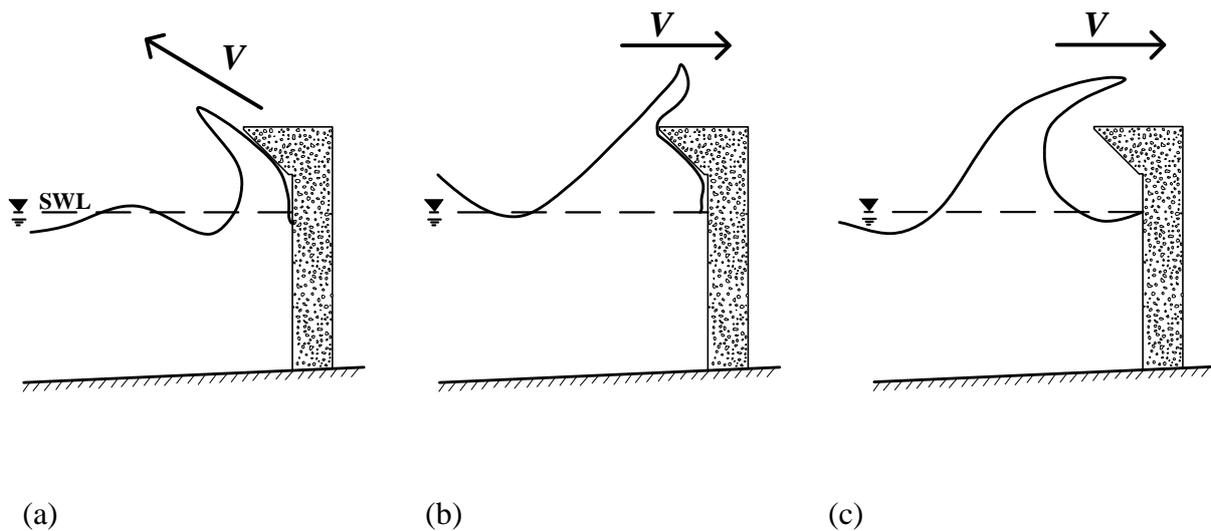
556  
 557 Figure 7 – Variation in spatial parameter  $k$  and its empirical equations for mean overtopping  
 558 events from all tested configurations (Impulsive).

559 The differences in measured  $k$  parameter between the reference case and the retrofitting cases  
 560 for impulsive waves, can be associated to different overtopping processes on the recurve walls.

561 The qualitative observations during the physical modelling tests show that three distinct wave-  
562 structure interaction processes are present for the cases of recurve retrofitting. The first recurve  
563 structure response to wave attack is the waves throw up and reflections (throw down) by  
564 colliding the recurve structure. These types of waves are more likely to be returned seaward by  
565 recurve wall and don't result in significant overtopping (Figure 13a). The second dominant  
566 structural response was mainly observed for large incident waves, where they filled the crest  
567 freeboard area in front of the wall and resulted in overflow of water from the seawall. For the  
568 second types of wave attack (Figure 13b), the overtopping discharge and travel distance behind  
569 the wall are attenuated due to the interactions of waves with recurve and the resulting reduction  
570 in the turbulent kinetic energy of waves. The results obtained from non-impulsive wave  
571 overtopping are typical example of the overtopping process shown in Figure 13b. The third  
572 dominant overtopping processes happened when large incident waves collided with reflected  
573 waves in front of the seawall, creating an area of a very small to no crest freeboard in front of  
574 the structure (temporarily), leading into wave shoaling up and jump before reaching the toe of  
575 the structure. The interactions between incident and reflected waves and the shoaling up of the  
576 waves in front of the structure result in wave throw over the recurve wall and overtopping with  
577 horizontal landward velocity. The test results indicate that recurve wall is capable of mitigating  
578 the volume of wave overtopping for these types of waves (Figure 13c). The overflowing and  
579 throw-landward waves (Figure 13c) generally result in larger overtopping volume in  
580 comparison to the throw-up waves (Figure 13a).

581 For the cases with limited freeboard, waves are likely to run over the seawall though throwing  
582 over or overflowing process. Those cases with recurve wall mounted on the crest of the seawall  
583 have shown higher wave energy dissipation during the overtopping process, thus, less energy  
584 remained for waves to moving landward during post overtopping processes. Hence, for recurve  
585 retrofitting cases reductions in both overtopping discharge and travel distance were recorded.

586 For the retrofitting cases, where less turbulent kinetic energy was available to facilitate  
 587 landward travel of the incident waves, the larger  $k$  parameter was measured in the cases with  
 588 large overtopping level (Figure 12,  $Rc/H_{m0} < 1.3$ ). As freeboard increases, the mean overtopping  
 589 discharge decreases exponentially, and it becomes more difficult for the waves to overflow.  
 590 Thus, those small overtopping waves measured on the vertical wall are now attenuated or even  
 591 returned seaward by the recurve wall, while relatively large overtopping events are still  
 592 occurring. Discharges from those small overtopping events, which land close to seawall for the  
 593 case of plain vertical seawall configurations, are completely mitigated by recurve  
 594 configurations. The volumes close to seawall takes less proportion in mean overtopping volume,  
 595 and therefore the  $k$  parameter is reduced for the recurve configurations.



597 Figure 8 – The dominant overtopping scenarios on recurve wall (a) Throw-up waves returned  
 598 seaward, (b) Overflow waves, and (c) Throw-landward waves

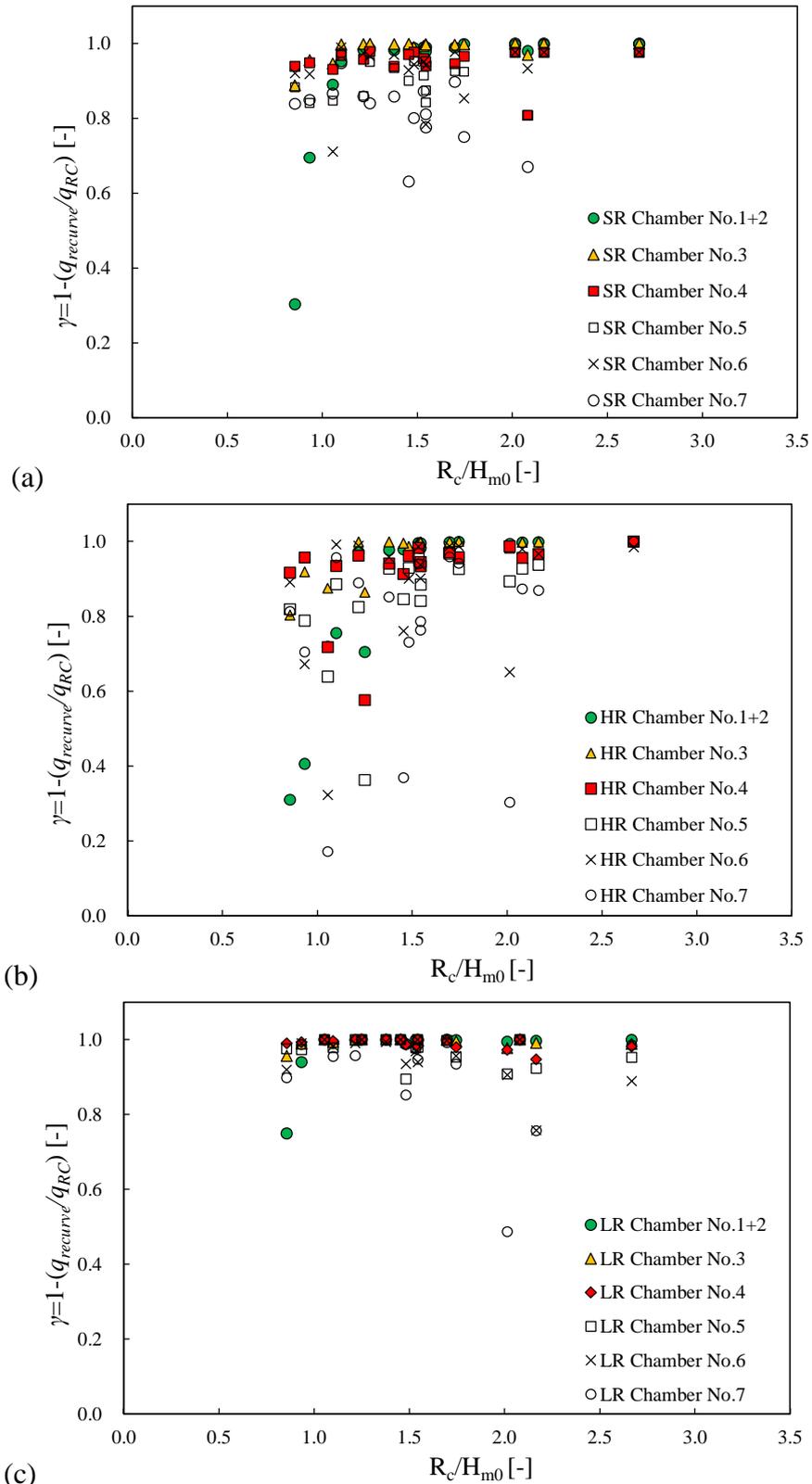
#### 599 4.4.3 Reductions in overtopping discharges

600 Due to difficulties in predicting the shape of the spatial distribution of wave overtopping behind  
 601 recurve walls, overtopping discharge volumes are studied as an indicator for evaluating hazard  
 602 level behind recurve retrofitting prototypes. In order to provide insights into spatial distribution

603 of the risks of overtopping hazards in coastal region behind the recurve wall, analyses were  
604 undertaken on the variations of overtopping discharge in different locations behind the wall.  
605 The overtopping discharge reduction in coastal region is defined as the reduced overtopping  
606 discharge in the total discharge measured for the reference case (RC), ( $\gamma = 1 - \left(\frac{q_{recurve}}{q_{RC}}\right)$ ).  
607 The performance of recurve walls in attenuating the wave overtopping and spatial distribution  
608 of hazard zone is evaluated according to the reductions in the overtopping discharge at  
609 locations behind the seawall. Such information can also help to better understand the  
610 unpredictable behaviour of spatial parameter  $k$  under impulsive conditions.

611 Figure 14 plots the reduction in overtopping discharge in the first seven chambers of  
612 overtopping measurement device (see Figure 2b) for the three recurve walls tested in this study.  
613 It is found that the recurve wall configurations led to a further reduction in the overtopping  
614 events with short travel distance. When  $R_c/H_{m0} > 1.2$ , Comparison of overtopping discharge in  
615 individual chambers highlights that a greater reduction was observed in those chambers which  
616 were located immediately after the seawall (coloured data in Figure 14), with a minimum  
617 reduction of at least 90% across all cases. For the overtopping discharge data recorded in  
618 Chamber No. 5 and beyond, more scatters are found in the data and it was shown that recurve  
619 walls have lower effectiveness in reducing overtopping events which generally lead to high  
620 distribution of hazard zone. The graph shows that overtopping discharge lands after Chamber  
621 No.5 (see Figure 2b) decreases by 80% as a minimum rate for LR, followed by 60% reduction  
622 for SR and 40% reduction for HR retrofitting.

623

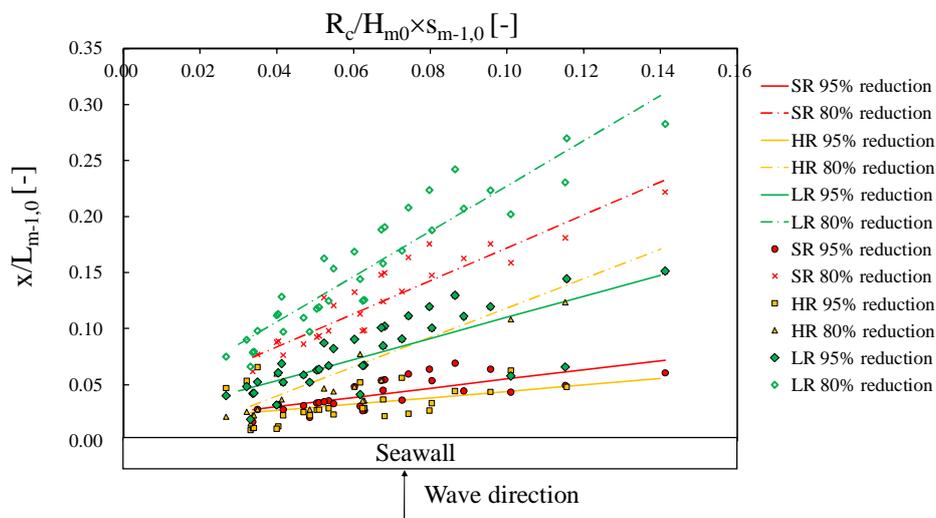


624 Figure 9 – Overtopping discharge reductions in the first seven chambers measured on recurve  
 625 walls for (a) Small Recurve, (b) High Recurve, and (c) Long Recurve. Reduction ( $\gamma$ ) is  
 626 described as the ratio of the reduced discharge on recurve wall ( $q_{RC}-q_{recurve}$ ) to the discharges  
 627 on vertical seawall ( $q_{RC}$ )  $\gamma=1-(q_{recurve}/q_{RC})$ .

628 **4.4.4 Prediction of safe zone behind the seawall**

629 Figure 14 shows that the discharge reduction decreases as a result of the increase in the distance  
 630 from the seawall ( $x$ ). When the distance  $x$  exceeds the affected zone, the discharge reduction  
 631 increases sharply to 100%. Based on this finding, empirical estimations of discharge reductions  
 632 within the affected zone can be derived as a function of the distance  $x$  from the seawall.

633 Figure 15 illustrates the estimations of overtopping discharge reduction in the coastal region  
 634 behind the three recurve configurations tested in this study. The distance from the seawall is  
 635 normalised by offshore wavelength.  $R_c/H_{m0} \times s_{m-1,0}$  is plotted on x-axis, since they play an  
 636 important role in determining the reduction in wave overtopping. The graph in Figure 15 shows  
 637 the relationship between  $x/L_{m-1,0}$  and  $R_c/H_{m0} \times s_{m-1,0}$  corresponding to two discharge reductions  
 638 behind the seawall, including 95% and 80%.



639 Figure 10 – Spatial variation of overtopping discharge reductions behind the vertical seawall  
 640 with the recurve retrofitting prototypes  
 641

642 Each line in Figure 15 represents the boundary of coastal region observed with corresponding  
 643 mean overtopping discharge reductions. As values of  $R_c/H_{m0} \times s_{m-1,0}$  increases, the area for all  
 644 the discharge reduction is found to become larger. This indicates that either higher freeboard  
 645 or steeper incident waves will result in less overtopping discharge in the coastal region. The

646 reduction in the hazard zone behind the seawall shows enhanced level of protection provided  
647 by the coastal defence.

648 Due to the geometrical shape changes for the recurve retrofitting tested in this study, the  
649 reduction predicted for each recurve is different. Figure 15 shows the hazard zone behind the  
650 three tested recurve walls. Specifically, it was shown that if the overhang length is increased,  
651 reduction in overtopping will increase, and the spatial distribution of the hazard zone will  
652 shrink. Therefore, the predicting line (Figure 15) with 95% discharge reduction for the tests  
653 with LR is above the predictions derived for the SR and HR retrofitting. The results show that,  
654 for LR cases, the coastal area over which the 95% overtopping discharge decays, is the largest  
655 amongst all the tested retrofitting configurations. Conversely, increase in the height of the  
656 recurve wall led to a smaller overtopping discharge reduction. The prediction line for HR cases  
657 is below the prediction lines from the other two retrofitting configurations. Further experiments  
658 are required to extend the validation of the proposed empirical predictions for a range of  
659 recurve's shape and size.

## 660 **5. Conclusions**

661 This study presents physical modelling laboratory measurements of the spatial distributions of  
662 overtopping water behind plain vertical seawall and recurve walls with varying geometrical  
663 size. The hydrodynamic conditions were designed to cover both swell and storm conditions.  
664 The experimental tests were designed to investigate a comprehensive range of wave conditions,  
665 crest freeboard and structural configurations. An overtopping measurement system was  
666 designed for this study to enable high-resolution spatiotemporal recording of the overtopping  
667 events and spatial distribution of overtopping behind the seawall. The tests were undertaken on  
668 an impermeable smooth 1:20 foreshore slope. The overtopping volume and post overtopping  
669 spatial distribution of the overtopped water are analysed for the reference case of plain vertical

670 wall and the three recurve retrofitting prototypes tested in this study. The influence of  
671 hydrodynamics, geometrical shape and structural configurations on the shape of the spatial  
672 distributions of overtopping water are also analysed and discussed.

673 Measurements on the plain vertical seawall showed that Pullen et al. (2009) proposed  
674 predictive relations for the spatial distribution of overtopping at vertical seawalls overestimate  
675 the travel distance of overtopping water for both impulsive and non-impulsive waves. The  
676 analysis presented in this paper show that alongside the effects of wind speed and shoreward  
677 distance from the seawall, the influence of relative freeboard ( $R_c/H_{m0}$ ) should be considered  
678 for robust predictions of overtopping travel distance. Considering the influence of relative  
679 freeboard on the incident wave-structure interactions and post overtopping processes, new  
680 prediction formulae are proposed for evaluating the spatial distribution of mean overtopping  
681 (Eqs. 3-4) as well as extreme overtopping events (Eqs. 5-6). The statistical analysis of the  
682 proposed predictive relations shows an overall good agreement with the measurements. The  
683 analysis of the data on extreme overtopping events shows that the overtopping water during  
684 extreme events generally travel further than time-averaged spatial distribution, highlighting the  
685 importance of evaluating hazard zone behind the seawalls for extreme climatic events.

686 Furthermore, the results of the tests with recurve walls show a significant reduction in the  
687 extend of hazard zone behind the seawall structure. Under non-impulsive wave conditions,  
688 parameter  $k$  (Eq. (1)) from all tested recurve walls were larger than those observed for the plain  
689 vertical seawalls. On average, the travel distance of 85% overtopping discharge was reduced  
690 by 45% for the time-averaged overtopping distribution behind all tested recurve walls. Similar  
691 findings were also observed for the extreme overtopping events, with a reduction of 53% for  
692 the travel distance of 85% overtopping discharge.

693 The analysis of physical modelling data show that increase in overhanging length, and height  
694 of the recurve wall reduce the spatial extend of the hazard zone behind the wall. This study  
695 develops a new and improved empirical-based formulae (Eqs. 8-13) for prediction of the  $k$   
696 parameter for the three retrofitting configurations tested under non-impulsive wave conditions.

697 For impulsive wave conditions, at present there is no available empirical method to predict the  
698  $k$  parameter for the spatial distribution of overtopping behind recurve walls. However, the  
699 mitigating effects of the recurve walls for impulsive wave attack is estimated from the  
700 overtopping discharge reduction at different distances behind the seawalls. Measurements from  
701 the tested recurve walls highlight that a longer overhanging length enables the recurve wall to  
702 provide relatively higher reduction in the spatial distribution of hazard zone behind the  
703 structure, whilst increase in height of the recurve has no notable effects in reducing the extend  
704 of the hazard zone.

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709

**List of Symbols**

$g$	Gravitational acceleration =9.81 [m <sup>2</sup> /s]
$H_{m0}$	Significant wave height derived from spectral analysis = $4\sqrt{m_0}$ [m]
$h_s$	Water depth at the toe of structure [m]
$h_*$	Impulsiveness of waves, $h_* = h_s^2 / (H_{m0}L_{m-1,0})$ [-]
$h$	Height of the flume [m]
$k$	Spatial parameter as suggested by Pullen et al. (2009) [-]
$L_{m-1,0}$	Deep water wavelength based on $T_{m-1,0}$ . $L_{m-1,0} = gT_{m-1,0}^2 / 2\pi$
$L_0$	Deep water wavelength. $L_0 = gT^2 / 2\pi$ [m]
$l$	Length [m]
$q$	Mean overtopping discharge per meter structure width [m <sup>3</sup> /m/s]
$q^*$	The ratio of discharge lands after the distance $x$ in total discharge [-]
$q_{x/L_0}$	The overtopping discharge at a shoreward distance of $x/L_0$
$q_{total}$	Mean overtopping discharge behind the seawall
$R_c$	Crest freeboard of structure [m]
$s_{m-1,0}$	Wave steepness with $L_{m-1,0}$ . $s_{m-1,0} = H_{m0} / L_{m-1,0}$ [-]
$s_{op}$	Wave steepness with $L_p$ . $s_{op} = H_{m0} / L_{op}$ [-]
$T_{m-1,0}$	Spectral period defined by $m_{-1}/m_0$ [s]
$T_p$	Peak wave period derived from time domain analyses [s]
$V_w$	Wind speed [m/s]
$x$	Shoreward distance from the seawall [m]
$w$	Width of the flume [m]
$\gamma$	JONSWAP spectra peak enhancement factor [-]

713           **References**

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