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1 **Validation for a scoring system of the ALS Cardiac Arrest**

2 **Simulation Test (CASTest)**

3

4

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32

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42

43 **Abstract**

44 Aim: The Cardiac Arrest Simulation Test (CASTest) assesses resuscitation
45 knowledge and skills during a simulated cardiac arrest. The aim of this study is to
46 validate an alternative scoring system for measuring individual candidate
47 performance during research involving the CASTest.

48

49 Methods: The performance of 537 participants was measured using the new scoring
50 system. In addition, assessors assigned a global pass-fail decision. Differences in
51 scores were compared between to global pass/ fail decisions, professional groups
52 and those nominated to become instructors. Correlations between CASTest domain
53 scores and overall score, multiple choice scores and other practical tests (airway test
54 and initial assessment and resuscitation test) scores were measured. This provided
55 opportunity to cross reference achievement in other areas of course assessment with
56 this alternative scoring system.

57

58 Results: 413 (76.9%) passed the CASTest and 124 (23.1%) failed. The total
59 performance score was significantly higher in those that passed than in those that
60 failed (median 77 vs 62.5, $P < 0.0001$). There were no differences between
61 professions. Senior staff performed slightly better than junior staff (median 74 and 72
62 respectively, $P = 0.01$). Excellent participants (identified as having instructor potential)
63 scored significantly higher than the other participants (median 94 and 72
64 respectively, $P < 0.0001$). A strong correlation was demonstrated between domains
65 in the CASTest ($\rho 0.72-0.82$, $P < 0.01$). Other assessment outcomes for the ALS
66 course correlated poorly with CASTest scores ($\rho = 0.27-0.37$, $P < 0.01$).

67

68 Conclusion: This new simple scoring system can be used to better characterise
69 performance on the ALS course CASTest than the current binary pass-fail outcome.

70 **1. Introduction**

71

72 A variety of healthcare professionals need to demonstrate competency in all aspects
73 of Advanced Life Support (ALS).^{1,2} It is vital that certification of such skills is based
74 on robust testing, giving ALS providers credibility and enabling them to promote
75 patient safety. As with any education test, evidence of validity is key if the results are
76 to be interpreted meaningfully.³ Assessments are not valid or invalid in themselves
77 but rather a certain interpretation is more or less valid for a certain population at a
78 certain point in time.⁴ Evidence should be collected from multiple sources and
79 analysed to create arguments for and against a specific interpretation of test results.

80

81 The ALS course teaches both theoretical and practical aspects of resuscitation.
82 Participants complete a pre-course Multiple Choice Question (MCQ) test based on
83 pre-course preparation. During the course participants are assessed on practical
84 skills involving airway management and the initial approach to critically ill patients.
85 Summative assessment at the end of the course is a combination of an MCQ paper
86 (pass mark 75%) and a cardiac arrest simulation test (CASTest).¹

87

88 The CASTest uses a simulated cardiac arrest to test the application of resuscitation
89 knowledge, and skills and is focussed on team leadership and decision making.⁵

90 The score sheet contains performance criteria that participants are expected to
91 demonstrate in order to pass the test. Performance criteria are classified as bold (i.e.
92 essential) and non-bold (desirable). Based on this structured assessment of
93 performance the assessment outcome is reported as a single binary pass-fail mark.
94 We have developed a four point scoring system which can be applied to each of the
95 24 performance criteria in order to characterise the quality of candidate performance
96 in more detail. The scoring system does not give differential score for bold and non-
97 bold treatment points.

98

99 The aim of this study is to determine the measurement properties of the new tool by
100 considering the tool's internal structure and relationship with other variables (i.e.
101 theoretical (post course MCQ paper) and practical skill assessments) and thus report
102 it's utility to better characterise performance in CASTest than a simple pass / fail
103 result.

104

105

106 **2. Methods**

107

108 *2.1 Participants*

109

110 The study was approved by South Birmingham Research Ethics Committee.

111 Participants enrolled in an evaluation of pre-course computer simulation material
112 from ALS courses at 11 UK centres were eligible for inclusion in the study.

113 Participants provided written informed consent. The study was conducted between
114 March and December 2007.

115

116 *2.2 Assessment criteria*

117

118 Participants underwent assessment of the following aspects: pre/post course MCQ
119 paper, skills assessments (airway, initial assessment and resuscitation), and a
120 CASTest (CASTest- scenario 1). This study evaluated data from the first attempt at
121 CASTest only.

122

123 The CASTest assesses performance against 24 performance criteria. These cover 4
124 domains: initial assessment and resuscitation (5 criteria) and cardiac arrest
125 management - PEA (7 criteria); VF (11 criteria) and post resuscitation care (1

126 criterion). A new four point scoring scale was assigned to each performance criteria
127 to replace the previous “achieved” or “not-achieved” criteria. Each assessment was
128 undertaken by two Resuscitation Council (UK) trained assessors. These assessors
129 had been involved in training prior to assessment. Assessors evaluated performance
130 individually and agreed a joint score by consensus between the pair. If they failed to
131 agree on a score the Course Director acted as the final arbiter. Assessors were
132 provided with written guidance as follows:

133

134 4 - The highest score is awarded to excellent participants who made correct
135 decisions promptly and with confidence, demonstrating expert performance and
136 instructor potential.

137

138 3- Acceptable performance should score 3. This is the usual level of competence
139 attained by an ALS provider. They were able to make correct decisions, but may
140 have some hesitation or lack of confidence.

141

142 2 - Borderline performance. Minor errors in decision-making, hesitant, lacked
143 confidence and required prompting or failed to perform a skill but recognised errors
144 on subsequent questioning.

145

146 1 – Unacceptable. Participants who make incorrect decisions or gave inappropriate
147 treatments. Their actions may have caused harm in a real life situation.

148

149 At the end of the CASTest instructors provided a global assessment as to whether
150 performance was acceptable or not (pass / fail) and overall grade of performance
151 (using the 4 point assessment scale defined above). The mark sheet can be viewed
152 in the electronic supplemental material.

153

154 The pre and post course multiple choice questions are two different 120 item true
155 false multiple choice questions. Individual questions are grouped into blocks of four
156 with a common stem. Evaluation from over 5000 multiple choice questions for each
157 paper have demonstrated excellent agreement (personal communication Carl
158 Gwinnutt).

159

160 Airway management and initial assessment and resuscitation assessments are skill
161 based assessments. These skills are assessed by outcome based assessment i.e.
162 participants are allowed as many assessment attempts during the course as required
163 to allow them to achieve the necessary standard. The airway assessment tests basic
164 airway care, the use of simple airway adjuncts and laryngeal mask insertion. The
165 initial assessment and resuscitation station assesses the management of a critically
166 ill patient at risk of cardiac arrest, delivery of CPR and safe defibrillation.

167 Performance in these assessments were also rated using the same 4 point scale
168 described for the CASTest, to provide cross referencing against candidate
169 assessment profiles.

170

171 At the end of each course, the assessors met as a group to identify participants with
172 outstanding performance who may be considered to train as future instructors
173 (instructor potential). Participants performance over the course were considered
174 using a structured scoring sheet (MCQ score, communication, enthusiasm, ability to
175 critique (self and other participants), interactive, supportive, team member and
176 credibility). The assessors did not have access to the CASTest total performance
177 score during these deliberations.

178

179 *2.3 Data analysis*

180

181 SPSS statistical package version 15.0 was used for analysis of the data. Data were
182 assessed for normality and found to have a non-parametric distribution.
183 Comparisons between the scores of participants that passed and failed were
184 analysed using the Mann Whitney U Test. In addition scores of senior and junior
185 staff were compared, as well as those with and without instructor potential.
186 Differences between scores and overall grading (scores 1-4) were measured by
187 Friedman's test⁶.

188

189 Scores for the three main domains (initial approach; PEA, VF) within the CASTest
190 were examined for associations as it was hypothesized that participants performing
191 well in one domain would also perform well in others (internal structure). For
192 example those scoring highly in ventricular fibrillation management would also score
193 highly in pulseless electrical activity management. Such correlations were measured
194 using Spearman's rho, aiming to provide evidence of internal structure of the test.

195

196 The relationship between total CASTest score and other course outcomes (pre-
197 course MCQ, end of course MCQ and practical skill station scores (airway and initial
198 assessment / resuscitation)) was measured to gain evidence relationship to other
199 variables.

200

201

202 **3. Results**

203

204 A total of 537 participants were assessed: 346 doctors, 97 nurses, 7 operating
205 department practitioners, 8 others and 79 unknown. There were more than double
206 the number of junior staff compared to senior staff (267 vs 114), whilst only 27 were
207 students and 129 unknown. Data for pre-course MCQ were available from 429
208 (79.9%) of participants.

209

210 *Evidence of internal structure*

211

212 Overall 413 (76.9%) participants were awarded a pass by the assessors and 124
213 (23.1%) were assigned a fail according to the standard assessment criteria.. The
214 total performance score was significantly higher in those that passed the test than in
215 those that failed (median 77 (IQR 72-92) vs median 62 (IQR 56-68) $P < 0.0001$) (figure
216 1). Scores ranged from 35 to 96 (the maximum possible). The CASTest score
217 related well to the global assessment of performance (figure 2).

218

219

220

221 There were significant positive associations between scores for all CASTest domains
222 (Figure 3). For example, there was a strong correlation between the score for initial
223 approach to the critically ill patient and scores for ventricular fibrillation management.
224 Correlation coefficients ranged between 0.72-0.82 ($P < 0.01$) for all comparisons.

225

226 *Evidence of relationships with other variables*

227

228 There was no significant difference in CASTest scores between doctors and nurses
229 (median 73 vs 72, $P = 0.816$). Numbers of other health professionals were not
230 adequate to meaningfully interpret their scores in comparison. Senior staff
231 performed slightly better than junior staff (median score 74(IQR 69-93) vs 72 (IQR
232 69-84); $P = 0.01$). 45 potential ALS instructors were identified at the end of the
233 courses. They achieved significantly higher scores than the other participants
234 (median: 94 vs 72, $P < 0.0001$).

235

236 There were significant, albeit weak correlations between CASTest score and the
237 other assessment outcomes. Post-course multiple choice examination scores only
238 correlated slightly better with CASTest scores than pre-course multiple choice scores
239 (Spearman's rho = 0.336 and 0.269 respectively, $P < 0.01$ for both). Airway
240 management and the initial assessment and resuscitation of the patient also had
241 poor associations with CASTest score (Spearman's rho = 0.325 and 0.367
242 respectively, $P < 0.01$ for both).

243

244

245 **4. Discussion**

246

247 This study presents evidence supporting the validity of a scoring system which can
248 be used to measure overall performance during advanced life support cardiac arrest
249 simulation testing. This system will allow greater precision in classifying performance
250 than the existing binary pass or fail outcome measure. Using a large cohort of multi-
251 professional health care providers the participants awarded a pass mark by the
252 standard assessment tool obtained significantly higher performance scores than
253 those that failed. There were differences in total score between global assessments
254 of performance measured by a 4 point scale. There was good internal consistency
255 between the treatment domains of initial assessment, management of PEA and
256 management of VF. Although significant associations were present between
257 CASTest score and other assessments such as the multiple choice question papers,
258 airway and initial assessment and resuscitation skill stations, these were less strong.

259

260 It is important that assessments used in today's healthcare setting are fit for purpose.
261 There are a number of different measures that can be used to judge the performance
262 of a test. These include the reliability, validity, feasibility, cost effectiveness,
263 specificity and fidelity.⁷ Downing describes all validity as construct validity, for which

264 there are five distinct sources of evidence: internal structure (statistical or
265 psychometric properties of the score), relationship to other variables (the ability to
266 discriminate between levels of training) content (whether the test assesses learning
267 objectives), response process (data integrity) and consequences.⁴ The study sought
268 evidence of internal structure and relationships with other variables.

269

270 The study identified evidence of internal structure by showing highly significant
271 differences in scores between participants who passed and failed the CASTest and
272 between global ratings of performance during performance. There were also strong
273 correlations between scores within different CASTest domains. Evidence of
274 relationships with other variables was derived from the findings that participants
275 deemed to have instructor potential did significantly better than other participants.
276 There were also statistically significant differences between junior and senior staff
277 performance although the clinical significance is likely to be minimal.

278

279 The CASTest score did not correlate as strongly with performance on the pre and
280 post course multiple choice tests. A number studies show poor agreement between
281 tests measuring theoretical knowledge and demonstration of practical resuscitation
282 tests.⁸⁻¹⁰ This is likely to reflect the different cognitive processes involved in
283 knowledge and skill tests. However one might expect better correlation between
284 CASTest and other practical tests such as airway management and initial
285 assessment and resuscitation. One explanation could be the complexity of the skills
286 being tested. Airway and initial assessment and resuscitation skills are more basic
287 task orientated assessments. CASTest demands the integration of theoretical
288 knowledge, patient assessment, reasoning and clinical skills which require a higher
289 level of cognitive functioning. Alternatively this poor association may be explained by
290 differences in the assessment process. Participant scores for the CASTest were
291 derived from a single assessment of performance whilst assessment of airway and

292 initial assessment and resuscitation skills are assessed over a period of time. During
293 this, participants are not penalised for errors provided they demonstrate the skill
294 eventually. The absence of strong associations provides some reassurance that
295 results were not contaminated by a halo effect (assessors marking candidates based
296 on previous encounters of performance during the course rather than actual
297 performance during the CASTest)

298

299

300 The CASTest tests several of the key learning outcomes for the ALS course. These
301 include the ability to recognise and intervene in the management of a simulated
302 patient at risk of cardiac arrest; lead a team in the resuscitation of a simulated patient
303 in cardiac arrest; demonstrate knowledge and application of current resuscitation
304 guidelines, demonstrate an understanding of the importance of post-resuscitation
305 care and stabilisation following a return of spontaneous circulation. This provides
306 evidence of content validity.

307

308 The demonstration of construct validity in the present study are supported by similar
309 findings from Ringsted *et al.*¹¹ Using the same CASTest clinical scenario but with a 5
310 point rating scale, the authors were able to differentiate between novice and
311 advanced learner performance. Further evidence of the construct validity of the test
312 comes from an evaluation of CASTest outcomes in over 2000 ALS providers. This
313 study demonstrated similar pass rates for the four different CASTest assessments
314 whilst was able to detect differences in outcomes according to professional
315 background.¹²

316

317 Reliability was not specifically assessed in this study. One of the first studies to
318 examine reliability during CASTest found evidence of poor inter-observer agreement
319 of videotaped CASTest scenarios.¹³ Agreement subsequently improved following the

320 introduction of standardised performance criteria and paired assessor marking.¹⁴
321 The most recent assessment of reliability during CASTest assessment comes from
322 Ringsted *et al.*¹¹ This study found high levels of intra and inter observer agreement
323 for performance criteria (intraclass correlation coefficients ranging 0.84-0.97) and
324 moderate levels of inter-observer agreement for the overall pass/fail decision
325 (average kappa 0.72).
326
327
328 The study has a number of limitations. These include sampling method, which was
329 confined to 11 centres in the UK. However pass rates were comparable to a
330 previous evaluations¹² and data on file at the Resuscitation Council (UK). The ALS
331 course is used throughout Europe and whilst we have not reason to suppose the
332 scoring system would perform differently outside the UK, this remains a possibility.
333 The evidence of relationship with other variables was limited to comparisons between
334 CASTest score and performance in MCQ's, other practical skill stations and faculty
335 assessment of instructor potential. Additional evidence of external validity could be
336 sought by linking CASTest scores to performance in other simulated emergencies,
337 peer assessments of performance and real life resuscitation attempts. A strength
338 and limitation of the score is that it measures overall performance during the
339 CASTest. The score will not be particularly sensitive at identifying an otherwise high
340 performing candidate that commits a single critical error. However as the purpose of
341 the tool is to measure overall performance this is of a lesser significance than if it was
342 being proposed as a tool to determine the overall assessment outcome. Finally the
343 evaluation was limited to CASTest scenario 1. Although the other CASTest
344 scenarios use the same performance criteria, the performance of the tool with these
345 CASTests has not been demonstrated.
346

347 The strength of the CASTest score is the ability to rate candidate's performance with
348 more precision than the existing binary pass fail outcome. This has the advantage
349 that it will allow smaller sample sizes to be used in future research studies evaluating
350 performance during CASTest. The benefits during routine ALS courses need to be
351 determined. Whether introducing the CASTest score with a specific cut-off score to
352 differentiate between acceptable and un-acceptable will improve reliability requires
353 further investigation.

354

355 **Conclusions**

356 Evidence supporting the construct validity of the CASTest scoring system is
357 presented. This simple scoring system better characterises performance in the ALS
358 course CASTest than the current binary pass-fail outcome.

359

360

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362

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371

372 **Collaborators**

373

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- 380
- 381
- 382

383 **Legends to figures**

384

385

386 1) Total performance score is significantly higher in participants that pass than
387 those that fail the CASTest assessment ($P < 0.001$).

388

389 2) CASTest score increases sequentially with increases in global assessment of
390 performance during the CASTest assessment

391

392 3) There are strong correlations between scores for CASTest domains (initial
393 approach, pulseless electrical activity and ventricular fibrillation management).

394 Spearman's $\rho = 0.72-0.82$, $P < 0.01$.

395

396 4) CASTest score correlates poorly with the other assessment outcomes
397 (airway management, initial assessment and resuscitation, pre- and post-
398 course MCQ scores).

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