University of Warwick institutional repository: http://go.warwick.ac.uk/wrap

This paper is made available online in accordance with publisher policies. Please scroll down to view the document itself. Please refer to the repository record for this item and our policy information available from the repository home page for further information.

To see the final version of this paper please visit the publisher’s website. Access to the published version may require a subscription.

Author(s): I Pell, J Dowie, A Clarke, A Kennedy, V Bhavnani

Article Title: Development and preliminary evaluation of a clinical guidance programme for the decision about prophylactic oophorectomy in women undergoing a hysterectomy

Year of publication: 2002

Link to published article: http://dx.doi.org/10.1136/qhc.11.1.32

Publisher statement: None
OBJECTIVE ARTICLE

Development and preliminary evaluation of a clinical guidance programme for the decision about prophylactic oophorectomy in women undergoing a hysterectomy

I Pell, J Dowie, A Clarke, A Kennedy, V Bhavnani

ORIGINAL ARTICLE

Development and preliminary evaluation of a clinical guidance programme for the decision about prophylactic oophorectomy in women undergoing a hysterectomy

I Pell, J Dowie, A Clarke, A Kennedy, V Bhavnani

QUALITATIVE HEALTHCARE

Objectives: To develop a decision analysis based and computerised clinical guidance programme (CGP) that provides patient specific guidance on the decision whether or not to undergo a prophylactic oophorectomy to reduce the risk of subsequent ovarian cancer and to undertake a preliminary pilot and evaluation.

Subjects: Women who had already agreed to have a hysterectomy who otherwise had no ovarian pathology.

Setting: Oophorectomy decision consultation at the outpatient or pre-admission clinic.

Methods: A CGP was developed with advice from gynaecologists and patient groups, incorporating a set of Markov models within a decision analytical framework to evaluate the benefits of undergoing a prophylactic oophorectomy or not on the basis of quality adjusted life expectancy, life expectancy, and for varying durations of hormone replacement therapy. Sensitivity analysis and preliminary testing of the CGP were undertaken to compare its overall performance with established guidelines and practice. A small convenience sample of women invited to use the CGP were interviewed, the interviews were taped and transcribed, and a thematic analysis was undertaken.

Results: The run time of the programme was 20 minutes, depending on the use of opt outs to default values. The CGP functioned well in preliminary testing. Women were able to use the programme and expressed overall satisfaction with it. Some had reservations about the computerised format and some were surprised at the specificity of the guidance given.

Conclusions: A CGP can be developed for a complex healthcare decision. It can give evidence-based health guidance which can be adjusted to account for individual risk factors and reflects a patient’s own values and preferences concerning health outcomes. Future decision aids and support systems need to be developed and evaluated in a way which takes account of the variation in patients’ preferences for inclusion in the decision making process.

P

A

ROVIDING individual patients with decision support to allow them to take a fuller part in treatment decisions—if and how they wish—is likely to become an increasingly important component to improving quality in health care. Patient involvement in decision making increases adherence to treatment choices and improves satisfaction and the appropriateness of interventions. It is clear that many patients both value and are able to make use of enhanced information about treatments and treatment choices. A variety of methods of delivering enhanced evidence-based patient information have accordingly been developed, including leaflets, decision boards, audiotapes, videotapes, interactive laser disks, and computer programs. Despite these developments, the presentation of research based information to patients, and informing patients. Until now the main approach to disseminating best practice more effectively throughout the medical community has been the development of expert based guidelines. However, standard written guidelines cannot hope to cover the range of inputs and potential outcomes that need to be taken into account for a specific patient, even when management of a common condition is the focus. Nor can they respond to individual patient preferences in relation to health outcomes in the rigorous way that is provided in relation to clinical evidence.

Our aim was to address these issues simultaneously through the development of a computerised clinical guidance programme (CGP) based on broad decision analytical principles which would integrate research based evidence (adjusted for individual patient risk factors) and patient preferences in order to arrive at an explicit recommendation. All the assumptions and evidence underlying the recommendation would be accessible on request. The prototype CGP described here has been developed in the context of prophylactic oophorectomy (PO).

BACKGROUND

Prophylactic oophorectomy (PO)

Women who have decided to undergo a hysterectomy face, in the absence of compelling clinical indications, a further decision as to whether or not to have concurrent PO. This is a surgical operation to remove the ovaries as a prophylactic procedure against ovarian cancer. The decision, although apparently straightforward, is a complex one. Expert opinions on the appropriateness of PO vary and there is considerable variation in practice. In the UK in 1989, 20% of gynaecologists said they would routinely remove the ovaries of premenopausal women aged 45–49 years in the course of hysterectomy and 51% said they would do so in the case of premenopausal women aged over 49 years. The annual incidence of ovarian cancer in the UK is 1/3800 in women aged over 25 and 1/2500 in those aged over 55, with a lifetime risk estimated at 1.5–2%. Estimates of the proportion of ovarian cancers potentially prevented by use

www.qualityhealthcare.com
The clinical guidance programme (CGP) is based on a set of methods of PO vary between 1% and 11%. The complexity of the decision whether or not to undergo PO lies in the relative rarity of ovarian cancer coupled with the multiple indirect effects of removing the ovaries. While surgical removal effectively precludes the development of ovarian cancer, it creates indirect effects on other body systems through the cessation of oestrogen production, with notable effects on the chances of developing breast cancer, osteoporosis and osteoporosis related fractures, and heart disease. Taking supplemental oestrogen in the form of hormone replacement therapy (HRT) can modify these indirect effects to some extent. The decision therefore depends on a number of factors relating to the individual clinical characteristics of the patient and her family history, on the values she attaches to a number of different disease states of varying severity, and on her views on and experience of this hypothetical population as it progresses through a series of annual cycles. Whether an individual stays in his or her current state or switches to a different state is defined by an (evidence-based) transition probability—for example, the probability of a woman who is previously “well” developing angina. The experience of this hypothetical population as it progresses through a series of annual cycles gives us the expected outcome of an intervention for an individual, calculated as evidence-based life expectancy (LE) given the characteristics of the individual under consideration.

It should be noted that the CGP deals implicitly with multiple states whereby an individual with ovarian cancer might also have a hip fracture because the results of all four Markov models are used to derive the final figures for LE, but it is assumed that the condition specific transition probabilities are not affected by the occurrence of multiple states.

Apart from LE, the CGP also calculates the net benefit of (or loss from) oophorectomy as quality adjusted life expectancy (QALE). In order to undertake the “quality adjustment” of LE, each period of time spent in each diagnostic state (cycle) is multiplied by the utility of being in that state for each of the Markov models. We therefore needed to map each diagnostic state (for example, angina) to a set of health states, those of our generic multidimensional index EQ-5D. We found no usable empirical mappings for our diagnostic states and therefore used consensus estimates from 10 healthy volunteers (including doctors, postgraduate students, and researchers in health related fields). They were asked to use their awareness and personal experience to assess the percentage of time they considered a patient in each of the relevant diagnostic states would spend at a particular level on each of the EQ-5D dimensions (pain, mobility, etc). The CGP applies a woman’s individual quality adjusted values, explicitly elicited by the program, to the relevant health states she will pass through (fig 1), thus ensuring that the QALE reflects her outcome preferences. If a woman finds the process of having her preferences elicited either too difficult or too time consuming, the model allows her to elect to use standard health state valuations derived from published tariffs resulting from a population survey.

Information requirements
To perform the relevant calculations the CGP requires information regarding both clinical factors and patient preferences. This is obtained from the literature (incidence, mortality, and relative risks) and from the patient (age, personal risk factors, and attitude to risk).

Evidence from the literature
Evidence from the literature was obtained by systematic searching of Medline, BIDS/Embase, and the Cochrane Database of Systematic Reviews for each major outcome and key risk factors in relation to four interventions: hysterectomy with oophorectomy with and without subsequent HRT and hysterectomy alone with and without HRT. The search strategy was based around the retrieval of relevant systematic reviews with as high a level of sensitivity and precision as possible, using a variety of free text methodological terms. It was also designed to ensure that randomised controlled trials and large scale epidemiological observational studies were included. Major outcomes included were coronary heart disease, breast cancer, ovarian cancer, and osteoporosis. Risk factors included were family history, smoking, parity, age at menarche, and exercise levels. Back searching and hand searching of articles was undertaken to ensure that relevant material (systematic reviews or large scale randomised or observational studies) were included. Incidence and survival data for the major outcomes for women in the appropriate age groups were obtained from a number of sources, principally the Office of National Statistics, the US National Cancer Institute, the Surveillance, Epidemiology and End Results (SEER) database, the American Heart Association, and relevant previous analyses. Two authors (AC, AK) assessed studies for use. In order to be included, publications had to have relevant subjects, interventions, and outcomes. Data were gathered using a hierarchy of evidence (meta-analysis, systematic review, randomised controlled trial, prospective observational study). Evidence was collected for all four conditions and for each diagnostic state—for example, for coronary heart disease we gathered evidence on the effect of PO, HRT, and relevant risk factors on the incidence of angina and myocardial infarction.
Patient information

Patient information was obtained during the running of the CGP in a number of stages. The patient was first asked for her name (or other unique identifier), age, and relevant risk factors such as smoking habits, family history of breast and ovarian cancer, or previous osteoporotic fracture. The various outcomes of the decision are heavily influenced by the hormonal status of the patient, whatever the decision on oophorectomy. Since this status is altered if the patient takes HRT, the patient was asked about her (provisional) intentions toward this therapy under each option. (Because the time period for taking HRT is self-selected, the model assumes 100% concordance with these intentions in its baseline result but a sensitivity analysis provides the patient with the results for a wide range of durations.) In order to individually tailor the output from the model, the patient was asked to indicate her risk preference (by a single health related standard gamble item) and to rate a number of health states using the standard “time trade off” method. In this step (and after explanation and a trial run), the woman was asked to say how her risk preference (by a single health related standard gamble item) and to rate a number of health states using the standard “time trade off” method. In this step (and after explanation and a trial run), the woman was asked to say how many years of normal health she would be prepared to give up in order to be free of a particular combination of factors affecting her health such as reduced mobility and moderate pain. (Alternative configurations using the standard gamble and visual analogue scale approaches are built in but were not used in this pilot.)

At most stages the patient could opt out to default (population based) values if she wished, although she was always asked to confirm that she understood that the final results would potentially be less tailored to her own values than they would otherwise have been.

Calculations

For each of the four conditions (ovarian cancer, breast cancer, cardiovascular disease, and osteoporosis related major fracture) the number of years the patient is expected to spend in each specific diagnostic state under the oophorectomy option was calculated and added to produce LE values for each condition. For quality adjustment, the individual times spent in a diagnostic state were multiplied by the patient’s values for that diagnostic state and added to produce individualised QALE measures for each condition. This process was repeated using the same set of patient values and risk factors, but this time adjusting the probabilities to reflect the expected consequences of the no oophorectomy option. The difference between the results for the two interventions was presented in the form of a guidance statement (fig 2). This always favours the option with the higher average QALE, irrespective of the size of the difference. The results were presented to three decimal places to allow for small differences such as a few “quality adjusted life weeks” to be shown.

All the numbers underlying the various results are available in a series of increasingly detailed screens if requested by the patient or her physician. A sensitivity graph then allows the woman to see the effect of any change from her provisionally expressed intention in relation to HRT. Finally, the programme produces a full report of the consultation, which may be printed out for the patient or physician to take away and study. If requested, a pictorial representation of the gains and losses by condition can also be printed (fig 3). The report is automatically updated if the patient returns to the programme to revise any of her inputs, and the programme can be rerun with changed inputs if the patient so wishes.

Figure 1 Structure of the clinical guidance programme. LE = life expectancy.

Figure 2 Summary results screen.
Clinical guidance programme

![Sensitivity analysis graph](https://www.qualityhealthcare.com)

**Figure 3** Condition comparison screen. This summary screen shows the net benefits for a particular individual in undergoing oophorectomy. Several points should be noted from the figure: (1) benefits occur in three of the four major outcome conditions; (2) the risk of ovarian cancer is reduced if the patient undergoes oophorectomy; (3) as stated in the text, the patient has indicated previously in the CGP that she will take hormone replacement therapy (HRT) and the benefits of this are evident in reduction in the risk of heart disease and major fractures; (4) the patient’s risk of breast cancer is almost unchanged, but this is the net effect of a combination of a reduction in risk due to oophorectomy coupled with an increase in risk due to HRT; (5) the overall expected benefits are small, although the output suggests a definite decision.

### Patient and clinician input into the design process

Women in the appropriate age range from three community groups in southern England and the Midlands (two hysterectomy support groups and one non-health related group) were invited and agreed to help in the design process. Focus groups were held with each group before the CGP was designed to elicit key topic areas of importance for inclusion. The focus groups were taped and transcribed and a thematic analysis was undertaken. Preliminary versions of the CGP were piloted with each group and comments were noted in detail. A number of amendments were made to the programme in response to these comments. The CGP was also demonstrated at a number of meetings with gynaecologists in the study hospitals, their comments were noted, and amendments were made to the CGP in response.

### Preliminary testing of the CGP

A sensitivity analysis was undertaken, varying assumptions about the effects of quality adjustment, and the anticipated guidance from the CGP was compared with published guidance about the effects of quality adjustment, and the anticipated guidance from the CGP. A sensitivity analysis was undertaken, varying assumptions made to the CGP in response. The CGP was also demonstrated to a number of meetings with gynaecologists in the study hospitals, their comments were noted, and amendments were made to the CGP in response.

### Preliminary piloting

All the women were able to use the CGP with a facilitator. During the preliminary pilots with patients the CGP took between 10 and 25 minutes to run the programme (mean run time 20 minutes) The run time depends largely on the extent to which individual values are entered or default options used.

### Sensitivity analysis

By analysing the outputs from CGP simulation runs, the results for any combination of the current data inputs were established in the form of an equation (box 1). Taking one extreme case (where all living diagnostic states other than “well” are valued at 0—that is, all quality adjustments at 0), the equation returns a net benefit of –0.523 QALE (about 27 quality adjusted weeks) so the patient interested only in disease free years should not undergo oophorectomy. Taking the other extreme (where all living diagnostic states other than “well” are valued at 4)—that is, all quality adjustments at 28), the equation returns a net benefit of 1.523 QALE (about 87 quality adjusted weeks) so the patient interested only in additional living years should have a hysterectomy. The effect of quality adjustment on a decision will vary depending on the patient’s personal values.

### Table 1 Summary of qualitative findings about clinical guidance programme

<table>
<thead>
<tr>
<th>Expectations</th>
<th>Clarification of decisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process of using decision aid</td>
<td>Most tasks completed by most women. “Standard gamble” and “time trade off” exercises found most difficult because of difficulty of thinking in the abstract</td>
</tr>
<tr>
<td>Reactions to the output</td>
<td>Surprise at specificity of guidance</td>
</tr>
<tr>
<td>Impact on decisions</td>
<td>Decision clarification, but not necessarily in line with guidance statement</td>
</tr>
<tr>
<td>Attitudes towards overall presentation of decision aid</td>
<td>Overall satisfaction, seen as offering “time to think and talk”. Some negative attitudes to the technology apparent (“grey box”), but viewed as up to date</td>
</tr>
</tbody>
</table>

---

**Preliminary piloting of the CGP**

Approval to administer the CGP to and to undertake in-depth qualitative interviews was obtained from the NHS Executive London Regional Office (MREC) research ethics committee and from the local research ethics committees of six participating hospitals. A convenience sample of 19 women recruited from six hospitals in south east England consented to participate in the preliminary pilot study. All were about to undergo hysterectomy with the possibility of undergoing oophorectomy. Women were taken through the CGP with one of the researchers acting as a facilitator (VB) and 10 women then participated in semi-structured interviews. The interviews were transcribed and analysed independently by two researchers (AC/VB) and a thematic analysis was undertaken.

### RESULTS

**Patient and clinician input to design process**

Patient and clinician input to the design process resulted in a number of changes to the CGP including revision of the screens which explain the “time trade off” task, changing of the word “hypertension” to “high blood pressure” throughout, and the addition of an evidence base viewer. A major change was addition of introductory screens with full explanatory text for each task. These could be switched on or off at the facilitator’s discretion.

**Preliminary piloting**

All the women were able to use the CGP with a facilitator. During the preliminary pilots with patients the CGP took between 10 and 25 minutes to run the programme (mean run time 20 minutes) The run time depends largely on the extent to which individual values are entered or default options used.

### Sensitivity analysis

By analysing the outputs from CGP simulation runs, the results for any combination of the current data inputs were established in the form of an equation (box 1). Taking one extreme case (where all living diagnostic states other than “well” are valued at 0—that is, all quality adjustments at 0), the equation returns a net benefit of –0.523 QALE (about 27 quality adjusted weeks) so the patient interested only in disease free years should not undergo oophorectomy. Taking the other extreme (where all living diagnostic states other than “well” are valued at 4)—that is, all quality adjustments at 28), the equation returns a net benefit of 1.523 QALE (about 87 quality adjusted weeks) so the patient interested only in additional living years should have a hysterectomy. The effect of quality adjustment on a decision will vary depending on the patient’s personal values.
Box 1  Equation derived from the CGP describing the net benefit of oophorectomy

As an example, the equation for the net benefit of oophorectomy for a 40 year old woman with no clinical risk factors and planning to take no HRT whether or not she undergoes PO is as follows:

Net benefit = 0.457(CHSV) – (1.04.U(OC)) + 0.049(CHSV) – (0.025.U(BC)) – 0.252(CHSV) + (0.101.U(Ang)) + (0.014.U(MI)) – 0.777(CHSV) + (0.588.U(Spine)) + (0.022.U(Hip))

where CHSV = current health state valuation, U = utility (e.g. in quality adjusted life years), OC = ovarian cancer, BC = breast cancer, Ang = angina, MI = myocardial infarction, Spine = vertebral fracture, Hip = hip fracture.

states are valued at 100—that is, all quality adjustments at 1.0), the net benefit is +0.073 QALE (about 4 quality adjusted weeks) so the patient who values living (in any state) equally (and rates them all as good as full health) should undergo oophorectomy. Between these hypothetical extremes the net benefit can be calculated for any set of utilities elicited from the patient.

Comparison of CGP with previous analyses and published guidelines

Speroff et al. studied the effects of non-compliance with prescribed HRT. They modelled four strategies (PO with and without HRT and natural menopause with and without HRT) and found that, while perfect compliance always favours oophorectomy, partial compliance changes the decision and the CGP agrees with the full model strategy given in Speroff's paper. We assumed 100% concordance by women with their own estimates of the duration of time for which they anticipated taking HRT. However, we also included a graph in the CGP itself which shows women the effects of changing the duration of HRT and how sensitive the decision is (in their particular case) to HRT duration.

Grann et al. studied a population of BRCA1 or BRCA2 patients (who have a genetic predisposition to breast cancer) and the potential gain to them of PO and/or mastectomy. To this end they modelled breast and ovarian cancer in detail, but did not incorporate any of the collateral effects of oophorectomy, nor did they explicitly consider the effects of HRT. They estimated that PO increased LE for a 30 year old subject by 0.4–2.6 years. A comparison with our result for a patient who has one first degree relative with breast cancer shows that the CGP agrees with the full model strategy given in Speroff's paper. We assumed 100% concordance by women with their own estimates of the duration of time for which they anticipated taking HRT. However, we also included a graph in the CGP itself which shows women the effects of changing the duration of HRT and how sensitive the decision is (in their particular case) to HRT duration.

Comparison with current practice and guidelines

Between the ages of 42 and 46 the proportion of women who have both ovaries removed during hysterectomy rises from approximately 30% to 60% (fig 4). The net expected benefit of oophorectomy as calculated by the CGP was compared with the reported proportion of PO in practice derived from a major cohort study of women undergoing hysterectomy in the UK in 1994/5. A monotonic relationship between the two was found, but this was non-linear. A good fit was obtained by log transformation of the proportion of PO. Least squares linear regression (net benefit versus log, observed proportion) gave an adjusted r2 value of 0.953 (F 6.17e−11) for QALE. This result suggests that the guidance produced by the CGP is closely related to, but not synonymous with, current practice. Discrepancies occur at around the age of 46 years where the CGP suggests that the net benefit of PO tends to flatten off, although in actual practice the proportion of POs undertaken continues to rise.

The College of Physicians and Surgeons of Manitoba published clinical guidelines on this topic in 1999 and the CGP’s recommendations are also broadly in line with this guidance.

DISCUSSION

We have developed a clinical guidance programme which employs decision analytical techniques to model the consequences of undertaking PO or not during a total abdominal hysterectomy. The programme is based on research evidence and supplies guidance in the form of an explicit statement favouring one or other intervention, as well as detailed numerical results and graphical representations. It draws on the patient’s own risk factors and preferences for health state outcomes. In this respect it is an innovation, combining individual values and preferences with the research evidence to produce individualised evidence-based guidance.

Piloting of the CGP showed that it is feasible to use in the clinical context and is valuable in clarifying the decision. The sensitivity analysis shows that quality adjustment is a valuable addition in that it has the potential to “swing” the decision one way or another. In none of our patients did the QALE based recommendation actually differ from that which would have been made by the LE result in terms of the sign of the difference, although the size of the difference did vary considerably in several cases.

The proportion of oophorectomies recommended by the CGP at a population level is reasonably in line with that produced by current practice. However, that should not necessarily be regarded as a valid test since the CGP can improve the appropriateness and quality of decisions at an individual level through its ability to take account both of the evidence on all relevant outcomes and of an individual’s risk factors and values.

Limitations of the study

Why was a conventional decision tree not used? Decision trees require that the outcomes at any chance node should be mutually exclusive and collectively exhaustive. Thus, if the...
decision involves a number of non-exclusive outcomes, the classic decision tree format becomes practically unworkable. A further problem with the classic formulation is that it takes no account of time dependency in the probabilities. Converting the model to a Markov cycle tree helps to solve this latter problem, but this still requires that the transition probabilities from any state represent mutually exclusive and exhaustive transitions. One approach, used by Speroff et al in their earlier decision analysis of the oophorectomy decision, is to create a number of “combination states” and to model transitions explicitly between combinations. We took an alternative approach which seeks to cope with the exponential increase in complexity by a combination of decomposition of the problem into a number of diagnostic states and abstraction of these into health states.

There is considerable discussion as to the relative value of the “time trade off” approach which we used to establish women’s individual diagnostic state valuations in order to obtain QALE values. The CGP allows for these values to be elicited by means of “time trade off”, reference lottery, or visual analogue scale. Given that one of these must be chosen, we selected “time trade off” for use in the pilot study mainly because of the existence of “time trade off” based population values that could be used as defaults.

Following the work of Cher et al, we included as a further configuration option a one off, conventionally phrased standard gamble item in the CGP that establishes the patient’s risk preference. (The patient is asked at what level she would accept a treatment that has a chance of either returning her to perfect health or results in death compared with no treatment which would result in good health but for a shorter period of time.)

The resulting parameter is used to risk adjust “time trade off” valuations before further calculation. The CGP reported here does not incorporate costs, but it could very simply be extended to incorporate a cost element and produce outcomes calculated in terms of cost per unit of quality adjusted time.

A drawback of many guidelines and decision aids is that the evidence base becomes outdated. One of the advantages of the data structure used in the CGP is that it can easily be updated to reflect new research findings. We see the programme as dynamic rather than as a static product.

The explicit guidance produced by the CGP is both a strength and a problem. The programme intentionally gives very specific guidance in line with the inputs provided (and its underlying assumptions), but it may cause problems if a patient or clinician already holds a strong intention or opinion. We need to undertake research to understand better the optimal timing for decision aids such as the CGP so that they can be used to their fullest potential as a readily available “third opinion”. We see development of a more “user friendly” interface as important in ensuring that both clinicians and patients feel comfortable with the format.

CONCLUSIONS

We have developed a computerised clinical guidance programme based on decision analytical techniques which models the consequences of health interventions, uses both the available evidence and patient-specific information on risks and preferences to provide guidance, and which has been successfully piloted with a small number of patients. The decision to perform an oophorectomy on women between the ages of 40 and 50 is controversial. By making explicit the assumptions and evidence upon which its precise guidance is based, the CGP makes it possible for the physician and patient to explore in detail the trade offs and personal assumptions that will affect the decision. Transparent as far as both principles and data are concerned, the CGP can improve the quality and appropriateness of a decision and could be regarded by doctor and patient as an innovative and immediately available expert opinion. The reactions of individual women to the CGP in this pilot study suggest that work is required in future designs to ensure a more “user friendly” interface. It will also be important to take account of individual preferences for the extent and type of desired participation in decision making.

REFERENCES


CONFLICTS OF INTEREST: none.

Authors’ affiliations

I Pell, J Dowie, Environmental Epidemiology Unit, London School of Hygiene & Tropical Medicine, London WC1E 7HT, UK
A Clarke, V Bhavnani, Health Services Research Unit, London School of Hygiene & Tropical Medicine, London WC1E 7HT, UK
A Kennedy, Health Economics Research Group, Brunel University, London UB8 3PH, UK

Funding: This project was funded by the NHS Executive R&D Implementation Programme and subsequently by the NHS R&D Service Delivery and Organisation Programme.

www.qualityhealthcare.com
Recent years have seen increasing emphasis on the patient role in decision making and a move away from the traditional paternalistic medical model. In 1980 a Medline search identified 213 papers with the MESH heading of “decision making”. By 2000 this had risen to 2508 papers.

In 1993 a report of a working party on appropriateness of patient care in QHC recognised the importance of effective information exchange with patients and the central importance of patient preferences in the choice of appropriate interventions—that is, appropriateness is not purely defined by technical elements of quality such as effectiveness, but also by patient values and perceptions. Furthermore, there has been increasing recognition that patient derived outcome measures need to be incorporated alongside, or in place of, traditional clinical or biologically defined measures, both in research and practice. Patients’ aspirations for the outcomes of their health care may differ from those of their clinicians, and increasing evidence suggests that patients’ preferences and those of doctors differ.

Internationally, the recognition of the changing role of the patient is being reflected in health policy. The UK NHS puts patient and public involvement at the core of recent health policy—for example, recognising the patient as expert in his/her condition, particularly in chronic diseases. The unsuccessful review of health care initiated by Bill Clinton in the US also put patient and public choice firmly at the centre, albeit in a more market driven model. The future of high quality health care can no longer be defined purely, or even substantially, by professional providers: recognising and engaging the patient is an ethical and practical necessity, but to do this requires several important developments. Thus, doctors and other clinicians need ready access to evidence-based information in a form that can be clearly communicated to patients. Furthermore, both patients and clinicians need to be supported in developing new relationships within the changing dynamic of the consultation.

The two preceding papers come from our two separate research groups and describe the development and piloting of two computerised decision support tools. These projects were separately initiated, with very similar aims, but targeted at two quite different clinical conditions. Each set out to find a mechanism of (1) communicating risks and benefits of treatments clearly to patients who had to make a choice in the face of uncertainty; (2) incorporating patient preferences and/or values into the decision making process; and (3) bringing the evidence base to bear upon such difficult decisions (to the benefit of both the patient and the clinician). Despite starting out with similar aims and using very similar methods of development, the final products of both teams differ in a number of important respects (table 1). Further development and evaluation of these tools will be undertaken with continuing contact between the two development teams, as there is much we can learn from each other. These are but two examples of the expanding field of decision support tools and decision aids. We predict that health care in the 21st

Table 1: Comparison of the two studies

<table>
<thead>
<tr>
<th>Setting/decision</th>
<th>CGP: Oophorectomy at time of hysterectomy</th>
<th>DARTs: Warfarin to prevent stroke in atrial fibrillation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Long term outcomes</td>
<td>One off decision</td>
<td>Revocable decision</td>
</tr>
<tr>
<td>Participants</td>
<td>Younger women (40–55 years)</td>
<td>Older people (men and women)</td>
</tr>
<tr>
<td>Delivered by</td>
<td>Researcher/facilitator</td>
<td>Responsible clinician</td>
</tr>
<tr>
<td>Value elicitation and incorporating patient preferences</td>
<td>Generic value elicitation (EuroQual)</td>
<td>Specific value elicitation for both outcomes + treatment health states</td>
</tr>
<tr>
<td>Risk assessment and presentation</td>
<td>Individual risks elicited, incorporated into model and used to adjust output, but patient’s individual risks of outcome events not presented at point of elicitation of risk factors</td>
<td>Individual risk factors elicited and individual risks of stroke and bleed on and off therapy made explicit to patient at the point of elicitation of risk factors</td>
</tr>
<tr>
<td>Output</td>
<td>Gives quantitative output in QALE and life expectancy</td>
<td>Considers impact of change in other risk factors on treatment decision (e.g. smoking/HRT)</td>
</tr>
</tbody>
</table>

CGP=clinical guidance programme; DARTs=Decision Analysis in Routine Treatment study; HRT=hormone replacement therapy; QALE=quality adjusted life expectancy.
century will increasingly depend upon such supports to enhance quality of care and the responsiveness of clinical encounters with patients.

References

Development and preliminary evaluation of a clinical guidance programme for the decision about prophylactic oophorectomy in women undergoing a hysterectomy

I Pell, J Dowie, A Clarke, et al.

Qual Saf Health Care 2002 11: 32-38
doi: 10.1136/qhc.11.1.32

Updated information and services can be found at:
http://qualitysafety.bmj.com/content/11/1/32.full.html

These include:

References
This article cites 16 articles, 4 of which can be accessed free at:
http://qualitysafety.bmj.com/content/11/1/32.full.html#ref-list-1

Article cited in:
http://qualitysafety.bmj.com/content/11/1/32.full.html#related-urls

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Notes

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/