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Malignancy Risk Analysis in Patients with Inadequate Fine Needle Aspiration Cytology (FNAC) of the Thyroid

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Abstract

Background: Thyroid fine needle aspiration cytology (FNAC) is the standard diagnostic modality for thyroid nodules. However, it has limitations among which is the incidence of non-diagnostic results (Thy1). Management of cases with repeatedly non-diagnostic FNAC ranges from simple observation to surgical intervention. We aim to evaluate the incidence of malignancy in non-diagnostic FNAC, and the success rate of repeated FNAC. We also aim to evaluate risk factors for malignancy in patients with non-diagnostic FNAC.

Materials and Methods: Retrospective analyses of consecutive cases with thyroid non diagnostic FNAC results were included.

Results: Out of total 1657 thyroid FNAC done during the study period, there were 264 (15.9%) non-diagnostic FNAC on the first attempt. On repeating those, the rate of a non-diagnostic result on second FNAC was 61.8% and on third FNAC was 47.2%. The overall malignancy rate in Thy1 FNAC was 4.5% (42% papillary, 42% follicular and 8% anaplastic), and the yield of malignancy decreased considerably with successive non-diagnostic FNAC. Ultrasound guidance by an experienced head neck radiologist produced the lowest non-diagnostic rate (38%) on repetition compared to US guidance by a generalist radiologist (65%) and by non US guidance (90%).

Conclusions: There is a low risk of malignancy in patients with a non-diagnostic FNAC result, commensurate to the risk of any nodule. The yield of malignancy decreased considerably with successive non-diagnostic FNAC.

Introduction

Thyroid nodules are common in clinical practice. Using ultrasound scanning, the prevalence of thyroid nodules can reach up to 50% of the population [1]. Approximately 5% of these nodules have been shown to be malignant [2]. Fine needle aspiration cytology (FNAC) is the accepted standard tool for the evaluation of thyroid nodules [3–12]. It is safe and accurate with reported high sensitivity and specificity for malignancy [13,14]. It is also reported to reduce the need for thyroid surgery by half [15] and to reduce the overall financial costs of medical care by 25% [2].

However, FNAC does have limitations, which include a significant rate of non-diagnostic results. This ranges from 0.6% [16] to 43.1% [17]. Nomenclature for inadequate FNAC varies in the literature causing unnecessary confusion [18]. It includes “inadequate”, “unsatisfactory”, “non diagnostic” and/or ‘Thy1’ (Thy1 category according to British Thyroid Association classification system). In this manuscript, we use the term non-diagnostic. The management strategies for these patients range in the literature from simple observation, to ultrasound surveillance to surgical intervention [19]. The recommended approach by both the British Thyroid Association and the American Thyroid Association is to repeat the biopsy [20–23]. However, repeating the biopsy may not always result in a definitive diagnosis, even if the procedure is done under ultrasound guidance. In addition, repeating the biopsy carries financial implications [24] and may not be acceptable to patients [25].

In this study, we aimed to determine the malignancy rate in cases where the FNAC result was non-diagnostic (Thy1), and to determine the success rates of successive FNAC in achieving a definitive cytology diagnosis in the setting of an initial non-diagnostic result. In addition, we aimed to identify risk factors that are associated with malignancy in nodules with a non-diagnostic (Thy1) presentation.

Materials and Methods

This was retrospective clinical audit from patient’s medical records. The research was limited to secondary use of information previously collected in the course of normal care and data were anonymised before the conduction of statistical analyses. Therefore, this research did not fulfil the requirements for Research Ethics Committee (REC) review. This is in accordance with the...
Results

Data extraction and analysis

Prospectively documented patient details, the site of FNAC and the result. Our institution protocol stipulates that if the first FNAC is reported as non-diagnostic (Thy1), then the patient is normally advised to have a repeat FNAC. However if there was strong suspicion of malignancy or the patient declined further biopsy, the patient would be offered surgery at that point. If the FNAC did not yield a diagnosis after a second FNA, then the patient is usually advised to undergo surgery. If the patients decline surgery, they usually are then offered a further FNAC.

The FNAC procedure was carried out using a standard 21 gauge needle. Sampling typically targets the solid component of the lesion if present. If there is more than one nodule, a sample was taken from any nodule with suspicious or atypical ultrasound characteristics.

Slides processing, reading and labelling

After the samples were taken, a preservative medium was added and the mixture was centrifuged. Samples were then analysed using liquid based cytology techniques. This was followed by the staining process, where two slides are prepared with Papanicolaou’s (Pap) and May-Grünwald Giemsa (MGG) stains. Results are reported using the British Thyroid Association guidelines [1]. Specimens are considered adequate if they contain six or more groups of over 10 thyroid follicular cells, but the balance between cellularity and colloid is more important [1]. The FNAC was categorised as non-diagnostic Thy1 when samples do not meet the aforementioned criteria or where technical artefact precludes interpretation. The final histopathology diagnosis of the surgical specimen was based on WHO criteria.

Data extraction and analysis

Patient’s demographics, biochemistry, cytology, histopathology results and patient’s letters were available on computerised patient records system. Lesion characteristics such as number, size, consistency and suspicious features on U/S were extracted from electronic ultrasound reports. SPSS software (version 18.0, Chicago Illinois) was used to perform the statistical analysis. Patient characteristics are presented as mean ± SE. Univariate analysis of lesions characteristics were used to calculate the risk and odds ratio with confidence intervals. Statistical significance was defined as p<0.05.

Results

1657 FNAC were performed during the period of the study. A total of 452 were reported as non-diagnostic (27.3%). 264 out of 452 samples were non-diagnostic on first FNAC and constitute the population of our study. The age of patients ranged from 16 to 90 years with mean age of 54.02±1.03 years and median age of 54 years. There were 212 females (80.3%), and 52 males (19.7%). Please refer to Figure 1 for further details.

Malignancy rate in non-diagnostic cases

In total, 16 (6%) of thy1 cases were diagnosed as having thyroid cancer on histology. Four patients had incidental papillary thyroid microcarcinoma in addition to a benign thyroid lesion (see table 1). These four cases were not included in the analysis for risk of malignancy as they were incidental findings and were not found in the sampled nodule. Therefore, the overall malignancy rate was 4.5% (12/264). The malignancy rate among operated cases was 18% (12/68).

Of the 264 patients with non-diagnostic (Thy1) results on first FNAC, only 7% (19 patients) were operated on without a repeat FNAC. Most of these patients were operated on due to clinical suspicion and a high malignancy rate 37% (6/19) was found in this group. Malignancy rates after having successive non-diagnostic FNAC was much lower: 14% (5/21) after second non-diagnostic FNAC and 0% after fourth FNAC. In total, out of the 264 cases with Thy1 result in initial FNAC, only 10% (27/264) had more than three FNAC during their spell of care.

Effect of ultrasound guidance on repetition of non-diagnostic FNAC

79% of the first 264 FNAC were done using ultrasound guidance. This increased to 93% and 96% on second and the third FNAC respectively. Overall, repeating the FNAC on these lesions using palpation resulted in 90% non-diagnostic rate compared to 65% and 38% non-diagnostic rates when FNAC were repeated by ultrasound guidance.

Table 1. Showing details of final histopathology results for the 68 operated cases.

<table>
<thead>
<tr>
<th>Benign final histology</th>
<th>n</th>
<th>Malignant final histology</th>
<th>n</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hyperplastic/degenerative nodule</td>
<td>21</td>
<td>Papillary thyroid carcinoma</td>
<td>5</td>
</tr>
<tr>
<td>Adenoma</td>
<td>15</td>
<td>Minimally invasive follicular cancer</td>
<td>3</td>
</tr>
<tr>
<td>Multinodular goiter</td>
<td>15</td>
<td>Hurthle cell carcinoma</td>
<td>2</td>
</tr>
<tr>
<td>Thyroiditis and others</td>
<td>5</td>
<td>Anaplastic carcinoma</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Non Hodgkin’s Lymphoma</td>
<td>1</td>
</tr>
<tr>
<td>Total</td>
<td>56</td>
<td></td>
<td>12</td>
</tr>
</tbody>
</table>

NB: 4 cases with incidental papillary thyroid microcarcinoma are listed in the benign category in this table.

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Discussion

A general radiologist and specialist radiologists respectively, P = 0.001. Please refer to table 2 for details.

Risk factors for malignancy in non-diagnostic FNACs

We performed a univariate regression analysis of known malignancy risk features including age, gender, number of nodules, size of the lesion on ultrasound, size of the lesion on pathology examination and nodule consistency. Only number of nodules were found to be prognostic of malignancy with cancers being more common in cases with multiple nodules (P = 0.006), see table 3.

Table 2. Portions of FNAC done under ultrasound guidance and results of repeating FNAC.

<table>
<thead>
<tr>
<th>Number of FNAC</th>
<th>US guided</th>
<th>Non-diagnostic Thy1</th>
</tr>
</thead>
<tbody>
<tr>
<td>1st FNAC</td>
<td>264</td>
<td>79%</td>
</tr>
<tr>
<td>2nd FNAC</td>
<td>175</td>
<td>93%</td>
</tr>
<tr>
<td>3rd FNAC</td>
<td>72</td>
<td>96%</td>
</tr>
<tr>
<td>4th FNAC</td>
<td>24</td>
<td>87%</td>
</tr>
<tr>
<td>5th FNAC</td>
<td>2</td>
<td>50%</td>
</tr>
<tr>
<td>6th FNAC</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 3. Risk factor analysis for malignancy in inadequate FNAC.

<table>
<thead>
<tr>
<th>HPE size (≥4 cm VS &lt;4 cm)</th>
<th>3.4</th>
<th>0.865–13.492</th>
<th>0.080</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender (male VS female)</td>
<td>3.28</td>
<td>0.864–12.497</td>
<td>0.081</td>
</tr>
<tr>
<td>Consistency (solid VS cystic)</td>
<td>2.75</td>
<td>0.458–16.525</td>
<td>0.269</td>
</tr>
<tr>
<td>Age (≥50 years VS &lt;50 years)</td>
<td>2</td>
<td>0.540–7.409</td>
<td>0.300</td>
</tr>
<tr>
<td>US size (≥4 cm VS &lt;4 cm)</td>
<td>1.64</td>
<td>0.457–5.94</td>
<td>0.45</td>
</tr>
<tr>
<td>TSH (&lt;0.36 VS 0.36–6.0)</td>
<td>1.02</td>
<td>0.191–5.473</td>
<td>0.98</td>
</tr>
<tr>
<td>No of nodules (multiple VS single)</td>
<td>0.006</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

significance of non diagnostic FNAC

Our study also demonstrates that the risk of malignancy varies widely between studies, ranging from 2.2% to 51% [17,19,23,28,29,32–35] (see table 4). A high rate of malignancy among operated cases for non diagnostic FNAC may be due to patient selection bias i.e., those patients with a non-diagnostic (Thy 1) result who are suspected of having malignancy are more likely to undergo surgery [19,36]. Therefore, consideration of the overall rate of malignancy is probably more important in determining our treatment strategy towards non-diagnostic FNAC patients.

Our study also demonstrates several important findings. First, the yield of malignancy decreased considerably with successive non-diagnostic FNAC, whilst the proportion of operated patients increased. We found that if the result was non-diagnostic for the second time, the risk of malignancy decrease by two thirds, and after three inadequate FNAC the risk was nil in our cohort. This confirm findings by other reports for example, Renshow [26] found that patients with at least two non diagnostic FNAC had significantly lower risk of malignancy (0%) compared to those who had only one non diagnostic FNAC (20%). On the other hand, Jo et al [27] found that there is no relation between the malignancy rate and the number of non diagnostic aspirations. We believe that incidental papillary thyroid microcarcinomas detected on post operative histology should be excluded as these lesions are not detected or sampled pre operatively [34]. The inclusion of these tumours may introduce bias to the results. For example, Oertel et al [32] reported a 3.4% overall rate of malignancy in non-diagnostic FNAC. This rate increased to 11% if incidental papillary thyroid microcarcinomas were included. Therefore, it is important that authors document this when reporting and calculating the overall rate of malignancy.

The second observation is that the repetition of FNAC yields a diagnostic cytology result in about 45% of the cases, with most of the cases having up to two repeated FNAC and few having more than three FNAC.

Third, repetition under ultrasound guidance by a head and neck radiologist appeared to be the most effective method, compared to a general radiologist or by palpation. Fourth, multiple nodules on ultrasound appeared to be a diagnostic factor for malignancy in patients with non-diagnostic (Thy1) FNAC results.

Significance of non diagnostic FNAC

There has been debate over the significance of non diagnostic FNAC. The overall rate of malignancy in thyroid non diagnostic FNAC ranges between 1.7% [28] to 11.3% [29] in the literature (when cases of incidental papillary thyroid microcarcinoma are excluded) (see table 4). We report a rate of 4.5% histologically confirmed malignant results, with comparable rates reported in other studies [19,30–33]. The malignancy rate would be higher (6%) if incidental papillary thyroid microcarcinomas are included. We believe that incidental papillary microcarcinoma detected on post operative histology should be excluded as these lesions are not detected or sampled pre operatively [34]. The inclusion of these tumours may introduce bias to the results. For example, Oertel et al [32] reported a 3.4% overall rate of malignancy in non-diagnostic FNAC. This rate increased to 11% if incidental papillary thyroid microcarcinomas were included. Therefore, it is important that authors document this when reporting and calculating the overall rate of malignancy.

The rate of malignancy found in operated cases with non-diagnostic FNAC varies widely between studies, ranging from 2.2% to 51% [17,19,23,28,29,32–35] (see table 4). A high rate of malignancy among operated cases for non diagnostic FNAC may be due to patient selection bias i.e., those patients with a non-diagnostic (Thy 1) result who are suspected of having malignancy are more likely to undergo surgery [19,36]. Therefore, consideration of the overall rate of malignancy is probably more important in determining our treatment strategy towards non-diagnostic FNAC patients.

Our study also demonstrates that the risk of malignancy declines with each successive repetition of non-diagnostic FNA, Patients who had more than 3 non-diagnostic FNAs in our series had no malignancies detected. This would suggest a possible role for observation in patients who have had three or more non-diagnostic FNAC results and there is no clinical suspicion of malignancy. However, this finding would need to be indepen-
**Table 4.** Non diagnostic and malignancy rate for thyroid FNAC in the literature.

<table>
<thead>
<tr>
<th>Author</th>
<th>Year</th>
<th>Total FNAC done</th>
<th>ND Rate</th>
<th>patients with ND FNAC</th>
<th>Number operated patients</th>
<th>Malignancy among ND</th>
<th>Malignancy among operated cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yoon et al [37]</td>
<td>2010</td>
<td>22754</td>
<td>16.3%</td>
<td>NA</td>
<td>230</td>
<td>2.7%</td>
<td>43.9%</td>
</tr>
<tr>
<td>Gharib and Goellner [38]</td>
<td>1993</td>
<td>18183</td>
<td>15%</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Piana et al [28]</td>
<td>2010</td>
<td>18000</td>
<td>12.5%</td>
<td>1342</td>
<td>96</td>
<td>1.7%</td>
<td>24%</td>
</tr>
<tr>
<td>Chow et al [19]</td>
<td>2001</td>
<td>17887</td>
<td>21%</td>
<td>150</td>
<td>27</td>
<td>7%</td>
<td>37%</td>
</tr>
<tr>
<td>Oertei et al [32]</td>
<td>2007</td>
<td>9397</td>
<td>1%</td>
<td>117</td>
<td>38</td>
<td>3.4%</td>
<td>11.3%</td>
</tr>
<tr>
<td>Slowinaka et al [25]</td>
<td>2004</td>
<td>4601</td>
<td>8.9%</td>
<td>408</td>
<td>NA</td>
<td>6.6%</td>
<td>NA</td>
</tr>
<tr>
<td>Baloch et al [29]</td>
<td>2003</td>
<td>3007</td>
<td>8%</td>
<td>237</td>
<td>53</td>
<td>11.3%</td>
<td>51%</td>
</tr>
<tr>
<td>Baier et al [39]</td>
<td>2009</td>
<td>944</td>
<td>11.8%</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Deandrea et al [35]</td>
<td>2010</td>
<td>927</td>
<td>NA</td>
<td>NA</td>
<td>51</td>
<td>NA</td>
<td>5.8%</td>
</tr>
<tr>
<td>Redman et al [40]</td>
<td>2006</td>
<td>693</td>
<td>4%</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Baloch et al [33]</td>
<td>1998</td>
<td>662</td>
<td>11%</td>
<td>72</td>
<td>8</td>
<td>2.7%</td>
<td>25%</td>
</tr>
<tr>
<td>Bellantone et al [41]</td>
<td>2004</td>
<td>575</td>
<td>9.2%</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Ceresini et al [16]</td>
<td>2004</td>
<td>465</td>
<td>0.6%</td>
<td>307</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Cai et al [42]</td>
<td>2006</td>
<td>434</td>
<td>7.3%</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Singh et al [43]</td>
<td>2003</td>
<td>423</td>
<td>25%</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Schmidt et al [30]</td>
<td>1997</td>
<td>345</td>
<td>17.1%</td>
<td>59</td>
<td>21</td>
<td>2%</td>
<td>NA</td>
</tr>
<tr>
<td>Tabaqchali et al [17]</td>
<td>2000</td>
<td>239</td>
<td>43.1%</td>
<td>77</td>
<td>NA</td>
<td>3.9%</td>
<td>5.2</td>
</tr>
<tr>
<td>Bakshi et al [34]</td>
<td>2003</td>
<td>128</td>
<td>35%</td>
<td>45</td>
<td>45</td>
<td>NA</td>
<td>2.2%</td>
</tr>
<tr>
<td>Macdonald and Yazdi [31]</td>
<td>1996</td>
<td>NA</td>
<td>NA</td>
<td>91</td>
<td>NA</td>
<td>2%</td>
<td>NA</td>
</tr>
<tr>
<td>McHenry et al [23]</td>
<td>1993</td>
<td>NA</td>
<td>NA</td>
<td>92</td>
<td>NA</td>
<td>NA</td>
<td>9%</td>
</tr>
</tbody>
</table>

ND: non diagnostic FNAC.

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dently validated in other cohorts before a change of practice may be contemplated, within the context of a study. There is some debate regarding the need for ultrasound-guidance during needle aspiration, and whether it decreases the non-diagnostic rate [44]. Some studies have shown that ultrasound guidance does improve the hit rate and the accuracy of FNAC [45–46]. Our results demonstrate that in the context of a non-diagnostic initial FNAC, ultrasound guidance, especially by an experienced head and neck radiologist resulted in a considerable improvement in the non-diagnostic rate. The fact that the non-diagnostic rate in the second and third FNACs was considerably higher than on initial FNAC suggests that this subset of lesions are difficult to obtain definitive cytological results on. This may be partly due to the fact that some are cysts with little or no solid or cellular content.

The rate of non diagnostic FNAC has a very wide range in literature (table 4). The reasons for this are variable and beyond the scope of this paper, however, we reported the results of FNAC prepared using liquid base cytology which is reported to have less non diagnostic rate compared to conventional cytology results [47,48].

Risk factors for malignancy in non-diagnostic FNAC

In the literature, few studies have addressed possible risk factors specific for malignancy in non-diagnostic FNAC. For example, McHenry et al [23] identified male gender as a possible predictor of malignancy (P<0.05) in nodules with non-diagnostic FNAC. In contrast, Mendelson et al [49] found that male gender is not associated with high risk of malignancy and they did not find any statistically significant risk associated with radiation exposure, family history of malignancy, solitary nodule or nodule more than 5 cm. Similarly, Chow et al [19] reported no significant correlation between pre-operative findings and risk of malignancy including the number of nodules and ultrasound characteristics as well as physical findings. Our study identified multiple nodules on ultrasound as a significant risk factor for malignancy. In addition, it also demonstrated a possible trend for males to have a higher malignancy risk compared to females. Similarly, lesions sized ≥4 cm were more likely to be malignant compared to those less than 4 cm as were solid lesions compared to cystic lesions but again these associations did not reach statistical significance, table 3.

Conclusions

Thyroid FNAC is the preferred diagnostic modality for the investigation of thyroid nodules. However, this method has limitations among which is the inaccuracy rate. Our study showed that yield of malignancy in persistently non-diagnostic FNAC is low, and decreases with successive inadequate FNAC. Furthermore, ultrasound guidance, especially by an experienced head and neck specialist radiologist, improves the non-diagnostic rate.

Author Contributions

Conceived and designed the experiments: TAM HM. Performed the experiments: TAM MT. Analyzed the data: TAM MT MIOW HM. Contributed reagents/materials/analysis tools: TAM MT MIOW HM. Wrote the paper: TAM MT MIOW HM.

References