An Investigation in Energy Consumption Analyses and Application-Level Prediction Techniques

by

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Abstract

The rapid development in the capability of hardware components of computational systems has led to a significant increase in the energy consumption of these computational systems. This has become a major issue especially if the computational environment is either resource-critical or resource-limited. Hence it is important to understand the energy consumption within these environments. This thesis describes an investigatory approach to power analysis and documents the development of an energy consumption analysis technique at the application level, and the implementation of the Power Trace Simulation and Characterisation Tools Suite (PSim). PSim uses a program characterisation technique which is inspired by the Performance Application Characterisation Environment (PACE), a performance modelling and prediction framework for parallel and distributed computing.
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Chapter 1

A Case Study of Power Awareness

1.1 Introduction

Most application developers and performance analysts presuppose a direct proportional relationship between applications’ execution time and their energy consumption. This simple relationship can be deduced by the standard average electrical energy consumption equation shown in equation 1.1 where the application’s total energy consumption $E$ is the product of the its average power dissipation $P$ and its execution time $T$. In this chapter, a case study is used to demonstrate the unsuitability of this assumption and that energy consumption should be included as a metric in performance modelling.
1.2 Implementation Variance

for applications running on computational environments where resources are either limited or critical.

\[ E = P \cdot T \]  \hspace{1cm} (1.1)

1.2 Implementation Variance

```java
static void normal_multiply() {
    int i,j,k;
    for (i=0; i < 7000; i++)
        for (k=0; k < 7000; k++)
            for (j=0; j < 7000; j++)
                c[i][j] += a[i][k]*b[k][j];
}
```

Listing 1.1: The original implementation of the matrix multiplication algorithm.

In the past, a large amount of research has been focused on general source code optimisations and transformations. Many novel high-level program restructuring techniques [7] have since been introduced. The case study described in this chapter utilises different forms of loop manipulation, since that is where most of the execution time is spent in programs. A common algorithm used to demonstrate these implementation variances is the matrix multiplication algorithm. Listing 1.1 shows an original, untransformed implementation of the algorithm. Transformations are usually carried out for
1.2 Implementation Variance

performance optimisations based on the following axes [7]:

- Maximises the use of computational resources (processors, functional units, vector units);
- Minimises the number of operations performed;
- Minimises the use of memory bandwidth (register, cache, network);
- Minimises the use of memory.

These are the characteristics by which current source code transformation techniques are benchmarked and these techniques can be applied to a program at different levels of granularity. The following describes a useful complexity taxonomy [7]:

- Statement level such as arithmetic expressions which are considered for potential optimisation within a statement.
- Basic blocks which are straight-line code containing only one entry point.
- Innermost loop which is where this case study focuses since loop manipulations are mostly applied in the context of innermost loops.
- Perfect nested loop is a nested loop whereby the body of every loop other than the innermost consists only the next loop.
- General loop nest defines all nested loops.
1.2 Implementation Variance

- Procedure and inter-procedures.

The following is a catalog of some implementation variances which can be applied to the untransformed algorithm shown in listing 1.1 for performance optimisation and this case study has utilised one of the implementation variances in loop manipulations.

```java
static void blocked_multiply() {
    int i,j,k,kk,jj;
    for (jj = 0; jj < 7000; jj+=50)
        for (kk = 0; kk < 7000; kk+=50)
            for (i = 0; i < 7000; i++)
                for (j = jj; j < jj+50; j++)
                    for (k = kk; k < kk+50; k++)
                        c[i][j] += a[i][k]*b[k][j];
}
```

Listing 1.2: A loop-blocked version of the matrix multiplication algorithm.

**Loop Blocking** - Blocking or tiling is a well-known transformation technique for improving the effectiveness of memory hierarchies. Instead of operating on entire rows or columns of an array, blocked algorithms operate on submatrices or blocks, so that data which has been loaded into the faster levels of the memory hierarchy can be reused [42]. This is a very effective technique to reduce the number of D-cache misses. Furthermore it can also be used to improve processor, register, TLB or page locality even though it often increases the number of processor cycles due to the overhead of loop bound decision [18]. An implementation of loop blocking of the original matrix multiplication algorithm is shown in listing 1.2. In this implementation, which
uses a blocking factor of 50, is experimentally chosen to be optimal for blocking to be effective. Blocking is a general optimisation technique to improve memory effectiveness. As mentioned earlier by reusing data in the faster level of the hierarchy, it cuts down the average access latency. It also reduces the number of references made to slower levels of the hierarchy. Blocking is thus superior to other optimisation techniques such as prefetching, which hides the latency but does not reduce the memory bandwidth requirement. This reduction is especially important for multiprocessors since memory bandwidth is often the bottleneck of the system.

**Loop Unrolling** - Unrolling is another well known program transformation which has been used to optimise compilers for over three decades. In addition to its use in compilers, many software libraries for matrix computations containing loops have been hand-unrolled to improve performance [64].

The original motivation for loop unrolling was to reduce the (amortised) increment-and-test overhead in loop iterations. This technique is also essential for effective exploitation of some newer hardware features such as uncovering opportunities for generating dual-load/dual-store instructions and amortising the overhead of a single prefetch instruction across multiple loads. An implementation of loop unrolling of the original matrix multiplication algorithm is shown in listing [1.3] The downside of this technique is that injudicious use such as excessive unrolling can lead to a run-time performance degradation due to extra register spills when the working set “register pressure” of the unrolled loop body exceeds the number of available registers.
1.3 Experimental Selection and Method

Two implementations (blocked and original) of a square matrix multiplication written in C, which are shown in listings 1.1 and 1.2 are used to show how the presupposed direct proportional relationship between the run time and the energy consumption of an application breaks down with different implementations. Both programs in listings 1.1 and 1.2 are conceptually the same method and have the same variable declarations. They both carry out
the multiplication of two identical 7000 x 7000 matrices stored in pointers **a** and **b** and the resultant matrix is assigned into pointer **c**.

Matrix multiplication is a popular algorithm to demonstrate source code optimisation and loop blocking has been chosen for transforming and optimising this algorithm. Loop blocking or tiling is chosen as it is one of the more common techniques used in current research on software cost analysis to demonstrate the reduction in energy cost through source code transformation [42] [18].

The two implementations execute a single matrix multiplication on a Fedora Linux Core 3 workstation named ip-115-69-dhcp containing a 2.8GHz Intel Pentium IV processor and 448 MBs RAM. This experiment uses a METRA HIT 29S Precision Digital Multimeter to measure and record the current $I$ in ampere drawn through the main electricity supply cable and the voltage $V$ across it. They are recorded at an interval of 50 milliseconds. The data is captured using BD232 Interface Adaptor that connects to a workstation running METRAwin10/METRAHit interface which processes and archives the raw data from the meter into ASCII values for further use [47]. A C function gettimeofday() is also used to record the implementation run time $T$ in millisecond.

Given a constant platform voltage $V$, $N$ current measurements, average current $I_{idle}$ drawn by the platform at idle and average power dissipation $P$, the equation for this experiment can be derived and is shown in equation [1.2]. This equation can be deduced mathematically from the original
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</thead>
<tbody>
<tr>
<td>Aver. Power (W)</td>
<td>51.19033</td>
<td>49.30696</td>
<td>-1.88337</td>
<td>-3.68000%</td>
</tr>
<tr>
<td>Runtime (ms)</td>
<td>4060038.61100</td>
<td>4416356.17700</td>
<td>356317.56600</td>
<td>8.78000%</td>
</tr>
<tr>
<td>Tot. Energy (J)</td>
<td>207834.71766</td>
<td>217757.10130</td>
<td>9922.38364</td>
<td>4.77000%</td>
</tr>
</tbody>
</table>

Table 1.1: run time and energy consumption differences between tiled and untransformed matrix multiplication algorithms in C

energy consumption formula shown in equation\(1.1\). Table 1.1 shows the run time and energy consumption differences between tiled and untransformed matrix multiplication algorithms.

\[
P = \left( \frac{I_0 + \ldots + I_{N-1}}{N} - I_{\text{idle}} \right) \cdot V
\]  

(1.2)

As shown in table\(1.1\), the average power dissipation of the tiled version is about 1.9 W (over 3.5%) lower than the original version due to the reduction of D-cache misses but because of the increase in the number of processor cycles, the run time of the tiled version is about 356 seconds (over 8.5%) longer than the original version. By using equation\(1.2\) the total energy consumption of the tiled version is calculated to be about 10 kJ (over 4.7%) higher than the original version which is nearly 50% different to the percentage increase in the run time between the tiled and original versions. This illustrates a disproportional relationship between the run time and energy consumption of different implementations performing the same function.

This simple case study on source code transformation demonstrates that contributing factors for both run time and energy consumption of an ap-
plication do not only lie within the execution platform’s architecture and the implementation language’s compiler but also lie within the ways of how the application is implemented. This interesting property leads to the research in energy consumption analysis and prediction at a source-code level (application-level).

1.4 Thesis Contributions

Following from the case study illustrating the disproportional relationship between the run time and energy consumption of an application, this thesis makes the following contribution to energy consumption analysis and prediction:

- **Application-level energy consumption analysis and prediction technique:** A novel technique aimed at developers without expertise in technical areas such as low-level machine code and without specialised equipment to carry out energy measurements. This methodology adopts the performance evaluation framework and techniques developed by the High Performance Systems Group [35] at the University of Warwick.

- **Power classification model:** A unique theoretical concept based on benchmark workloads to construct a power classification model for a more relative energy consumption prediction of an application.
1.5 Thesis Structure

- **The creation of PSim**: A state-of-the-art tools suite called **PSim**, Power Trace Simulation and Characterisation Tools Suite, is developed to embody the energy consumption analysis and prediction techniques described in thesis.

# 1.5 Thesis Structure

This thesis is divided into six chapters.

Chapter 2 reviews the current research work in power aware computing. This includes power management, and source code cost analyses, and subsequently has been categorised into the following groups: traditional/general purpose such as APM and ACPI, micro/hardware level such as micro-instruction and memory analysis and macro/software level such as source code transformation and energy-conscious compilation.

Chapter 3 proposes a novel approach based on the Performance Analysis and Characterisation Environment (PACE) [52][14], developed by the High Performance Systems Group at the University of Warwick as a framework for developers without expertise in performance based studies to evaluate and predict the performance of their applications. In particular this chapter describes in detail some of the components of the framework such as the subtask objects, the resource model and the C Characterisation Tool (capp) which are used to develop the proposed power analysis and prediction methodology. This chapter then further recommends a theoretical concept based on
benchmarking workloads to construct a power classification model to allow relative energy consumption predictions of applications.

Chapter 4 describes the creation and development of the Power Trace Simulation and Characterisation Tools Suite (PSim). This tools suite is used to visualise power-benchmarked trace data graphically and to process these data through animation and statistical analyses. It adopts the High Performance Systems Group’s PACE modelling framework and in particular the resource model and the C Characterisation Tool (capp). It uses a newly implemented power-benchmarked hardware model (cmodel) based on PACE’s Hardware Modelling and Configuration Language (HMCL) and it allows applications to be characterised using control flow (cflow) definitions.

Chapter 5 documents and evaluates the use of PSim in power trace analysis and prediction by evaluating the energy consumption of a number of processor-intensive and memory-demanding algorithms selected from the Java Grande Benchmark Suite [13].

Chapter 6 concludes this thesis, and proposes future work that could improve and enhance the PSim’s analysis and characterisation techniques.
Chapter 2

Power Aware Computing

2.1 Introduction

With increasing demands for better processing power, larger digital storage space and faster network communication channels in high performance computational environments, much research has been carried out to enhance the capability of hardware components in these environments. In particular, emphasis has been placed on how these environments deliver high through-put capacity for processor-intensive applications. At the same time memory components capabilities have also been increased, in particular physical memory accessing speed and latency reduction in external storage devices have been heavily researched to bring about some improvements to the general performance of computer systems. These performance enhancements have resulted in significant increases in energy usage and such increases have created
major concerns when the underlying computational environments are either resource-critical or resource-limited. Over the past decade much research has been dedicated to finding the best power management methodology to construct energy-conscious computational units for both resource-limited and resource-critical environments. The following describes both resource-limited and resource-critical computational environments and the reasons for limiting energy consumption:

**Resource-Limited** - resource-limited computational systems are usually exposed to constant changes in the context at which they operate. Systems which fall into this category are usually mobile and pervasive. Consumer electronics such as personal digital assistants (PDA), laptop computers and cellular phones are some of the most widely used mobile devices. These devices usually operate in an environment where energy supply is battery-constraint and is therefore limited. Under these circumstances it is essential to have energy-consciousness at all levels of the system architecture, and both software and hardware components have a key role to play in conserving the battery energy on these devices [6]. In recent years there has been a rapid growth in the demand of mobile devices. Embedded and mobile systems are experiencing an explosive growth and it is believed the sales volumes with estimates of up to 400,000 cellular phones will be sold per day by 2006 [15] and up to 16 million PDAs sold by 2008 [5]. The reason for such a rapid growth is the high demand of portable multimedia applications [57] which have time constraints as one of their characteristics and must be satisfied during their executions [1]. An example of a time-sensitive application is
the MPEG decoder which displays multimedia data with a certain frame rate. Such time-sensitive multimedia applications are now widely used in mobile environments. Consumer technology initiatives such as Third Generation mobile telephone technology (3G) which provides mobile services allows transferring of both voice data (a telephone call) and non-voice data (downloading information, exchanging email, instant messaging and multimedia). These initiatives which promise to deliver mobile multimedia functionalities require their systems to be cost sensitive and in particular energy conscious. Hence there have been several techniques developed for managing energy consumption in portable and embedded computer systems.

**Resource-Critical** - Although energy consumption has always been a critical concern for mobile computing which exhibits resource-limited and constraint characteristics. Limiting energy consumption in other computational environments such as server farms - warehouse-sized buildings filled with Internet service providers’ servers has also been an focus in current research on power management [49]. It has been shown that a 25,000-square-foot server farm with approximately 8,000 servers consumes 2 megawatts and this magnitude of energy consumption either directly or indirectly accounts for 25% of the cost for managing such facility [68]. As the Internet is growing exponentially and with the emergence of distributed computing technologies such as Grid computing [30][43], it is important to understand the power management concept for these architectures as they share some common characteristics. They are designed to execute applications or tasks which are processor-intensive, performance-critical and often acquiring high volume of
data transfer. These characteristics are responsible for the majority of energy consumption and the rapid development in processors and memory performance also leads to a rapid growth in energy consumption. An example is the growth in the chip die’s power density which has reached three times that of a hot plate despite of the improvement of the circuit design [49]. Hence it is important to manage energy consumption in these resource-critical computational environments.

2.2 Power Management Strategies

There are many ways to analyse, optimise and manage energy consumption in any computational environments. This chapter reviews these techniques by splitting them into three distinct categories:

- Traditional/General Purposes
- Micro/Hardware Level
- Macro/Software Level

2.2.1 Traditional/General Purpose

Power management for computer systems has traditionally focused on regulating the energy consumption in static modes such as sleep and suspend [10]. These are states or modes of a computational system which requires human
interaction to activate/deactivate. Many power management mechanisms are built into desktop and laptop computers through BIOS support with a scheme called the Advanced Power Management (APM) \[38\] or via the operating system with an interface called the Advanced Configuration and Power Interface (ACPI) \[3\].

APM is a BIOS-based system of power management for devices and CPUs. It provides functionalities such as reducing clock speed when there is no work to be done, which can significantly reduce the amount of energy consumed. This means that the CPU will be slowed when idle. This is an advantage to mobile computers as they are generally used for interactive software and so it is expected to share a large amount of CPU idle time. APM is configured to provide devices in these power states: ready, stand-by, suspended, hibernation and off.

ACPI is an operating system oriented power management specification. It is part of an initiative to implement the Operating System Power Management (OSPM) \[3\] which is an enhancement to allow operating systems to interface and support ACPI-defined features such as device power management, processor power management, battery management and thermal management. ACPI/OSPM enables computer systems to exercise motherboard configuration and power management functions, using appropriate cost/function trade offs. ACPI/OSPM replaces APM, MPS, and PnP BIOS Specifications \[2\] and allows complex power management policies to be implemented at an operating system level with relatively inexpensive hardware.
Unlike APM which is solely BIOS-based, ACPI gathers information from users applications and the underlying hardware together into the operating system to enable better power management. ACPI also categorises different platforms for power management and they are described as follows:

**Desktop PC** - these can be separated into Home PC and Ordinary “Green PC”. Green PC is mostly used for productivity computation and therefore requires minimal power management functions and the machine will stay in working state all the time, whereas Home PC are computers designed for general home purpose such as multimedia entertainment or answering a phone call and they require more elaborate ACPI power management functionalities.

**Multiprocessor/Server PCs** - these are specially designed server machines, used to support large-scale networking, database and communications and require the largest ACPI hardware configuration. ACPI allows these machines to be put into Day Mode and Night Mode. During day mode, these machines are put into working state. ACPI configures unused devices into low-power states whenever possible.

**Mobile PC** - these machines require aggressive power management such as thermal management and the embedded controller interface within the ACPI. Thermal management is a function in which ACPI allows OSPM to be proactive in its system cooling policies. Cooling decisions are made based on the application load on the CPU and the thermal heuristics of the system. Thermal management provides three cooling policies to control the thermal
2.2 Power Management Strategies

states of the hardware. It allows OSPM to actively turn on a fan. Turning on a fan might induce heat dissipation but it cools down the processing units without limiting system performance. It also allows OSPM to reduce the energy consumption of devices such as throttling the processor clock. OSPM can also shut down computational units at critical temperatures. Some mobile devices which run operating systems such as Microsoft Windows CE can also be configured to use its tailored power manager \[59\] which allows users/OEMs to define any number of OS power states and does not require them to be linearly ordered.

In observing the behaviour of a typical personal computer, both clock speed and a spinning storage disk consume most of the consumable energy. Therefore proper disk management also constitutes a major part in power management \[24\]. ACPI provides a unified device power management function that allows OSPM to lower the energy consumption of storage disks by putting them into sleeping states after a certain period of time. However disk management policies in ACPI do not fulfil the requirement for current demand for energy conscious computational components in both resource-limited and resource-critical environments. Meanwhile some disk management policies have been implemented to support such demand which will be discussed in later sections.

Traditional power managements are considered to be static, application-independent and not hardware oriented. These techniques have proved to be insufficient when dealing with more specific computation environments
such as distributed or pervasive environments. For example some scientific applications might require frequent disk access and if these applications or underlying systems are not optimised, the latencies and overheads created by the disk entering and exiting its idle state might consume more energy than just leaving it at working states. Therefore the following sections consider other power managements which are more specific and dynamic.

### 2.2.2 Micro/Hardware Level

To devise a more elegant strategy for power management, many researchers have dedicated their works to the reduction in energy consumption by investigating energy usage related to CPU architecture, system designs and memory utilisation. These low-level analyses allow code optimisation and adaptive power management policies. While the implementations of different code optimisation techniques are discussed in section 2.2.3 under the heading macro/application level analysis, an understanding of how an application operates at a hardware level will enhance the ability to transform the application source to optimise energy consumption. Three areas which are described here are RT level and gate level analysis, instruction level analysis and memory level analysis.
2.2 Power Management Strategies

2.2.2.1 RT and Gate Level Analysis

RT and gate level power analysis \[74\][75][50] are the lowest level of hardware analyses in the field of power analysis. At this level, researches are more concerned with RT and circuit level designs.

\[75\] presents a power analysis technique at an RT-level and an analytical model to estimate the energy consumption in datapath and controller for a given RT level design. This model can be used as the basis of a behavioural level estimation tool. In the authors’ work they used the notion of FSMD (Finite State Machine with a Datapath) as the architectural model for digital hardware and this includes the SAT (State Action Table) which is defined logically as follows:

\[
\vec{V} = (v_1, v_2, ..., v_n)
\]
\[
\vec{V} \# \vec{W} = (v_1, v_2, ..., v_n, w_1, w_2, ..., w_n)
\]
\[
\vec{t} = \vec{S} \# \vec{C} \# \vec{N} \# \vec{F} \# \vec{U} \# \vec{R} \# \vec{e} \# \vec{B} \# \vec{u} \# \vec{D} \# \vec{r}
\]
\[
\text{SAT} = \{\vec{t}_i\}
\]
\[
\text{ST} = [\vec{t}_1, \vec{t}_2, ..., \vec{t}_n]
\]

\text{SAT} \text{ is used to describe the behaviour of a RT level design as distinctive state tuples } \vec{t} \text{ which is a concatenation of some activity vectors } \vec{V}. \text{ Inside each } \vec{V} \text{ is a collection of boolean states } v_i \in \{0, 1\}. \text{ A set of activity vectors}
2.2 Power Management Strategies

can then be used to characterise a particular state of the hardware, namely the current state vector $\vec{S}$, the status vector $\vec{C}$, the next state vector $\vec{NS}$, the function unit vector $\vec{FU}$, the register vector $\vec{Reg}$, the bus vector $\vec{Bus}$ and the bus driver vector $\vec{Drv}$. The estimation process of the RT level energy consumption is carried out through the use of the state trace $ST$, which is also defined logically and shown above, and it represents the actual execution scenario of the hardware.

Unlike the previous analysis technique [75] which uses FSM, in [74] the author proposed a cycle-accurate macro-model for RT level power analysis. The proposed macro-model is based on capacitance models for circuit modules and activity profiles for data or control signals. In this technique simulations of modules under their respective input sequences are replaced by power macro-model equation evaluation and this is said to have faster performance. The proposed macro-model predicts not only the cycle-by-cycle energy consumption of a module, but also the moving average of energy consumption and the energy profile of the module over time.

The authors proposed an exact power function and approximation steps to generate the power macro-model, the workflow of generating macro-model is described in figure 2.1. The macro-model generation procedure consists of four major steps: variable selection, training set design, variable reduction, and least squares fit. Other than the macro model, the authors also proposed first-order temporal correlations and spatial correlations of up to order three and these are considered for improving the estimation accuracy. A variable
Figure 2.1: The workflow of generating a cycle-accurate macro-model [74].

The reduction algorithm is designed to eliminate the insignificant variables using a statistical sensitivity test. Population stratification is employed to increase the model fidelity.

In [50] the author explored a selection of techniques for energy estimation in VLSI circuits. These techniques are aimed at a gate-level and are motivated by the fact that power dissipations of chip components such as gates and cells happen during logic transitions and these dissipations are highly dependent on the switching activity inside these circuits. The power
dissipation in this work is viewed to be “input pattern-dependent”. Since it is practically impossible to estimate power by simulating the circuit for all possible inputs, the author introduced several probabilistic measures that have been used to estimate energy consumption.

By introducing probabilities to solve the pattern-dependence problem, conceptually one could avoid simulating the circuit for a large number of patterns and then averaging the results, instead one can simply compute from a large input pattern set the fraction of cycles in which an input signal makes a transition and use that information to estimate how often internal nodes transition and, consequently, the power drawn by the circuit. This technique only requires a single run of a probabilistic analysis tool which replaces a large number of circuit simulation runs, providing some loss of accuracy being tolerated.

The computation of the fraction of cycles in which an input signal makes a transition is known as a probabilistic measure and the author then introduced several probabilistic techniques such as signal probability, CREST (a probabilistic simulation using probability waveform), DENSIM (transition density which is the average number of transitions per second at a node in the circuit), a simple BDD (boolean decision diagram) technique and a correlation coefficients technique whereby the probabilistic simulation is proposed using correlation coefficients between steady state signal values are used as approximations to the correlation coefficients between the intermediate signal values. This allows spatial correlation to be handled approximately.
2.2.2.2 Instruction Analysis and Inter-Instruction effects

Instruction analysis allows energy consumption to be analysed from the point of view of instructions which provides an accurate way of measuring the energy consumption of an application via a model of machine-based instructions \cite{70}. This technique has been applied to three commercial architecturally different processors \cite{71}. Although it is arguable that instruction analysis is part of application level analysis, it nevertheless helps developers to gather information at a reasonably low “architectural” level and at the same time helps implementing any application changes based on them.

In this technique, current being drawn by the CPU during the execution of a program is physically measured by a standard off-the-shelf, dual-slope integrating digital ammeter, a typical program, which is used in this technique, contains several instances of the targeted instruction (instruction sequence) in a loop. During the program’s execution, it produces a periodic current waveform which yields a steady reading on an ammeter. Using this methodology, an instruction-level energy model is developed by having individual instructions assigned with a fixed energy cost called the base energy cost. This base cost is determined by constructing a loop with several instances of the same instruction. The current being drawn whilst executing the loop is then measured through a standard off the shelf, dual-slope integrating digital ammeter. The author argued that regardless of pipelining when multiple clock cycles instructions induce stalls in some pipeline stages, the method of deriving base energy cost per instruction remains unchanged \cite{70}. Table 2.1
Table 2.1 shows a subset of the base cost table for a 40MHz Intel 486DX2-S Series CPU, taken from [70].

Table 2.1 shows a sequence of instructions assembled from a segment of a running program, the numbers in column 2 are the base cost in mA per clock cycle. The overall base energy cost of an instruction is the product of the numbers in column 2 and 3, the supply voltage and the clock period. Therefore it is possible to calculate the average current of this section using these base costs. However, this average current is only an estimate, to enable the derivation of an accurate value, variations on base costs due to the different data and address values being used during runtime have to be considered. An example will be an instruction using memory operands since accessing memory incurs variation in base costs. Also mis-alignment can induce cycle penalties and thus energy penalties [37].

When sequences of different instructions are measured, inter-instruction effects affect the total cost of energy consumption, however this type of effect cannot be shown in base costs calculation. Through detail analysis [70], it is possible to observe inter-instruction effects which are caused by the switching activity in a circuit and they are mostly functions of the present instruction

<table>
<thead>
<tr>
<th>Instruction</th>
<th>Base Cost (mA)</th>
<th>Cycles</th>
</tr>
</thead>
<tbody>
<tr>
<td>MOV DX,BX</td>
<td>302.4</td>
<td>1</td>
</tr>
<tr>
<td>ADD DX,BX</td>
<td>313.6</td>
<td>1</td>
</tr>
<tr>
<td>ADD DX,[BX]</td>
<td>400.1</td>
<td>2</td>
</tr>
<tr>
<td>SAL BX,1</td>
<td>300.8</td>
<td>3</td>
</tr>
<tr>
<td>SAL BX,CL</td>
<td>306.5</td>
<td>3</td>
</tr>
</tbody>
</table>
2.2 Power Management Strategies

input and the previous state of the circuit. Other inter-instruction effects include resource constraints causing stalling which also increases the number of cycles for instruction execution, an example of such resource constraints is a prefetch buffer stall. The effects of memory related overhead are discussed in the next section.

2.2.2.3 Memory Power Analysis

Apart from a processor’s energy consumption, data transfers to and from any kind of memory also constitute a major part in the energy consumption of an application. Some research has been carried out to cater for this type of analysis [6][61][54]. There are six possible types of memory power models according to [61].

1. **DIMM-level estimates** - a simple multiplication of number of Dual In-line Memory Modules (DIMM) in a machine and the power per DIMM as quoted by the vendor. Simple but prone to inaccuracy.

2. **Spread Sheet Model** - this method calculates energy consumption based on current, voltage, using some simple models such as the spreadsheet provided by Micron [40].

3. **Trace-based Energy-per-operation calculation** - this method is carried out by keeping track of a trace of memory reference made by each running workload.
4. **Trace-based Time and Utilisation calculation** - such power calculation is carried out by using memory traces coupled by timing information. Based on this information and memory service-time parameters, it is possible to produce average power values at one or more intervals of time. With the average power over these intervals, energy can be calculated [61].

5. **Trace-driven simulation** - this type of simulation tracks the activity of the various components of the memory and simulates current drawn by using some memory power analyses. Based on the current provided by the simulation and supplied voltage, power dissipation can be calculated.

6. **Execution-driven simulation** - similar to trace-based simulation, however, the simulation framework and the source of the memory request is different. This type of simulation is the most complex to implement for energy calculation.

In general memory systems have two sources of energy loss. First, the frequency of memory access causes dynamic losses. Second, leakage current contributes to energy loss [49]. In general there are two areas of memory analysis that can be described:

1. **Memory Organisation** - organising memory so that an access activates only parts of it can help limiting dynamic memory energy loss. By placing a small filter cache in front of the L1 cache, even if this fil-
ter cache only has 50% hit rate, the energy saved is half the difference between activating the main cache and the filter cache, and this is very significant [49]. Furthermore, the current solution for current leakage is to shut down the memory which is impractical as memory loses state and shutting down the memory frequently can incur both energy and performance losses. Other architectural improvements have been to re-organise the cache memory which is carried out to separate L1 cache with data and instructions [11]. This technique allowed biased programs such as one which is data-intensive to run without jeopardising the performance of the program.

2. Memory Accesses - accessing memory via a medium such as a bus is also a major factor of energy loss [49]. One way to reduce this loss is to compress information in the address line reducing successive address values. This type of code compression results in significant instruction-memory savings, especially if the program stored in the system is only decompressed on the fly during a cache miss.

A cache miss itself constitutes some degree of energy loss as each cache miss leads to extra cycles being consumed. In [20] the author introduced a conflict detection table, which stores the instruction and data addresses of load/store instructions, as a way to reduce cache misses. By using this table it is possible to determine if a cache miss is caused by a conflict with another instruction and appropriate action can be taken. One could also minimise cache misses by reducing memory accesses through imposing better utilisation of registers during compilation. In [71] an experiment was carried
out whereby optimisations were performed by hand on assembly code to facilitate a more aggressive use of register allocation. The energy cost in that particular experiment shows a 40% reduction in the CPU and memory energy consumption for the optimised code, another way to enhance more aggressive use of registers is to have larger register file, however accessing larger register file will usually induce extra energy cost.

### 2.2.2.4 Disk Power Management

In terms of hardware level power analysis and in particular memory usage, many researches have focused on power analysis and management at disk level [24][32].

In [24], the authors identified the significant difference in the energy consumption of idle and spinning disks. This is especially the case in a mobile computational environment. The author hence proposed both online and offline algorithms for choreographing the spinning up and down of a disk. They are described as follows:

- **OPTIMAL** - The proposed offline algorithm is based on the relative costs of spinning or starting the disk up. It uses future knowledge to spin down the disk and to spin it up again prior the next access.

- **OPTIMAL_DEMAND** - This is an alternative offline algorithm proposed by the authors which assumes future knowledge of access times when deciding whether to spin down the disk but it delays the first request
2.2 Power Management Strategies

upon spin-up.

- **THRESHOLD_DEMAND** - This is not originated from the authors’ proposal but it follows the taxonomies describing the choreography of the spinning up and down if a disk. This is an online algorithm which spins down the disk after a fixed period of inactivity and spins it up upon the next access. This approach is most commonly used in present disk management.

- **THRESHOLD_OPTIMAL** - This algorithm spins down the disk after a fixed period (similar to **THRESHOLD_DEMAND** hence the word **THRESHOLD**) and spins it up just before the next access. The authors have pointed out the inefficiency of this algorithm as the possibility of an immediate disk access following a disk spin down might mean not having enough time to spin up the disk for this access and hence causing access delay.

- **PREDICTIVE_DEMAND** - This algorithm uses a heuristic based on the previous access to predict the following disk spin down whereas spin-up is performed upon the next access which is similar to **THRESHOLD_DEMAND**’s spin up policy.

- **PREDICTIVE_PREDICTIVE** This algorithm uses a heuristic based on the previous access to predict the following disk spin down as proposed in **PREDICTIVE_DEMAND** and also uses heuristics to predict the next spin up.

Conversely, in [32][31], the authors looked at disk energy consumption of servers in high performance settings. As the authors explained that the
increasing concern of servers’ energy consumption is based on the growth of business enterprises such as those providing data-centric services which use components such as file servers and Web portals. They then further explained a new approach which uses a dynamic rotation per minute (DRPM) approach to control the speed in server disk array as they believed the majority of energy expenditure comes from input/output subsystems and the DRPM technique can provide significant savings in I/O system energy consumption without reducing performance. The proposed technique dynamically modulates the hard-disk rotation speed so that the disk can service request at different RPMs. Whilst the traditional power management (TPM) which targets on single-disk applicational usage such as laptops and desktops, it is difficult to apply TPM to servers. A characteristic of servers which makes TPM unsuitable is when server workloads create continuous request stream and it must be serviced. This is very different to the relatively intermittent activities which characterises the interactiveness of desktops and laptops.

The advantage of this technique is that dynamically modulating the disk’s RPM can reduce the energy consumption the spindle motor causes. Using DRPM exploits much shorter idle periods than TPM can handle and also permits servicing requests at a lower speed, allowing greater flexibilities in choosing operating points for a desired performance or energy level. DRPM can also help strike the balance between performance and power tradeoffs while recognising that disk requests in server workloads can present relatively shorter idle times.
2.2 Power Management Strategies

2.2.3 Macro/Application Level Analysis

Dynamic power management refers to power management schemes implemented while programs are running. Recent advance in process design techniques has led to the development of systems that support very dynamic power management strategies based on voltage and frequency scaling [10]. While power management at runtime can reduce energy loss at the hardware level, energy-efficiency of the overall system depends heavily on software design [9]. The following describes recent researches which focus on source code transformations and optimisations [18] [67] [56], and energy-conscious compilations [63].

2.2.3.1 Source Code optimisation/transformation

These techniques are carried out at the source code level before compilation takes place. In this section several techniques are studied.

*Optimisation using Symbolic Algebra* - In [56] the author proposed a new methodology based on symbolic manipulation of polynomials, and a energy profiling technique which reduces manual interventions. A set of techniques has been documented in [58] for algorithmic-level hardware synthesis and these are combined with energy profiling, floating-point to fixed-point data conversion, and polynomial approximation to achieve optimisation. The use of the energy profiler allows energy hot spots of a particular section of code to be identified, these sections are then optimised by using complex
2.2 Power Management Strategies

algorithmic functions. Note it is necessary for source code to be converted into polynomial representation when applying symbolic algebra techniques. Although this work has been proposed for embedded software, the techniques used can also be applied in a wider spectrum. Currently this work has been applied to the implementation of a MPEG Layer III (mp3) audio decoder [56].

**Optimisation based on profiling** - this type of source code optimisation is carried out by using some profiling tools. This type of optimisations is generally applied at three levels of abstraction, they are algorithmic, data and instruction-level [67]. The profiler utilises a cyclic accurate energy consumption simulator [66] and relates the energy consumption and performance of the underlying hardware to the given source code. This approach of using layer abstraction in optimisation allows developers to focus first on a very abstract view of the problem, and then move down in the abstraction and perform optimisation at a narrower scope. It also permits concurrent optimisation at different layer. Similar to [56], this type of optimisation has been applied to the implementation of a mp3 audio decoder [67].

**Software Cost Analysis** - while developers can potentially implement a selection of algorithms that are energy conscious [67], it is difficult to automate the transition process and in many cases its effect highly depends on the developer’s preferences. Similarly, although instruction-level optimisation can be automated, it is often strongly tied to a given target architecture. In contrast, source code transformation, which is carried out by restructuring source code, can be automated [7] and this type of optimisations is often
2.2 Power Management Strategies

independent of any underlying architecture. However, source code restructuring can be problematic during the estimation of the energy saving in a given transformation. One solution to this is to compile the restructured code and execute it on a target hardware to measure its energy savings. Nevertheless, as this method is proved to be inefficient, in [18] a more abstract and computationally-efficient energy estimation model is used, the author applied this technique into two well-known transformation methods - loop unrolling where it aims at reducing the number of processor cycles by eliminating loop overheads, and loop blocking where it breaks large arrays into several pieces and reuses each one without self interference.

2.2.3.2 Energy-conscious Compilation

In [63] the author proposed two compiler-directed energy optimisation strategies based on voltage scaling. They are static and dynamic voltage scaling respectively. This work aims at reducing energy consumption of a given code without increasing its execution time. In static voltage scaling, a single supply voltage level is determined by the compiler for the entire program. While static voltage scaling is not as effective as dynamic voltage scaling, this strategy converts the performance slack created by compiler optimisations to energy benefit. Conversely, dynamic voltage scaling allows different voltage supply levels to be set for different section of a given program code. This compilation technique is based on integer linear programming and so it also cater for the requirement of both energy and performance constraints.
2.3 Summary

The idea of voltage scaling came about when studying the energy consumption of CMOS circuits. Their energy consumption is proportional to $KCV^2$, where $K$ is the switching rate, $C$ is the capacitance and $V$ is the supply voltage [17], this quadratic relationship between the supply voltage and energy consumption inspires the need to reduce the supply voltage. Much research has been carried to take advantage of this relationship to reduce energy consumption and many techniques such as transistor sizing, threshold voltage reduction have been developed [55][45]. While these techniques can reduce energy consumption, by reducing voltage supply, execution time could be increased [63].

2.3 Summary

This chapter documented a selection of the current research in the area of power management, power-awareness in computational environments and source code cost analyses. Techniques for power management and cost analyses usually fall under one of three categories (Traditional and General Purposes, Micro and Hardware Level, Macro and Software Level) and this chapter described a number of tools that are associated with each of these categories. Of particular interest is the movement from low-level hardware power management such as reducing cache misses [20] to high-level source code transformation [56][18][67].

It is important to notice power-aware computing such as energy conscious-
ness is no longer restricted to areas of mobile computing or energy-limited computational environments but is gradually moving towards the areas of resource-critical computational environments such as parallel and distributed computational environments, and the Grid [30][43] where energy consumption has become a major factor to running cost [68]. Therefore to ensure a low computational running cost, it is essential to develop new approaches to predict an application's energy consumption at a source code level and to include this as a metric when building performance models.
Chapter 3

Power Analysis and Prediction Techniques

3.1 Introduction

Whilst current research has produced a bank of techniques on power analysis which are either software or hardware focused, they share some common shortcomings:

Current techniques’ insufficiencies - The review of current power analysis methodologies in chapter 2 suggests some important areas, which are concerned with the development of designing a well-formed power analysis strategy, that still need to be addressed. In particular, the majority of analysis techniques that are currently available either require the analysers to have
specific knowledge such as the low-level machine code or require the use of specialised equipment. This technical knowledge and specialised equipments might not be available during power analysis and such dependencies will only hinder the flexibility of the analysis methodology. Furthermore, current methodologies such as instruction level analysis \[71\] over emphasise the measurement of absolute energy consumption. In the case of \[71\] analysers must acquire the absolute measurement of the current drawn for every machine instruction and this can undermine the usefulness of the analysis technique itself.

In modern performance and cost analysis, there are three types of evaluation techniques: analytical modelling, simulation and measurement. These techniques offer different levels of accuracy, in particular, analytical modelling requires so many simplifications and assumptions that high level accuracy is not essential \[39\]. Unfortunately since current power analysis techniques separate themselves from the general performance evaluation domain, they lack the ability to abstract the technicality of both target machine architectures and target applications. To develop a well-formed power analysis strategy means that such strategy should possess the flexibility similar to the ones in the performance domain, so that level of accuracy can be varied and measurement values can be relative.

**Performance incompatibility** - The current power analysis methodologies are simply not compatible with the current advance in performance evaluation and optimisation. Techniques which have been reviewed either neglect
performance efficiency or isolate energy consumption from other performance metrics such as execution time or memory utilisation. It is believed that electrical energy is a major cost when running some large scale applications and the cost of dissipating tens or potentially hundreds of megawatts is prohibitive. This means during an overall cost analysis on performance measure, energy consumption should be taken into account and should eventually be integrated into performance characterisation and evaluation.

**No standard characterisation model** - To compensate for the shortcomings of current power analysis strategies, a standard model is needed for power analysis and it should allow applications to be systematically or hierarchically optimised for energy consumption. As applications in recent years are moving toward execution environments which are heterogeneous, distributed and even ubiquitous [16], without a standard model that can categorise and characterise the energy usage of application’s workload generically, current power analysis techniques will prove to be too inefficient and impractical. Also by using an analytical model, it allows measurements to be based on a hierarchical framework of relativity.

Following on from the weaknesses mentioned above, the proposed methodologies are aimed at developers without expertise in technical areas such as low-level machine code and without specialised equipment to carry out energy measurements. During the preliminary stages of this research we propose an application-level power analysis and prediction technique which adopts the performance evaluation framework and techniques developed by the High
3.2 Application-level Power Analysis and Prediction

Performance Systems Group \cite{35} at the University of Warwick. Furthermore this chapter introduces a theoretical concept to construct a power classification model based on benchmark workloads. This model allows a more relative energy consumption prediction of an application, although this model has not yet been fully implemented, some insights in choosing the relevant characterisation units have been established.

3.2 Application-level Power Analysis and Prediction

This analysis methodology is inspired by the Performance Analysis and Characterisation Environment (PACE), a state-of-the-art performance evaluation framework developed by the High Performance Systems Group at the University of Warwick \cite{53}. In particular the proposed technique adopts the C Application Characterisation Tool (capp) and the theory of the PACE resource modelling technique \cite{53,29}. The detail of this framework is briefly explained below.

3.2.1 The PACE Framework

The motivation to develop PACE is to provide quantitative data concerning the performance of sophisticated applications running on high performance systems \cite{14}. The framework of PACE is a methodology based on
a layered approach that separates the software and hardware systems components through the use of a parallelisation template. This is a modular approach that leads to readily reusable models, which can be interchanged for experimental analysis.

The core component of PACE is a performance specification language, CHIP³S (Characterisation Instrumentation for Performance Prediction of Parallel Systems) [52] [14]. This language is used to create performance model containing a number of analytical models that describe the performance-critical elements of the application’s computational and inter-resource performance. CHIP³S, which has similar syntax to C, makes it simpler for developers to describe their application’s performance and create analytical performance models, without the requirement of detailed knowledge of performance evaluation.

CHIP³S employs a layered approach to performance characterisation, with each layer characterising a specific element of a parallel application as shown in figure 3.1. When developing a performance model, each script is associated with a specific layer within the framework in order to characterise a specific performance-critical element of the application. These scripts implement a defined object interface for each layer, providing a framework to enable the re-usability of performance objects.

CHIP³S characterises applications as a control flow of synchronous micro-blocks of either computational/inter-platform communication. Each block is defined within a parallel template by a step declaration that states either
3.2 Application-level Power Analysis and Prediction

![Layered Methodology Diagram]

Figure 3.1: A layered methodology for application characterisation

the source, destination and size of a specific communication type or a reference to a characterised section of computation (declared within the subtask that is associated with this template). This control flow of blocks within a template characterises the parallelisation strategy of the subtask, that is how this computation is spread among the available resources. The complete performance model is a control flow of these subtasks. Each subtask, and in turn each synchronous micro-block, is evaluated as declared within this control flow model.

While the CHIP³S language is the core component of PACE, the PACE framework as a whole is a combination of this language and a number of application and hardware tools. The PACE toolkit contains a characterisation tool called capp [29], which automates the more time-consuming areas of performance model development, a number of hardware benchmarks to
obtain timings for a platform’s computational and communication performance, an analytical methodology for cache performance prediction \[33\] and an evaluation engine that analytically calculates predictive traces of PACE performance models.

The following is a brief description of the four layers shown in figure 3.1 with an example of a performance object, written in CHIP$^3$S, that is associated within each layer given for clarification. Each object is taken from the characterised performance model of a simple matrix multiplication algorithm, the source code of which is shown in listing 3.4.

```c
static int **a,**c,**b;
static void multiply() {
    int i,j,k;
    for (i=0; i < 7000; i++)
        for (k=0; k < 7000; k++)
            for (j=0; j < 7000; j++) c[i][j] += a[i][k]*b[k][j];
}
```

Listing 3.4: A C implementation of matrix multiplication algorithm multiplying two 7000x7000 square matrices

### 3.2.1.1 Application Object

A performance model uses an application object to act as an entry-point to the model’s evaluation. Each application object declares the model’s parameters, the platform that the model is to be evaluated upon, and the control flow of subtasks within the model. An example application object
3.2 Application-level Power Analysis and Prediction

file multiply_app.la, taken from the characterised matrix multiplication algorithm’s performance model, is shown in listing 3.5.

```plaintext
application multiply_app {
    include hardware;
    include mmult;
    link {
        hardware: Nproc = 1;
    }
    option {
        hrduse = "IntelPIV2800";
    }
    proc exec init { call multiply_stask; }
}
```

Listing 3.5: multiply_app.la - The application object of the matrix multiplication algorithm’s PACE performance characterisation

Note that because this application is being modelled to be executed on a single processor, therefore in this example the Nproc variable within the hardware object is set to 1 (line 5) to indicate a sequential evaluation on one processor. The Nproc variable within the hardware object is a link declaration and it specifies the number of processors. This type of declarations allows variables, or references to computation, to be initialised within other performance objects before their evaluation. This declaration mechanism also enables the passing of parameters within a model in order to control the evaluation.

Another variable hrduse is set to a string value, valid in the application and subtask objects. It controls the hardware model selection and must be
defined somewhere within the performance model. This variable is part of the \option declarations, currently there are three options available within the CHIP\textsuperscript{3}S language \cite{CHIP3S}. In this example, the \hrduse option (line 8) is set to IntelPIV2800 in order to evaluate this model with the Intel Pentium IV 2.8GHz hardware characterisation.

```c
subtask multiply_stask {
  include async;
  link { async: Tx = multiply(); }
  proc cflow multiply {
    compute <is clc, FCAL, SILL>;
    loop (<is clc, LFOR>, 7000) {
      compute <is clc, CMLL, SILL>;
      loop (<is clc, LFOR>, 7000) {
        compute <is clc, CMLL, SILL>;
        loop (<is clc, LFOR>, 7000) {
          compute <is clc, CMLL, 3*ARL2, MILG, AILG, TILG, INLL>;
          compute <is clc, INLL>;
        } // End loop
        compute <is clc, INLL>;
      } // End loop
    } // End loop
  }
}
```

Listing 3.6: multiply_stask.la - The subtask object of the matrix multiplication algorithm’s PACE performance characterisation

To provide an entry point for application object to the model’s entire evaluation, the declaration \proc exec is used. They are generally used for defining control flow within performance characterisations. All application, subtask and parallel template objects must have one \proc exec declaration called \init that is evaluated at the start of the object’s evaluation, and can
be used either to initialise any variable declarations defined or evaluate other performance objects. This example declares one init proc exec declaration that evaluates the multiply_stask subtask object (line 10).

### 3.2.1.2 Subtask Object

A subtask object characterises an element of sequential computation. Apart from declarations common to the application object there is the proc cflow declarations that characterise computational performance. Listing 3.6 shows an example subtask object file multiply_stask.la, taken from the characterised matrix multiplication algorithm’s performance model.

Other than the include declaration which references the async parallel template object, and is used to characterise a sequential parallelisation strategy, and the generic hardware object, there is also the variable T\textsubscript{x} within the async parallel template object which is referenced to the evaluated execution time of the multiply proc cflow declaration. This declaration is the CHIP\textsuperscript{3}S characterisation of the original multiply method within the algorithm’s source code. Currently control flow sequences of an application can be obtained by using capp (The C Characterisation Tool). Each of the control flow sequences contains a number of elementary operations. These elementary operations are modelled by characterisations and include events such as floating point multiplies, memory accesses and MPI communications. Costs of these operations are archived in the hardware model of the underlying platform. Details of this model are discussed in section 3.2.2.1.

capp is a tool
that automates the construction of proc cflow statements within subtasks by characterising the performance of an application’s C source code. Automating these characterisations greatly reduces the time required for PACE performance model development, as well as ensuring that no mistakes are made within these declarations. For this reason, capp was used in this example to characterise the matrix multiplication algorithm multiply methods. proc cflow characterisations can contain any number of four statements that capture the method’s performance:

- **Compute**: This calculates the execution time of a list of instructions that is given to the statement as parameters. For example, line 13 computes the execution time of the clc instruction INLL. To calculate this, the parallel template that is evaluating this cflow looks up the value associated with the INLL instruction in the hardware object being used for the current evaluation. This value is then added to the total predicted execution time for the current cflow. A more complicated list of machine instructions can also be passed to the compute statement, such as that shown at line 11.

- **Loop**: The Loop statement is a CHIP\(^3\)S characterisation of an iterative statement (for, while and so on) that is present in the original application. The loop count of this iterative statement is characterised by the statement’s second parameter. This variable may be a constant defined previously in the subtask (7000 in the case of the loop statement in line 6,8 and 10), or an expression that relates to a number of model parameters that have been passed from the model’s application object.
3.2 Application-level Power Analysis and Prediction

- **Case**: The case statement is a CHIP3S characterisation of a conditional statement (if, switch and so on) that is present in the original application. This statement can define a number of performance characterisations which are evaluated according to their probability of execution.

- **Call**: The call statement evaluates another proc cflow statement, adding the predicted execution time of that statement to the total predicted execution time for the current cflow.

There are currently three methods to specify loop counts and case probabilities while using capp:

```
#pragma capp If 0.5
if(x < y) {
...

#pragma capp Loop y_size
for(y = 0; y < y_size; y++) {
...
```

Listing 3.7: An example showing how to utilise the pragma statement for loop counts and case probabilities definitions

- Enter values when prompted by line number.

- Embed values in the source file itself. This is done using pragma statements. loop or case statements should have a pragma statement on the line immediately preceding them. The syntax is as follows: pragma
3.2 Application-level Power Analysis and Prediction

capp TYPE STRING, listing [3.7] shows some examples of embedding values into source codes.

• Provide a separate file containing all values, indexed by line number with the syntax LINE-NUMBER:TYPE:STRING with TYPE and STRING defined as for pragma statements. For example: 42:Loop:y_size. The main problem with this method of specifying values is that if the source file changes, any line numbers in the probability file will no longer be correct. For this reason, using pragma statements is usually preferable.

3.2.1.3 Parallel Template Object

```
partmp async {
    var compute: Tx;
    option { nstage = 1, seval = 0; }
    proc exec init {
        step cpu {
            confdev Tx;
        }
    }
}
```

Listing 3.8: async.la - The parallel template object of the matrix multiplication algorithm’s PACE performance characterisation.

A parallel template object consists of a control flow of a number of synchronous micro-blocks that characterise the parallelisation strategy of its associated subtask object. Each block can either contain a specific communication paradigm (defined by the source and destination platforms and
the size of the communication) or a computation that is evaluated on all the available resources (the performance of which is characterised by a proc cflow declaration within the subtask). A single micro-block is characterised within CHIP3S by a step declaration.

The matrix multiplication algorithm is sequential and so a simple parallel template that characterises the execution of the algorithm’s subtask on all the resources is used within the algorithm’s performance model. This parallel template object file async.la is shown in listing 3.8.

3.2.1.4 Hardware Object

A hardware object characterises the computational and inter-resource communication performance of the underlying platform. CHIP$^3$S characterises a method’s performance as a control flow of machine-code instructions, and the hardware object contains benchmarked timings for each of these instructions. During evaluation, timings for these instructions are located within the specified hardware object and used to calculate the model’s predicted performance. It is important to accurately measure these timings if accurate predictive evaluations are to be achieved. An excerpt of an example hardware object, for the IntelPIV2800 hardware object defined within the matrix multiplication algorithm’s characterisation, is shown in listing 3.9.

By using the objects such as those defined in listings 3.5, 3.6 and 3.8 which are stored as .la files, an executable application model can be created.
for the underlying resource specified by the hardware object as shown in listing 3.9 by compiling these object files using the chip3s tool. This tool generates some intermediate C codes which are then compiled into object files. These object files are linked together with the CHIP3 S runtime into an executable. The building process is represented by the Makefile which is shown in listing 3.10.

```plaintext
config IntelPIV2800 {
  hardware {
    Tclk = 1 / 2800,
    Desc = "Intel Pentium IV/2.8GHz, Linux 2.6",
    Source = "ip-115-69-dhcp.dcs.warwick.ac.uk"
  }

  (* C Operation Benchmark Program $Revision: 1.1$
   Timer overhead 2.82759000 *)

  clc {
    SISL = 0.000644827,
    SISG = 0.000638161,
    SILL = 0.000643161,
    SILG = 0.000649827,
    SFSL = 0.000608161,
    SFSG = 0.000634827,
    SFDL = 0.00120649,
    SFDG = 0.00125149,
    SCHL = 0.000634827,
    SCHG = 0.000634827,
    TISL = 0.0125282,
  }
}
```

Listing 3.9: An excerpt of the IntelPIV2800.hmcl hardware object that characterises the performance of a Pentium IV 2.8GHz processor.
3.2 Application-level Power Analysis and Prediction

Listing 3.10: The Makefile for building layer objects into runtime executable.

```makefile
all: multiply

multiply: multiply_app.o multiply_stask.o async.o
    chip3sld -o $@ $^

%.o: %.la
    chip3s -o $@ $<
```

3.2.2 Moving Toward Power Awareness

Section 3.2.1 described one of the most comprehensive performance modelling framework in parallel and distributed computing and this thesis documents a novel technique of power analysis that utilises some of this framework’s foundations, namely the C Characterisation Tool (capp), the C Operation Benchmark Program (bench) and Hardware Modelling and Configuration Language (HMCL). The proposed application-level power analysis concept itself is not only a branch of study on energy consciousness and power aware computing, but it can also be implemented to extend the described performance modelling framework for a more unified cost analysis system. This section supplies more detail descriptions of the PACE components mentioned above, and also gives an overview of the approach to develop a tool suite for the proposed power analysis methodology.
3.2 Application-level Power Analysis and Prediction

3.2.2.1 HMCL: Hardware Modelling and Configuration Language

```
#define BENCH_STORE(op_name, op, limit, ovrhd) 
    do {
        long __i, __j; 
        double told, tnew; 
        assert (limit % BLOCK == 0); 
        startstats(#op_name); 
        for(__i = 0; __i < REPEAT; __i++) 
        {
            double opertime; 
            told = BTimer(); 
            for(__j = 0; __j < limit / BLOCK; __j++) {
                MULBLK(op) 
            }
            tnew = BTimer(); 
            opertime = ((TimeSub(tnew, told) - mtimerov) 
                        / (double)limit); 
            stats(opertime);
            outputstats(ovrhd, &op_name ## time);
        }
    } while(0)

void AILL(void)
{
    long a, b, c; 
    b = 32000000; 
    c = 43000000; 
    BENCH_STORE(AILL, a=b+c, LIMIT3, SILLtime);
}
```

Listing 3.11: An excerpt of the C Operation Benchmark Program written to create instantaneous measurement of C elementary operations, showing one of the benchmarking macro and the implementation of the clc AILL benchmarking method
3.2 Application-level Power Analysis and Prediction

HMCL is the language syntax allowing the PACE framework to define the performance of the underlying hardware [51]. In this thesis, the primary programming language studied is the C programming language. Here the C Operation Benchmark Program - `bench` is used to create instantaneous measurement of C elementary operations, listing 3.11 shows an excerpt of this program depicting a benchmarking macro and an implementation of the `clc AILL` benchmarking method. This benchmark program measures a number of computation micro-benchmarks, each corresponds to one C language operation (`clc`). Each `clc` operation is represented by a four-character code and each `proc cflow` of a substask object contains statements of `cflow` procedures as shown in listing 3.6. Each procedure is associated with a processor resource usage vector (PRUV) [53]. The PRUV can take various forms ranging from low level operation count (e.g. CPU cycles, memory references) up to high level descriptions (e.g. number of floating point operations). By combining the PRUVs with the resource model of the hardware it is possible to predict the execution time of each software component. A resource usage vector is associated with each statement that represents the control flow of the application and these statements can be `compute`, `loop`, `case` and `call` which have been described during the discussion of subtask object in section 3.2.1.2.

To include the present resource model with energy consumption metrics, a new power-benchmarked hardware object is developed. Listing 3.12 shows an excerpt of the newly developed power-benchmarked hardware ob-

---

1 add operation between two variables type `long`
3.2 Application-level Power Analysis and Prediction

ject (cmodel) which uses comma separated values (csv) format to organise resource modelling data. Table 3.1 shows a tabulated view of the excerpt where opcode is the name of each clc, power is the average power dissipation of the corresponding clc measured in W, totpower6, totpower3 and energy are the energy consumption of the corresponding clc measured in W\textmu s, Wms and J respectively, and overhead is the overhead clc of the corresponding clc due to benchmarking implementation such as initialisations or variables assignments.

<table>
<thead>
<tr>
<th>opcode, time, power, totpower6, totpower3, energy, overhead</th>
</tr>
</thead>
<tbody>
<tr>
<td>AISL, 0.013363, 0.04, 0.00534532, 5.34532e-07, 5.34532e-10, SISL</td>
</tr>
<tr>
<td>AIG, 0.00118333, 0.02, 2.36666e-06, 2.36666e-09, 2.36666e-12, SISG</td>
</tr>
<tr>
<td>AILL, 9.5e-05, 0.01, 9.5e-07, 9.5e-09, 9.5e-13, SILL</td>
</tr>
<tr>
<td>AILG, 9e-05, 3.09, 0.0002781, 2.781e-07, 2.781e-10, SILG</td>
</tr>
<tr>
<td>AFSL, 0.00241321, 0.03, 7.23963e-06, 7.23963e-09, 7.23963e-12, SFSL</td>
</tr>
<tr>
<td>ACHL, 0.00118333, 0.02, 2.36666e-06, 2.36666e-09, 2.36666e-12, SCHL</td>
</tr>
<tr>
<td>ACHG, 0.00011, 0.02, 2.2e-06, 2.2e-09, 2.2e-12, SCHG</td>
</tr>
<tr>
<td>INSL, 0.00190965, 0.03, 5.72895e-05, 5.72895e-08, 5.72895e-11, SISL</td>
</tr>
<tr>
<td>INSG, 0.00189632, 0.03, 5.68896e-05, 5.68896e-08, 5.68896e-11, SISG</td>
</tr>
<tr>
<td>INLL, 0.00146132, 0.03, 4.38396e-05, 4.38396e-08, 4.38396e-11, SILL</td>
</tr>
</tbody>
</table>

Listing 3.12: An excerpt of the newly developed power-benchmarked hardware object which uses comma separated values (csv) format to organise resource modelling data.

One difficulty of transitioning from PACE’s resource model to the new cmodel is the inclusion of energy overhead. Time overhead can be simply coded in the C Operation Benchmark Program which can be seen in listing 3.11 and be included when calculating the execution time of each clc, this is because execution time can be measured within the experimental
### 3.2 Application-level Power Analysis and Prediction

<table>
<thead>
<tr>
<th>opcode</th>
<th>time</th>
<th>power</th>
<th>totpower6</th>
<th>totpower3</th>
<th>energy</th>
<th>overhead</th>
</tr>
</thead>
<tbody>
<tr>
<td>AISL</td>
<td>0.0133633</td>
<td>0.04</td>
<td>0.000534532</td>
<td>5.34532e-07</td>
<td>5.34532e-10</td>
<td>SISL</td>
</tr>
<tr>
<td>AISG</td>
<td>0.000118333</td>
<td>0.02</td>
<td>2.36666e-06</td>
<td>2.36666e-09</td>
<td>2.36666e-12</td>
<td>SISG</td>
</tr>
<tr>
<td>AILL</td>
<td>9.5e-05</td>
<td>0.01</td>
<td>9.5e-07</td>
<td>9.5e-10</td>
<td>9.5e-13</td>
<td>SILL</td>
</tr>
<tr>
<td>AILG</td>
<td>9e-05</td>
<td>3.09</td>
<td>0.0002781</td>
<td>2.781e-07</td>
<td>2.781e-10</td>
<td>SILG</td>
</tr>
<tr>
<td>AFSL</td>
<td>0.000241321</td>
<td>0.03</td>
<td>7.23963e-06</td>
<td>7.23963e-09</td>
<td>7.23963e-12</td>
<td>SFSL</td>
</tr>
<tr>
<td>ACHL</td>
<td>0.000118333</td>
<td>0.02</td>
<td>2.36666e-06</td>
<td>2.36666e-09</td>
<td>2.36666e-12</td>
<td>SCHL</td>
</tr>
<tr>
<td>ACHG</td>
<td>0.00011</td>
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<td>2.2e-06</td>
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<tr>
<td>INSL</td>
<td>0.00190965</td>
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<td>5.72895e-05</td>
<td>5.72895e-08</td>
<td>5.72895e-11</td>
<td>SISL</td>
</tr>
<tr>
<td>INSG</td>
<td>0.00189632</td>
<td>0.03</td>
<td>5.68896e-05</td>
<td>5.68896e-08</td>
<td>5.68896e-11</td>
<td>SISG</td>
</tr>
<tr>
<td>INLL</td>
<td>0.00146132</td>
<td>0.03</td>
<td>4.38396e-05</td>
<td>4.38396e-08</td>
<td>4.38396e-11</td>
<td>SILL</td>
</tr>
</tbody>
</table>

Table 3.1: A tabular view of the `cmode` excerpt shown in listing 3.12.

platform using internal C functions such as `gettimeofday()`. However, the digital power measurement technique described in the thesis, which is also used in the case study described in chapter 1 records instantaneous power dissipation through an external digital power meter and its recordings are fed into a data collection workstation, hence it is impossible to include overheads dynamically into the benchmark measurements. Also equation 1.1 described in chapter 1 is used to calculate the energy consumption of individual `c1cs, and this means time overheads have to be included as part of the actual execution time of the operation being benchmarked. This has been an issue in both power analysis techniques described in this chapter. This is also one of the factors which contribute to the inaccuracies of the measurements, these factors are described in more detail in section 3.3.4.
3.2 Application-level Power Analysis and Prediction

3.2.2.2 Control Flow Procedures and Subtask Objects

Listing 3.13: An excerpt of the parse tree generated by parsing the code shown in listing 3.4.

As mentioned in the above sections, the main programming language under investigation is C and the application-level characterisation technique documented in this thesis adopts the C application characterisation tool \texttt{capp} for constructing control flow (cflow) definitions which defines the con-
3.2 Application-level Power Analysis and Prediction

trol flow of C language operations clc composition of the selected source code. capp uses ctree which is a C Tree Parser package created by Shaun Flisakowski [28] and it generates a parse tree. Listing 3.13 is an excerpt of the parse tree generated by parsing the code shown in listing 3.4 capp uses this parse tree to translate original source code into control flow procedure proc cflow, an example of which is already shown in listing 3.6.

3.2.2.3 Trace Simulation and Prediction

Unlike PACE which produces the application execution model by using the chip3s compiler, this thesis documents a divergence and proposes a more dynamic set of tools known as PSim - Power Trace Simulation and Characterisation Tools Suite. PSim is written in Java for its platform independence, in particular it combines the strength of Java JFC/Swing to create an user interface to give simulation capability for performance analysts and application developers to visually examine both measured trace results and application prediction analyses. Chapter describes the details of PSim.
3.3 Power Analysis by Performance Benchmarking and Modelling

Through binding the concept of characterisation and performance modelling [53], an attempt has been made to express a power classification model to enhance the understanding of energy consumption at a high level abstraction. It utilises benchmark workloads as nodes of the model and they represent a certain construct or pattern of programming. Applications can then be characterised or sectioned by these constructs or patterns, and they can be matched by the corresponding nodes of the model and hence be able to obtain a relative prediction of the application’s energy consumption.

The current construction of the classification model adopts both the kernel and large-scale applications benchmarks from the Java Grande Benchmark Suite as elementary units of workloads, this selection of benchmark workloads is chosen to cater for the diversity of applications running across different hardware platforms. Whilst both kernel and large-scale applications sections of the Java Grande Benchmark Suites [13], which has been translated into C programming language\(^2\), has been chosen as the preliminary guideline for workload selections, to complete the classification model, the benchmark workloads for low-level operations in the benchmark suites have been manually translated into C. These workloads, each representing a node, form a connected graph as the basic model which can act as a blueprint for

\(^2\)The translation was designed to allow comparison of the sequential benchmarks with equivalent code written in C and Fortran [12].
constructing instants of classification models. Sections 3.3.1 and 3.3.2 dissect the fundamentals of performance benchmarking and describes the Java Grande Benchmark Suite. Section 3.3.3 describes the method used to measure the energy consumption of the C translated subset of the Benchmark Suite and illustrates excerpts of the benchmark implementation, this section also briefly explains the use of the classification model. Section 3.3.4 discusses the issues and areas of interest in the development of this power analysis and modelling technique.

3.3.1 Performance Benchmarking

The idea of performance benchmarking is not new and there has been much research work dedicated to performance analysis for serial applications running on a single hardware specification [22], multiple parallelised hardware configurations [53] and heterogeneous distributed platform environments [72, 53].

A benchmark is a workload used in the measurement of the process of performance comparison for two or more systems. Many non-profit organisations have developed numerous benchmarks of which each benchmark is executed on a range of differently-performing platforms and execution environments, in order to facilitate a performance-based comparison of these workloads on different architectures. Benchmarks tend to be developed in suites, representing multiple workloads that characterise a set of similar computational functionality. Benchmarking suites including a hierarchy of benchmarks that attempt to identify the performance of varying aspects of a computing sys-
3.3 Power Analysis by Performance Benchmarking and Modelling

SPEC have developed a wide range of benchmarks which originally stresses the CPU, Floating Point Unit and to some extent the memory subsystem, the organisation later developed benchmarks for graphical applications, workloads for high-performance applications, including Java JVM workloads, client/server workloads, and even mail server benchmarks. A large number of benchmarks are implemented to measure the performance of a range of mathematical kernels, in order to facilitate comparison between these kernel algorithms and their performances on a range of platforms. These include, most notably, the LINPACK benchmarks for basic algebra computations and the NAS parallel benchmarks. These benchmarks have also been used to benchmark the performance of MPI-based mathematical kernels, including Java Grande, which is the primary benchmark suite to be explored in next section.

3.3.2 Java Grande Benchmark Suite

To bring power analysis into a high level abstraction and in tune with high performance computing, a set of well known performance benchmarks has been used. Over the past decade many performance benchmarks have been implemented for large scale applications, in particular focus has been put onto Java. The Java Grande benchmark suite documented is a popular resource within the high-performance community for evaluating the performance of Java-based scientific applications. These benchmarks adopt the hierarchical structure of the GENESIS Benchmark which included
low-level operations, kernels and large scale applications sections. During the development stage of the benchmark suite a subset of benchmarks has been rewritten in C and FORTRAN to allow inter-language comparisons \cite{12}. The C implementation of the benchmark suite has been adopted due to the nature of C being able to interact with memory, devices and processors directly. Whereas the language comparison benchmark suite in C is divided into kernels’ and large-scale applications’ sections, for the completeness of constructing the power classification model, the section for elementary operations has also been translated from Java into C. Below is a brief outline of the operations of individual benchmarks.

### 3.3.2.1 Elementary Operations

Elementary operation benchmarks are designed to test the performance of the low-level operations such as addition using type float or looping and indivisible operations such as I/O request or memory allocation, which will ultimately determine the performance of real applications running under the target platform. These benchmarks are designed to run for a fixed period of time: the number of operations executed in that time is recorded, and the performance reported as operations/second.
3.3 Power Analysis by Performance Benchmarking and Modelling

void ArithAddInt() {
    size = INITSIZE;
    i1=1; i2=-2; i3=3; i4=-4;
    while (size < MAXSIZE){
        for (i=0; i<size; i++){
            i2+=i1;
            i3+=i2;
            ....
            i3+=i2;
            i4+=i3;
            i1+=i4;
        }
        size *=2;
    }
}

Listing 3.14: An excerpt of arith.c showing the integer add benchmark method.

1. **Arith** measures the performance of arithmetic operations (add, multiply and divide) on the primitive data types int, long, float and double. Performance units are adds, multiplies or divides per second. Listing 3.14 is an excerpt of arith.c showing the integer add benchmark method.

2. **Assign** measures the cost of assigning to different types of variable. The variables may be scalars or array elements, and may be local variables, global variables or pointer variables. Performance units are assignments per second.

3. **Memory** This benchmark tests the performance of allocating and freeing physical memory. Memory sizes are allocated for arrays, matrices
3.3 Power Analysis by Performance Benchmarking and Modelling

(pointer to an array) of different data type and of different sizes. Performance units are allocations per second.

4. **Loop** measures loop overheads, for a simple for loop, a reverse for loop and a while loop. Performance units are iterations per second.

5. **Method** determines the cost of a method call. The methods can be of no arguments, taking basic data type such as int as arguments or taking complex data type such as a pointer or a pointer pointing to a pointer. Performance units are calls per second.

6. **Serial** measures the performance of serialisation, both writing and reading of a dataset to and from a file. The types of dataset tested are arrays, matrices and binary data. Performance units are bytes per second.

### 3.3.2.2 Kernels Section

A kernel is generalisation of some instruction mix. In some specialised applications, one can identify a set of common operations, for example matrix inversion. Different processors can then be compared on the basis of their performances on these kernel operations. Some of the commonly used kernels are Sieve, Puzzle, Tree Searching, Ackerman’s Function, Matrix Inversion, and Sorting. However, unlike instruction mixes, most kernels are not based on actual measurements of systems. Rather, they became popular after being used by a number of researchers trying to compare their processors’
architecture. The following kernel benchmarks are chosen to be short codes containing the type of computation likely to be found in Grande applications.

1. **Fourier coefficient analysis** computes the first $N$ Fourier coefficient of the function $f(x) = (x+1)^x$. This is computed on the interval 0,2. Performance units are coefficients per second. This benchmark heavily exercises transcendental and trigonometric functions.

2. **LU factorisation** solves an $N \times N$ linear system using LU factorisations followed by a triangular solve. This is a derivative of the well known LINPACK benchmark [22]. Performance units are MFlops per second. Memory and floating point intensive.

3. **Heap Sort Algorithm** sorts an array of $N$ integers using a heap sort algorithm. Performance unit is in units of items per second. Memory and integer intensive.

4. **Successive Over-relaxation** performs 100 iterations of successive over-relaxation on an $N \times N$ grid. The performance unit is in iterations per second.

5. **Fast Fourier Transform** performs a one-dimensional forward transform of $N$ complex numbers. This kernel exercises complex arithmetic, shuffling, non-constant memory references and trigonometric functions.

6. **Sparse Matrix Multiplication** performs matrix-vector multiplication using an unstructured sparse matrix stored in compressed-row for-
3.3 Power Analysis by Performance Benchmarking and Modelling

mat with a prescribed sparsity structure.

7. **Matrix Inversion** performs inversion on an \( N \times N \) matrix using Gauss-Jordan elimination with pivoting and hence solves \( N \) linear equations [60]. Listing 3.15 is an excerpt of `matinvert.c` showing matrix inversion benchmark method using technique mentioned above.

### 3.3.2.3 Large Scale Applications

If computer systems are to be compared using a particular application, a representative subset of functions for that application may be used. The following benchmarks are intended to be representatives of some large scale applications, suitably modified for inclusion in the benchmark suite by removing any I/O and graphical components.

```c
#define SWAP(a,b) {temp=(a);(a)=(b);(b)=temp;}

void Inverttest(float **a, int n, float **b, int m) {
    int icol,irow,l,ll,i,j,k;
    float big,dum,pivinv,temp;
    for (j=1;j<=n;j++) ipiv[j]=0;
    for (i=1;i<=n;i++) {
        big=0.0;
        for (j=1;j<=n;j++)
            if (ipiv[j] != 1)
                for (k=1;k<=n;k++) {
                    if (ipiv[k] == 0) {
                        if (fabs(a[j][k]) >= big) {
                            big=fabs(a[j][k]);
                            irow=j;
                            icol=k;
                          
```
Listing 3.15: An excerpt of `matinvert.c` showing matrix inversion benchmark method using Gauss-Jordan Elimination with pivoting technique, note the use of macro `SWAP`.

1. **Computational Fluid Dynamics** solves the time-dependent Euler equations for flow in a channel with a “bump” on one of the walls. A structured, irregular, $N \times 4N$ mesh is employed, and the solution method is a finite volume scheme using a fourth order Runge-Kutta method with both second and fourth order damping. The solution is iterated for 200 time steps. Performance is reported in units of time steps per second.

2. **Molecular Dynamics simulation** is an $N$-body code modelling particles interacting under a Lennard-Jones potential in a cubic spatial volume with periodic boundary conditions. Performance unit is in interactions per second and the number of particles is given by $N$.

All the mentioned benchmarks within the suite have been modified to tailor the need for performance benchmark power analysis described below.
3.3 Power Analysis by Performance Benchmarking and Modelling

3.3.3 Performance Benchmark Power Analysis

Figure 3.2: PSim’s Power Trace Visualisation bundle - graphical visualisation of power trace data compiled by recording current drawn by a heapsort algorithm

Performance Benchmark Power Analysis denotes the monitoring of energy consumption while a particular workload is running on a targeted platform. The monitoring is carried out externally by measuring and recording the electric current passing through the main electric cable and the voltage across it. The product of these yields the electrical power. This analysis adapts the same approach and experimental platform as specified in the case study in chapter 1 hence calculation can be carried out according to equation (1.1).
3.3 Power Analysis by Performance Benchmarking and Modelling

```c
void NumHeapSort() {
    int temp, i;
    int top = array_rows - 1;
    for (i = top/2; i > 0; --i)
        NumSift(i, top);
    for (i = top; i > 0; --i) {
        NumSift(0, i);
        temp = TestArray[0];
        TestArray[0] = TestArray[i];
        TestArray[i] = temp;
    }
}

void NumSift(int min, int max) {
    int k;
    int temp;
    while((min + min) <= max) {
        k = min + min;
        if (k < max)
            if (TestArray[k] < TestArray[k+1]) ++k;
        if (TestArray[min] < TestArray[k]) {
            temp = TestArray[k];
            TestArray[k] = TestArray[min];
            TestArray[min] = temp;
            min = k;
        } else
            min = max + 1;
    }
}
```

Listing 3.16: An excerpt of heapsort.c showing a heap sort algorithm benchmark method.

During Performance Benchmark Power Analysis, the chosen benchmark workloads which have been rewritten and modified in C are executed on an experimental platform. At every \( N \) iterations of the workloads’ execution, apart from power dissipation is measured, a selection of resource information
3.3 Power Analysis by Performance Benchmarking and Modelling

is also recorded. Currently parts of the resource information include processor
cycle and memory utilisation.

Figure 3.2 shows screen shot of a graphical simulation in PSim displaying
a line representation of the power trace file compiled by recording current
drawn by a heap sort algorithm shown in listing 3.16. The vertical and
horizontal calibrations shown in the figure are the current drawn and the
execution time respectively. PSim is described in details in chapter 4. From
this graphical view it should be possible to depict repetitive patterns since
the algorithm is being executed for some \( N \) iterations.

```c
static int a[];
static void bubblesort() {
    int i,j,tmp;
    for ( i=0; i<6999; i++ ) {
        for (j=6999; j>i; j--) {
            if ( a[j-1] > a[j] ) {
                swap(&a[j-1],&a[j]);
            }
        }
    }
}
static void swap(int *x,int *y) {
    int tmp;
    tmp = *x;
    *x = *y;
    *y = tmp;
}
```

Listing 3.17: A C implementation of bubble sort algorithm with 7000 integer
array.
3.3 Power Analysis by Performance Benchmarking and Modelling

3.3.3.1 Using the Classification Model

To demonstrate the concept of a power classification model, an implementation of the bubble sort algorithm shown in listing 3.17 is used as an example. This bubble sort algorithm re-orders the integer values in pointer variable a.

By simply stepping through the source code it is possible to identify simple workloads within its construct which represents the nodes of the basic model mentioned above. For example line 4 and 5 can be matched to the node loop which represents iteration construct, the implementation of the loop workload benchmark is shown in listing 3.18 Line 7 which is a call to the method swap can be matched to the node method as it represents the cost of a method call, the implementation of the method workload benchmark is shown in listing 3.19 Similarly, assuming the probability of executing line 7 is 0.5, we can also match line 15, 16 and 17 as global variable pointer assignment construct with the node assign, the implementation of the assign workload benchmark is shown in listing 3.20

```c
void ArithLoop() {
    size = INITSIZE;
    while (size < MAXSIZE){
        for (i=0;i<size;i++) {
        }
        size *=2;
    }
}
```

Listing 3.18: An excerpt of arith.c showing the loop construct benchmark method
3.3 Power Analysis by Performance Benchmarking and Modelling

```c
static void ArithMethod() {
    size = INITSIZE;
    while (size < MAXSIZE){
        for (i=0;i<size;i++) {
            static_method();
            static_method();
            static_method();
            static_method();
            static_method();
            ...
            static_method();
            static_method();
        }
        size *=2;
    }
}

static void static_method(void) { }
```

Listing 3.19: An excerpt of arith.c showing the method workload benchmark method

```c
int *a1=1,*a2=2,*a3=3,*a4=4;
void ArithAssignGlobal() {
    size = INITSIZE;
    while(size < MAXSIZE){
        for (i=0;i<size;i++) {
            a1=a2;
            a2=a3;
            a3=a4;
            a4=a1;
            a1=a2;
            a2=a3;
            a3=a4;
            ...
            a3=a4;
            a4=a1;
        }
    }
}
```

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3.3 Power Analysis by Performance Benchmarking and Modelling

```
size *=2;
}
}
```

Listing 3.20: An excerpt of arith.c showing the assign workload benchmark method

### 3.3.4 Observation

Although the example shown above is rather simple, it demonstrates the use of the basic classification model, a more complex application might need to utilise different levels or sections of the model i.e. kernel or grande. However observations show there are number of factors which might have major significance to the development of this conceptual model. To enable further development of this model, the following should be considered:

- **Exhaustive Characterisation Units** - The theoretical model has not yet proven to be exhaustive at this preliminary stage. It is important for the basic model to have an exhaustive collection of characterisation/classification units and yet be extendable so that nodes or units can be added or deleted as deemed necessary.

- **Accuracy of the Analysis** - Benchmarking results are considered to be inaccurate for the basic model mentioned above. This has led to the difficulty in creating concrete dependencies between workloads as nodes in the model. The reasons for this inaccuracy are as follows:
1. Complexity of the platform and the black-box method of power analysis create a noise floor for accurate results to be obtained, the black-box method is discussed in section 4.3.

2. Frequency of measurement is too small in comparison to processor cycles so that it is impossible to capture all the relevant power dissipation during recording.

3. Each benchmark method has certain pre-conditions such as memory storage or variable initialisation and produces post-conditions. These conditions affects the accuracy of the analysis.

- **Concrete nodes connection** - Although there is a hierarchical relationship between the workloads by their complexity, it is not yet possible to connect them as node into the classification model that can be used to characterise applications relatively.

### 3.4 Summary

This chapter described two proposed techniques and concepts in power-metric analysis and application predictions, they are namely application level analysis by defining implementation language operations as blocks of control flow definitions and power analysis by using a classification workload model. This chapter also introduced a dynamic set of tools known as PSim - Power Trace Simulation and Characterisation Tools Suite to employ the techniques described in this chapter. PSim is implemented in Java for its platform inde-
3.4 Summary

pendence. The detail of PSim implementation is documented in chapter 4.

These power analysis techniques are both computational environment and platform independent since the techniques mentioned abstract the underlying platform into either the corresponding hardware object or an instantiation of the basic model in performance benchmark power analysis, therefore in theory, with the corresponding resource profile, applications can be analysed and their energy consumption can be predicted for any types of computational environment and platforms.
Chapter 4

PSim: A Tool for Trace
Visualisation and Application
Prediction

4.1 Introduction

Whilst formulating the energy consumption analysis and prediction tech-
niques, which have been described in chapter 3, a tools suite called *PSim* -
Power Trace Simulation and Characterisation Tools Suite is developed to em-
body these techniques. PSim is written in Java\textsuperscript{TM} (J2SE version 1.4.2) and
the source code contains about 10,000 lines. PSim is split into two bundles
4.1 Introduction

<table>
<thead>
<tr>
<th>Entity/Description</th>
<th>Implementation Classes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Power Trace Visualisation:</td>
<td>Simulate, TimeChart, Trace, TraceException, printSummary, printTable, SimStep</td>
</tr>
<tr>
<td>To graphically visualise, playback and analyse trace information from application energy consumption measurements.</td>
<td></td>
</tr>
<tr>
<td>Characterisation and Prediction:</td>
<td>Simulate, Characterisation, bSemaphore, SourceView, TraceException</td>
</tr>
<tr>
<td>To characterise and predict applications' energy consumption based on source code.</td>
<td></td>
</tr>
</tbody>
</table>

Table 4.1: A table showing an overview of the main functionalities of PSim and their corresponding implementation class.

and their main functionalities are listed in table 4.1. Power Trace Visualisation is a bundle which provides detailed and dynamic graphical animation as well as energy consumption analysis summaries based on recorded traces from energy consumption analysis. Characterisation and Prediction is a bundle which provides the capability to characterise and predict an application’s energy consumption based on its source code. Section 4.2 describes the background and motivation of software visualisation, section 4.3 documents the implementation and details of Power Trace Visualisation bundle (PTV) and section 4.4 documents the implementation and details of Characterisation and Prediction bundle (CP).

1The implementation package is uk.ac.warwick.dcs.hpsg.PSimulate and a simplified UML class diagram of this Java package is shown in appendix C.
4.2 Visualisation Motivation and Background

Graphical visualisation is a standard technique for facilitating human comprehension of complex phenomena and large volumes of data [48]. This is particularly crucial when investigating the behaviour of an application at a source code level, coupling with their energy consumption activities. Thus it seems natural to use visualisation techniques to gain insight into these behaviours and activities so that application’s energy oriented performance can be understood and improved.

Graphical visualisation of an applications’ performance activities is not a new idea. In the past decade early graphical visualisation has already addressed a wide variety of problems that range from algorithm animation and visual programming to visualising software design issues of large-scale systems. When visualising complex algorithms to assist comprehension and analysis tasks associated with maintenance and re-engineering, it brings together research from software analysis, information visualisation, human-computer interaction, and cognitive psychology. Research in software visualisation has flourished in the past decade and a large number of tools, techniques, and methods were proposed to address various problems.

An analogy can be drawn between software visualisation and learning to program. Programming is an activity that forces us to draw upon our abilities to think analytically, logically, and verbally [65]. This requires using both sides of our brain. The left hemisphere is responsible for analytical and logical
4.2 Visualisation Motivation and Background

thinking. The right hemisphere is responsible for more artistic and intuitive thinking. It is also capable of processing in parallel to capture images as a whole. In [65] the author gives four reasons why visual programming is stimulated. They are as follows:

1. Pictures are a more powerful means of communication than words;

2. Pictures aid understanding and remembering;

3. Pictures can provide an incentive for learning to program;

4. Pictures are understood by people no matter what language they speak.

Similarly, understanding an application’s performance activities can be augmented through the use of graphical visualisation. The power of a visualisation in programming language and representation is derived from its semantic richness, simplicity, and level of abstraction which are also correct when visualising execution traces. The aim is to develop a representation with fewer constructs, but at the same time with the ability to represent a variety of elements with no ambiguity or loss of meaning. This section gives an overview of some of the graphical visualisation tools for applications under two distinct types of computational environments, sequential and parallel. Although the tools pertaining to these environments serve very different purposes, nevertheless behind these visualisation tools lies a similar motivation which is to allow greater understandings of both the applications’ constructs and their execution behaviour.
4.2 Visualisation Motivation and Background

4.2.1 Sequential Computational Environments

In the past, the goal of software visualisation in sequential environments is to allow programs to run faster. There are three components to run-time efficiency: algorithms, data structures and efficient coding \[26\]. To find the inefficiencies in their code, programmers use a number of techniques to determine where the most CPU time is spent (“hotspot”) and then make changes to reduce this time. Some of the techniques include “profiling” (enabling code tuning) \[26\] (energy conscious profiling is documented in section \[2.2.3\]), execution trace visualisation \[62\], and static analysis such as code browsing with colour and height representation \[27\] \[25\]. In this section visualisation tools Seesoft \[27\], Tarantula \[62\] and Source Viewer 3D \[44\], are described.

**Seesoft** - The Seesoft software visualisation system, developed by AT&T Bell Laboratories employs the pixel metaphor and allows one to analyse up to 50,000 lines of code simultaneously by mapping each line of code into a thin row of pixels \[27\]. The display is similar to an extremely reduced representation of code that has been typeset \[26\].

The system displays information through the use of version control, static analyses such as verifying the locations where functions are called and dynamic analyses such as code profiling. It identifies “hot spots” in the code. This type of visualisation techniques which is used for analysing profile data also complements function summary techniques because it allows application developers to study line oriented statistics. Seesoft employs a unique methodology that allows developers to discover usage patterns in the implementation.
code that would otherwise be infeasible using traditional methods.

Figure 4.1: Tarantula’s continuous display mode using both hue and brightness changes to encode more details of the test cases executions throughout the system \[25\].

**Tarantula** - SeeSoft-like representations are used by a number of existing tools such as Tarantula \[25\] which implements fault localisation via visualisation as the author believed that locating the faults which cause test case failures is the most difficult and time-consuming component of the debugging process. It employs a colour model to display each source code statement that reflect its relative success rate of its execution by the test suite. An example of it is shown in figure 4.1 which illustrates a screenshot of Tarantula in continuous display mode. Although it is not obvious from the figure, this
model renders all executed statements so that the hue of a line representing individual statement is determined by the percentage of the number of failed test executing statement $s$ to the total number of failed tests in the test suite $T$ and the percentage of the number passed tests executing $s$ to the number of passed tests in $T$ [25].

**Source Viewer 3D** - The Source Viewer 3D (sv3D) [46] is a framework for software visualisation which augmented Seesoft’s pixel metaphor by introducing a 3D metaphor to represent software system and containers, poly cylinders, height, depth, color and position. This 3D metaphor extends the original one by rendering the visualisation in a 3D space. sv3D supports zooming and panning at variable speed which have been proven to be important when the examined application or the visualisation space is large. sv3D brings the following major enhancements over Seesoft-type representations:

- It creates 3D renderings of the raw data.
- Various artifacts of the software system and their attributes can be mapped to the 3D metaphors, at different abstraction levels.
- It implements improved user interactions.
- It is independent of the analysis tool.
- It accepts a simple and flexible input in XML format. The output of numerous analysis tools can be easily translated to sv3D input format.
- Its design and implementation are extensible.
4.2 Visualisation Motivation and Background

Figure 4.2: an element of visualisation in sv3D displaying a container with poly cylinders (P denoting one poly cylinder), its position $P_x, P_y$, height $z_+$, depth $z_-$, color and position [16].

Apart from fault localisation, visualisation of execution traces, source code browsing, impact analysis, evolution and slicing, sv3D also uses height instead of brightness (as in Tarantula) which will improve the visualisation and make the user tasks easier.

4.2.2 Parallel Computational Environments

The behaviours of parallel applications are often extremely complex, and hardware or software performance monitoring of such applications can gen-
4.2 Visualisation Motivation and Background

erate vast quantities of data. Thus, it seems natural to use graphical visual-
isation techniques to gain insight into the behaviour of parallel applications
so that their performance can be understood and improved.

Over the last ten years or so a number of powerful tools have emerged for
visualising parallel applications. These are essentially “discrete event mon-
itoring” tools, which are able to display time-line information of individual
parallel processes and show a graph of the active communication events dur-
ing the execution. This may be supplemented by user-defined events enabling
the programmer to identify the area of code being displayed.

The two tools set which are described are ParaGraph [48] and Parade [69].
ParaGraph is based on PICL (Portable Instrumented Communication Li-
brary), developed at Oak Ridge National Laboratory and available from
netlib\(^2\) and it is used as a graphical display tool for visualising the behav-
avour and performance of parallel applications that use MPI (Message-Passing
Interface). Parade is a comprehensive environment for developing visualisa-
tions and animations for parallel and distributed applications. It includes
components such as an animation toolkit for visualising applications from
many different languages and on many different architectures and an anima-
tion choreographer which provides flexible control of the temporal mapping
programs to the environment’s animations.

**ParaGraph** - ParaGraph is a graphical display system for visualising the
behaviour and performance of parallel programs on message-passing parallel

\(^2\)Available at [http://www.netlib.org/picl/](http://www.netlib.org/picl/)
4.2 Visualisation Motivation and Background

<table>
<thead>
<tr>
<th>Categories</th>
<th>Display Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>Utilization</td>
<td>Processor count, Gantt chart, Summary,</td>
</tr>
<tr>
<td></td>
<td>Concurrency profile, Utilization meter,</td>
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<tr>
<td></td>
<td>Kiviat diagram</td>
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<tr>
<td>Communication</td>
<td>Message Queues, Communication matrix,</td>
</tr>
<tr>
<td></td>
<td>Animation, Hypercube, Communication meter,</td>
</tr>
<tr>
<td></td>
<td>Communication traffic, Space-time diagram</td>
</tr>
<tr>
<td>Task Information</td>
<td>Task Gantt, Task summary</td>
</tr>
</tbody>
</table>

Table 4.2: A table showing categories of display and their associated components of ParaGraph [48].

computers [48]. It takes trace data provided by PICL as input execution. PICL is a subroutine library that implements a generic message-passing interface on a variety of multiprocessors. Programs written using PICL routines instead of the native commands for interprocessor communication are portable in the sense that they can be run on any machine on which the library has been implemented. PICL also optionally produces an execution trace during an actual run of a parallel program on a message-passing machine, and the resulting trace data can then be replayed pictorially with ParaGraph to display a dynamic, graphical depiction of the behaviour of the parallel program.

ParaGraph provides several distinct visual perspectives from which to view processor utilisation, communication traffic, and other performance data in an attempt to gain insights that might be missed by any single view. Table 4.2 describes these display categories in ParaGraph.

Its basic structure is that of an event loop and a large switch that selects actions based on the nature of each event. There are two separate event
4.2 Visualisation Motivation and Background

queues: a queue of X events produced by the user on events such as mouse
clicks, keypresses and window exposures and a queue of trace events produced
by the parallel program under study. ParaGraph alternates between these
two queues to provide both a dynamic depiction of the parallel program and
responsive interaction with the user

**Parade** - Parade supports the design and implementation of software visual-
alisations of parallel and distributed programs [69]. One of Parade’s compo-
nents is the visualisation of a program execution, it utilises trace information,
and relies on software-level instrumentation which is used for performance
monitoring and can be performed at different levels such as operating system,
run-time system, system-supplied libraries etc. Common software visualisa-
tions for program monitoring using Parade are run post-mortem i.e. the
testing application produces a trace which is post-processed at a later time.
This method is carried out using the *animation choreographer*, figure 4.3
shows a user interface for the animation choreographer that presents the or-
dering and constraints between program execution events [69]. One major
breakthrough in program monitoring using Parade is the technique for per-
forming on-line visualisation which involves mechanisms to transmit program
event to the animation components “intelligently”. This technique relies on
filtering which can preserve the causal ordering of execution events and this
is achieved by applying simple ordering rules to the event transmissions.
4.3 Power Trace Visualisation

PSim adopts a “playback” mechanism which resembles a similarity to ParaGraph [48] mentioned in section 4.2.2. This mechanism refers to the graphical animation of energy consumption of an executing application based on trace data from energy consumption measurement. This is a “post processing” program monitoring technique which is based on both ParaGraph’s and Parade’s concepts mentioned in section 4.2.2. To demonstrate these functionalities and the mechanisms of PSim’s power trace visualisation, the experimental
settings mentioned in chapter \[1\] are used. In this section implementations of different algorithms are executed on a Fedora Linux Core 3 workstation named ip-115-69-dhcp containing a 2.8GHz Intel Pentium IV processor and 448 MBs RAM. This experiment uses a METRA HIT 29S Precision Digital Multimeter to measure and record the current in amperes drawn into the platform through the main electric supply cable and the voltage across it. They are measured at an interval of 50 milliseconds. The data is captured using BD232 Interface Adaptor that connects to a workstation running METRAwin10/METRAHit which processes and archives the raw data from the multimeter into ASCII values for further processing \[17\]. A C function gettimeofday() is also used to record each implementation’s run-time in milliseconds.

We have adopted a simple black-box method to measure and record both the current drawn into the experimental platform and the voltage across it whilst monitoring an application’s execution. Although the measurements obtained by this method might not be accurate due to the complex configurations of modern hardware components, this method is notably easier to set up since both current and voltage are measured through the main electric supply cable without having to access the hardware components inside the experimental platform. Likewise this measurement method only uses a simple digital multimeter and does not require any specialised equipment or energy simulation software such as those mentioned in \[61\].

Moreover, unlike other methods of energy measurement such as \[71\] which
4.3 Power Trace Visualisation

focuses on the experimental platform’s microprocessor, the current and voltage values measured using the method described in this thesis allows the complete configuration of the experimental platform to be taken into account. Nevertheless to ensure the measurements’ inaccuracy across all experiments are consistent, the energy consumption of the experimental platform is measured and taken into account when calculating the energy consumption of the running application.

Furthermore this measurement method is applicable in the context of the energy consumption prediction technique described in this thesis. This is because when the proposed prediction technique is used to predict energy consumption of an application executing on the experimental platform, the application’s source code is characterised into control flows of clcs and the energy consumption of the corresponding clcs are also measured using the same measurement method mentioned above. Hence the measurement inaccuracy will exist in both the application’s and individual clc’s energy consumption measurements. Therefore this inaccuracy is consistent across both predicted and measured energy consumptions and in chapter the evaluation shows this inaccuracy can be modelled using a simple linear model.

By default, PSim initially displays only a log display with its main menu, as shown in figure when the tracefile heap_1659210105.simulate, which is a measurement trace from executing the heap sort algorithm from the selected workloads for the classification model described in section is loaded onto PSim. All tracefiles use the suffice or extension .simulate to
4.3 Power Trace Visualisation

denote simulation files and it is the only file format PSim PTV bundle takes as the input.

```plaintext
Heap Sort Algorithm accumulative lapse 2 iters
time,power,cpu,mem,operation,accum,lapse,aver
16:47:59,20.1920,2.2,0.0,,,,
16:48:00,20.0064,,,,,
16:48:01,19.9936,2.0,0.0,Run,,,,
16:48:02,19.9936,99.0,1.0,Store/Run/Save,,,,
16:48:03,23.1008,99.5,1.8,,,,
16:48:04,22.7008,99.9,1.8,,,,
16:48:05,22.7008,99.9,1.8,,,,
16:48:06,22.7136,99.9,2.7,Init/Run,5.204749,5.204745,0.384265
16:48:07,23.2288,89.8,3.5,Store/Run/Save,,,,
16:48:08,23.2288,91.1,3.5,,,,
16:48:09,59.840,93.2,3.5,,,,
16:48:10,59.840,95.9,3.5,,,,
16:48:11,60.672,6,Init/Run,10.438022,5.233008,0.382189
16:48:12,61.696,96.1,4.4,Store/Run,,,,
16:48:13,61.696,96.5,5.3,Save,,,,
16:48:14,60.544,96.8,5.3,,,,
16:48:15,59.936,98.5,5.3,,,,
16:48:16,59.936,98.6,5.3,Init/Run,15.685030,5.246765,0.381187
16:48:17,60.704,98.6,6.1,Store/Run,,,,
16:48:18,60.704,98.7,7.0,Save,,,,
```

Listing 4.21: An excerpt of the tracefile heap_1659210105.simulate.

4.3.1 Execution Trace Data

Listing [4.21] is an excerpt of the trace file mentioned above. The trace data is organised in comma separated values (csv) format as it is a de facto standard for portable representation of a database and has been used for exchanging
4.3 Power Trace Visualisation

Figure 4.4: User interface of PSim at initialisation.

and converting data between various spreadsheet programs [19]. Each trace
file encapsulates a set of required and optional information for trace visual-
isation, line 1 of the trace file shows the name of the measured application,
line 2 categorises each column of data in the trace file. Table 4.3 shows a set
of required and optional information in trace file format for visualisation in
PSim. Note for convenience PSim is equipped to process either current or
power measurements recorded by the digital multimeter. A typical trace file
is required to have an experiment’s run time and current or power measure-
ment. PSim accepts absolute timing information from the trace data, this is
because trace files are compiled after each energy consumption measurement
session by encapsulating both power/current information from a workstation
running METRAwin10/METRAHit which interfaces with the multimeter
and optional information such as CPU and memory usage information di-
rectly from the experimental platform. Since these information arrive from
different workstations, a Perl script named PComposer has been implemented.
4.3 Power Trace Visualisation

<table>
<thead>
<tr>
<th>Required information</th>
<th>Optional information</th>
</tr>
</thead>
<tbody>
<tr>
<td>time(ms)</td>
<td>CPU usage(%)</td>
</tr>
<tr>
<td>current/power(A/W)</td>
<td>memory usage(%)</td>
</tr>
<tr>
<td></td>
<td>operation</td>
</tr>
<tr>
<td></td>
<td>accumulative session time(s)</td>
</tr>
<tr>
<td></td>
<td>lapse session time(s)</td>
</tr>
<tr>
<td></td>
<td>average workload time(s)</td>
</tr>
</tbody>
</table>

Table 4.3: A table showing a set of required and optional informations in trace file for visualisation in PSim.

which accompanies PSim to automate this encapsulation. Optional information is only included depending on the type of visualisation chosen. The types of trace visualisation are categorised by the type of power analysis carried out and the following sections describe these categories, and both the colour scheme and the calibration adopted by the PSim PTV display during trace visualisations.

4.3.1.1 Colour scheme and Calibration

The PSim PTV display uses a systematic colour and calibration scheme. They are shown in the figures depicting the PTV display such as figures 4.6, 4.7 etc. Table 4.4 shows the default colour scheme adopted by the PSim PTV to visualise an application’s power trace data. The vertical and horizontal axes used the PSim PTV display calibrate the current drawn by the application and the application’s execution time respectively. The vertical calibration is also the percentage of CPU and memory utilisations. While visualising the status of monitoring an application as a block presentation, PTV uses each block with

PComposer’s usage and description are documented in appendix A
Table 4.4: A table showing PSim PTV display’s colour scheme for trace visualisation.

<table>
<thead>
<tr>
<th>Colour codes</th>
<th>Items</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blue</td>
<td>Current/Power dissipation</td>
</tr>
<tr>
<td>Pink</td>
<td>CPU utilisation(%)</td>
</tr>
<tr>
<td>Green</td>
<td>Memory utilisation(%)</td>
</tr>
<tr>
<td>Cyan</td>
<td>Run operation</td>
</tr>
<tr>
<td>Magenta</td>
<td>Save operation</td>
</tr>
<tr>
<td>Orange</td>
<td>Initialise operation</td>
</tr>
</tbody>
</table>

a particular colour to display the type and duration of operations by which an application executes during its run time. The physical horizontal length of a block represents the length of time at which an application takes to execute that particular operation. PTV’s block representation specifies whether an application is performing a run operation (analysing data), a save operation (writing data onto memory) and an initialise operation (initialising variables for a run operation). PTV’s block representation only uses the horizontal calibration as it visualises a set of operations with respect to an application’s run time. Figure 4.5 shows a section of PSim’s PTV’s block representation visualising the power trace data from monitoring the Fast Fourier Transform workload using container and ccp.

4.3.1.2 Full View

During the construction of the basic model for the Performance Benchmark Power Analysis technique proposed in section 3.3.3, the Workload Benchmark Container container is implemented to monitor selected workloads’ execu-
4.3 Power Trace Visualisation

Figure 4.5: A section of PSim’s PTV’s block representation visualising the power trace data from monitoring workload Fast Fourier Transform using container and ccp.

ctions and to collect data from their execution traces. Accompanying this container is a shell script called ccp which specifically monitors the CPU and memory utilisations of the executing workload. The format of trace data collected from container is shown below:

\[
\text{Wn,Sc,ipS,eRt,SRt,ips,ct}
\]

where  
\begin{align*}
\text{Wn} & \quad \text{Workload Name} \\
\text{Sc} & \quad \text{Session counts} \\
\text{ipS} & \quad \text{Iterations per sessions} \\
\text{eRt} & \quad \text{execution run time} \\
\text{SRt} & \quad \text{session run time} \\
\text{ips} & \quad \text{average iterations per second} \\
\text{ct} & \quad \text{current time}
\end{align*}

\[
\text{e.g. fft,accum.1x100:0.390448,ses1:0.390445,aver:256.118058,tm:13:06:40.655497}
\]

The example trace shown above is collected during the execution of the kernel workload Fast Fourier Transform. The accuracy of the timings within the example trace data is reduced purely for display purposes. By using

\[\text{4The usage and description of container and ccp are documented in appendix B.}\]
4.3 Power Trace Visualisation

PComposer, trace data collected from container and ccp are merged into a single trace file similar to the example shown in listing 4.21. Figure 4.6 shows a graphical visualisation of power trace data from monitoring the workload of the Fast Fourier Transform using the PSim PTV bundle, data are generated by container and ccp. The data view focuses on power dissipation, CPU and memory usage and are also displayed as line representations. The data view in figure 4.7 focuses on the status of the monitoring workload against its run time and are displayed as block representations. Note while block representation is displayed, only the horizontal calibration, which is the execution time, is used. Details of PTV calibration have already been described in section 4.3.1.1. The implementation details of PSim PTV bundle and its analysis tools will be described in section 4.3.2.

4.3.1.3 Default and Reduced Views

Under normal circumstances when power benchmarking an application the default view is used, trace files have to include information about experimental run time, current or power measurement, CPU and memory utilisation percentage. These benchmarking excludes the use of container and execution run time are generated separately using the C function gettimeofday(). This is similar to the way the experiment in chapter 1 is carried out. Reduced view is used when apart from power dissipation, all the other resource usage information are stripped out from the trace file. Figure 3.2 has already shown a snapshot of this view displaying trace data produced from the monitoring
of an implementation of a heap sort algorithm.

### 4.3.2 Visualisation: Displays and Animations

This section describes the individual displays and “playback” mechanism provided by PSim. Some views of displays change dynamically according to the frame at which the execution is being played back by the animation function. Other views require “scrolling” (by a user-controllable amount) to browse through the execution trace manually. This in effect provides a moving window for viewing what could be considered as a static picture.

Functionalities of PSim PTV bundle fall into one of four basic categories: **control**, **animation**, **analysis** and **view**. Analysis is split into visual and statistical analysis. Types of display views have already been explained in section 4.3.1 while describing the formats of trace files.

Note PSim is designed to visualise either current or power measurement with CPU and memory usage information simultaneously as shown in figure 4.6 and when a current measurement is chosen for display, PSim’s PTV bundle will scale up the current values to allow better visualisation of the energy consumption profile. This is because the numerical range of the current drawn by an average application is considerably less than that of CPU and memory utilisation percentage range.
4.3 Power Trace Visualisation

Figure 4.6: PSim PTV bundle - graphical visualisation of power trace data from monitoring workload Fast Fourier Transform using container and ccp. The data view focuses on power dissipation, CPU and memory usage and they are displayed as line representations.

4.3.2.1 Control

The PSim PTV bundle provides a collection of control mechanisms for interacting with users as well as “tuning” the presented trace data. PSim can display trace data in terms of their absolute timings i.e. the actual period when the monitoring took place, as well as display them in relative timings. This allows a user to pinpoint an exact timing at which a process took place and be able to relate this information to the corresponding resource usage information.
4.3 Power Trace Visualisation

Figure 4.7: PSim PTV bundle - graphical visualisation of power trace data from monitoring workload Fast Fourier Transform using container and ccp. The data view focuses on the status of the monitoring workload against its run time and they are displayed as block representations.

Also to allow browsing trace data easily, PSim is equipped with a scroll bar at the bottom of the visualisation interface and a corresponding “draggable” reference line at the visualisation area. These features are depicted in figure 4.6; the red line in the middle of figure 4.6 is the so-called “draggable” reference line and the scroll bar is shown in the bottom of the user interface. The visualisation area provided by the PSim PTV bundle is also a cursor detection area, allowing a real time update of power, CPU and memory information. A snapshot of this is shown in figure 4.8. The update is carried out according to the cursor position on the visualisation area and its
4.3 Power Trace Visualisation

Figure 4.8: A snapshot depicting real time update of power, CPU and memory information at the visualisation area of PSim PTV bundle according to cursor position and its relation to the position of actual visualised trace data.

relation to the position of actual visualised trace data.

**Data Synchronisation** - As explained in section 4.3.1 about the compilation of the trace file, current/power measurements from monitoring an applications are data-logged by a separate workstation due to the incompatibility between the experimental platform and the interface software and since running another application on the experimental platform when monitoring a workload induces overhead, while the resource information such as CPU and memory usage are stored on the experimental platform. This creates a possibility of the data being out-of-sync due to the difference in the timing information of these data from two different platforms. Although PComposer is used to merge these data into a single trace file, it is far more effective to carry out data synchronisation visually and consequently PSim has been implemented to include this functionality. It allows synchronisation can be carried out either manually or automatically. The two methods are explained as follows:
4.3 Power Trace Visualisation

```c
float cpua, cpub, powera, powerb;
int i = tracedata.size()-1; int cme = 0; int pme = ec;
int cms = 0; int pms = bc;

// Search for the start and end of data fluctuation
while (i>0) {
  cpua = (float) tracedata.getCPUValue(i); // CPU value at i
  cpub = (float) tracedata.getCPUValue(i-1); // CPU value at i-1
  powera = (float) tracedata.getPowerValue(i); // Power value at i
  powerb = (float) tracedata.getPowerValue(i-1); // Power value at i-1
  if (pme == 0 || cme == 0) {
    if (cme == 0 && cpua == 0.0 && cpub > 0.0) cme = i;
    if (tracedata.isCentiSecond()) {
      if (pme == 0 && powera < 2.0 && powerb > 2.0 ) pme = i;
    } else {
      if (pme == 0 && powera < 30.0 && powerb > 30.0 ) pme = i;
    }
  }
  if (pme > 0 && cme > 0) break;
  i--;
}

if (pme == 0) pme = tracedata.size()-1;
if (cme == 0) cme = tracedata.size()-1;

i=0;
while (i<tracedata.size()) {
  cpua = (float) tracedata.getCPUValue(i); // CPU value at i
  cpub = (float) tracedata.getCPUValue(i+1); // CPU value at i+1
  powera = (float) tracedata.getPowerValue(i); // Power value at i
  powerb = (float) tracedata.getPowerValue(i+1); // Power value at i+1
  if (cms == 0 || pms == 0) {
    if (cms == 0 && cpua == 0.0 && cpub > 0.0) cms = i;
    if (tracedata.isCentiSecond()) {
      if (pms == 0 && powera < 2.0 && powerb > 2.0 ) pms = i;
    } else {
      if (pms == 0 && powera < 30.0 && powerb > 50.0 ) pms = i;
    }
  }
  if (cms > 0 && pms > 0) break;
```

100
Listing 4.22: An excerpt of the method `synchronize` in `Trace.java` showing the algorithm for locating the start and end of data fluctuation.

```
        i++;
    }
```

Figure 4.9: A snapshot depicting the line representation visualisation of trace data from monitoring a bubble sort algorithm before data synchronisation.

- **Manual Synchronisation** - This technique leverages the cursor detection and “draggable” reference line functions in PSim. User visually determines two points on the visualisation area which represent when the monitoring session began and ended, this is usually shown by the start and end of the current/power measurements’ line representations. User drags the reference line or double-click at these points and select
4.3 Power Trace Visualisation

Figure 4.10: A snapshot depicting the line representation visualisation of trace data from monitoring a bubble sort algorithm after data synchronisation of the line representation visualisation in figure 4.9.

synchronise on the PSim PTV bundle interface to commence data synchronisation. Figures 4.9 and 4.10 show line representation visualisations of trace data from monitoring a bubble sort algorithm before and after data synchronisation respectively.

- **Automatic Synchronisation** - This technique employs an algorithm that examines either the power/current measurements data or both the CPU and memory usage data. The algorithm determines the start and end of the current/power measurements by first determining the mean value of the data given and then recognising data fluctuation according to the data deviation from this mean value. Listing 4.22 is an excerpt of
the method 

\texttt{synchronize} in \texttt{Trace.java} showing the algorithm implementation for locating the start and end of data fluctuation. \texttt{Trace} is the implementation class for encapsulating individual trace file and it includes a static method for automatically synchronising each \texttt{Trace} object.

\subsection{Animation}

PSim is equipped with “playback” mechanism for visualising trace data. This mechanism is coupled by the \textit{zooming} facility. This \textit{zooming} facility also allows a user to analyse targeted areas of trace data. The coupling of “playback” and \textit{zooming} features allows user to “browse” through large sets of trace data within a relatively small window frame. PSim adopts “post processing” analysis similar to ParaGraph \cite{48} and it accepts trace files created by \texttt{PComposer} after monitoring an application’s execution. However in principle it is possible that the data for the visualisation arrives at the workstation running PSim as the monitoring is being carried out.

One of the strengths within PSim is the ability to replay repeatedly, often in slow motion, the same execution trace data, much in the same way “instant” replays are used in televised sports events which is the analogy used in \cite{48}. This is because in the realm of human visual perception, it is not possible for user to interpret a detailed graphical depiction as it flies by in real time. This type of animation allows dynamic visualisation. Similar to ParaGraph’s concept on algorithm animation \cite{48}, as well as allowing static
visualisation in which trace data is considered to be a static, immutable object, PSim has also adopted a more dynamic approach by seeing trace data as a script to be “played out”, visually reenacting the energy consumption pattern of the monitoring application.

Figure 4.11: A snapshot depicting the line representation visualisation of trace data from monitoring a Fast Fourier Transform algorithm before zooming.

This animation technique allows the data capture in the sense of motion and change. Until now it has been difficult to control the speed of playback, PSim PTV’s visualisation area provides speed selection so that data can be viewed at different speed. Each selected speed is different depending on how much the data is “zoomed”. Figure 4.12 shows the line representation of trace data from monitoring a Fast Fourier Transform algorithm after zooming.
4.3 Power Trace Visualisation

Figure 4.12: A snapshot depicting the line representation of trace data from monitoring a Fast Fourier Transform algorithm after zooming into the range between 120 and 180 seconds of the visualisation which is shown in figure 4.11 into the range between 120 and 180 seconds of the visualisation shown in figure 4.11.

The implementation for animation requires the use of the nested class `Simulate.SimClock` to regulate the forward motion of the visualisation. This class extends `java.lang.Thread` class which allows a separate process thread to be run in PSim. This thread is used to monitor and control animation. Listing 4.23 is an excerpt of the method `run` in the class `Simulate.SimClock` showing the algorithm for monitoring and controlling animation.

The code in the listing is executed every second after animation be-
4.3 Power Trace Visualisation

gins, in this code, tc is an instance of the class `TimeChart` which provides the implementation of the visualisation area\footnote{Refer to appendix C for class relationships in PSim package} it contains the method `zoomGraph(int,int)` which takes the range of the zooming area as the argument. The variable `speed` determines how many seconds per forward motion and `interval` is the sampling interval at the visualisation area. The code in the listing implements the sampling of trace data at every `interval` in the “zoomed frame” and when the data being sampled is out of the zooming range, the visualisation area will automatically proceed to the next immediate “zoomed frame”. This automation only takes place if the user specifies continuous animation. Consequently the algorithm creates an animated sequence which resembles a ‘movie clip’.

```java
if (speedCount == speed) {
    speedCount = 1;
    if (move < timeSlider.getMaximum() && move < tc.getTraceSize() ) {
        if (move > tc.getzoomMax() && tc.isContinuous() && tc.getzoomMax()+interval <= tc.getTraceSize()) {
            tc.zoomGraph(tc.getzoomMin()+interval,tc.getzoomMax()+interval);
        }
        timeSlider.setValue(move);
        if (trace.isCentiSecond()) move+=(interval*100);
        else move+=interval;
    } else {
        int orgmax = tc.getzoomMax()-tc.getzoomMin();
        tc.zoomGraph(0,orgmax);
        timeSlider.setValue(0);
        move=0;
    }
}
```
Listing 4.23: An excerpt of the method run in class Simulate.SimClock showing the algorithm for monitoring and controlling animation.

4.3.2.3 Visual Analysis

Figure 4.13: A snapshot of a line representation of the trace data from monitoring an implementation of the Fast Fourier Transform using container and ccp. The red dotted lines depicts the alignments of executions of a transform against their power dissipations and memory utilisations.

The PSim PTV bundle provides a graphical visualisation area to display the submitted trace data. By combining the block representation of the
trace data with the line representation of the trace data, it is possible to align individual operation points to their power dissipation and memory utilisation.

Figure 4.13 is a snapshot of a line representation of the trace data from monitoring an implementation of the Fast Fourier Transform using \texttt{container} and \texttt{ccp}, this line representation is similar to one shown in figure 4.6. This Fast Fourier Transform workload is being executed iteratively, and the blue blocks in figure 4.13 represent time period at which transforms are being executed, which are also being shown in figure 4.7. There are several red dotted lines shown in the figure and they represent the alignments of transforms against their power dissipations and memory utilisations. By examining these alignments visually, it is possible to recognise basic patterns of the power dissipation and the resource utilisation of an application during run time. The alignments in figure 4.13 show decreases in application’s power dissipation at the start of each transform. However by using the “zooming” function provided by the PSim PTV, it is possible to recognise the power dissipations decrease momentarily and are followed by an increase in power dissipations immediately. Figure 4.14 shows the trace of executing the Fast Fourier Transform after zooming into the range between 65 and 77 seconds of the visualisation shown in figure 4.13. This figure depicts a decrease in power dissipation to an average of 24W temporarily between 66 to 68 seconds and is followed by an increase in power dissipation to an average of 63W immediately. It is important to note the average power dissipation of the workload executing for 600 seconds is approximately 38W. By using this information coupled by further analyses it is possible to locate the “high power” region
4.3 Power Trace Visualisation

of the transform and carry out optimisations accordingly.

Figure 4.14: A snapshot of a line representation of the trace data from monitoring an implementation of the Fast Fourier Transform using container and ccp, this shows the trace after zooming into the range between 65 and 77 seconds of the visualisation which is shown in figure 4.13. The red dotted lines depicts the alignments of executions of a transform against their power dissipations and memory utilisations.

Figure 4.13 also shows the alignments of each transform with their corresponding memory utilisation. This figure depicts a shape which does not correlate with the transform iterations. The reason is due to the complex structure of the memory hierarchy of the underlying platform, a cache cycle routine might completely affect the memory trace of a workload.
4.3 Power Trace Visualisation

4.3.2.4 Statistical Analysis

![Summary of Trace Fast Fourier Transform accumulative lapse 1 Iters](image)

Figure 4.15: A snapshot depicting PSim displaying the statistical summary of trace data from monitoring an implementation of the Fast Fourier Transform algorithm.

Apart from visual trace analysis, the PSim PTV bundle provides several functions for analysing the submitted trace data. It provides functions which create summary sets, tabulates trace data and allows users to send the all data-oriented (visualisation, statistical summary) areas on the interface as print jobs. Summary sets are created through a non-graphical display that gives numerical values for various statistics summarising information such as platform current and voltage, average CPU and memory usage, and energy
consumption. Figure 4.15 shows PSim displaying the statistical summary of trace data from monitoring an implementation of the Fast Fourier Transform algorithm and the energy consumption is calculated by using the equation 1.2 in chapter 1. Listing 4.24 shows the summary set generated by PSim by analysing the trace data obtained by monitoring a Fast Fourier Transform algorithm. Note some attributes in the generated summary set output such as “overhead” is not included, this is because some attributes are dependent on the experimental environments that the trace data is obtained from. There are essentially two types of experimental environments targeting different types of application and they are as follows:

```plaintext
Fast Fourier Transform accumulative lapse 1 iters 9-625 616s
Platform Power(W): 21.2736
Default Voltage(V): 19.3
Total Power(Ws): 23558.87
Overhead(J): na
Total Energy(J): 516.86;
Average Power(W): 38.24
Average Current(A): 1.98
Average Power-NW(W): 28.99
Average Current-NW(A): 1.5
Average CPU(%) : 92.12
Average Memory(%) : 63.87
Percentage Run(%) : 70.78
Percentage Save(%) : 23.7
Percentage Init(%) : 7.47
```

Listing 4.24: A summary set output generated by PSim analysing the trace data obtained by monitoring a Fast Fourier Transform algorithm.

**Using container** - Monitoring a workload through the use of container
means that the resource utilisation, run time and energy consumption of the container itself are to be recorded, the monitoring of the container without any workload can be achieved by executing the command 

```
./container --non -i 1
```

This is coupled by power measurement recorded by the digital multimeter. Listing 4.25 is an excerpt of the tracefile NonPowerSync\_1224040305\_simulate. This file contains the non-workload container’s execution trace and it is used during statistical analysis on a particular workload so that the container’s power measurement and its run time can be taken into account.

```
1 Synchronized;11031;25076
2 Non Workload
3 time,current,cpu,mem,operation,accum,lapse,aver
4 12:21:42.32,1.00408,0.0,0.0,,,,
5 12:21:42.33,1.00408,0.0,0.0,,,,
6 12:21:42.34,1.00408,0.0,0.0,,,,
7 12:21:42.35,1.00408,0.0,0.0,,,,
8 12:21:42.36,1.00408,0.0,0.0,,,,
9 12:21:42.37,1.00408,0.0,0.0,,,,
10 12:21:42.38,1.00408,0.0,0.0,,,,
11 12:21:42.39,1.00408,0.0,0.0,,,,
12 12:21:42.40,1.00408,0.0,0.0,,,,
13 12:21:42.41,1.00408,0.0,0.0,,,,
14 12:21:42.42,1.00408,0.0,0.0,,,,
15 12:21:42.43,1.00408,0.0,0.0,,,,
16 12:21:42.44,1.00408,0.0,0.0,,,,
17 12:21:42.45,1.00408,0.0,0.0,,,,
18 12:21:42.46,1.00408,0.0,0.0,,,,
19 12:21:42.47,1.00408,0.0,0.0,,,,
```

Listing 4.25: An excerpt of NonPowerSync\_1224040305\_simulate, the tracefile from monitoring container without running a workload on top of it.

*Building cmodel* - When constructing power-benchmarked hardware ob-
ject for application characterisation and energy consumption prediction, a coordination program \texttt{hmclcontainer} is used to determine the energy consumption of over 160 C language operations (clc).

\begin{verbatim}
1 AISG,success,SISG
2 AILL,success,SILL
3 AILG,success,SILG
4 AFSL,success,SFSL
5 AFSG,success,SFSG
6 AFDL,success,SFDL
7 AFDG,success,SFDG
8 ACHL,success,SCHL
9 ACHG,success,SCHG
\end{verbatim}

Listing 4.26: An excerpt of the overhead set for constructing \texttt{cmodel} created by \texttt{hmclcontainer}.

Some clcs need to be benchmarked with overhead consideration, for example \texttt{ANDL} which specifies the logical conjunction of two local integer variables such as \(a=b\&\&c\) where local integer variables \(b\) and \(c\) is compared conjunctively. However, the boolean outcome (or in C the integer value) from the conjunction is assigned to a local integer variable \(a\). This local integer assignment in itself is an elementary operation called \texttt{SILL} and it is not included in the specification of \texttt{ANDL}. Hence, in the orginal C Operation Benchmark Program \texttt{bench} which has already been described in section \ref{sec:benchmarking}, has included time overhead \texttt{SILL} when calculating the execution time of \texttt{ANDL}. While It is possible to incorporate this overhead within the calculation during the construction of the resource model for execution time, it is not possible to apply similar techniques when constructing energy oriented resource model
such as \texttt{cmodel} since the trace data for energy consumption calculation can only be carried out in a post-processing manner. Therefore when building \texttt{cmodel} for a particular platform, each operation’s overhead is recorded separately into an overhead set file and this file is then fed into PSim. Listing [4.26] is an excerpt of the overhead set for constructing a \texttt{cmodel} created by \texttt{hmclcontainer}. The overhead set is formatted in \texttt{csv}, the first column denotes the \texttt{clc} that has been power-benchmarked, the second column denotes whether the benchmarking was successful and the third column denotes the overhead \texttt{clc} incurred during benchmarking.

4.4 Characterisation and Prediction

Apart from providing visualisation and statistical analyses on execution trace data, PSim also provides the \textit{Characterisation and Prediction} bundle (CP) for application-level characterisation and energy consumption prediction. Figure [4.16] depicts PSim CP displaying the source code and the translated counterpart of an implementation of the matrix multiplication algorithm.

This section is split into two parts: Section [4.4.1] documents the newly implemented power-benchmarked hardware model (\texttt{cmodel}) based on the Hardware Modelling and Configuration Language (HMCL) which allows the application to be characterised into their elementary operations (\texttt{clc}) and these \texttt{clcs} are subsequently organised into \texttt{proc cflow}. Section [4.4.2] describes the facilities of PSim CP which provides the energy consumption pre-
diction and details analyses using given source code and its translated control flow.

Figure 4.16: A snapshot depicting PSim CP displaying the source code and the characterised counterpart of an implementation of the matrix multiplication algorithm.

### 4.4.1 Mechanics of Characterisation

PSim CP adopts the High Performance Systems Group’s PACE modelling framework and in particular the resource model and the C Characterisation Tool (capp) [52] [14] [29]. The characterisation process using capp has already been described in section 3.2.1 and in particular section 3.2.2.
section the C implementation of a matrix multiplication algorithm shown in listing 3.4 is used as an example to describe PSim CP characterisation process.

```c
proc cflow multiply {
    compute <is clc, FCAL, SILL>;
    loop (<is clc, LFOR>, 7000) {
        compute <is clc, CMLL, SILL>;
        loop (<is clc, LFOR>, 7000) {
            compute <is clc, CMLL, SILL>;
            loop (<is clc, LFOR>, 7000) {
                compute <is clc, CMLL, 3*ARL2, MILG, AILG, TILG, INLL>;
            }
            compute <is clc, INLL>;
        }
        compute <is clc, INLL>;
    }
    compute <is clc, INLL>;
}
```

Listing 4.27: A cflow file of the matrix multiplication algorithm from listing 3.4

Unlike PACE’s performance layered models which uses the CHIP³ language to define the application’s parallelism, the current implementation of PSim CP focuses on sequential blocks of computations within an application, these blocks are defined by proc cflow definitions which usually constitute a number of processor resource usage vectors (PRUV) [53]. Each PRUV takes the form of compute, loop, case and call which have been described during the discussion of subtask object in section 3.2.1.2. Within each PRUV is a collection of clcs which are translated from the C source code using capp. Individual proc cflow is compiled into control flow files (cflow), listing 4.27 shows the cflow file of the matrix multiplication algorithm shown
in listing 3.4 PSim CP takes three types of file formats as input, they are C source codes *.c, the corresponding control flow definition files *.cflow and power-benchmarked hardware models cmodel of the target platforms. This section is split into three parts: the first part describes the two types of file inputs that PSim CP accepts (C source codes and cflow files), the second part describes different types of resource model and their method of constructions, and the third part documents the interpretation of inputs with different types of resource models.

4.4.1.1 File Inputs

Apart from the necessary resource model (cmodel) for the underlying hardware, PSim CP also requires the input of either a cflow file or the original C source code modified for automatic translation.

proc cflow - A typical cflow file is shown in listing 4.27. PSim is designed to take cflow as input and displays it on the CP interface similar to the top part of the interface shown in figure 4.16. Without the specification of source code only basic predictive analysis can be made and this is done by parsing the proc cflow definition, the algorithm and generation of predictive results are discussed in section 4.4.2

Modified C source code - Listing 3.4 shows the original implementation of the matrix multiplication algorithm in C. It is not possible to automate the translation from this code into the proc cflow shown in listing 4.27
since \texttt{capp} requires the specification of loop counts and case probabilities. There are a number of methods specifying these numerical values as described in section 3.2.1.2 and to automate translation, the method of embedded values is employed. This is achieved by embedding values in the source file using \texttt{pragma} statements. These statements should be placed on the line immediately preceding \texttt{loop} or \texttt{case} statements. Listing 4.28 shows the utilisation of embedded values within the original source code. With this modification, it is possible to feed the source code into PSim directly. The supplied source code is initially displayed on the \texttt{CP} interface similar to the bottom part of the interface shown in figure 4.16.

```
static int **a,**c,**b;
static void multiply() {
    int i,j,k;
    #pragma capp Loop 7000
    for (i=0; i < 7000; i++)
        #pragma capp Loop 7000
        for (k=0; k < 7000; k++)
            #pragma capp Loop 7000
            for (j=0; j < 7000; j++) c[i][j] += a[i][k]*b[k][j];
}
```

Listing 4.28: The C source code of the matrix multiplication algorithm utilising the method of embedded values.

### 4.4.1.2 Resource Descriptions

PSim allows two types of prediction process depending on the types of \texttt{cmode1}. During the development of PSim \texttt{CP} bundle, which includes the im-
implementation of the Characterisation and SourceView classes as described briefly in appendix C, two types of cmodel were proposed, one provides a way to model resources using elementary operations defined by clc definitions and this includes operations such as ANDL and SILL, an excerpt of such model is shown in listing [3.12]. Another type, which is still in development and is subject to future work, defines a single unit of computation by an arbitrary number of clc, forming “opcode chains”, this method allows resource models to be constructed without overhead problems and while the accuracy of power measurement remains an issue when modelling the resources of the target platform and this is primarily caused by the experimental noise floor as discussed in section [3.3.4] nevertheless the proposed opcode chaining method is an attempt to minimise inaccuracies by using larger units of computation.

---

<table>
<thead>
<tr>
<th>Opname, Opcode, Current, Power</th>
</tr>
</thead>
<tbody>
<tr>
<td>Looping (ForLoop), SILG; LFOR; CMLG; INLG, 2.46, 34.39</td>
</tr>
<tr>
<td>Assign Array Global, ARL1; ARL1; TILG, 2.08, 29.17</td>
</tr>
<tr>
<td>Assign Array Local, ARL1; ARL1; TILL, 2.08, 29.17</td>
</tr>
<tr>
<td>Arithmetic (DoubleDivide), DFDG; TFDG, 1.31, 18.32</td>
</tr>
<tr>
<td>Arithmetic (DoubleAdd), AFDG; TFDG, 1.32, 18.46</td>
</tr>
<tr>
<td>Arithmetic (DoubleMult), MFDG; TFDG, 1.27, 17.83</td>
</tr>
<tr>
<td>Arithmetic (FloatAdd), AFSG; TFSG, 1.3, 18.25</td>
</tr>
<tr>
<td>Arithmetic (FloatDivide), DFSG; TFSG, 1.79, 25.13</td>
</tr>
<tr>
<td>Arithmetic (FloatMult), MFSG; TFSG, 1.84, 25.82</td>
</tr>
<tr>
<td>Arithmetic (IntAdd), AILG; TILG, 1.99, 27.9</td>
</tr>
<tr>
<td>Arithmetic (IntDivide), DILG; TILG, 1.6, 22.43</td>
</tr>
<tr>
<td>Arithmetic (IntMult), MILG; TILG, 1.95, 27.32</td>
</tr>
</tbody>
</table>

Listing 4.29: An excerpt of the power-benchmarked hardware object using opcode chaining method. It uses comma separated values (csv) format to organise resource modelling data.
4.4 Characterisation and Prediction

Listing 4.29 is an excerpt of the power-benchmarked hardware object using opcode chaining method.

4.4.1.3 Characterisation Process Routine

![Diagram of PSim CP characterisation process routine]

Figure 4.17 is the conceptual diagram of PSim CP characterisation process routine. Prediction commences as the source code enters the routine as shown in the figure, the inputted source code is then translated into corresponding proc cflow by invoking the method createCFlowTemp() in the class Characterisation which calls an external command shown below.

```
capp -z -n source_code_modified_with_embedded_values.c
```

Note that translation can only take place if capp is installed, otherwise translation can be omitted by inputting the cflow file directly into PSim.
Figure 4.18: A direct mapping of C source code of matrix multiplication algorithm with its associated \texttt{proc cflow} translated code.

PSim’s CP employs a token parsing algorithm coupled by a hash table data structure which parses the translated code as tokens\footnote{More information about \texttt{Characterisation} can be found in appendix C}. These tokens are then arranged to generate a token set for the translated code. Since the class \texttt{Characterisation} implements \texttt{java\_lang\_Runnable}, token parsing can be animated. During animation PSim notifies the user about the current status of token parsing, and with the presence of the modified source code, similar
to the example shown in figure 4.16, details of token parsing can then be directly related to the corresponding source code providing information such as the direct mapping between the control flow definition and the C source code, an example of which is shown in figure 4.18. With this mapping a user can locate a “hot spot” area of the supplied source code and hence target optimisation accordingly.

Once the complete set of tokens is retrieved from the translated code, depending on whether the clc or opcode chain is used as units of computation for the target platform, the intermediate process will be different. If opcode chains are used, then a “chaining process” is applied to “chain” each selection of individual clcs according to the power-benchmarked hardware object created by the opcode chaining method. Once individual clcs are chained, and stored as a data structure in PSim, this data structure is subsequently submitted for the predictive analyses and energy consumption prediction. Predictive analyses are described in section 4.4.2

Listing 4.30: A summary set generated by PSim analysing translated code of matrix multiplication algorithm shown in listing 4.28
4.4 Characterisation and Prediction

<table>
<thead>
<tr>
<th>clc</th>
<th>Instances</th>
<th>Accumulative Energy(Wus)</th>
<th>Accumulative Time(us)</th>
<th>Accumulation Percentage %</th>
</tr>
</thead>
<tbody>
<tr>
<td>SILL</td>
<td>49007001</td>
<td>833057.5244</td>
<td>31519.39177</td>
<td>0.001587</td>
</tr>
<tr>
<td>FCAL</td>
<td>1</td>
<td>0.1437</td>
<td>0.004284</td>
<td>0</td>
</tr>
<tr>
<td>LFOR</td>
<td>343049007000</td>
<td>106106032.1242</td>
<td>3252790.6843</td>
<td>11.1119</td>
</tr>
<tr>
<td>INLL</td>
<td>343049007000</td>
<td>16903983521.9395</td>
<td>501304374.9092</td>
<td>11.1119</td>
</tr>
<tr>
<td>CMLL</td>
<td>343049007000</td>
<td>4286340255.6797</td>
<td>105083114.8732</td>
<td>11.1119</td>
</tr>
<tr>
<td>AILG</td>
<td>343000000000</td>
<td>1186025400</td>
<td>30870000</td>
<td>11.1104</td>
</tr>
<tr>
<td>MILG</td>
<td>343000000000</td>
<td>12341054764.4999</td>
<td>389184950</td>
<td>11.1104</td>
</tr>
<tr>
<td>ARL2</td>
<td>1029000000000</td>
<td>17071686198.84</td>
<td>464409309</td>
<td>33.3312</td>
</tr>
<tr>
<td>TILG</td>
<td>343000000000</td>
<td>6950441499.12</td>
<td>217745661</td>
<td>11.1104</td>
</tr>
</tbody>
</table>

Table 4.5: A table showing a simplified statistics of a characterised matrix multiplication algorithm shown in listing 4.28.

4.4.2 Analyses and Prediction

Since the newly proposed chaining method is currently under development, energy consumption analyses and subsequent prediction are carried out using “cmodel” specification i.e. using individual clcs as units of computation. There are two level of analyses depending on if original C source code is present.

PSim CP bundle provides several functions for analysing proc cflow coupled with original source. Similar to the analysis facilities provided by PTV bundles, CP also provides functions to create summary sets, tabulate clc composition information and allow a user to send the all data-oriented areas on the interface as print jobs. Summary sets are created through a non-graphical display that gives numerical values for various statistics summarising information such as predicted average power dissipation, predicted run.
4.4 Characterisation and Prediction

<table>
<thead>
<tr>
<th>Linenumber</th>
<th>Accumulative Energy (Wus)</th>
<th>Accumulative Time (us)</th>
<th>Accumulation Percentage %</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>0.1614</td>
<td>0.0049</td>
<td>6.4783E-11</td>
</tr>
<tr>
<td>4</td>
<td>705.7214</td>
<td>16.9419</td>
<td>9.0697E-7</td>
</tr>
<tr>
<td>5</td>
<td>4940049.9623</td>
<td>118593.9160</td>
<td>0.0063</td>
</tr>
<tr>
<td>6</td>
<td>5.7666E10</td>
<td>1.7117E9</td>
<td>99.9936</td>
</tr>
</tbody>
</table>

Table 4.6: A table showing the output of the analysis of the relation between statistics shown in table 4.5 and the original source code.

time and predicted energy consumption. Figure 4.19 shows PSim displaying the statistical summary after executing the characterisation process routine. Listing 4.30 shows the summary set generated by PSim analysing translated code of matrix multiplication algorithm.

Figure 4.19: A snapshot depicting PSim displaying the statistical summary after executing the characterisation process routine on matrix multiplication algorithm.

CP also generates a more detail statistical summary of the translated code, tables 4.5 and 4.6 show the outputs of statistical summaries of the matrix multiplication algorithm providing information such as predictive energy con-
4.5 Summary

This chapter discussed the motivation and development of software visualisation for sequential and parallel computations, using examples such as
ParaGraph [48] and SeeSoft [27] [26]. Based on this motivation the chapter further described the creation and development of The Power Trace Simulation and Characterisation Tools Suite (PSim). PSim is split into two bundles - Power Trace Visualisation $PTV$ and Characterisation and Prediction $CP$.

$PTV$ provides graphical visualisation of trace data collected after monitoring the power dissipation and resource usage of an application and details these results through animation and statistical analyses. Coupled with this bundle is a Perl script $PComposer$ which provides automatic encapsulation of the recorded data from monitoring a particular application into the corresponding trace file. This chapter also introduced $container$ - a workload coordination program and $ccp$ - workload resource usage monitor, both of which provides functionalities to allow selected workloads to be directly power-benchmarked for the construction of the classification model.

$CP$ provides characterisation and prediction functionalities. Its characterisation methodology adopts $proc\ cflow$ and $clc$ definitions which is based on the High Performance Systems Group’s PACE modelling framework. $CP$ employs the Characterisation Routine Process and utilises a novel power-benchmarked hardware model ($cmodel$) which is based on the hardware object definition in PACE and it describes the energy characterisation of the underlying platform. The routine process uses the C Characterisation Tool ($capp$) to translate C source code into a corresponding $proc\ cflow$ definition. The Characterisation Routine Process implements the concept of application-level characterisation and energy consumption prediction method-
ology and presents statistical summaries including the analysis of the supplied application’s composition in terms of clcs, its predicted average power dissipation, run time and energy consumption.

The following chapter documents the results obtained from predicting the energy consumption of several selected workloads using the characterisation and prediction technique described so far in this thesis. The energy consumption of a selection of kernels chosen from the Java Grande Benchmarks Suite [13] are predicted using PSim. Their results are evaluated according to size of data set and against measured consumption value.
Chapter 5

The Energy Consumption Predictions of Scientific Kernels

5.1 Introduction

Previous chapters have introduced a novel application level characterisation and energy consumption prediction technique, which uses PACE’s control flow definition and its concept of creating resource models for the underlying platforms. Chapter 4 has documented the implementation of PSim, a tool suite that provides “post-processing” graphical visualisation of trace data collected from monitoring an application’s energy consumption and resource utilisations during its execution. PSim PTV employs a “playback” mechanism to allow enactment of the application’s resource utilisations (including en-
5.2 Predictive Hypothesis

As explained in section 4.3, the measured energy consumptions obtained by the black-box method described in this thesis are not accurate due to the complex configurations of modern hardware components. Moreover, the energy consumption prediction technique described in this thesis requires individual clc’s energy consumption to be measured, hence these predictive values should be taken as guidelines. Meanwhile, although the proposed prediction technique does not yield accurate results, these predictive values are still dependable. This is because the inherited inaccuracy is consistent...
across both predicted and measured energy consumption as explained in section 4.3.

However, in the domains of performance modelling it is possible to describe this inherited inaccuracy using a simple mathematical model. Based on the reasons that drive this inherited inaccuracy we have proposed and formulated an “experimental proportional relationship” linear model between the predicted and measured energy consumption of an application. This linear model is shown in equation 5.1 and it is a simple mathematical equation where Me is the measured energy consumption, Pe is the predicted energy consumption, k is the proportionality constant and c is the uncertainty. While the proportionality constant should be constant, the absolute value of the uncertainty c should at most be $\frac{1}{2}Pe$.

\[ Me = k \cdot Pe + c \] (5.1)

To acquire the optimal k and c during the model’s training, two simple algorithms have been chosen. These algorithms are shown in equation 5.2. k is defined to be the mean average of x where x is $\frac{Me}{Pe}$ before applying the model shown in equation 5.1. n is the number of sets of data, c is the product of p and $y_{max}$, and y is as the difference between the predicted and the measured energy consumptions of the kernel after apply the model with c = 0. $y_{max}$ is the maximum of all ys within the training set and p is a factor to be calculated during the model’s training to minimise the percentage errors.
between the predicted and the measured energy consumptions of the kernel after applying the model.

\[ k = \frac{1}{n} \sum_{i=1}^{n} x_i \]
\[ c = p \cdot y_{\text{max}} \]  \hspace{1cm} (5.2)

5.3 Model’s Training and Evaluation

Four scientific kernels from the Java Grande Benchmark Suite are chosen for the model’s training and evaluation. These kernels are popular resources within the high-performance community for evaluating the performance of scientific applications and they are also the workloads for constructing the power classification’s “basic model” which has already been discussed in section 3.3. The benchmarks chosen include Sparse Matrix Multiply, Fast Fourier Transform and Heap Sort Algorithm from the kernels section and Computational Fluid Dynamics from the large scale applications section. These algorithms are evaluated with changes to the data size \(1\).

Note that the implementations of these scientific kernels are not identical to the ones provided by the benchmark suite, this is because while the original benchmark workloads are often implemented into multiple methods, the energy consumption prediction technique described in this thesis is currently

\[1\]C source codes of related algorithms are documented in appendix D.
designed to accept single method applications only and it requires users to embed \texttt{pragma} statements for loop counts and case probabilities. An example of the alteration is shown in figures 5.32 and 5.33. Figure 5.32 shows the original implementation of the heap sort algorithm in the Java Grande Benchmark Suite and it is implemented using multiple methods. To predict the energy consumption of this algorithm, methods \texttt{heapsort} and \texttt{sift} are merged into a single method as shown in figure 5.33. Figure 5.33 also depicts the pragma statements being embedded into the algorithm’s source code.

```c
static int *TestArray;
static int rows;
void heapsort() {
  int temp,i;
  int top = rows - 1;

  for (i = top/2; i > 0; --i)
    sift(i,top);

  for (i = top; i > 0; --i) {
    sift(0,i);
    temp = TestArray[0];
    TestArray[0] = TestArray[i];
    TestArray[i] = temp;
  }
}

void sift(int min, int max) {
  int k;
  int temp;

  while((min + min) <= max) {
    k = min + min;
    if (k < max)
      if (TestArray[k] < TestArray[k+1]) ++k;
    if (TestArray[min] < TestArray[k]) {
```

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5.3 Model’s Training and Evaluation

```c
static int *TestArray;
static int rows;
void heapsort() {
    int temp,i,k,ti,min;
    int top = rows - 1;

    #pragma capp Loop 500000
    for (i = top/2; i > 0; --i) {
        ti = i;
        #pragma capp Loop 2
        while((ti + ti) <= top) {
            k = ti + ti;
            #pragma capp If 0.5
            if (k < top) {
                #pragma capp If 0.5
                if (TestArray[k] < TestArray[k+1]) ++k;
            } else ti = top + 1;
            #pragma capp If 0.5
            if (TestArray[ti] < TestArray[k]) {
                temp = TestArray[k];
                TestArray[k] = TestArray[ti];
                TestArray[ti] = temp;
                ti = k;
            } else ti = top + 1;
        }
    }
}
```

Listing 5.32: The original implementation of heap sort algorithm in the Java Grande Benchmark Suite.
Listing 5.33: The single method implementation of heap sort algorithm with \texttt{pragma} statements embedded for loop counts and case probabilities.

The performance-critical section of each kernel is characterised into \texttt{proc cflow} and evaluated over a range of data varying in size in order to predict its energy consumption prior to execution; any initialisation of data or final verification is therefore not characterised within these experiments.

The remaining chapter consists four sections: the first three sections docu-
5.4 Sparse Matrix Multiply

The sparse matrix multiplication from Java Grande Benchmark Suite is adapted from the sequential Scimark benchmark that calculates the func-

\[ \text{The benchmarked energy consumption for each } \text{cllc computation is calculated using the described experimental setup and the equation } 1.2 \text{ provided in chapter I. A copy of the power benchmarked hardware model describing ip-115-69-dhcp can be found in appendix E.} \]
5.4 Sparse Matrix Multiply

tion \( y = Ax \). \( A \) is an unstructured sparse matrix of size \( N \times N \), stored in compressed-row format with a prescribed sparsity structure of \( nz \) non-zero values. \( y \) is a \( M \times 1 \) vector and \( x \) is a \( 1 \times N \) vector. \( M, N \) and \( nz \) are parameters, where \( M \) must equal \( N \) for all benchmark executions.

```c
static double *x,*y,*val;
static int *col,*row;

static void sparsematmult(void) {
    int reps,SPARSE_NUM_ITER,i,nz;
    for (reps=0; reps<SPARSE_NUM_ITER; reps++) {
        for (i=0; i<nz; i++) y[row[i]] += x[col[i]] * val[i];
    }
}
```

Listing 5.34: \texttt{sparsematmult} - the evaluated section of the sparse matrix multiplication.

```c
proc cflow sparsematmult {
    compute <is clc, FCAL, SILL>;
    loop (<is clc, LFOR>, 200) {
        compute <is clc, CMLL, SILL>;
        loop (<is clc, LFOR>, 250000) {
            compute <is clc, CMLL, 3*ARD1, MFDG, AFDG, TFDG, INLL>;
        }
        compute <is clc, INLL>;
    }
}
```

Listing 5.35: The characterised \texttt{proc cflow} definition of the \texttt{sparsematmult} running dataset 50000X50000 shown in listing \texttt{5.34}.
5.4 Sparse Matrix Multiply

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy(J)</th>
<th>Predicted Energy(J)</th>
<th>Percentage Error(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>50000X50000</td>
<td>336.5247</td>
<td>273.8570</td>
<td>18.62</td>
</tr>
<tr>
<td>100000X100000</td>
<td>943.5225</td>
<td>547.7134</td>
<td>41.95</td>
</tr>
<tr>
<td>500000X500000</td>
<td>6044.9850</td>
<td>2738.5652</td>
<td>54.70</td>
</tr>
</tbody>
</table>

Table 5.1: A table showing the predicted energy consumption against the measured energy consumption of `sparsematmult` on `ip-115-69-dhcp`, the forth column shows the percentage error between the measured and predicted values.

The performance-critical element of this benchmark is implemented in the method `sparsematmult` which performs the multiplication and updates the result to the vector $y$. The segments of source code which include the matrix initialisation and the multiplication sections of this benchmark are shown in appendix D. Listings 5.34 and 5.35 show the evaluated section of the benchmark `sparsematmult` and its `proc cflow` definition respectively.

Three sets of data are chosen during this kernel’s evaluation, they are unstructured sparse matrices of size $N \times N$ where $N$ are 50000, 100000 and 5000000. For each size of data set, the average execution time and energy consumption are measured over 10 iterations where in each iteration multiplications are carried out 200 times. Table 5.1 shows the comparative results between measured and predicted energy consumption for each sets of data. This table contains a percentage error column showing the deviation of measured and predicted values. Figure 5.1 shows a graphical representation of the measured and predicted energy consumption presented in table 5.1. The range of predictive inaccuracies achieved from analysing this benchmark is between 18.62% and 54.70%. Figure 5.1 also suggests the difference between
Figure 5.1: A line graph showing the measured and predicted energy consumptions of `sparsematmult` benchmark with $N$ set to 50000, 100000 and 500000, all energy values are in joules.

The predicted and measured energy consumption increases as the size of data set increases. This is because as the size of data set increases, the number of `c1c`s within the looping construct shown in listing 5.35 also increases and this leads to an accumulative increase in the inaccuracies of `c1c`’s energy consumption. This results in the increase in the difference between the predicted and measured energy consumption.

To optimise the required parameter $k$ in the model described in equation 5.1, $k$ is calculated to be 1.71962 as specified in equation 5.2. Figure 5.2 shows a line graph representing the measured and predicted energy
5.4 Sparse Matrix Multiply

Figure 5.2: A line graph showing the measured and predicted energy consumptions of `sparsematmult` benchmark after applying equation 5.4 with $k = 1.7196$ and $c = 0$.

Figure 5.3 shows a line graph representing the measured and predicted energy consumption of `sparsematmult` benchmark after applying the linear model with $k = 1.7196$ and $c = 0$.

Figure 5.3 shows a line graph representing the measured and predicted energy consumption of `sparsematmult` benchmark after applying the linear model with $k = 1.7196$ and $c = -89.6026$. In this set, $y_{max}$ is calculated to be -134.4040 and this is the difference between the measured and predicted energy consumptions of `sparsematmult` with $N = 50000$ and $k = 1.7196$. $p$ is set to be $\frac{2}{3}$, this is experimentally verified to be the optimal scale factor. Table 5.2 shows the predicted and the measured energy consumptions of

Table 5.2 shows the predicted and the measured energy consumptions of

139
Figure 5.3: A line graph showing the measured and predicted energy consumptions of `sparsematmult` benchmark after applying equation 5.1 with $k = 1.7196$ and $c = -89.6026$.

the kernel after the linear model with $k = 1.7196$ and $c = -89.6026$, the forth column of the table shows the percentage errors between predicted and measured values. After applying the proposed model, the range of predictive inaccuracies achieved from analysing this benchmark is between 9.67% and 23.59%.
Table 5.2: A table showing the predicted energy consumption against the measured energy consumption of `sparsematmult` on `ip-115-69-dhcp` after applying equation 5.1 with $k = 1.7196$ and $c = -89.6026$, the forth column shows the percentage error between the measured and predicted values.

5.5 Fast Fourier Transform

The Fast Fourier Transform performs one-dimensional forward transform of $N$ complex data points (number). Inside this kernel complex data is represented by 2 double values in sequence: the real and imaginary parts. $N$ data points are represented by a double array dimensioned to $2 \times N$. To support 2D and subsequently higher transforms, an offset, $i0$ (where the first element starts) and `stride` (the distance from the real part of one value, to the next: at least 2 for complex values) can be supplied. The physical layout in the array data, of the mathematical data $d[i]$ is as follows:

\[
Re(d[i]) = data[i0 + stride.i] \\
Im(d[i]) = data[i0 + stride.(i + 1)]
\]

The transformed data is returned in the original data array in wrap-

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy(J)</th>
<th>Predicted Energy(J)</th>
<th>Percentage Error(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>50000X50000</td>
<td>336.5247</td>
<td>381.3260584</td>
<td>13.31</td>
</tr>
<tr>
<td>100000X100000</td>
<td>943.5225</td>
<td>852.2537217</td>
<td>9.67</td>
</tr>
<tr>
<td>500000X500000</td>
<td>6044.9850</td>
<td>4619.67606</td>
<td>23.59</td>
</tr>
</tbody>
</table>
### 5.5 Fast Fourier Transform

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy(J)</th>
<th>Predicted Energy(J)</th>
<th>Percentage Error(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2097152</td>
<td>859.1110</td>
<td>728.0805</td>
<td>15.25</td>
</tr>
<tr>
<td>8388608</td>
<td>4165.5451</td>
<td>3140.8305</td>
<td>24.60</td>
</tr>
<tr>
<td>16777216</td>
<td>8611.6520</td>
<td>5224.7810</td>
<td>39.33</td>
</tr>
</tbody>
</table>

Table 5.3: A table showing the predicted energy consumption against the measured energy consumption of `fft` on `ip-115-69-dhcp`, the forth column shows the percentage error between the measured and predicted values.

around order. This is because the result of a fourier transform of either real or complex data is always complex and this kernel carries out the transform in place: the transformed data is left in the same array as the initial data. This kernel exercises complex arithmetic, shuffling, non-constant memory references and trigonometric functions. This is a CPU intensive benchmark working at the kernel level. It is commonly used in scientific computations. Both the implementation and the characterised counterpart of the evaluated section `fft` of this kernel are shown in listing [D.40] and [D.41] of appendix D respectively.

Three sets of data are chosen during this kernel’s evaluation, they are \( N \) complex numbers where \( N \) are 2097152, 8388608 and 16777216. For each size of data set, the average execution time and energy consumption are measured over 20 iterations. Tables 5.3 shows the comparative results between measured and predicted energy consumption for each set of data respectively. This table contains a percentage error column showing the deviation of the natural logarithm of measured and predicted values. Figure 5.4 shows a graphical representation of the measured and predicted energy consumption.
Figure 5.4: A line graph showing the measured and predicted energy consumptions of *fft* benchmark with $N$ set to 2097152, 8388608 and 16777216, all energy values are in joules.

The range of predictive inaccuracies achieved from analysing this benchmark is between 15.25% and 39.33%.
5.5 Fast Fourier Transform

Figure 5.5: A line graph showing the measured and predicted energy consumptions of \texttt{fft} benchmark with after applying equation 5.1 with $k = 1.3848$ and $c = 0$.

To optimise the required parameter $k$ in the model described in equation 5.1, $k$ is calculated to be 1.3848 as specified by equation 5.2. Figure 5.5 shows a line graph representing the measured and predicted energy consumptions of \texttt{fft} benchmark after applying equation 5.1 with $k = 1.3848$ and $c = 0$. 
<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy (J)</th>
<th>Predicted Energy (J)</th>
<th>Percentage Error (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2097152</td>
<td>859.1110</td>
<td>1022.0220</td>
<td>18.96</td>
</tr>
<tr>
<td>8388608</td>
<td>4165.5451</td>
<td>4363.2426</td>
<td>4.75</td>
</tr>
<tr>
<td>16777216</td>
<td>8611.6520</td>
<td>7249.1354</td>
<td>15.82</td>
</tr>
</tbody>
</table>

Table 5.4: A table showing the predicted energy consumption against the measured energy consumption of fft on ip-115-69-dhcp after applying equation 5.1 with \( k = 1.3848 \) and \( c = 13.7628 \), the forth column shows the percentage error between the measured and predicted values.

Figure 5.6 shows the line graph representing the measured and predicted energy consumptions of fft benchmark after applying equation 5.1 with \( k = 1.3848 \) and \( c = 13.7628 \). In this training set, \( y_{\text{max}} \) is calculated to be 1376.2794 while \( p \) is calculated to be 0.01. \( y_{\text{max}} \) is calculated the difference between the measured and predicted energy consumption of fft with \( N = 16777216 \) and \( k = 1.3848 \) while \( p \) is 0.01 as it has been experimentally verified to be the optimal scale factor. Table 5.4 shows the predicted and the measured energy consumptions of the kernel after applying equation 5.1 with \( k = 1.3848 \) and \( c = 13.7628 \), the forth column of the table shows the percentage errors between predicted and measured values. After applying the proposed model, the range of predictive inaccuracies achieved from analysing this benchmark is between 4.75% and 18.96%.

## 5.6 Heap Sort Algorithm

Heap sort is a member of the family of selection sorts. This family of algorithms works by determining the largest (or smallest) element of the list,
5.6 Heap Sort Algorithm

Figure 5.6: A line graph showing the measured and predicted energy consumption of fft benchmark with $N$ set to 2097152, 8388608 and 16777216 after applying equation 5.1 with $k = 1.3848$ and $c = 13.7628$.

placing that at the end (or beginning) of the list, then continuing with the rest of the list. Straight selection sort runs in $O(n^2)$ time, but heap sort accomplishes its task efficiently by using a data structure called a heap, which is a binary tree where each parent is larger than either of its children. Once the data list has been made into a heap, the root node is guaranteed to be the largest element. It is removed and placed at the end of the list, then the remaining list is “heapified” again.

During evaluation the benchmark sorts an array of $N$ integer where $N$ is chosen to be 1000000, 5000000 and 25000000. This benchmark is memory
and integer intensive. Both implementation and characterised counterpart of the evaluated section heapsort of this kernel are shown in listing D.38 and D.39 of appendix D respectively.

Three sets of data are chosen during this kernel’s evaluation, they are integer arrays of length $N$ where $N$ are 1000000, 5000000 and 25000000. For each size of data set, the average execution time and energy consumption are measured over 20 iterations. Unlike previous discussed kernels, due to the nature of sorting algorithms, both execution time and energy consumption are highly data dependent. Therefore for every iteration of the sort, data must be re-initialised.

Listing 5.36 shows the implementation of the method initialise which is responsible for creating the required integer array. During the evaluation of the method heapsort, initialise must be called prior the execution of heapsort to ensure consistency of unsorted data. Therefore to evaluate this kernel in conformance with the rest of the kernel evaluations in this chapter, the execution time and energy consumption of the method initialise are

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy (J)</th>
<th>Predicted Energy (J)</th>
<th>Percentage Error (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000000</td>
<td>58.2667</td>
<td>53.5485</td>
<td>8.10</td>
</tr>
<tr>
<td>5000000</td>
<td>447.9064</td>
<td>307.4212</td>
<td>31.36</td>
</tr>
<tr>
<td>25000000</td>
<td>3080.9534</td>
<td>1669.3671</td>
<td>45.82</td>
</tr>
</tbody>
</table>

Table 5.5: A table showing the predicted energy consumption against the measured energy consumption of heapsort on ip-115-69-dhcp, the forth column shows the percentage error between the measured and predicted values.
5.6 Heap Sort Algorithm

Measured, calculated and used as the overhead for the kernel so that only the operations within heapsort are accounted for.

Tables 5.5 shows the comparative results between measured and predicted energy consumption of the kernel for all three sets of data. This table contains a percentage error column showing difference between measured and predicted values. Figure 5.7 shows a graphical representation of the measured and predicted energy consumptions presented in table 5.5. The range of predictive inaccuracies achieved from analysing this benchmark is between 8.10% and 45.82%. To optimise the required parameter $k$ in the linear model,
5.6 Heap Sort Algorithm

$k$ is calculated to be 1.4636. Figure [5.8] shows a line graph representing the measured and predicted energy consumptions of heapsort benchmark after applying the linear model with $k = 1.4636$ and $c = 0$.

```c
static int rows;
static int *array;

static void initialise() {
    int i;
    array = (int *) malloc(sizeof(int) * rows);
    rinit(1729);
    for(i = 0; i < rows; i++) {
        array [i] = (int) (uni() * 2147483647);
    }
}
```

Listing 5.36: initialise - a method used to create integer array for heap sort algorithm kernel.

Figure [5.9] shows the line graph representing the measured and predicted energy consumption of heapsort benchmark after applying equation [5.1] with $k = 1.4636$ and $c = -18.2770$. In this training set, $y_{max}$ is calculated to be -20.1047 while $p$ is calculated to be $\frac{1}{1.1}$. $y_{max}$ in this training set is the difference between the measured and predicted energy consumption of heapsort with $N = 1000000$ and $k = 1.4636$, this is because the largest percentage error after acquiring $k$ is the measured and predicted energy consumption of the kernel with $N = 1000000$, while $p$ is calculated $\frac{1}{1.1}$ as it has been experimentally verified to be the optimal scale factor. Table [5.6] shows the predicted and the measured energy consumptions of the kernel after applying equation [5.1] with $k = 1.4636$ and $c = -18.2770$, the forth column of the table
5.6 Heap Sort Algorithm

Figure 5.8: A line graph showing the measured and predicted energy consumption of heapsort benchmark with after applying equation 5.1 with $k = 1.4636$ and $c = 0$.

shows the percentage errors between predicted and measured values. After applying the proposed model, the range of predictive inaccuracies achieved from analysing this benchmark is between 3.14% and 21.29%.
5.7 Model’s Verification and Evaluation

The previous three sections described the training sets for the proposed “experimental proportional relationship” linear model, three kernels from the Java Grande Benchmark Suite, namely Sparse Matrix Multiply, Fast Fourier Transform and Heap Sort Algorithm, were used to train both $k$ and $c$ for model optimisation. Table 5.7 shows the $k$ and $c$ values used during the energy consumption prediction and evaluations of the three kernels described in previous sections. These values were calculated based on the percentage differences between the predicted and the measured energy consumptions of

Figure 5.9: A line graph showing the measured and predicted energy consumption of heapsort benchmark with after applying equation 5.1 with $k = 1.4636$ and $c = -18.2770$. 

5.7 Model’s Verification and Evaluation

The previous three sections described the training sets for the proposed “experimental proportional relationship” linear model, three kernels from the Java Grande Benchmark Suite, namely Sparse Matrix Multiply, Fast Fourier Transform and Heap Sort Algorithm, were used to train both $k$ and $c$ for model optimisation. Table 5.7 shows the $k$ and $c$ values used during the energy consumption prediction and evaluations of the three kernels described in previous sections. These values were calculated based on the percentage differences between the predicted and the measured energy consumptions of
5.7 Model’s Verification and Evaluation

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy (J)</th>
<th>Predicted Energy (J)</th>
<th>Percentage Error (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000000</td>
<td>58.2667</td>
<td>60.0944</td>
<td>3.14</td>
</tr>
<tr>
<td>5000000</td>
<td>447.9064</td>
<td>431.6514</td>
<td>3.63</td>
</tr>
<tr>
<td>25000000</td>
<td>3080.9534</td>
<td>2424.9368</td>
<td>21.29</td>
</tr>
</tbody>
</table>

Table 5.6: A table showing the predicted energy consumption against the measured energy consumption of heapsort on ip-115-69-dhcp after applying equation 5.1 with $k = 1.4636$ and $c = -18.2770$, the forth column shows the percentage error between the measured and predicted values.

<table>
<thead>
<tr>
<th>Kernels</th>
<th>$k$</th>
<th>$c$</th>
<th>percentage %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sparse Matrix Multiply</td>
<td>1.7196</td>
<td>-89.6026</td>
<td>22.90</td>
</tr>
<tr>
<td>Fast Fourier Transform</td>
<td>1.3848</td>
<td>13.7628</td>
<td>13.22</td>
</tr>
<tr>
<td>Heap Sort Algorithm</td>
<td>1.4636</td>
<td>-18.2770</td>
<td>19.07</td>
</tr>
</tbody>
</table>

Table 5.7: A table showing the $k$ and $c$ values used during the energy consumption prediction and evaluations of the three kernels used for model’s training. The forth column is the mean average of the percentage errors of each kernel’s predictions after applying the proposed linear model.

the evaluated kernels, the forth column of table 5.7 shows the percentage differences of the mean average of the percentage errors of each kernel’s predictions after applying the proposed linear model. We use the mean average of the $k$ and $c$ values shown in table 5.7 to represent the proportionality constant and the uncertainty of the linear model. Hence $k$ is calculated to be 1.5393 and $c$ is calculated to be -30.3723.

A large scale application kernel from the C translation of the Java Grande Benchmark Suite is chosen for the model's verification and evaluation, this is a Euler benchmark that solves time-dependent Euler equations for flow in a channel with a “bump” on one of the walls. A structured, irregular,
5.7 Model’s Verification and Evaluation

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy (J)</th>
<th>Predicted Energy (J)</th>
<th>Percentage Error (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>64</td>
<td>471.9126</td>
<td>326.2020</td>
<td>30.88</td>
</tr>
<tr>
<td>96</td>
<td>1003.1683</td>
<td>667.1780</td>
<td>33.49</td>
</tr>
</tbody>
</table>

Table 5.8: A table showing the predicted energy consumption against the measured energy consumption of euler on ip-115-69-dhcp, the forth column shows the percentage error between the measured and predicted values.

$N \times 4N$ mesh is employed, and the solution method is a finite volume scheme using a fourth order Runge-Kutta method with both second and fourth order damping. The solution is iterated for 200 time steps. Since the source code of the implementation used for evaluation is around 2000 lines, it is not listed in this thesis.

Two sets of data are chosen during this kernel’s evaluation, they are structured, irregular, $N \times 4N$ mesh where $N$ are 64 and 96. For each size of data set, the average execution time and energy consumption are measured over 10 iterations where in each iteration the specific solution are iterated for 200 time steps. Tables 5.8 shows the comparative results between measured and predicted energy consumption of the kernel for all two sets of data. This table contains a percentage error column showing the differences of measured and predicted values. Figure 5.10 shows a graphical representation of the measured and predicted energy consumption presented in table 5.8. The predictive inaccuracies achieved from evaluating this kernel are 13.60% and 16.87%.

Figure 5.11 shows a line graph representing the measured and predicted
5.7 Model’s Verification and Evaluation

Figure 5.10: A line graph showing the measured and predicted energy consumptions of euler benchmark with \( N \) set to 64 and 96, all energy values are in joules.

The energy consumption of euler benchmark after applying the linear model with \( k = 1.5393 \) and \( c = 0 \). The predictive inaccuracies after applying the proposed linear model with \( k = 1.5393 \) and \( c = 0 \) are 6.40% and 2.37%.

Table 5.9 shows the predicted and the measured energy consumptions of the kernel after applying equation 5.1 with \( k = 1.4636 \) and \( c = -18.2770 \), the forth column of the table shows the percentage errors between predicted and measured values. A line representation of the comparison between the measured values and the predicted values after applying the linear model is shown in figure 5.12. After applying the proposed model, the predictive
5.8 Summary

Figure 5.11: A line graph showing the measured and predicted energy consumption of euler benchmark with $N$ set to 64 and 96 after applying equation 5.1 with $k = 1.5393$ and $c = 0$. Inaccuracies achieved are 0.032% and 0.651%.

This chapter illustrated the usage of the energy consumption prediction methodology described in this thesis and how this can be applied to predict the energy consumption of processor-intensive and memory-demanding scientific applications. It documented an “experimental proportional relationship” linear model after recognising a static inaccuracy in the energy
Table 5.9: A table showing the predicted energy consumption against the measured energy consumption of euler on ip-115-69-dhcp after applying equation 5.1 with $k = 1.5393$ and $c = -30.3723$, the forth column shows the percentage error between the measured and predicted values.

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Measured Energy(J)</th>
<th>Predicted Energy(J)</th>
<th>Percentage Error(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>64</td>
<td>471.9126</td>
<td>471.7613</td>
<td>0.032</td>
</tr>
<tr>
<td>96</td>
<td>1003.1683</td>
<td>996.6371</td>
<td>0.651</td>
</tr>
</tbody>
</table>

These results were applied to the proposed model in order to optimise the model’s parameters $k$ and $c$ for applications executing on ip-115-69-dhcp. The model’s parameters $k$ and $c$ represent the proportionality constant and the uncertainty. The model was verified and evaluated using a large scale application kernel from the C translation of the Java Grande Benchmark Suite that solves time-dependent Euler equations. All benchmarks except the heap sort algorithm contained no data-dependent elements of code and could therefore be accurately predicted without any prior execution. Since the heap sort algorithm is data dependant, at every iteration initialise is invoked and its energy consumption accounted for as overhead prior ex-
Figure 5.12: A line graph showing the measured and predicted energy consumption of `euler` benchmark with \( N \) set to 64 and 96 after applying equation 5.1 with \( k = 1.5393 \) and \( c = -30.3723 \).

Executing the performance-critical section `heapsort`. The inaccuracies of the predictive evaluations before applying the proposed model were 13.60% and 16.87% and the inaccuracies of the same predictive evaluations after applying the proposed model were 0.032% and 0.651%. This result suggested the predicted energy consumption of an application produced by the proposed energy consumption prediction methodology has an “experimental proportional relationship” with the application’s measured energy consumption.
Chapter 6

Conclusion

The increase in applications’ energy consumption in modern computational architectures has motivated the development of an analysis methodology to characterise and predict applications’ energy consumption. By using this technique developers and analysts can optimise an application’s energy consumption accordingly. Furthermore, a disproportional relationship between an application’s run time and energy consumption, which has been shown by a simple case study in chapter [1] has further motivated the research in energy consumption prediction which is documented in this thesis.

This thesis first documented a detail review of current techniques in the power-aware computing area including power management and source code cost analyses. These techniques were categorised into the following three categories:
• Traditional and General Purposes,
• Micro and Hardware Level,
• Macro and Software Level.

The review in chapter 2 identified the shortcomings in current power analysis techniques. Firstly, the review pinpointed the inflexibilities of these techniques, as they either require analysers to have very detail and specific knowledge such as the low-level machine code or require them to use specialised equipments which might not be available. Secondly, the review suggested that these power analyses techniques have separated themselves from the general performance domain by neglecting performance efficiency or have isolated energy consumption completely from other performance metrics such as execution time or memory utilisation. This is a major concern as the rapid increase in energy consumption meant that energy should be included into general cost analyses for performance measures. Thirdly, the review suggested that currently there’s no standard model for power analysis which allows application to be systematically or hierarchically optimised for energy usage and it is believed that such standardisation is important as applications are moving toward heterogeneous, distributed and even ubiquitous platforms. Also by using an analytical model, it allows energy or other performance measurements to be based on a hierarchical framework of relativity.

Subsequently, following from the directions suggested in chapter 2 and the beginning of chapter 3 in the remaining chapters 3 and 4, two method-
ologies and concepts in power-metric analysis and application predictions were proposed. They include the application level analysis by characterising applications into blocks of control flow definitions (proc cf\text{low}) and a novel method of power analysis utilising the mapping concept of a power classification model. These techniques are computational environment independent since they abstract from the underlying platform using either the corresponding hardware object or an instantiation of the basic model, as a result, it is possible for applications’ energy consumption to be analysed and predicted over all types of underlying platform.

The methodology of application level power analysis by characterising individual applications into blocks of control flow definitions (proc cf\text{low}) was implemented as a set of tools called the Power Trace Simulation and Characterisation Tools Suite (PSim). This tools suite is split into two separate bundles - PTV and CP. PTV provides graphical visualisations on trace data collected from the monitoring of power dissipation and resource usage of a running application, and it also processes these results using animation and statistical analyses, while CP provides characterisation and prediction functionalities. Its characterisation methodology uses a control flow procedure (proc cf\text{low}) and cl\text{c} definitions adopted from the High Performance Systems Group’s PACE modelling framework whose definition has been described in chapter 3.

Chapter 5 documented the examinations of the characterisation and energy consumption prediction. This chapter introduced an “experimental pro-
portional relationship” linear model after recognising a static inaccuracy in the energy consumption measurement as described in section 4.3. The linear model is shown in equation 5.1 and the model is trained with three scientific kernels from the C translation of the Java Grande Benchmark Suite. These kernels were characterised into control flow definitions (proc cflow) and evaluated over a range of data varying in size. These results were applied to the proposed model in order to optimise the model’s parameters $k$ and $c$ for applications executing on ip-115-69-dhcp. The model’s parameters $k$ and $c$ represent the proportionality constant and the uncertainty. The model was verified and evaluated using a large scale application kernel from the C translation of the Java Grande Benchmark Suite that solves time-dependent Euler equations. The inaccuracies of the predictive evaluations before applying the proposed model were 13.60% and 16.87% while the inaccuracies of the same predictive evaluations after applying the proposed model were 0.032% and 0.651%. This result suggested the predicted energy consumption of an application produced by the proposed energy consumption prediction methodology has an “experimental proportional relationship” with the application’s measured energy consumption.

6.1 Future Work

There are a number of areas of future work. They fall into three distinct categories:
1. **Hardware model refinement** - Since the comparison in chapter 5 showed that the numerical values of the predictions conform to an experimental proportional relationship with the measured values, it is therefore necessary and feasible to refine the current hardware model construction method to minimise this error. Refinements may be carried out by more detail investigations into the behaviour of the targeted applications against the trace data collected from the corresponding power analysis. The current methodology of power analysis using a digital multimeter might prove to be insufficient and hence it might be necessary to monitor the electrical power dissipated from different parts of the underlying hardware components such as the CPU and the memory chip. By having a bank of energy consumption of individual hardware component whilst executing elementary operations, it might be possible to construct a more accurate and complete model for energy consumption prediction. Moreover, it may also be possible to extend a broader class of experimental platforms and apply different monitoring techniques such as observing the discharge from a battery of a portable computer [41].

2. **PACE Integration** - The current application-level energy consumption prediction technique is based on the characterising the target application into blocks of control flow definitions proc flow. Therefore it is a natural development to bring this prediction technique into the PACE framework which uses such definition as blocks of sequential computations. To enable this integration, apart from refining the current
hardware model as described above, the current energy consumption prediction technique must be extended to allow prediction on parallel computations such as MPI-implemented applications. Also the energy consumption prediction can be extended for jPACE [72] (jPACE is an extension to PACE in performance prediction for Java distributed applications). This can be achieved by investigating the energy cost of bytecode blocks, this is a feasible extension as the performance costs of these bytecode blocks have been investigated during the construction of jPACE [73].

3. **General cost classification model** - As suggested in section 3.1 there is a need to have a standard model for power analysis which allows applications to be systematically or hierarchically optimised for energy usage. Similar to the idea of PACE integration, it should be possible to extend the power classification model concept into a more general cost classification model, as this will allow general cost analysis to be carried out relatively and it also means that measurements no longer need to be absolute but rather they can be based on a hierarchical framework of relativity. This innovative encapsulation model will also allow performance modelling to be carried out generically as it is possible to abstract the underlying platforms into resource models.
Appendix A

PComposer usage page

NAME

PComposer - PSim trace file compiler and power-benchmarked hardware object constructor.

SYNOPSIS

./PComposer.pl [[-s|-a|-p|-w|-ah|-hmcl|-hmcl-all|-c] [tracename]] | [-h]

DESCRIPTION

PComposer extracts power and resource measurements from experimental trace and creates (non-synchronized) simulation trace file in comma separated values (csv) format. It uses experiment’s run time as the pivot to merge monitored data recorded by the digital multimeter and ccp. If container is used to monitor workload data, PComposer will
also carry out merges with those trace data. It also creates summary tables with relevant HMCL opcode. PComposer also provide functionalities to construct power-benchmarked hardware object constructor (*.cmodel) by collecting power trace data by measuring the current drawn by the execution of the altered version of C Operation Benchmark Program 'bench'.

EXAMPLES

./PComposer.pl -a fft_1956210105 - Compile fft_1956210105.simulate from files in directory fft_1956210105 containing fft_1956210105.txt, resource.dat etc.

OPTIONS

-a TRACE-NAME compiles individual trace file specified by
    TRACE-NAME (*.simulate)
-ah HMCL_DIR iteratively compiles HMCL trace file (*.simulate)
-c correct HMCL out-of-sync trace file (*.simulate)
-h print this help, then exit
-hmcl compiles HMCL cmodel file for power characterisation (*.cmodel)
-hmcl-all analyse a single hmcl power file to construct individual power files for further analysis
-hmcl-code construct hmcl.code from output of pace/cmr/cpu/bench.c for hmcl run time reference
-p compiles Opcode (HMCL chains) timings and construct corresponding power value (rCurrent-NWp,rPower-NWp) (*.csv)
-s    makes Summary file (*.summary)
-w    normalises Opcode (HMCL chains) power values
      from non-workload timing (*.summary)

SEE ALSO

ccp, container, PSim

AUTHOR

Peter Wong, Department of Computer Science, University of Warwick
Appendix B

container and ccp usage page

NAME

container, ccp - Performance benchmark workload container for classification model and workload resource usage monitor.

SYNOPSIS


./ccp [workload]

DESCRIPTION

Container executes and monitors selected workloads for constructing

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classification model. The choice of workload is specified by workload specification arguments. Generated trace data can either be outputted to standard output or into a file specified by -o. At the same time trace information about the current status of the process such as 'init' for initialisation and 'save' for batching up processed data for output will also be recorded and outputted to designated location. Most workloads are both processor and memory intensive and large amounts of data are processed and by default are output to designated location in the file system unless -n is used to indicate no data being outputted. The default maximum run time for each workload monitoring is 600 seconds. Workloads are implemented with the Java Grande Benchmark Suite as the blueprint.

cpp uses ps to collect resource usage such as cpu and memory utilisation of the specified workload, workload argument can be any of the workload specification arguments used in container such as fft for fast Fourier transform workload.

EXAMPLES

./container --iv -i 850 -o result.dat - monitor matrix inversion by Gauss-Jordan Elimination with pivoting technique, 850 iterations per session and output trace data into result.dat.

OPTIONS

--ar assign array local operations
--lo looping - for, reverse for and while
--iv matrix inversion by gauss-jordan elimination with pivoting
--fft Fast Fourier Transform
--mult sparse matrix multiplication
--sor successive over-relaxation
--mult sparse matrix multiplication
--heap heap sort algorithm
--lu LU factorisation
--ser fourier coefficient analysis
--eul computational fluid dynamics
--mol molecular dynamics simulation
--non no workload, benchmark container itself
--bub bubble sort algorithm
-i iteration workload Iteration
-o logfile output file
-n --nostore inhibits all processed data output (excluding trace data)
--clean empty specified data file for processed data output
-h --help print this help, then exit

TRACE FORMAT

Container outputs all trace data in comma separated value (csv) format as it is a de facto standard for portable representation of a database and has been used for exchanging and converting data between various spreadsheet programs. Below is the standard format for each piece of monitoring trace.
Wn,Sc,ipS,eRt,SRt,ips,ct

where  Wn - Workload Name

      Sc - Session counts

      ipS - Iterations per sessions

      eRt - execution run time

      SRt - session run time

      ips - average iterations per second

      ct - current time

eg. fft,acc.1x100:0.390448,ses1:0.390445,aver:256.118058,tm:13:06:40.655497

SEE ALSO

    PSim, PComposer, ps

AUTHOR

    Peter Wong, Department of Computer Science, University of Warwick
Appendix C

About Java Package

uk.ac.warwick.dcs.hpsg.PSimulate

PSim’s implementation package is uk.ac.warwick.dcs.hpsg.PSimulate written in Java\textsuperscript{TM} (J2SE version 1.4.2) and its UML class diagram is shown in figure C.1. Not all methods and classes have been included in figure C.1 only the most prominent information is shown. There are a number of classes omitted in the UML diagram, they are bSemaphore, printSummary, printTable, TraceException. Table C.1 describes individual main classes of the package, note all nested classes, such as Simulate.SimVerifier, are not described in this appendix.
<table>
<thead>
<tr>
<th>Class Name</th>
<th>Description Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>bSemaphore</td>
<td>Provides an internal (strict) binary semaphore used to synchronised concurrent operation such as animated characterisation for PSim.</td>
</tr>
<tr>
<td>Characterisation</td>
<td>Characterisation windows and functions class for PSim, carries algorithms for application prediction and provides the generation of application level prediction result</td>
</tr>
<tr>
<td>printSummary</td>
<td>Provides the display and manipulation of summary data, it also provides printing capability for these data.</td>
</tr>
<tr>
<td>printTable</td>
<td>Provides the display and manipulation of analysed data usually in tabular form, it also provides printing capability for these data.</td>
</tr>
<tr>
<td>Simulate</td>
<td>Provides graphical user interface for PSim.</td>
</tr>
<tr>
<td>SimStep</td>
<td>Provide PSim’s encapsulation for individual trace data and provides functions to manipulate these data</td>
</tr>
<tr>
<td>SourceView</td>
<td>Source code viewer and functions class for PSim and carries algorithms to generate prediction results at source code’s line level.</td>
</tr>
<tr>
<td>Summary</td>
<td>Provide Interface and function to interpret and verify summary datasets created by PSim</td>
</tr>
<tr>
<td>TimeChart</td>
<td>Provides visualisation displays and animations in PSim. Provides the generation of trace analysis summary and results</td>
</tr>
<tr>
<td>Trace</td>
<td>Provides encapsulation for Monitoring Trace Data (*.simulate) in PSim and provides functions to manipulate and process these data</td>
</tr>
<tr>
<td>TraceException</td>
<td>Exception class for the entire package.</td>
</tr>
</tbody>
</table>

Table C.1: A table describing individual main classes (excluding nested classes) of the package uk.ac.warwick.dcs.hpsg.PSimulate.
Figure C.1: A simplified UML class diagram of PSim's implementation package - uk.ac.warwick.dcs.hpsg.PSimulate.
Appendix D

Evaluated Algorithms

D.1 Sparse Matrix Multiply

Sparse Matrix Multiplication uses an unstructured sparse matrix stored in compressed-row format with a prescribed sparsity structure. This kernel is part of the Java Grande Benchmark Suite [13] and exercises indirection addressing and non-regular memory references. $N \times N$ sparse matrix is used for 200 iterations. During evaluation data size $N$ is chosen to be 50000, 100000 and 500000. Listing D.37 shows the measured (sparsematmult) and initialisation (initialise) sections of the implementation used during evaluation, note the actual implementation of the kernel is about 300 lines including methods for constructing a sparse matrix and a dense vector.
static double *x,*y,*val;
static int *col,*row;
static int nz;

static void sparsematmult(void) {
    int reps,SPARSE_NUM_ITER,i;
    for (reps=0; reps<SPARSE_NUM_ITER; reps++) {
        for (i=0; i<nz; i++) y[ row[i] ] += x[ col[i] ] * val[i];
    }
}

static void initialise(){
    int i;
    x = RandomVector(datasizes_N[size]);
    y = (double *) malloc(sizeof(double)*datasizes_M[size]);
    nz = datasizes_nz[size];
    val = (double *) malloc(sizeof(double)*nz);
    col = (int *) malloc(sizeof(int)*nz);
    row = (int *) malloc(sizeof(int)*nz);
    rinit(1966);
    for (i=0; i<nz; i++) {
        row[i] = (int) (uni() * datasizes_M[size]);
        col[i] = (int) (uni() * datasizes_N[size]);
        val[i] = (double) uni();
    }
}

Listing D.37: The measured and the initialisation sections of the implementation of sparse matrix multiplication algorithm used during evaluation.
D.2 Heap Sort

Heap sort is a member of the family of selection sorts. This family of algorithms works by determining the largest (or smallest) element of the list, placing that at the end (or beginning) of the list, then continuing with the rest of the list. Straight selection sort runs in $O(n^2)$ time, but heap sort accomplishes its task efficiently by using a data structure called a heap, which is a binary tree where each parent is larger than either of its children. Once the data list has been made into a heap, the root node is guaranteed to be the largest element. It is removed and placed at the end of the list, then the remaining list is “heapified” again. During evaluation the benchmark sorts an array of $N$ integer where $N$ is chosen to be 1000000, 5000000 and 25000000. Listing D.39 is the characterised proc call definition of the implementation of heap sort algorithm shown in listing D.38 performing sorting on an array of 1000000 integer.

```c
static int *array;
static int rows;
void heapsort() {
    int temp, i, k, ti;
    int top = rows - 1;

    for (i = top/2; i > 0; --i) {
        ti = i;
        while((ti + ti) <= top) {
            k = ti + ti;
            if (k < top) {
                if (array[k] < array[k+1]) ++k;
                if (array[ti] < array[k]) {
                    temp = array[k];
```
Listing D.38: The implementation of heap sort algorithm used during evaluation.

```
proc cflow heapsort {
    compute <is clc, FCAL, AILG, 2*TILL, DILL>;
    loop (<is clc, LFOR>, 500000) {
        compute <is clc, CMLL, TILL>;
        loop (<is clc, LWHI>, 2) {
            compute <is clc, 2*AILL, 2*CMLL, TILL>;
        }
    }
}
```
case (<is clc, IFBR>) {
  0.5:
    compute <is clc, 2*ARL1, CMLG>;
    case (<is clc, IFBR>) {
      0.5:
        compute <is clc, INLL>;
      }
    }
  }
compute <is clc, 2*ARL1, CMLG>;

case (<is clc, IFBR>) {
  0.5:
    compute <is clc, 4*ARL1, 2*TILL, 2*TILG>;
  1-(0.5):
    compute <is clc, AILL, TILL>;
  }

compute <is clc, INLL>;

compute <is clc, TILL>;

loop (<is clc, LFOR>, 999999) {
  compute <is clc, CMll, SILL>;
  loop (<is clc, LWHI>, 18) {
    compute <is clc, 2*AILL, 2*CMLL, TILL>;
    case (<is clc, IFBR>) {
      0.5:
        compute <is clc, 2*ARL1, CMLG>;
        case (<is clc, IFBR>) {
          0.5:
            compute <is clc, INLL>;
          }
        }
      0.5:
        compute <is clc, 4*ARL1, TILL, 2*TILG, INLL>;
    }
  }
compute <is clc, 2*ARL1, CMLG>;

case (<is clc, IFBR>) {
  0.5:
    compute <is clc, 4*ARL1, 2*TILL, 2*TILG>;
  1-(0.5):
    compute <is clc, AILL, TILL>;
  }
compute <is clc, 4*ARL1, TILL, 2*TILG, INLL>;
Listing D.39: The characterised proc cflow definition of the implementation of heap sort algorithm shown in listing D.38 sorting an array of 1000000 integer.

D.3 Fast Fourier Transform

The Fast Fourier Transform (FFT) is a discrete Fourier transform algorithm which reduces the number of computations needed for $N$ points from $2N^2$ to $2N \lg N$, where $\lg$ is the base-2 logarithm. If the function to be transformed is not harmonically related to the sampling frequency, the response of an FFT looks like a sampling function. Aliasing can be reduced by apodisation using a tapering function. However, aliasing reduction is at the expense of broadening the spectral response.

The particular implementation shown in listing D.40 which is used during evaluation performs a one-dimensional forward transform of $N$ complex numbers. This kernel exercises complex arithmetic, shuffling, non-constant memory references and trigonometric functions. This is a CPU intensive benchmark working at the kernel level. Listing D.41 is the characterised proc cflow definition of the implementation of Fast Fourier Transform shown in listing D.40 performing one-dimensional forward transform of 2097152 complex numbers.
#define PI 3.14159265358979323

static int data_length;
static double *data;
static double totali,total;
static void fft(){
    int i, direction, n, logn, bit, dual, j, a, b, nn,k,ii,jj;
    double w_real, w_imag, wd_real, wd_imag, s, s2, t, theta;
    double tmp_real, tmp_imag, z1_real, z1_imag, norm;
    int log = 0;

    direction = -1;
    n = data_length/2;
    if (n == 1) return;
    for(k=1; k < n; k *= 2, log++):
        if (n != (1 << log)) printf("Data length %d is not a power of 2!\n",n);
        logn = log;

    nn=data_length/2;
    for (i = 0, j=0; i < nn - 1; i++) {
        ii = 2*i;
        jj = 2*j;
        k = nn / 2 ;
        if (i < j) {
            tmp_real = data[ii];
            tmp_imag = data[ii+1];
            data[ii] = data[jj];
            data[ii+1] = data[jj+1];
            data[jj] = tmp_real;
            data[jj+1] = tmp_imag;
        }
        while (k <= j) {
            j = j - k ;
            k = k / 2 ;
        }
        j += k ;
    }

    for (bit = 0, dual = 1; bit < logn; bit++, dual *= 2) {
        w_real = 1.0;
        w_imag = 0.0;
    }
theta = 2.0 * direction * PI / (2.0 * (double) dual);
s = sin(theta);
t = sin(theta / 2.0);
s2 = 2.0 * t * t;

for (b = 0; b < n; b += 2 * dual) {
    i = 2*b;
    j = 2*(b + dual);
    wd_real = data[j];
    wd_imag = data[j+1];
    data[j] = data[i] - wd_real;
    data[j+1] = data[i+1] - wd_imag;
    data[i] += wd_real;
    data[i+1] += wd_imag;
}

for (a = 1; a < dual; a++) {
    tmp_real = w_real - s * w_imag - s2 * w_real;
    tmp_imag = w_imag + s * w_real - s2 * w_imag;
    w_real = tmp_real;
    w_imag = tmp_imag;

    for (b = 0; b < n; b += 2 * dual) {
        i = 2*(b + a);
        j = 2*(b + a + dual);
        z1_real = data[j];
        z1_imag = data[j+1];
        wd_real = w_real * z1_real - w_imag * z1_imag;
        wd_imag = w_real * z1_imag + w_imag * z1_real;
        data[j] = data[i] - wd_real;
        data[j+1] = data[i+1] - wd_imag;
        data[i] += wd_real;
        data[i+1] += wd_imag;
    }
}

for (i=0; i<data_length; i++) {
    total += data[i];
}
D.3 Fast Fourier Transform

```c
direction = -1;
n = data_length/2;
if (n == 1) return;
for (k=1; k < n; k *= 2, log++);
    if (n != (1 << log)) printf("Data length %d is not a power of 2!\n", n);
logn = log;

nn=data_length/2;
for (i = 0, j=0; i < nn - 1; i++) {
    ii = 2*i;
    jj = 2*j;
    k = nn / 2 ;
    if (i < j) {
        tmp_real = data[ii];
        tmp_imag = data[ii+1];
        data[ii] = data[jj];
        data[ii+1] = data[jj+1];
        data[jj] = tmp_real;
        data[jj+1] = tmp_imag;
    }
    while (k <= j) {
        j = j - k ;
        k = k / 2 ;
    }
    j += k ;
}

for (bit = 0, dual = 1; bit < logn; bit++, dual *= 2) {
    w_real = 1.0;
    w_imag = 0.0;
    theta = 2.0 * direction * PI / (2.0 * (double) dual);
    s = sin(theta);
    t = sin(theta / 2.0);
    s2 = 2.0 * t * t;
    for (b = 0; b < n; b += 2 * dual) {
        i = 2*b ;
        j = 2*(b + dual);
        wd_real = data[j] ;
        wd_imag = data[j+1] ;
        data[j] = data[i] - wd_real;
        data[j+1] = data[i+1] - wd_imag;
    }
```
D.3 Fast Fourier Transform

Listing D.40: The implementation of Fast Fourier Transform algorithm used during evaluation.

```
data[i] += wd_real;
data[i+1]+= wd_imag;
}
for (a = 1; a < dual; a++) {
tmp_real = w_real - s * w_imag - s2 * w_real;
tmp_imag = w_imag + s * w_real - s2 * w_imag;
w_real = tmp_real;
w_imag = tmp_imag;
for (b = 0; b < n; b += 2 * dual) {
i = 2*(b + a);
j = 2*(b + a + dual);
z1_real = data[j];
z1_imag = data[j+1];
wd_real = w_real * z1_real - w_imag * z1_imag;
wd_imag = w_real * z1_imag + w_imag * z1_real;
data[j] = data[i] - wd_real;
data[j+1] = data[i+1] - wd_imag;
data[i] += wd_real;
data[i+1]+= wd_imag;
}
}

n = data_length/2;
norm=1/((double) n);
for(i=0; i<data_length; i++)
data[i] *= norm;
for(i=0; i<data_length; i++) {
totali += data[i];
}
```
proc cflow fft {
  compute <is clc, FCAL, SILL, 2*TILL, DILG, CMLL>;
  case (<is clc, IFBR>) {
    0.1:
      compute <is clc, BRTN>;
      return;
  }
  compute <is clc, SILL>;
  loop (<is clc, LFOR>, 21) {
    compute <is clc, CMLL, MILL, SILL, INLL>
  }
  compute <is clc, CMLL>;
  case (<is clc, IFBR>) {
    0.1:
      call cflow printf;
  }
  compute <is clc, 2*TILL, DILG, 2*SILL>;
  loop (<is clc, LFOR>, 2097151) {
    compute <is clc, AILL, 2*CMLL, 2*MILL, 3*TILL, DILL>;
    case (<is clc, IFBR>) {
      0.5:
        compute <is clc, 8*ARD1, 2*TFDL, 4*TFDG>
    }
    loop (<is clc, LWHI>, 2) {
      compute <is clc, CMLL, AILL, 2*TILL, DILL>
    }
    compute <is clc, AILL, TILL, INLL>
  }
  compute <is clc, 2*SILL>;
  loop (<is clc, LFOR>, 21) {
    compute <is clc, CMLL, 2*SFDL, 5*MFDL, 2*DFDL, 4*TFDL, 2*SIND, SILL>
  }
  loop (<is clc, LFOR>, 99864) {
    compute <is clc, CMLL, 3*MILL, 3*TILL, 2*AILL, 8*ARD1, 2*TFDL, 4*AFDG, 4*TFDG>
  }
  compute <is clc, SILL>;
  loop (<is clc, LFOR>, 104856) {
    compute <is clc, CMLL, 4*MFDL, 4*AFDL, 4*TFDL, SILL>
  }
  loop (<is clc, LFOR>, 10) {
    compute <is clc, CMLL, 4*AILL, 3*MILL, 3*TILL, 8*ARD1

D.3 Fast Fourier Transform

, 4*TFDL, 4*MFDL, 2*AFDL, 4*AFDG, 4*TFDG>

} compute <is clc, INLL>;
}
compute <is clc, INLL, MILL, SILL>;
}
compute <is clc, SILL>;
loop (<is clc, LFOR>, 4194304) {
  compute <is clc, CMLL, ARD1, AFDG, TFDG, INLL>;
}
compute <is clc, 2*TILL, DILG, CMLL>;
case (<is clc, IFBR>) {
  0.1:
    compute <is clc, BRTN>;
    return;
}
compute <is clc, SILL>;
loop (<is clc, LFOR>, 21) {
  compute <is clc, CMLL, MILL, SILL, INLL>;
}
compute <is clc, CMLL>;
case (<is clc, IFBR>) {
  0.1:
    call cflow printf;
}
compute <is clc, 2*TILL, DILG, 2*SILL>;
loop (<is clc, LFOR>, 2097151) {
  compute <is clc, AILL, 2*CMLL, 2*MILL, 3*TILL, DILL>;
case (<is clc, IFBR>) {
    0.5:
      compute <is clc, 8*ARD1, 2*TFDL, 4*TFDG>;
    }
  loop (<is clc, LWHI>, 2) {
    compute <is clc, CMLL, AILL, 2*TILL, DILL>;
  }
  compute <is clc, AILL, TILL, INLL>;
}
compute <is clc, 2*SILL>;
loop (<is clc, LFOR>, 21) {
  compute <is clc, CMLL, 2*SFDL, 5*MFDL, 2*DFDL, 4*TFDL , 2*SIND, SILL>;
Listing D.41: The characterised proc cflow definition of the implementation of Fast Fourier Transform shown in listing D.40 performing one-dimensional forward transform of 2097152 complex numbers.

D.4 Computational Fluid Dynamics

The Computational Fluid Dynamics Euler benchmark is adapted from one of the scientific kernels the Java Grande Benchmark Suite representing large scale applications [13]. It solves the time-dependent Euler equations for flow
in a channel with a “bump” on one of the walls. A structured, irregular, $N\times 4N$ mesh is employed, and the solution method is a finite volume scheme using a fourth order Runge-Kutta method with both second and fourth order damping. The solution is iterated for 200 timesteps. The C source code of the implementation used for evaluation is around 2000 lines, therefore it is not listed here.
Appendix E

cmodel - measured energy consumption of individual clc on workstation ip-115-69-dhcp

Table E.1 shows energy model cmodel containing the measured energy consumption of individual clc executing on the workstation ip-115-69-dhcp. Note due to the granularity of the measurements recorded by the digital multimeter, some of the clc execution energy consumption cannot be recorded while some of clc execution time cannot be obtained due to their insignificances in terms of execution performance.
### Table E.1:

<table>
<thead>
<tr>
<th>opcode</th>
<th>time</th>
<th>power</th>
<th>energy</th>
<th>overhead</th>
</tr>
</thead>
<tbody>
<tr>
<td>SISL</td>
<td>0.000644827</td>
<td>35.37</td>
<td>2.280753099e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SISG</td>
<td>0.000638161</td>
<td>34.83</td>
<td>2.222714763e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SILL</td>
<td>0.000643161</td>
<td>35.54</td>
<td>2.285794194e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SILG</td>
<td>0.000649827</td>
<td>35.38</td>
<td>2.299087926e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SFSL</td>
<td>0.000608161</td>
<td>36.04</td>
<td>2.191812244e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SFSG</td>
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<td>32.39</td>
<td>2.056204653e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SFDL</td>
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<td>38.57</td>
<td>4.65343193e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SFDG</td>
<td>0.00125149</td>
<td>39.67</td>
<td>4.96466083e-08</td>
<td>NULL</td>
</tr>
<tr>
<td>SCHL</td>
<td>0.000634827</td>
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