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Psychological distress among tsunami refugees from the Great East Japan earthquake
Robin Goodwin, Masahito Takahashi, Shaojing Sun and Menachem Ben-Ezra

Background
The 2011 Great Japan tsunami and nuclear leaks displaced 300,000 people, but there are no large studies of psychological distress suffered by these refugees.

Aims
To provide a first assessment of major factors associated with distress and dysfunctional behaviour following the disasters.

Method
All refugee families living in Miyagi were sent a questionnaire 10–12 months after the disasters. 21,981 participants (73%) returned questionnaires. Questions assessed psychological distress (Kessler Psychological Distress Scale, K6), dysfunctional behaviours, demographics, event exposure, change in physical activity, household visitors and emotional support.

Results
Nine percent scored 13+ on the K6 indicating risk of severe mental illness. Psychological distress was greater among Fukushima refugees. Demographic variables, family loss, illness history and change in physical activity were associated with psychological distress and dysfunctional behaviours. Associations between psychological distress and dysfunction and visitors/supporters depended on relation to supporter.

Conclusions
Practitioners need to recognise existing disease burden, community histories and family roles when intervening following disasters.

Declaration of interest
None.

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The March 2011 Great East Japan (Tōhoku) earthquake measuring 9.0+ on the Richter scale was the largest earthquake in Japanese history and one of the largest in recorded history. Despite a long history of earthquakes in the region, counter-measures proved insufficient against this event. The earthquake was accompanied by a tsunami 130 km off the coast of Miyagi Prefecture that killed more than 18,000 people. Although other earthquakes have led to larger death tolls, the tsunami was remarkable in causing severe damage to the nuclear reactors in Fukushima, damaging all six and leading to meltdowns in three. This nuclear accident was subsequently rated ‘Level 7’ by the Japanese Ministry of Economy, Trade and Industry, making the Fukushima incident as of comparable severity to the 1986 Chernobyl incident.

Natural disasters, particularly severe events that directly affect large populations, are associated with a range of serious mental health problems, with mass population displacement disrupting routine social and economic activities and community bonds. However, compared with disasters elsewhere, we have relatively little knowledge about trauma reactions in Japan, and we know little about the mental health of the 335,000 refugees displaced by the compound disasters of March 2011 or the major factors contributing towards their psychological stress or dysfunctional behaviours. In this paper, we report data from a survey of all refugees in Miyagi Prefecture, the prefecture (administrative region) most affected by the tsunami. Our sample also included refugees from the two other most affected prefectures: Iwate and Fukushima. (Fully or partially destroyed houses numbered 236,134 in Miyagi, 24,916 in Iwate and 74,853 in Fukushima.) We consider the relationship between psychological distress and sociodemographic factors associated with differential responses to following mass trauma (age, gender, family finances, significant loss (family fatalities, housing loss), disease-related vulnerabilities and opportunities for physical activity. Previous work has indicated that friends, relatives and spouses may provide the main sources of emotional support, and relatives and friends may provide greater tangible support. We include social support as an important resource against large-scale stressors when recognising the role of different supporters in Japan. Because of the uneven distribution of losses across cities, and the varied distribution of families and communities during relocation, we analyse the association between support and psychological distress at multiple levels (city, family and individual). Taken together, this will help us provide a better understanding of those most at risk following a complex mass disaster and aid in designing future interventions for displaced refugees.

Method
Design and participants
Data for this study were from the Miyagi Prefectural Health Survey administered by the Miyagi Health Department 10–12 months after the earthquake (January–March 2012). In this cross-sectional survey, Miyagi Prefecture distributed questionnaires to all 12,828 refugee families living in rented accommodation paid for by the prefecture. 9,413 families, comprising 21,981 individual participants aged at least 18, returned the questionnaire (10,312 (47%) male, mean age 51.7 years, s.d.=18.53, response rate 73%). Responses were primarily by mail from individual households. Survey questions were partly derived from those previously used by large surveys administered by local government in Kobe/Hyōgo and Niigata following earthquakes in those prefectures. Table DS1 in the data supplement provides survey items and response frequencies.
Measures
Risk of mental illness and dysfunctional behaviour

All participants completed the Kessler Psychological Distress Scale (K6) (Japanese version, six items, α=0.91), a screening scale for non-specific psychological distress. Scores of 8–12 indicate mild–moderate mental distress, 13+ risk of severe mental illness. Dysfunctional behaviour was assessed with four items asking whether respondents currently suffered from each of the following: sleeplessness, lethargy, lack of appetite and drinking alcohol in the morning (each yes/no).

Demographic variables and exposure to the disaster

All participants indicated their age, gender, and city and prefecture at the time of the earthquake. They also indicated whether they had had a previous serious illness (yes/no), and whether they were currently receiving treatment for disease (yes/no). These diseases were then coded into one of seven main categories: diabetes, high blood pressure, cancer, cardiac disease, cerebrovascular disease, respiratory disorder or disease requiring dialysis. Damage to the original house (complete collapse vs. partial or no damage), major source of household income (salaried or otherwise) and loss of family members (yes/no) were recorded by one household member.

Support and exercise following the disasters

All respondents indicated whether their physical activity levels had decreased, stayed the same or increased since the earthquake. They also listed who, if anyone, could provide emotional support following the disasters (spouse, father, mother, grandparent, child, sibling, friend; ‘who is your shoulder to cry on? (e.g. child, friend)’ each scored yes or no). One member of the household recorded presence of visitors to the household (yes/no) and nature of that visitor (child, sibling, daughter-in-law; each yes/no).

Analyses

Significant independent variables were identified from bivariate regression analysis, using SPSS version 20.0 (Table 1 and Table DS3 in the data supplement). Regional differences in general distress were assessed through one-way ANOVA, controlling for age and gender; regional variations in family death, house loss and functional problems by chi-squared and logistic regressions. Hierarchical logistic regression compared those at risk of moderate/severe mental illness from those at lower risk and those who exhibited one or more dysfunctional behaviours (sleeplessness, lethargy, lack of appetite or alcohol use in the mornings) vs. those showing none. To assess the relationship between distress and individual support, ‘group-level’ support (through household visits) and city-level variations, we conducted a nested analysis using multilevel modelling analysis (SAS version 9.2) controlling for age, gender, salaried employment and family loss. This enabled us to simultaneously assess the relative effects of these individual, group and city-level variables on distress, and the way in which individual support was associated with distress across families and cities. Table DS2 in the data supplement provides towns included in the analysis. Two-sided P<0.05 indicated significance throughout.

Table 1  Bivariate associations between potential demographic/disaster-related factors and psychological distress (K6)

| Table 1  Bivariate associations between potential demographic/disaster-related factors and psychological distress (K6) |
|---------------------------------|-----------------|-----------------|-----------------|-----------------|
| Demographic and risk factors    | Unit/contrast   | β                | s.e.            | β               | P               |
| Age                             | Years           | 0.04             | 0.00            | 0.14            | <0.0001         |
| Gender                          | Female          | 1.21             | 0.07            | 0.12            | <0.0001         |
| Unemployed                      | Yes             | 1.67             | 0.08            | 0.16            | <0.0001         |
| Previous serious disease        | Yes             | 2.14             | 0.09            | 0.17            | <0.0001         |
| Disaster-related factors        |                 | β                | s.e.            | β               | P               |
| Family loss in disaster         | Yes             | 0.65             | 0.11            | 0.04            | <0.0001         |
| House collapse                  | Yes             | 0.13             | 0.09            | 0.01            | 0.16            |
| Currently receiving treatment   | Yes             | 2.09             | 0.07            | 0.20            | <0.0001         |
| Diabetes                        | Yes             | 1.47             | 0.15            | 0.07            | <0.0001         |
| High blood pressure             | Yes             | 1.08             | 0.09            | 0.08            | <0.0001         |
| Cancer                          | Yes             | 2.75             | 0.29            | 0.07            | <0.0001         |
| Cardiac disease                 | Yes             | 2.23             | 0.20            | 0.08            | <0.0001         |
| Cerebrovascular disease         | Yes             | 2.25             | 0.31            | 0.05            | <0.0001         |
| Respiratory disorder            | Yes             | 2.20             | 0.23            | 0.07            | <0.0001         |
| Dialysis                        | Yes             | 1.64             | 0.82            | 0.01            | <0.05           |
| Change in physical activities   | Increase        | −2.04            | 0.06            | −0.23           | <0.0001         |
| House visitor                   | Yes             | −1.68            | 0.12            | −0.11           | <0.0001         |
| Visitor is relative             | Yes             | −1.13            | 0.09            | −0.09           | <0.0001         |
| Visitor is child                | Yes             | 0.36             | 0.11            | 0.03            | <0.001          |
| Visitor is sibling              | Yes             | −0.26            | 0.09            | −0.02           | <0.001          |
| Visitor is daughter-in-law      | Yes             | −0.21            | 0.23            | 0.01            | 0.36            |
| Emotional support from          |                 | β                | s.e.            | β               | P               |
| Spouse                          | Yes             | −1.03            | 0.09            | −0.09           | <0.0001         |
| Father                          | Yes             | −1.96            | 0.22            | −0.07           | <0.0001         |
| Mother                          | Yes             | −1.17            | 0.16            | −0.05           | <0.0001         |
| Child                           | Yes             | 0.70             | 0.14            | 0.04            | <0.0001         |
| Sibling                         | Yes             | 0.10             | 0.15            | 0.01            | 0.50            |
| Friend                          | Yes             | −1.13            | 0.09            | −0.09           | <0.0001         |

Results

Disaster experience

Demographic characteristics and post-disaster experiences are summarised in Table DS1 in the data supplement. Respondents lived primarily in Miyagi Prefecture at the time of the tsunami;
935 respondents (4.3%) lived elsewhere, largely in Fukushima. Eighty per cent experienced the complete collapse of their house, but household losses varied by region. Respondents in Northern Miyagi were most likely to report complete household collapse and family deaths; those in Fukushima the least likely (respective χ²(2)=3651, P<0.001; χ²(1)=103.19, P<0.001). Almost two-fifths of respondents were unemployed at the time of the survey (39.7%), with company employees the largest employed group (24.2%). Regarding pre-existing vulnerabilities, 21.5% reported having had a previous serious disease, whereas 39.2% were currently being treated for a disease, with high blood pressure (20.3%) and diabetes (6.1%) the most commonly cited. Nearly half (49.9%) had seen a decrease in their physical activity since the earthquake, with only 5.4% reporting increased activity. Most respondents (88%) had received a house visitor since the disaster with the most common visitors being the spouse (mentioned by 27%), a sibling (24%) or a respondent’s child (21%).

**Psychological distress**

Approximately 9% of respondents scored ≥13 on distress (high risk of severe mental illness) and 18% scored 8–12 (moderate risk of mental distress). Psychological distress was higher in Fukushima (mean 5.57) than southern Miyagi (5.31) or northern Miyagi (5.04) (F(2, 20 300)=16.7, P<0.0001, all contrasts P<0.001). Older respondents, females and those without employment reported higher psychological stress, as did those who lived in a household with a family death, those with no visitors, participants with a previous serious disease, those currently under treatment, respondents who reported decreased physical activities since the tsunami and those who received support from a child (Table 1). Psychological distress was also positively associated with each disease category currently under treatment. Lower distress was associated with help from a spouse, parent or friend.

We differentiated those at risk of moderate or severe mental distress from those at lower risk (Table 2). The odds of having a mental disorder were significantly higher for women, the unemployed, respondents who had lost a family member in the disaster, respondents who had lost a family member in the disaster, respondents who had increased their physical activity and those visited by a sibling and those who had increased their physical activity.

**Dysfunctional behaviours**

Of four possible dysfunctional behaviours, respondents were most likely to report sleeplessness (18.3%) or lethargy (10.9%) and were less likely to state lack of appetite (3.4%) or alcohol use in the morning (1.2%). Respondents in southern Miyagi were more likely to report sleeplessness, lack of appetite and alcohol use in the mornings than their northern Miyagi counterparts. Older respondents, women, those with no job, no house visitor, reduced physical activity and no support from spouse, parent or friend were generally more likely to report functional problems, although family loss was associated only with greater lethargy (Table DS4 in the data supplement). Support from a child was related to greater dysfunction, having a child visit the home greater sleeplessness, whereas in house collapse was largely unrelated to dysfunction. Home visits from a daughter-in-law were related to greater sleeplessness and morning alcohol use.

We differentiated those who exhibited no dysfunctional behaviours (73.3%) from those who showed at least one dysfunction (Table 3). Odds of reporting at least one dysfunction were significantly higher for older respondents, women, unemployed, those with previous serious disease, those currently receiving treatment and respondents who lost a family member during the tsunami. Respondents whose house had collapsed, had a visit from a sibling, support from a spouse, parent, child or friend were less likely to report a dysfunction.
Table 4 Multilevel modelling estimates for psychological stress (n=21 915)*

<table>
<thead>
<tr>
<th>Model fit</th>
<th>Three-level unconditional</th>
<th>Two-level unconditional</th>
<th>Two-level fixed level-1 predictors</th>
<th>Two-level random intercept and slope model</th>
<th>Two-level random model with fixed level-1 and level-2 predictors</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIC</td>
<td>44 944.7</td>
<td>45 214.0</td>
<td>40 725.6</td>
<td>40 288.3</td>
<td>36 570.8</td>
</tr>
<tr>
<td>BIC</td>
<td>44 949.4</td>
<td>45 228.2</td>
<td>40 739.7</td>
<td>40 330.7</td>
<td>36 612.7</td>
</tr>
<tr>
<td>–2*log likelihood</td>
<td>44 938.7</td>
<td>45 210.0</td>
<td>40 721.6</td>
<td>40 276.3</td>
<td>36 558.8</td>
</tr>
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</table>

AIC, Akaike information criterion; BIC, Bayesian information criterion.

*Data from the 33 cities with >10 respondents on the day of the earthquake.

**P<0.05, ***P<0.01, ****P<0.001.

Modelling support across individuals, families and cities

Because of potential variations in the impact of support on psychological distress across families and locations, we modelled the association between individual support and psychological distress taking into account variations across families (family death, employment, visitors to the household) and city at time of tsunami.

City explained only a small amount of total variance in the data (inter-class correlation 0.004). Consequently, we contrasted two-level models considering individual- and family-level variations in distress (Table 4). The best model was a random model with fixed level-1 and level-2 predictors, with an unconditional two-level model attributing 47.9% of the total variance to individual-level factors, and 52.1% to family-level variables. This showed that, at the individual level, being male and having support from a friend were most strongly associated with lower levels of distress; at the family level having a family visitor was most significantly associated with less distress, with all the family-level variables having a statistically significant effect when controlling for individual characteristics. Age, gender and social support from a child all demonstrated a statistically significant random effect, suggesting that these variables differentially influence distress across families.

Discussion

To the best of our knowledge, this is the first large-scale study to examine factors associated with the psychological distress of refugees following a complex (multisource) disaster, in this case combining an earthquake, tsunami and nuclear leak. Despite considerable loss of resources (with more than 80% of people completely losing their house), the refugees in our study exhibited low levels of general psychological distress 10–12 months post-disaster. Distress was similar to that reported in a Californian general community sample,21 and only a minority of our respondents reported functional difficulties. This may result from familiarity with natural disasters in Japan – more than 20% of the world’s largest earthquakes occur in or around Japan.4 Such familiarity can inoculate against new events and help foster a cultural belief in shouganai (it cannot be helped), enabling individuals and communities to absorb losses and maintain psychological well-being.22 It may also reflect findings elsewhere showing only a minority of those exposed to a disaster suffer psychological distress.10 Unlike in previous studies, loss of housing resources was only weakly associated with more severe psychological outcomes.21

Vulnerable groups

Despite the above there were important functional challenges for some groups of refugees. As elsewhere, both disease history and current disease treatment were associated with greater psychological distress, emphasising the need for particular support for individuals with physical ailments. This may result partly from a Japanese tendency for somatic presentation of mental distress.22 As in other Japanese research loss of family members during mass surgical outcomes.22

Individual-level factors, and 52.1% to family-level variables. This two-level model attributing 47.9% of the total variance to city at time of tsunami.

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Individual-level factors, and 52.1% to family-level variables. This two-level model attributing 47.9% of the total variance to city at time of tsunami.
over 60. Compound stresses can rapidly overcome psychological resources, particularly for the frail or disabled. Older Japanese receive less informal support and find it more difficult to turn to health professionals than their younger counterparts. As elsewhere, family employment was a significant predictor of psychological distress and dysfunction, and the stresses of having to find new employment can be particularly onerous jobs for the elderly. Consistent with prior studies in Japan, a decline in health activity following mass trauma was associated with increased distress and dysfunctional behaviours. Higher levels of stress levels and greater dysfunction were evident among women, as elsewhere. This may result from their greater coping role following disaster.

Different regions may experience stressors in different ways and suffer varying degrees of community disruption. Number of deaths in an area has been previously related to distress, but despite lower levels of family deaths residents from Fukushima reported greater distress than those from Miyagi. Several factors may contribute to this including the uncertainties and confusion associated with the radiation leak and the divisive influence of this uncertainty on family relations. Residents from Fukushima also had to deal with arguments over compensation, self-guilt about leaving friends and family, and discrimination in their new location, with radiation stigma associated with higher levels of post-traumatic stress in Fukushima. Those living in southern Miyagi reported greater distress and dysfunctional behaviours than those in the north, again despite less household destruction. The northern Miyagi coast has suffered many previous tsunamis, whereas the southern seacoast areas and Fukushima had not witnessed a tsunami for a thousand years. This may have reduced familiarity with the tsunami threat, and the lack of mountainous terrain for escape in the south may have accentuated anxiety and dysfunctional response.

Social support
As elsewhere, the Japanese prefer to turn for psychological support to families, friends and relatives rather than health professionals. Social support from family, friends and community members following a disaster can help bolster resilience against multiple stressors and can aid in the development of further social ties and altruism. Our results are consonant with reports elsewhere showing the negative impact of social network disruption on mental health following an earthquake. Support might be of particular importance at key historical points (e.g. following the anniversary of the event, when anxiety may be heightened) in health activity following mass trauma. Those living in southern Miyagi reported greater distress and dysfunctional behaviours than those in the north, again despite less household destruction. The northern Miyagi coast has suffered many previous tsunamis, whereas the southern seacoast areas and Fukushima had not witnessed a tsunami for a thousand years. This may have reduced familiarity with the tsunami threat, and the lack of mountainous terrain for escape in the south may have accentuated anxiety and dysfunctional response.

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Overall our data demonstrated the positive impact of household visitors and key emotional supporters on psychological health following disaster. Nevertheless, as other data from the Great Japan earthquake show, supporters themselves may be doubly stressed by their dual role of support provider and survivor. Japanese values of patience and accepting hardship may contribute to this as elsewhere. Notably in our data, some family relations were less able to provide positive support. Having a child or daughter-in-law visit the home was associated with greater sleeplessness, home visits from a daughter-in-law greater morning sleeplessness, home visits from a daughter-in-law greater morning sleeplessness, home visits from a daughter-in-law greater morning sleeplessness, home visits from a daughter-in-law greater morning sleeplessness, home visits from a daughter-in-law greater morning sleeplessness, home visits from a daughter-in-law greater morning sleeplessness, home visits from a daughter-in-law greater morning sleeplessness.

Regional differences in distress and dysfunction also suggest an urgent need to reduce the uncertainty surrounding the Fukushima incident. Regional differences in distress and dysfunction also suggest an urgent need to reduce the uncertainty surrounding the Fukushima incident. Regional differences in distress and dysfunction also suggest an urgent need to reduce the uncertainty surrounding the Fukushima incident. Regional differences in distress and dysfunction also suggest an urgent need to reduce the uncertainty surrounding the Fukushima incident. Regional differences in distress and dysfunction also suggest an urgent need to reduce the uncertainty surrounding the Fukushima incident.

Limitations, implications and future research
We recognise several limitations to our study. Although widely used in Japan, Western screening scales (such as the K6) may provide conservative estimates of distress compared with clinical interviews. As a consequence, such scales may misrepresent relationships between symptoms and daily functioning. Data were self-reported, meaning we cannot always be certain about the identity of the respondent completing the scales and our study was cross-sectional, with no baseline or longer-term outcome measures, limiting our ability to infer causality. For example, although social support may predict decrease psychological distress in the first year after a disaster, it may be the psychological distress that then predicts availability of social support in later years. As is common with large postal surveys, questions were necessarily restricted and offered only a limited range of responses (e.g. on household loss). Data were available on only a limited number of socio-contextual factors and further research could include other variables such as biological markers of illness or more detailed medical histories. One promising area for future research is the mental health consequences of practising the Japanese cultural concept of ‘tsunami tendenkō’ – prioritising self-preservation and not stopping to help others following a tsunami. Although this has been attributed to the saving of many lives following the disasters, a failure to help others may contradict the collective priorities of Japanese citizens.

Our findings have a number of implications. First, in focusing on providing economic and housing support governmental agencies often neglect the mental health of survivors. However, stressors following a trauma are likely to have a range of societal impacts, including increased rates of sickness absence, and somatisation of stress may increase the burden on hospitals following major trauma. Those with a history of illness or present medical histories. One promising area for future research is the mental health consequences of practising the Japanese cultural concept of ‘tsunami tendenkō’ – prioritising self-preservation and not stopping to help others following a tsunami. Although this has been attributed to the saving of many lives following the disasters, a failure to help others may contradict the collective priorities of Japanese citizens.

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A growing increase in natural disasters worldwide makes it essential to understand both tangible and emotional determinants of post-disaster psychological functioning. Our data from refugees of the Japanese tsunami show no simple relationship between housing loss and distress and functioning, with those who reported house collapse actually less likely to report at least one dysfunctional behaviour. Instead our findings emphasise the importance of pre-existing illness and family and community histories – and in particular emotional support provision – in aiding resilience and recovery. At the same time multilevel analysis suggests that not all supporters are associated with reduced psychological distress, and the efficacy of such support is likely to vary across families. Findings also suggest the need for normalising routines among those whose physical activity was disrupted by the disasters, and regional variations in response underline the need to reduce uncertainty following incidents such as a nuclear leak, confronting myths and stigma associated with those from affected areas. Recognising these factors is likely to be important in tailoring community interventions both during and following crises.

Acknowledgements

We thank Miyagi Prefecture, Japan, for providing access to data for the purpose of this study.

References