

Original citation:

Atwan, Zeenah, Wright, Jordan L., Leppard, Keith and Woodman, Andrew. (2016) Promyelocytic leukemia protein isoform II inhibits infection by human adenovirus type 5 through effects on HSP70 and the interferon response. *Journal of General Virology*, 97. pp. 1955-1967.

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Publisher statement:

<http://dx.doi.org/10.1099/jgv.0.000510>

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1 Promyelocytic leukemia protein isoform II inhibits infection by human adenovirus type 5 through
2 effects on HSP70 and the interferon response

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17 Running title: PML-II is inhibitory to Ad5 infection

18 Subject category: animal small DNA viruses

19 Keywords: adenovirus; promyelocytic leukaemia protein; heat-shock protein 70; interferon response

20 Word counts:

21 Abstract: 227

22 Main text: 6075

23

24 **Abstract**

25 Promyelocytic leukemia (PML) proteins have been implicated in antiviral responses but PML and
26 associated proteins are also suggested to support virus replication. One isoform, PML-II, is required
27 for efficient transcription of interferon and interferon-responsive genes. . We therefore investigated
28 the PML-II contribution to human adenovirus 5 (Ad5) infection, using shRNA-mediated knock-down.
29 HeLa Δ II cells showed a 2 - 3 fold elevation in Ad5 yield, reflecting an increase in late gene expression.
30 This increase was found to be due in part to the reduced innate immune response consequent upon
31 PML-II depletion. However the effect was minor because the viral E4 Orf3 protein targets and
32 inactivates this PML-II function. The major benefit to Ad5 in HeLa Δ II cells was exerted via an increase
33 in HSP70; depletion of HSP70 completely reversed this replicative advantage. Increased Ad5 late
34 gene expression was not due either to the previously described inhibition of inflammatory responses
35 by HSP70 or to effects of HSP70 on major late promoter or L4 promoter activity but could be linked
36 to increased accumulation of the positive regulator of late gene expression, E1B 55K. The induction
37 of HSP70 by PML-II removal was specific for the HSPA1B gene among the HSP70 gene family and
38 thus was not the consequence of a general stress response. Taken together, these data show that
39 PML-II, through its various actions, has an overall negative effect on the Ad5 life-cycle.

40

41 Introduction

42 The promyelocytic leukemia (PML) gene encodes a series of protein isoforms via alternative splicing
43 (Jensen *et al.*, 2001). Most of these contribute to the formation of PML nuclear bodies (PML-NB) that
44 also transiently or permanently include many other proteins (Van Damme *et al.*, 2010). PML proteins
45 and/or PML-NB are implicated in a wide range of cellular functions, including innate and intrinsic
46 immune responses (Bernardi & Pandolfi, 2007; Geoffroy & Chelbi-Alix, 2011). The PML gene itself is
47 an interferon-stimulated gene (ISG) (Chelbi-Alix *et al.*, 1995; Stadler *et al.*, 1995), which suggests
48 PML might be an effector of IFN responses. PML isoform II (PML-II) in particular is also required for
49 the effective induction of IFN β and ISG expression, which it achieves by promoting the assembly of
50 functional transcription complexes at target promoters (Chen *et al.*, 2015; Kim & Ahn, 2015). Thus
51 this PML isoform can act upstream of IFN production to create a feed-forward loop that potentiates
52 type I IFN responses.

53 PML-NBs are intimately associated with the replication cycles of nucleus-replicating DNA viruses.
54 Incoming viral genomes are found located in close proximity to PML-NBs (Ishov & Maul, 1996) and,
55 for herpes simplex virus type 1 (HSV-1), PML-NBs have been shown to disassemble and reform close
56 to the site of virus entry into the nucleus (Everett & Murray, 2005), suggestive of an early response
57 by the cell to infection. During infection, PML-NBs are then targeted by proteins encoded by a wide
58 variety of viruses (Leppard & Dimmock, 2006; Leppard & Wright, 2012). These findings fit a model in
59 which PML-NBs or their components are broadly antiviral and hence viruses have evolved functions
60 to disrupt these activities in order to favour virus replication. Alternatively, and not mutually
61 exclusively, the interaction of viruses with PML-NB may have evolved to favour the virus, with
62 disruption of PML-NBs liberating proteins that act to increase virus production (Berscheminski *et al.*,
63 2014).

64 One well-characterized example of PML-NB disruption is the action of herpes simplex virus type 1
65 (HSV1) ICP0 protein (Maul & Everett, 1994). Supporting an involvement of PML in IFN responses,
66 HSV1 ICP0 mutants have a significant growth defect and attenuated pathogenicity in mice and both
67 of these properties are substantially recovered in animals that are deficient in IFN responses
68 (Halford *et al.*, 2006; Leib *et al.*, 1999); the ability of IFN to inhibit growth of ICP0 mutants in cell
69 culture is also greatly reduced when PML-null or knock-down cells are used (Chee *et al.*, 2003;
70 Everett *et al.*, 2008b). However, PML and other PML-NB components are also directly inhibitory to
71 HSV1 independent of IFN (Everett *et al.*, 2008a; Everett *et al.*, 2008b), with PML-I and PML-II being
72 particularly implicated (Cuchet *et al.*, 2011). More recently, PML-II was also found to be the most

73 potent inhibitor, among the six nuclear PML isoforms, of transduction by a recombinant parvovirus
74 AAV-2 vector (Mitchell *et al.*, 2014).

75 Human adenovirus type 5 (HAdV-C5, Ad5) infection also targets PML, rearranging it from PML-NB
76 into track-like structures (Carvalho *et al.*, 1995; Doucas *et al.*, 1996); other PML-NB components are
77 also redistributed, including some into virus replication centres (Berscheminski *et al.*, 2014; Doucas
78 *et al.*, 1996). The Ad5 E4 Orf3 protein, which forms nuclear tracks by self-association (Ou *et al.*, 2012;
79 Patsalo *et al.*, 2012), acts directly on PML-II, binding to its unique C-terminal domain to cause the
80 redistribution of all PML isoforms (Hoppe *et al.*, 2006; Leppard *et al.*, 2009). Functionally, E4 Orf3 is
81 necessary for Ad5 to replicate in the face of a pre-established IFN response (Ullman *et al.*, 2007) and
82 E4 Orf3 also disrupts the intrinsic antiviral effects of PML and another PML-NB component, Daxx
83 (Ullman & Hearing, 2008). Taken together, these observations support the idea that the E4 Orf3
84 interaction with PML-II opposes antiviral responses so as to favour productive viral infection, which
85 fits well with the more recent finding that PML-II is needed for a robust type 1 IFN response (Chen *et al.*,
86 2015). However, it has also been reported that PML-II serves a positive function during Ad5
87 infection (Berscheminski *et al.*, 2013).

88 In light of these findings, we investigated the circumstances under which PML-II could provide a
89 positive or negative influence on Ad5 infection, and the mechanisms underlying these influences.
90 Viral gene expression and replication were increased by the removal of PML-II within a background
91 of ongoing expression of other PML isoforms, leading to an increase in virus yield. One factor in this
92 increase was the reduction in the interferon type I response in PML- II depleted cells. The other
93 more significant factor was the increased level of HSP 70 protein in PML-II depleted cells, which was
94 found to support elevated Ad5 gene expression.

95 **Results**

96 **Stable knockdown of PML-II in HeLa cells.** To investigate the effect of PML-II on the well-
97 characterised Ad5 productive infection of HeLa cells, we first generated PML-II knockdown HeLa cells
98 (HeLa Δ II) by lentiviral shRNA transduction, along with matched empty vector cells (HeLaEV). HeLa Δ II
99 cells were fully viable in long-term culture, showed similar morphology to both parental HeLa cells
100 and HeLaEV cells (Fig. 1a) and grew at only a slightly slower rate than HeLaEV cells under puromycin
101 selection. HeLa Δ II cells showed significant reductions in PML-II mRNA (Fig. 1b). These cells also
102 displayed functional knockdown of PML-II based on their sharply reduced ability to express IL-6 and
103 ISG56 (Fig. 1c), which was shown previously to depend on the presence of PML-II (Chen *et al.*, 2015).

104 **Removal of PML-II increases the productivity of Ad5 infection.** To establish the effect of PML-II on
105 Ad5 infection, HeLa Δ II and HeLaEV cells were infected in parallel with wild-type (wt) Ad5. Looking at
106 protein expression over a time course, there was a strikingly higher level of late protein expression in
107 HeLa Δ II cells (Fig. 2a); with an exposure selected to avoid grossly overexposing the HeLa Δ II lane, late
108 proteins in the HeLaEV cells were barely detectable. In contrast, expression of the early protein E2A
109 72K DNA binding protein (DBP) was much less affected by the removal of PML-II though the E1B 55K
110 protein was, by the late stage of infection (24 h p.i.), significantly increased (Fig. 2a). The expression
111 of late proteins in HeLaEV cells was similar to that in untransduced standard HeLa cells (Fig 2b),
112 confirming that the difference between HeLa Δ II and HeLaEV infections was not due to any
113 unexpected negative effect of introducing the retroviral vector alone in HeLaEV cells. The effect of
114 PML-II depletion on viral gene expression was confirmed and quantified by flow cytometry (Fig. 2c);
115 both the proportion of cells positive for late proteins and their mean fluorescence intensity were
116 increased in HeLa Δ II cells. The increased late protein expression in HeLa Δ II cells was reflected in a 2-3
117 - fold higher virus yield/cell as compared with HeLaEV cells, measured at 24 h and 48 h post-infection
118 (Fig. 2d).

119 **Lack of IFN response partially explains the beneficial effect of PML-II depletion.** Infection by Ad5 is
120 intrinsically an IFN response-inducing event, with both virus entry itself and later gene expression
121 events triggering interferon and inflammatory signalling (Hartman *et al.*, 2007; Hendrickx *et al.*,
122 2014; Zhu *et al.*, 2007). Since PML-II plays an important role in the activation of an IFN response
123 (Chen *et al.*, 2015), we considered the possibility that, even though Ad5 encodes functions that
124 inhibit the IFN response in various ways, the beneficial effect on Ad5 infection of PML-II removal
125 might nonetheless arise because of the consequent further defect in the IFN response. To test this,
126 we directly disabled the IFN response by knockdown of IRF3, which is a key transcription factor in
127 the induction of type 1 IFN responses (Au *et al.*, 1995). Physical and functional depletion of IRF3
128 from HeLaEV cells (Fig 3a, b) increased Ad5 wt300 late gene expression by a modest amount (Fig. 3c),
129 indicating that the Ad5 functions deployed to inhibit IFN responses are not 100% effective. However
130 hexon expression under IRF3 knockdown in HeLaEV cells was still very substantially lower than seen
131 in HeLa Δ II cells, in which IRF3 knockdown had little additional effect. Thus, while some part of the
132 benefit to Ad5 of PML-II removal reflects the loss of the IFN response, there is a significant additional
133 component to be accounted for; this is considered further below.

134 **Ad5 E4 Orf3 inhibits PML-II function in the IFN response**

135 Ad5 E4 Orf3 binds PML-II directly (Hoppe *et al.*, 2006) and is necessary for Ad5 replication in IFN-
136 treated cells, dependent on the presence of PML (Ullman & Hearing, 2008; Ullman *et al.*, 2007), so
137 we asked whether this interaction also inhibited the natural IFN response to Ad5 infection. To
138 determine whether viral expression of E4 Orf3 had any measurable effect on induction of type 1 IFN
139 during infection, culture media from Ad5-infected HEK293 cells (Fig. 4a) or MRC5 normal human
140 fibroblasts (Fig. 4b) were tested in plaque reduction assays using Semliki Forest virus, an IFN-
141 sensitive alphavirus. In both cell types, IFN activity was detected from an Orf3-deficient virus
142 infection (*inOrf3*) while none was detected from *wt300* or mock infections. Based on a calibration of
143 the assay with recombinant IFN α , which showed inhibition from 0% to 100% by IFN in the range 0.1
144 – 100 U/ml, *inOrf3* medium contained ~50 U/ml IFN. In separate experiments, IFN levels in infected
145 HEK293 cell culture media were determined using an IFN-responsive reporter assay (Chen *et al.*,
146 2015). Again, IFN accumulation was detected only in *inOrf3*-infected cultures (Fig. 4c): amounts were
147 equivalent to about 60 U/ml, in line with the estimate from the plaque-reduction assay. Thus, the
148 presence of E4 Orf3 causes a measurable reduction in IFN production and secretion stimulated by
149 Ad5 infection.

150 To test whether E4 Orf3 protein alone was sufficient to inhibit IFN responses, we employed transient
151 expression IFN β promoter reporter assays. PML-II Δ RBCC is an artificially truncated form of PML-II
152 that does not associate with PML-NB but retains E4 Orf3 binding (Leppard *et al.*, 2009) and has
153 increased ability to potentiate IFN β promoter activation by inducers such as poly(I:C) (Chen *et al.*,
154 2015). E4 Orf3 fully reversed the increased response of the IFN β promoter to poly(I:C) due to PML-
155 II Δ RBCC and further reduced reporter activity to levels below that of poly(I:C) stimulation in the
156 absence of exogenous PML (Fig. 4d). This reduction below baseline reflected the contribution of
157 endogenous PML-II, also an E4 Orf3 target, to the observed IFN β promoter activation as, in the
158 presence only of endogenous PML, added Orf3 also gave a dose-dependent inhibition of poly(I:C)-
159 stimulated reporter activity (Fig. 4e).

160 To correlate the activity of E4 Orf3 in regulating IFN β expression with its ability to bind PML-II, we
161 compared the inhibitory effect of wild-type E4 Orf3 with that of selected Orf3 mutants (Hoppe *et al.*,
162 2006). Those mutants unable to bind PML-II (N82A, L103A) also failed to inhibit activation of the
163 IFN β promoter while mutants that retained PML-II binding (R100A, D105-L106A) had inhibitory
164 activity similar to wild-type (Fig. 4e-i). Importantly, mutant D105-L106A uniquely retains PML-NB
165 rearrangement activity whilst lacking the ability to disrupt the location of the MRN protein complex
166 involved in DNA damage repair (Evans & Hearing, 2005). The retention of activity by this mutant thus
167 clearly links the inhibitory effect of E4 Orf3 on IFN induction to its interaction with PML-II.

168 The inhibitory effect of E4 Orf3 on PML-II function suggested that an Orf3-deficient virus should
169 benefit more from the lack of PML-II in HeLa Δ II cells than a virus that was able to make Orf3. When
170 *wt300* and *inOrf3* late protein expression was compared in HeLaEV cells (Fig. 5, lanes 2, 4), amounts
171 were very similar, as expected (Huang & Hearing, 1989). As also shown previously, in Vero cells and
172 human fibroblasts (Ullman *et al.*, 2007), IFN α pre-treatment more severely inhibited *inOrf3* than
173 *wt300* late protein synthesis in HeLaEV cells (Fig. 5, lanes 3, 5). Importantly, removal of PML-II in
174 HeLa Δ II cells largely abolished this difference in viral gene expression (Fig. 5, lanes 8, 10), confirming
175 that PML-II is a significant functional target of E4 Orf3 during infection. However, contrary to
176 expectation, *wt300* gene expression benefitted more than that of mutant virus *inOrf3* from PML-II
177 removal (Fig. 5, lanes 2, 7 and 4, 9), see below. Collectively, our results show that PML-II is inhibitory
178 to Ad5 infection in part through its role in the development of an IFN response and that E4 Orf3
179 inhibits this function of PML-II.

180 **Enhanced growth of Ad5 in HeLa Δ II cells reflects overexpression of HSP70.** A significant part of the
181 benefit to Ad5 of PML-II depletion was independent of IRF3 and hence was not directly related to
182 the IFN response (Fig. 3). Hence, late protein expression by either *wt300* or *inOrf3* was greater in
183 HeLa Δ II cells than in equivalently treated HeLaEV cells (Fig. 5). An early observation we made during
184 characterization of HeLa Δ II cells was that they displayed elevated levels of HSP70 mRNA in
185 comparison with standard HeLa cells and various control cells, including HeLaEV (Fig. 6a). HSP70 was
186 also induced to a lower level by transient knock-down of PML-II (Fig. 6b), suggesting a direct link
187 between loss of PML-II and HSP70 expression. Ad5 infection also induces HSP70 expression (Nevins,
188 1982) and, since it inhibits many other host genes whose activity is detrimental to infection (Zhao *et al.*,
189 2003) and pre-existing HSP70 levels correlate with permissivity to Ad infection (Imperiale *et al.*,
190 1984), we inferred that HSP70 might be the relevant positive factor for Ad5 growth in HeLa Δ II cells.
191 To test this, hexon expression was compared in cells infected with or without HSP70 knock-down
192 (Fig. 6c, d). Whilst HeLa Δ II cells showed substantially more hexon mRNA and protein than HeLaEV
193 cells when treated with a control siRNA, this difference was abolished by HSP70 siRNA treatment.
194 Moreover, HSP70 siRNA also reduced hexon expression further from its lower base level in HeLaEV
195 cells. Thus, HSP70 contributes positively to Ad5 gene expression and the elevated expression of
196 HSP70 in HeLa Δ II cells is a major factor in the increased efficiency of infection in these cells. The fact
197 that *wt300* gene expression benefitted more than that of mutant virus *inOrf3* from the high HSP70
198 environment in HeLa Δ II cells suggests that E4 Orf3 might be involved in the beneficial action of
199 HSP70.

200 The assay of HSP70 mRNA shown in Fig. 6a detects transcripts only from the two major heat-
201 inducible loci, HSPA1A and HSPA1B. However HSP70 encompasses a number of related proteins
202 encoded by the HSPA gene family, only some of which are heat-inducible (Brocchieri *et al.*, 2008). To
203 determine the specificity of HSP70 induction in HeLa Δ II cells, mRNA levels from several HSPA genes
204 were assessed alongside HSP60 (HSPD gene family). As before, the HSP70 assay detected elevated
205 mRNA levels in HeLa Δ II cells (Fig. 6e). Interestingly, despite the high level of similarity between the
206 HSPA1A and HSPA1B genes (they encode identical 641 amino acid proteins), elevated HSP70
207 expression was accounted for almost entirely by HSPA1B mRNA; there was little difference in
208 expression of HSPA1A between the two cell types. In contrast to HSPA1, expression of HSPA5 mRNA,
209 which encodes the endoplasmic reticulum chaperone GRP78 also known as BiP, was if anything
210 slightly reduced by removing PML-II. Another HSP70 family member, HSPA6, which shows no basal
211 expression but is induced by heat stress (Brocchieri *et al.*, 2008), was detected only at low levels and
212 was not induced by removal of PML-II; expression of HSP60 was also unaltered. Thus, the loss of
213 PML-II leads to highly specific induction of the HSPA1B gene, providing HSP70 protein that supports
214 enhanced Ad5 gene expression.

215 **Possible roles of HSP70 during Ad5 infection**

216 HSP70 has been shown previously to inhibit pro-inflammatory NF- κ B signalling and hence both the
217 production and the effect of TNF- α (Meng & Harken, 2002). Confirming that HSP70 had this effect in
218 our system, we found that HSP70 knock-down significantly increased the expression of ISG56 in
219 wt300-infected HeLaEV cells (Fig. 7a). This suggested that HSP70 might favour Ad5 replication by
220 limiting the induction of innate and inflammatory responses through NF- κ B. TNF α is a known
221 activator of NF- κ B signalling and is considered to be inhibitory to virus infection (McFadden *et al.*,
222 2009). Ad5 infection can stimulate NF- κ B signalling in several ways (Higginbotham *et al.*, 2002; Pahl
223 *et al.*, 1996; Schmitz *et al.*, 1996) while several viral E3 gene products counteract TNF α activity
224 (Gooding *et al.*, 1988), suggesting NF- κ B activation might be inhibitory to Ad5 infection. We
225 therefore tested whether elevated HSP70 in HeLa Δ II cells enhanced Ad5 infection by inhibiting NF-
226 κ B. Reasoning that exogenous TNF α would oppose such an effect and so reduce the benefit of PML-II
227 removal, we analysed Ad5 late gene expression in HeLa Δ II cells with or without TNF α treatment (Fig.
228 7b). However, TNF α actually modestly enhanced Ad5 late protein expression in both HeLa Δ II cells
229 and HeLaEV cells. The same effect was seen on hexon mRNA in HeLaEV cells and this was potentiated
230 by HSP70 knock-down (Fig. 7c), as expected if HSP70 limits pro-inflammatory signalling that is
231 beneficial to the virus. We also tested the effect of QNZ, an inhibitor of NF- κ B activation (Tobe *et al.*,
232 2003) and found that, consistent with the effect of TNF α treatment, QNZ reduced Ad5 late gene

233 expression in both cell types (Fig. 7d). These data indicate that NF- κ B signalling increases rather than
234 inhibits Ad5 gene expression in our system and that HSP70 limits rather than increases this effect.
235 The beneficial effect on Ad5 infection of the high levels of HSP70 in HeLa Δ II cells must therefore be
236 due to some other function of HSP70.

237 HSP70's principal role is as a chaperone: during heat-stress it stabilises partially denatured proteins
238 to prevent aggregation and facilitate re-folding (Clerico *et al.*, 2015). The Ad5 replication cycle
239 involves both the disassembly and assembly of protein complexes, processes which might be
240 facilitated by HSP70. Indeed, HSP70 interacts both with the hexon shell of Ad2 particles shortly after
241 infection (Niewiarowska *et al.*, 1992) and with fibre protein during the late phase of Ad5 infection
242 (Macejak & Luftig, 1991), and has been implicated in uncoating and import of the genome into the
243 nucleus (Saphire *et al.*, 2000). We therefore examined whether increased HSP70 present in HeLa Δ II
244 cells altered the subcellular location of hexon protein, as an indicator of possible effects on particle
245 assembly. Prior depletion of hsp70 from these cells, as well as decreasing the overall level of hexon
246 protein as already described, increased more than two-fold the cytoplasmic / nuclear ratio of hexon
247 (Fig. 8a; quantitation under right panels) whereas it had little effect on the distribution of E1A or E2A
248 DBP. This result suggests that HSP70 overexpression consequent on PML-II depletion may positively
249 affect the assembly of progeny particles in the nuclear compartment and hence could contribute to
250 the increased yield of virus.

251 Any impact of HSP70 level on assembly cannot explain the effect of HSP70 on hexon mRNA levels
252 (Fig. 6). This mRNA is produced by processing of transcripts from the major late promoter (MLP),
253 which itself is positively influenced by L4-22K protein expressed from L4P which is activated at the
254 onset of the late phase (Morris *et al.*, 2010). We therefore tested the effect of HSP70 depletion on
255 the activity of MLP and L4P luciferase reporters in HeLa cells (Fig. 8b), but found that neither was
256 significantly affected. Thus HSP70 does not increase directly the intrinsic activity of either promoter
257 when taken out of the context of viral infection and must therefore affect late gene expression post-
258 transcriptionally or dependent on the infected cell environment. In this regard, the increase in E1B
259 55K protein upon PML-II depletion (Fig. 2a), which is known to positively regulate late mRNA nucleo-
260 cytoplasmic transport and accumulation (Leppard, 1998), was notable. HSP70 depletion in HeLa Δ II
261 cells reversed this increase (Fig. 8a), further suggesting that it could be significant in the elevation of
262 late gene expression.

263 **Discussion**

264 Depletion of PML-II from HeLa cells, a standard permissive cell line for Ad5, led to a substantial 2-3
265 fold enhancement in virus yield. This was attributed largely to a general increase in late gene
266 expression, our experiments focusing mainly on the major capsid protein hexon and its mRNA as an
267 example. Thus, PML-II has an overall inhibitory effect on Ad5 infection. Two factors were identified
268 that contributed to the increased infectious productivity upon PML-II depletion: a reduction in IFN
269 response and an increase in HSP70 expression, the latter being the predominant factor. Depletion of
270 HSP70 from HeLa Δ II cells completely eliminated the advantage to hexon expression of PML-II
271 removal whilst blocking the IFN response in HeLaEV cells by a means other than PML-II depletion
272 only somewhat increased the levels of viral late gene expression.

273 Previously, Berscheminski and colleagues reported that PML-II was beneficial to Ad5 infection, in
274 apparent contradiction to our findings (Berscheminski *et al.*, 2013). They showed that PML-II
275 potentiated transcriptional activation of a viral early promoter by the E1A 13S protein and that, in a
276 cellular context where all PML proteins were depleted, the addition of exogenous PML-II enhanced
277 virus yield about 3-fold. Comparing these findings with our own, it is important to note the
278 differences in cell environment employed. PML-II function will be a composite of its free and PML-
279 NB associated activities. PML-V is the stable base of PML-NBs (Weidtkamp-Peters *et al.*, 2008), with
280 other isoforms and many other proteins associating with these bodies by protein - protein
281 interactions including SUMO-SIM interactions (Bernardi & Pandolfi, 2007). In a PML-null cell,
282 functions observed for added PML-II will be essentially those of its soluble nuclear form. Indeed, a
283 mutated form of PML-II with reduced ability to associate with PML-NBs was more active than the
284 wild-type in cooperating with E1A in the presence of endogenous PML (Berscheminski *et al.*, 2013),
285 suggesting that interactions with other PML isoforms limit this activity. The overexpressed PML-II
286 will also potentially exceed the capacity of E4 Orf3 expressed upon infection to bind and inactivate
287 it. In contrast, specific depletion of PML-II in an otherwise normal PML background demonstrates
288 the combined net contribution of this protein to the Ad5 life-cycle in all its cellular contexts. Our
289 finding that PML-II removal exerts an overall positive effect on Ad5 growth is therefore not in
290 disagreement with this prior study but instead reveals a new aspect of the functional interaction of
291 PML-II with the virus. Our findings are also consistent with an earlier study showing the importance
292 of PML proteins generally in the inhibition of Ad5 by an established IFN response (Ullman & Hearing,
293 2008).

294 Although PML-II is necessary for an efficient IFN response (Chen *et al.*, 2015), Ad5 gained only
295 modest benefit from the loss of this response in HeLa Δ II cells. This finding is expected since the virus
296 possesses several functions that collectively oppose IFN responses, so allowing infection to succeed

297 even when the cell is capable of launching a response. First, E1A proteins inhibit both the expression
298 of ISGs and the activation of IFN β transcription (Ackrill *et al.*, 1991; Reich *et al.*, 1988). Second, E1B
299 55K protein blocks the induction of a number of IFN-inducible genes and is required for efficient
300 replication in normal fibroblasts (Chahal *et al.*, 2012). Third, E4 Orf3 protein is necessary for
301 replication to proceed in permanent cell lines in the face of an established IFN response (Ullman *et*
302 *al.*, 2007), implying it negatively regulates that response. Fourth, VA RNA I inhibits the induction of
303 an IFN-induced antiviral state by inhibiting protein kinase R (Kitajewski *et al.*, 1986). Finally, activated
304 STAT1 is sequestered in Ad replication centres (Sohn & Hearing, 2011). The further advantage to Ad5
305 of an inherent lack of IFN response may arise because of the time it otherwise takes for virus-
306 encoded anti-IFN functions to become active. We directly tested the idea that E4 Orf3 would inhibit
307 the IFN response via its targeting of PML-II, and showed that this was the case: wild-type E4 Orf3
308 inhibited type 1 IFN induction whilst mutant forms unable to bind PML-II could not; E4 Orf3 mutant
309 virus infection elicited more IFN than wild-type; and E4 Orf3 mutant virus late protein expression
310 was more strongly inhibited by prior IFN treatment in cells with functional PML-II. E4 Orf3 is
311 expressed in the early phase but takes time to accumulate. In the period prior to this, our data
312 suggest that inhibition of IFN induction is incomplete.

313 The principal factor in the enhanced growth of Ad5 in HeLa Δ II cells was the elevated level of HSP70.
314 Investigating this, we observed a modest effect of HSP70 on Ad5 late protein nuclear accumulation
315 that would favour progeny virus formation but this could not account for the significant
316 enhancement of late gene expression. HSP70 expression/depletion in HeLa Δ II cells did however also
317 affect the accumulation of the viral E1B 55K protein, which is known to regulate late mRNA
318 accumulation and hence to increase late gene expression (Leppard, 1998). The increased amount of
319 E1B 55K in the presence of elevated HSP70 will certainly contribute to the observed elevation in late
320 gene expression and virus yield under these conditions. HSP70 also opposes inflammatory responses
321 (Meng & Harken, 2002); given the role of PML-II in regulating inflammatory gene expression we
322 considered this to be a plausible basis for the positive effect of HSP70 on Ad5 growth. However, NF-
323 κ B activation was actually modestly beneficial to late gene expression. Since, as reported, HSP70
324 opposed this activation, HSP70 elevation cannot be benefitting Ad5 via effects on NF- κ B. The
325 positive effect of NF- κ B on Ad5 was unexpected given that the virus encodes functions in its E3
326 region that inhibit TNF α signalling and hence NF- κ B activation (Burgert *et al.*, 2002). However,
327 although these functions will be important *in vivo* they are known to be dispensable for growth in
328 culture. Thus the small increase in Ad5 gene expression when NF- κ B is activated in cell culture
329 should not imply that this response benefits the virus *in vivo*.

330 The elevated level of HSP70 in PML-II depleted cells reflected a highly specific increase in mRNA
331 derived from the HSPA1B gene, one of two intronless genes that are strongly heat-inducible
332 members of the HSPA gene family. HSPA1A and HSPA1B are very similar even in their promoter
333 sequences (Brocchieri *et al.*, 2008); their products are not normally distinguished in analyses of heat-
334 induced HSP70 expression. The specific upregulation of HSPA1B by PML-II depletion cannot be due
335 to a general cell stress response, and in particular cannot be attributed to activation of the heat
336 shock transcription factor, HSF, which regulates transcription of HSPA1A and HSPA1B as well as
337 other classes of HSP (Singh *et al.*, 2010). Thus, these results indicate a novel mechanism whereby the
338 HSPA1B promoter is selectively activated. Interestingly, HSPA1A and HSPA1B are located within the
339 MHC III region, between the gene clusters encoding MHC class I and II antigen where specific
340 depletion of individual PML isoforms has been shown to have effects on chromatin architecture and
341 gene expression (Kumar *et al.*, 2007). Further work is needed to test whether HSPA1B induction by
342 PML-II removal reflects a similar mechanism.

343 HSP70 is also induced during Ad5 infection (Nevins, 1982) and, whilst virus infection might be
344 considered a stress that would lead to generalized activation of HSP expression, this induction is
345 actually specific to HSP70 (Phillips *et al.*, 1991). These studies did not distinguish between HSPA1A
346 and HSPA1B, which were not separately recognised at the time. HSP70 transcription is induced by
347 the virus-coded transactivator, E1A 13S (Wu *et al.*, 1986), which acts via the cellular CCAAT-box
348 factor (CBF) and its binding site in the context of a specific TATA box (Lum *et al.*, 1992; Simon *et al.*,
349 1988). In this way, induction is independent of HSF. The CBF site is also a target for p53-mediated
350 inhibition of the HSP70 genes (Agoff *et al.*, 1993), suggesting that E1A might disrupt this inhibition.
351 HSP70 expression is also favoured by the viral E1B 55K / E4 Orf6 complex promoting HSP70 mRNA
352 export to the cytoplasm (Moore *et al.*, 1987). Since, as discussed, our study shows that HSP70 levels
353 are also positively linked to E1B 55K accumulation, a feed-forward loop may be established that
354 promotes efficient late gene expression.

355 The induction of HSP70 during Ad infection may be linked with positive roles for this protein in the
356 virus life cycle. The best documented of these, in viral uncoating (Saphire *et al.*, 2000), may account
357 for the modest increase in early gene expression seen in HelaΔII cells. However, this action must
358 precede E1A-induced activation of HSP70 synthesis, suggesting that other roles may exist to justify
359 this mechanism. This role also cannot account for the predominant effect on late rather than early
360 gene expression that we observed. A study by White *et al.* suggested an involvement of HSP70 in
361 nuclear events linked with PML during Ad2 infection (White *et al.*, 1988). HSP70 was recruited from
362 the cytoplasm into discrete nuclear structures that co-localized with E1A and which appeared similar

363 to the reorganized PML tracks that are formed by E4 Orf3 (Carvalho *et al.*, 1995; Doucas *et al.*, 1996).
364 Indeed, Carvalho *et al.* found a small fraction of E1A and, in a few infected cells, HSP70 located in
365 these Orf3 / PML structures, evidence of a physical and/or functional link between HSP70 and PML
366 that might be related to our observations. Interestingly, our work suggests that the presence of E4
367 Orf3 is required in order for Ad5 to benefit from the elevation of HSP70 that occurs in Hela Δ II cells.

368 Many other viruses induce and/or functionally interact with HSP70 (Santoro *et al.*, 2010) suggesting
369 a general importance of this protein to infection. The avian adenovirus CELO Gam1 protein causes an
370 increase in both HSP70 and HSP40 that is needed for replication, and loss of Gam1 can be
371 complemented by heat-shock (Glotzer *et al.*, 2000). Gam1 is also responsible for the loss of PML
372 from infected cells through an inhibition of sumoylation (Colombo *et al.*, 2002), raising the possibility
373 that HSP induction and PML loss are also linked in this system. Human cytomegalovirus, HSV1,
374 vaccinia virus and some paramyxoviruses all induce HSP70 expression (Santoro *et al.*, 2010). For
375 HSV1, heat shock can complement deficiency in ICPO, the protein responsible for PML body
376 disruption and PML degradation, (Bringhurst & Schaffer, 2006) while the same is true for E1A
377 deficiency in Ad (Imperiale *et al.*, 1984; Madara *et al.*, 2005).

378 In conclusion, we have shown that PML-II opposes productive Ad5 infection, in part by supporting
379 innate immune responses but mainly due to a suppressive effect on HSP70 expression. Our study
380 reveals a previously undefined activity for HSP70 in supporting Ad5 late gene expression and
381 demonstrates an inhibitory effect of PML-II on HSP70 expression.

382 **Materials and Methods**

383 **Generation of Hela Δ II and HelaEV cell lines**

384 Hela cells were transduced with either lentiviral particles encoding an shRNA specific for PML-II or
385 equivalent particles with no shRNA insert. The PML-II shRNA incorporated the active siRNA sequence
386 described by (Kumar *et al.*, 2007) which was used previously by our laboratory to achieve functional
387 knock-down of PML-II (Chen *et al.*, 2015). Lentiviral particles were generated using pLKO.1 (Moffat *et al.*
388 *et al.*, 2006) following protocols supplied by the RNAi consortium (Addgene). Briefly, a double-stranded
389 synthetic oligonucleotide corresponding to the shRNA was cloned into pLKO.1. Specific plasmid
390 clones were verified by sequencing, then transfected with psPAX2 and pMD2.G packaging plasmids
391 into HEK-293T cells using Transit LT-1 (Mirus) to produce VSV-G-pseudotyped particles. Particle
392 stocks were then used to infect Hela cells and transduced cells were selected with 3 μ g/ml
393 puromycin.

394 **Antibodies and reagents**

395 Specific primary antibodies were: AdJLB1 rabbit antiserum to Ad5 late proteins (Farley *et al.*, 2004);
396 mouse monoclonal antibodies 2HX-2 to Ad5 hexon (Cepko *et al.*, 1983), B6-8 to Ad5 E2A DNA
397 binding protein (DBP) (Reich *et al.*, 1983), and 2A6 to Ad5 E1B 55K (Sarnow *et al.*, 1982);
398 monospecific anti-peptide sera reactive against PML-II (Xu *et al.*, 2005), kindly provided by Prof K.-S.
399 Chang, M.D. Anderson Cancer Center, University of Texas; FL-425 rabbit anti-IRF3 (SantaCruz); rabbit
400 anti-HSP70 (StressMarq SPC-103C/D); and GA1R mouse anti-GAPDH (Thermo Scientific). Secondary
401 antibodies were: Alexa488 - conjugated goat anti-mouse Ig (Life Technologies); horseradish
402 peroxidase (HRP) - conjugated goat anti-mouse Ig (Sigma); and HRP-conjugated goat anti-rabbit Ig
403 (SantaCruz). IFN α was from PBL Assay Science, TNF α from Invitrogen, poly(I:C) from Sigma and 6-
404 amino-4-(phenoxyphenylethylamino)quinazolin (QNZ) from Santa Cruz. siRNAs were: IRF3 (ID 3661,
405 Qiagen); HSP70 (targets HSPA1A and HSPA1B, Ambion); and control B (Chen *et al.*, 2015).

406 **Cell culture and virus infection**

407 HEK293, HEK293T, HeLa and knock-down cell lines were maintained at 37 °C, 5% CO₂ in Dulbecco's
408 modified Eagle medium (DMEM) supplemented with 10% fetal bovine serum (FBS); for maintenance
409 purposes, HeLaEV and HeLa Δ II cells were alternated between media containing or not containing 3
410 μ g/ml puromycin. VERO cells were maintained in DMEM supplemented with 5% FBS and MRC5 cells
411 in 10% Eagle's minimal essential medium supplemented with 10% FBS, 2mM L-glutamine and 1%
412 non-essential amino acids. Cells were seeded at the appropriate density 24 h prior to the respective
413 procedure. Light microscope images were recorded on an inverted microscope using a 5x objective.
414 Virus stocks and experimental samples were titred in a fluorescent focus assay. HeLa cell monolayers
415 were infected in duplicate with serial dilutions of each stock, incubated at 37 °C, 5% CO₂ for 16 h,
416 then fixed and stained with antibody to E2A DBP to visualize fluorescent cells for counting.
417 Experimental infections were carried out with wild-type Ad5 *wt300* or E4 Orf3 mutant *inOrf3* (Huang
418 & Hearing, 1989) at a multiplicity of 5 fluorescence focus units (ffu) per cell unless otherwise
419 indicated. siRNA transfections were performed with Lipofectamine 2000 (Invitrogen), using a ratio of
420 1 μ l reagent per 25 pmol siRNA.

421 **Protein and RNA analysis**

422 For total protein analysis, cells were lysed directly in SDS gel sample buffer. Cytoplasmic and nuclear
423 fractions were generated by lysing cells in 0.67% (v/v) NP40, 10 mM NaCl, 1.5 mM MgCl₂, 10 mM
424 Tris.HCl pH7.5 for 10 min on ice, then nuclei were pelleted by low speed centrifugation; an equal
425 volume of 2x SDS gel sample buffer was added to the supernatant (cytoplasmic fraction). Crude
426 nuclei were washed once in PBS, pelleted as before and then lysed in SDS gel sample buffer (nuclear

427 fraction). Proteins were separated by electrophoresis on 10% SDS polyacrylamide gels and detected
428 by western blotting as previously described (Lethbridge *et al.*, 2003). For flow cytometry analysis,
429 single cell suspensions produced by trypsinization were fixed on ice with 10% (v/v) formalin in PBS
430 for 20 min, permeabilized with 0.5% (v/v) NP40 in PBS for 10 min and then incubated with 1% (w/v)
431 bovine serum albumin in PBS for 45 min to block nonspecific protein binding. Cells were
432 resuspended in FACS buffer (PBS containing 3% v/v FBS, 0.07% w/v NaN₃), then incubated with
433 specific primary antibodies to hexon or E2A DBP followed by Alexa488-conjugated secondary
434 antibody. Washed cells in FACS buffer were analysed using a FACSCAN (BectonDickinson) and
435 WinMDI software. Immunofluorescence analysis was performed as previously described (Leppard &
436 Everett, 1999); images were collected with a Leica SP5 confocal microscope system and processed
437 using Leica confocal software. Total RNA was isolated and mRNA quantified by RT-qPCR as previously
438 described (Chen *et al.*, 2015) using the following primers and amplicons: ISG56 and IL-6 (Chen *et al.*,
439 2015); E1A (113 bp, Ad genome 1422-1534) and hexon (137 bp, 21540-21576) (Schreiner *et al.*,
440 2013); PML-II 5'AGGCAGAGGAACGCGTTGT and 5'GGCTCCATGCACGAGTTTTTC (70 bp); HSP70 (Tanaka
441 *et al.*, 2007); HSPA1A, HSPA1B, HSPA5, HSPA6, and HSP60 (www.rtpimerdb.org).

442 **Interferon activity and luciferase reporter assays**

443 MLP and L4P activity was determined in luciferase reporter assays as described (Morris & Leppard,
444 2009; Morris *et al.*, 2010). IFN β promoter activity was measured by transfecting IFN β -Luc (King &
445 Goodbourn, 1994) in the presence of either wild-type or mutant E4 Orf3 expression plasmids (Hoppe
446 *et al.*, 2006) and pcDNAHisLacZ as an internal control for 24 h and stimulating by transfection with
447 poly(I:C) for a further 8 h, otherwise as previously described (Chen *et al.*, 2015; Morris & Leppard,
448 2009). IFN activity in cell culture fluids was measured by plaque-reduction assay using infection of
449 VERO cells by Semliki Forest virus (SFV). Subconfluent 12 well cultures were incubated for 24 h with
450 either standard IFN α or with an unknown sample at 1 in 10 dilution, both in normal growth medium.
451 After 24 h, cells were infected with 25 plaque-forming units of SFV, overlaid with agar-solidified
452 medium and then fixed after 48 h incubation and plaques detected with crystal violet. All
453 determinations were made in triplicate. Alternatively, IFN activity was determined by measuring the
454 stimulation of pISRE-Luc by IFN-containing samples for 20 h in a luciferase reporter assay (Chen *et al.*
455 *et al.*, 2015).

456 **Acknowledgement**

457 Zeenah Atwan was supported by a studentship from The Higher Committee for Education
458 Development in Iraq. Jordan Wright was supported by a studentship from the Biotechnology and

459 Biological Sciences Research Council. Andrew Woodman was supported by a University of Warwick
460 Undergraduate Research Student Scholarship.

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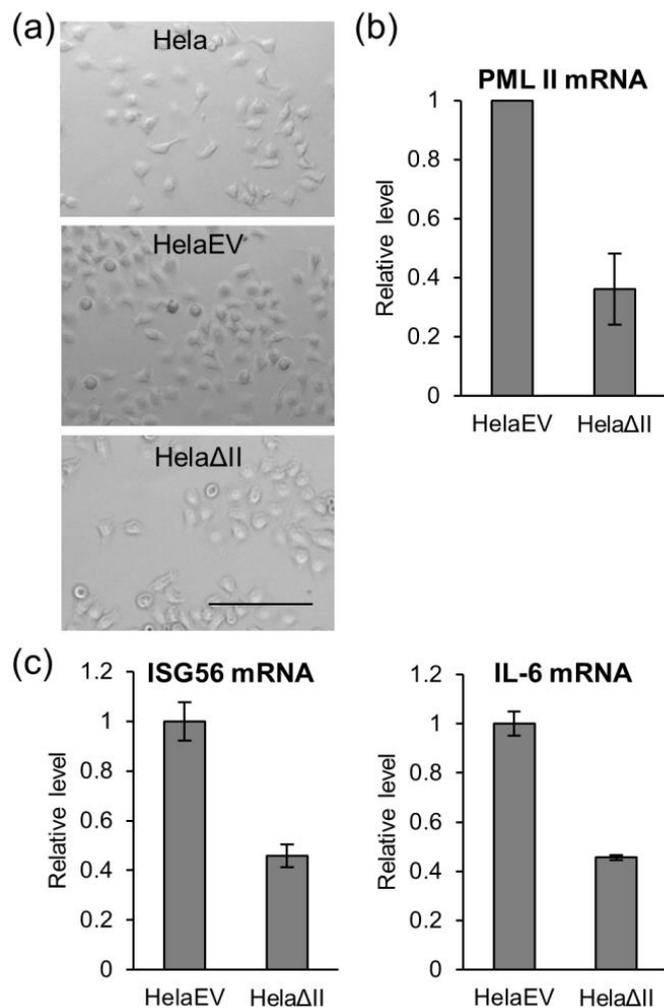
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693 **Figures**

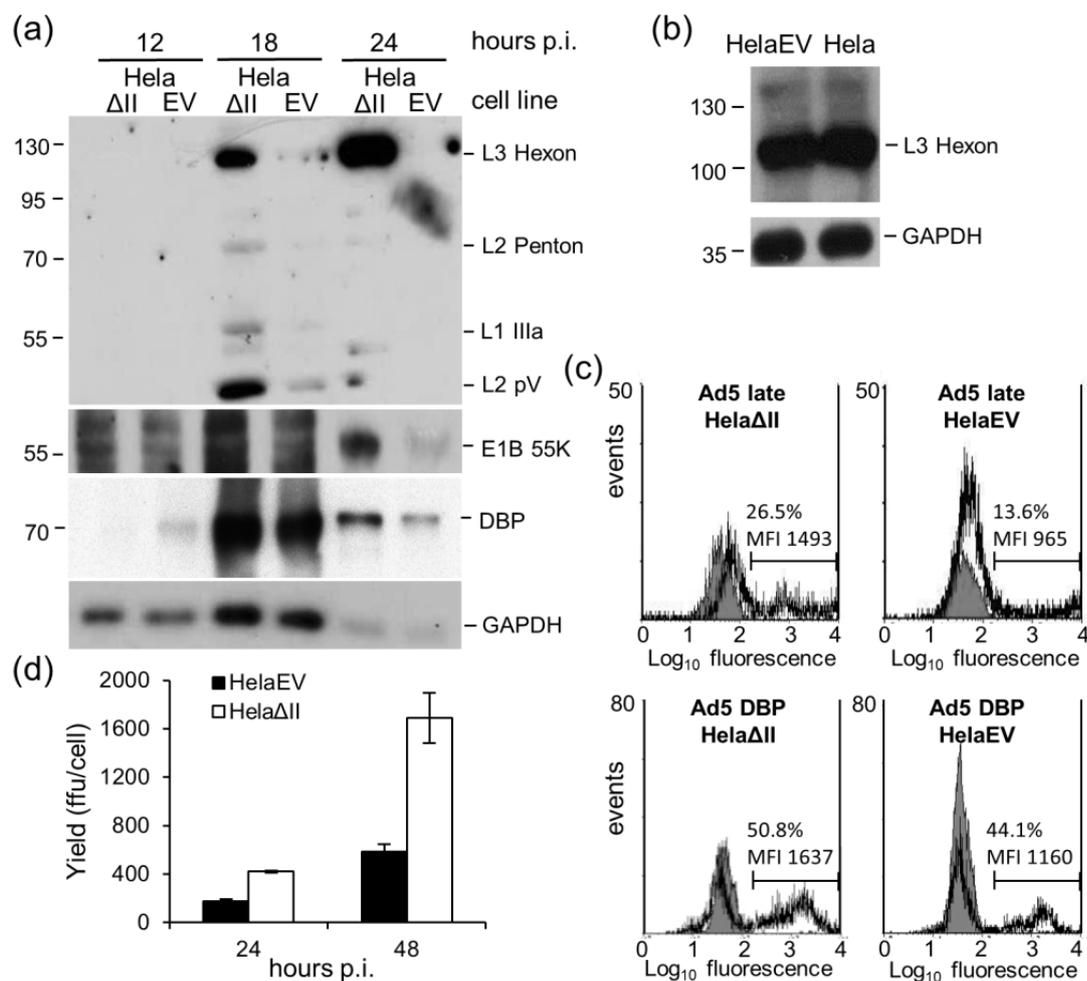


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695 **Fig. 1. HeLaΔII cells show physical and functional knockdown of PML-II.** (a) Phase -contrast
696 microscopic images of control HeLa and shRNA HeLa cells; scale bar 100 μm. (b,c) HeLaEV and HeLaΔII
697 cells were plated for 24 h, then RNA samples were harvested. (b) PML-II mRNA was detected by RT-
698 qPCR; results are normalized to the level detected in HeLaEV cells and are the means and standard
699 deviation of 3 technical replicates. (c) IL-6 and ISG56 mRNAs were analysed as in (b).

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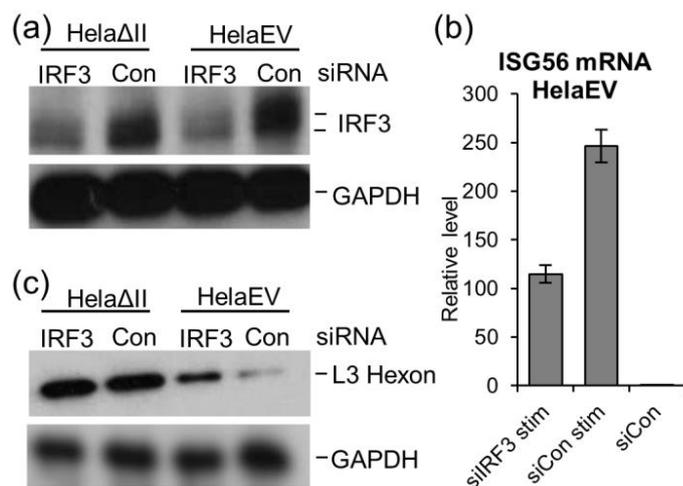
703 **Fig. 2. Removal of PML-II increases Ad5 protein expression and virus yield.** (a) HeLaEV and HeLaΔII
 704 cells were infected with wild type Ad5 at moi of 5, and total protein extracts at various times post-
 705 infection analysed by western blotting. Upper panel: Ad5 late protein; middle panels: Ad5 E1B 55K
 706 and E2A DNA binding protein (DBP) ; lower panel: GAPDH. (b) Total protein extracts of HeLaEV and
 707 standard HeLa cells, infected for 20 h as in (a), were analysed for hexon expression. (c) Adenovirus
 708 gene expression by FACS analysis. Upper panel: late gene expression; lower panel: DBP expression;
 709 grey curves are the background (mock infected cells) while the black curves represent infected cells;
 710 the % of fluorescence-positive cells and their mean fluorescence intensity (MFI) are indicated on
 711 each panel. (d) Total virus in infected culture lysates was determined by fluorescent focus assay.

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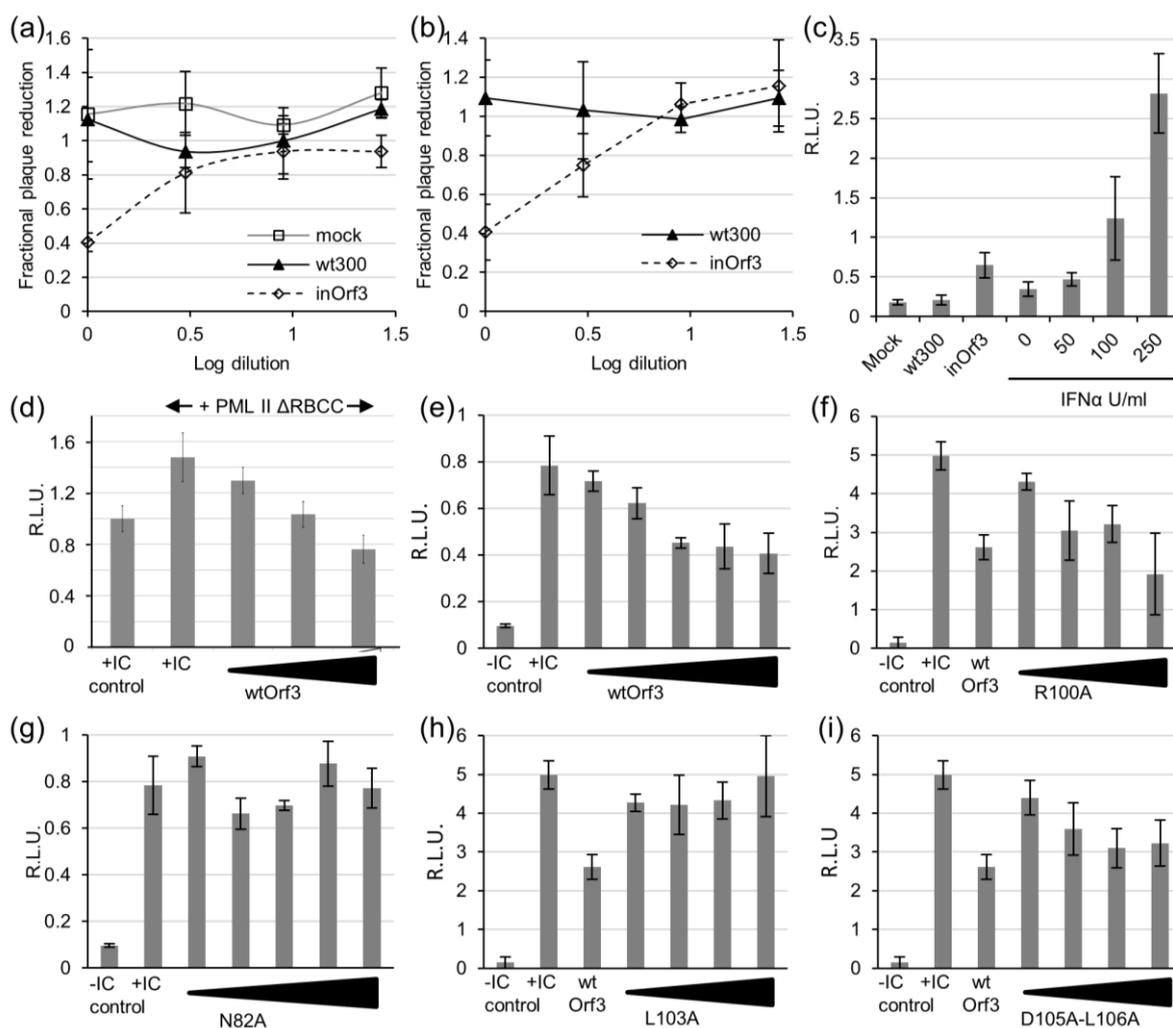
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717 **Fig. 3 PML-II inhibits Ad5 infection by both IFN-dependent and independent mechanisms.** HeLaEV
718 and HeLaΔII cells were plated for 24 h, transfected with 62.5 pmol/ml siRNA as indicated for 48 h and
719 then either stimulated with poly I:C for 16 h (a, b) or infected with Ad5 wt300 at moi of 5 for 20 h (c),
720 after which samples were prepared for analysis. (a) IRF3 protein or GAPDH (loading control) was
721 detected by western blot. (b) RT-qPCR analysis detecting ISG56 mRNA. (c) As panel (a), but detecting
722 hexon protein using anti-late protein polyclonal antibodies.

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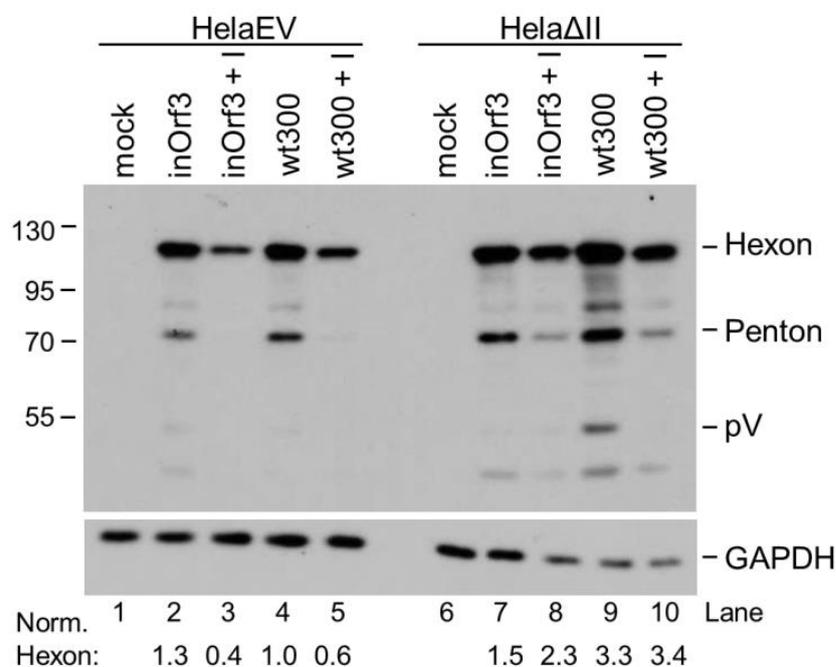


724

725 **Fig. 4 Adenovirus E4 Orf3 inhibits IFN production and IFN β promoter activation.** (a) HEK293 cells
 726 were infected at a multiplicity of 10 p.f.u./cell with wild type Ad5 (wt300) or mutant *inOrf3*, or mock-
 727 infected. Media was harvested at 8 h p.i. and IFN activity measured by plaque-reduction assay. (b) As
 728 panel (a) but using media from Ad5-infected MRC5 fibroblasts harvested at 16 h p.i. (c) Media from
 729 HEK293 cell cultures infected as in (a) was harvested at 6 h p.i. and IFN activity measured using an
 730 ISRE-luciferase reporter construct in HEK293 cells. Known amounts of recombinant IFN α were
 731 analyzed in parallel to provide a standard curve. (d) HEK293 cells were transfected with IFN β
 732 promoter luciferase reporter and β -galactosidase control plasmids together with PML-II Δ RBC (125
 733 ng) and from 125 – 625 ng E4 Orf3 plasmid as appropriate and then stimulated with poly(I:C) and
 734 reporter activities assayed 8 h later. Error bars: standard deviation of three biological replicates. (e-i)
 735 HEK293 cells were transfected with reporter plasmids as in (d) plus 150 – 600 ng (150 – 750 ng,
 736 panels e, g) of either wild-type E4 Orf3 plasmid (e), or mutant E4 Orf3 R100A (f), N82A (g), L103A (h)

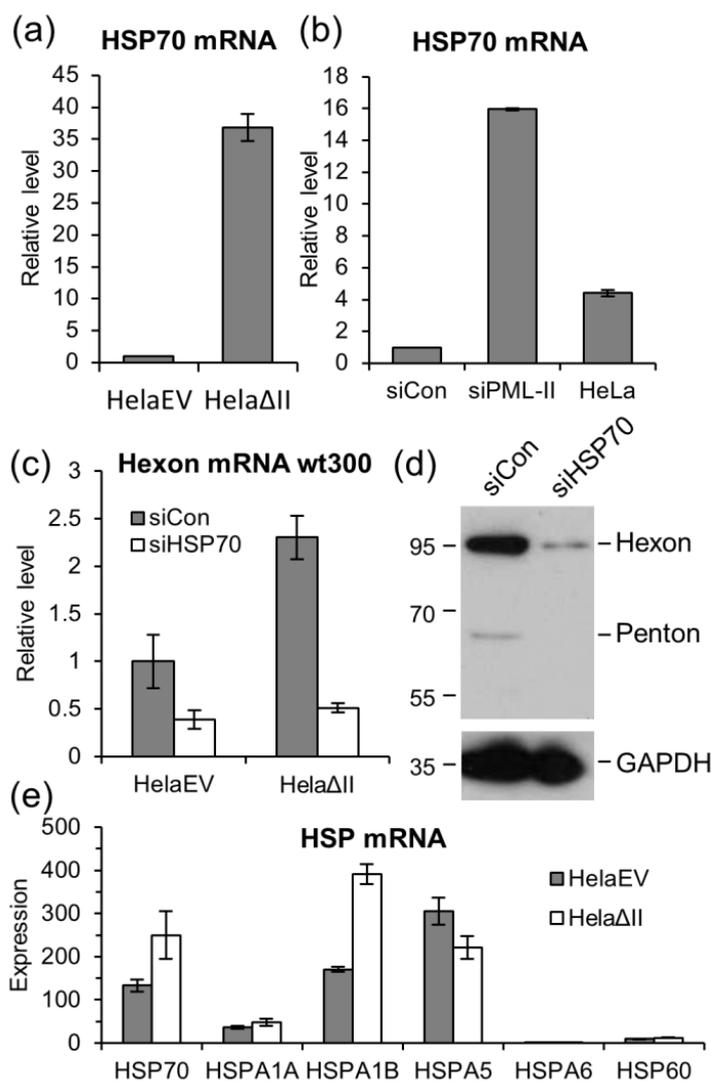
737 or D105A-L106A (i), and then stimulated or not with poly(I:C) as indicated and assayed as in panel
738 (d). Error bars: standard deviation of three biological replicates.

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740

741 **Fig. 5. Role of E4 Orf3 in the response of Ad5 to PML-II depletion and IFN- α .** HeLaEV and HeLa Δ II
742 cells were either mock-treated (lanes 1, 2, 4, 6, 7, 9) or treated with 1000 U/ml of IFN- α for 24 h (+);
743 lanes 3, 5, 8, 10), then mock-infected or infected with Ad5 wt300 or inOrf3 as indicated for 20 h.
744 Total protein lysates were collected and analysed by western blotting for Ad5 late proteins (upper)
745 or GAPDH (lower). Hexon protein bands were quantified using QuantityOne software, normalized to
746 GAPDH and expressed relative to the value for wt300 in HeLaEV cells.



747

748 **Fig. 6. Elevated Hsp70 enhances the expression of Ad5 proteins when PML-II is reduced.** (a, e) RNA
 749 was harvested from HeLaEV and HeLaΔII cells and analysed for HSP70 mRNA (a) or a selection of HSP
 750 mRNAs (e) by RT-qPCR. (b) HeLa cells were transfected or not with 125 pmol/ml siRNA as indicated
 751 and RNA harvested after 48 h for analysis of HSP70 mRNA by RT-qPCR. (c) HeLaEV and HeLaΔII cells
 752 were transfected with 125 pmol/ml HSP70 or control siRNA for 48 h, then infected with Ad5 wt300
 753 for 20 h before RNA was harvested and analysed for hexon mRNA by RT-qPCR. (d) HeLaΔII cells were
 754 treated with siRNA and infected as in (c), then lysed and analysed by western blotting as in Fig. 5. In
 755 (a - c), data were standardized to an internal control and then normalized to values from: (a) HeLaEV;
 756 (b) siControl-treated HeLa; (c) siControl-treated HeLaEV. Panel (e) shows mRNA amounts measured
 757 separately for each amplicon, standardized in each case to an internal control.

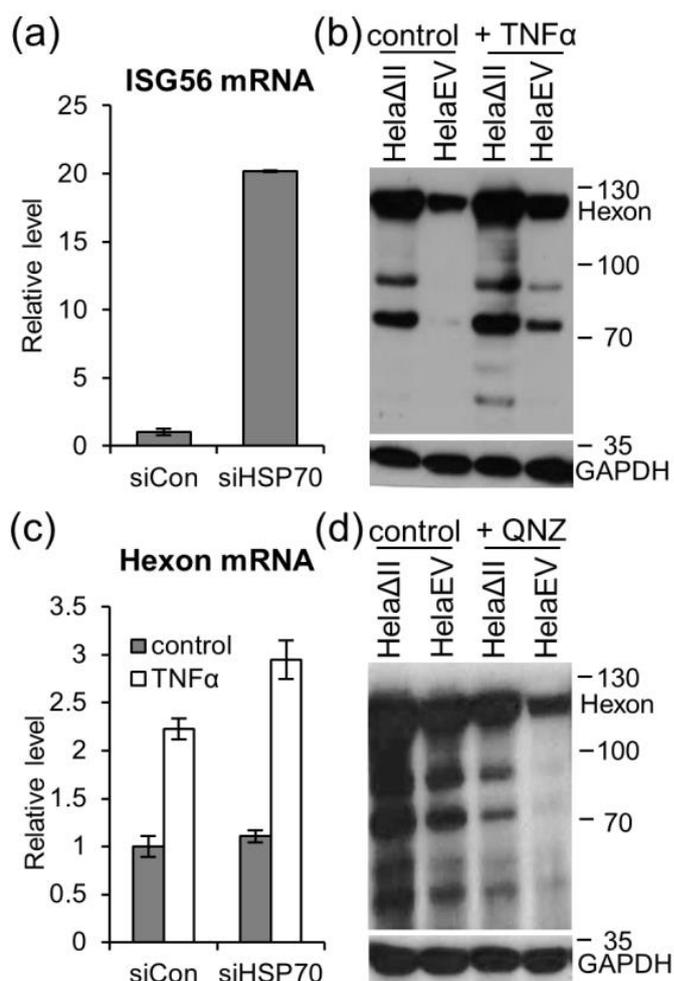
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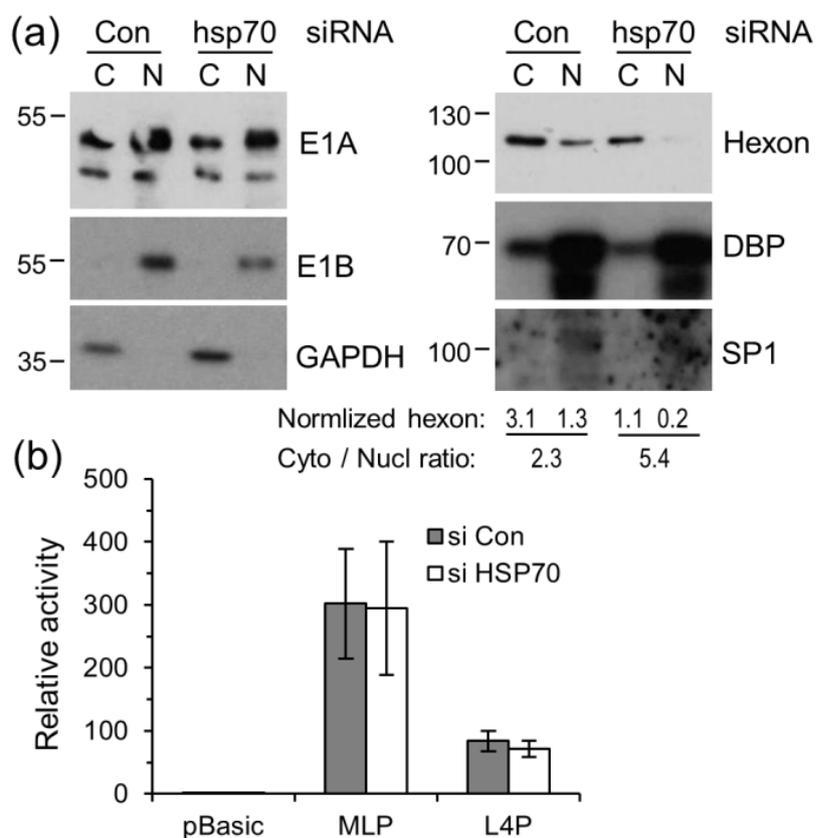


763

764 **Fig. 7. Effects of elevated Hsp70 on NF- κ B signalling do not cause enhanced Ad5 gene expression.**

765 (a) HeLaEV cells were treated with HSP70 or control siRNA as in Fig. 6(c), then infected with Ad5
766 wt300 at moi of 5 for 20 h and ISG56 mRNA quantified by RT-qPCR. (b, d) Cells as indicated were
767 treated or not with 50 ng TNF α for 1 h (b) or with 100 nM of the NF- κ B inhibitor QNZ for 45 min (d),
768 then infected with Ad5 wt300 at moi of 5 for 20 h. Protein samples were harvested and analysed for
769 late protein expression by western blot. (c) HeLaEV cells, treated with siRNA as in (a) were treated
770 with TNF α as in (b), infected with Ad5 wt300 as in (a) and hexon mRNA quantified by RT-qPCR.

771



772

773 **Fig. 8. Hsp70 promotes nuclear accumulation of Ad5 hexon but has no effect on late promoter**
 774 **activity.** (a) HeLaΔII cells were treated with HSP70 or control siRNA as in Fig. 6(c), then infected with
 775 Ad5wt300 at moi of 5 for 16 hours. Cytoplasmic and nuclear fractions were analysed by SDS-PAGE
 776 and western blotting. Replicate blots were probed with antibodies to the proteins indicated and
 777 bands quantified as in Fig 5. (b) HeLa cells were treated with siRNA as in (a) and then transfected
 778 with MLP or L4P luciferase reporter. Luciferase activity was measured after 20 h and normalized to a
 779 β-galactosidase transfection control as described (Wright *et al.*, 2015).