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Effectiveness of antipsychotics used in first-episode psychosis: a naturalistic cohort study

Richard Whale, Michael Harris, Gail Kavanagh, Vijitha Wickramasinghe, Christopher I. Jones, Steven Marwaha, Ketan Jethwa, Nirmalan Ayadurai and Andrew Thompson

Background
One year of antipsychotic treatment from symptom remission is recommended following a first episode of psychosis (FEP).

Aims
To investigate the effectiveness of commonly used antipsychotic medications in FEP.

Method
A retrospective cohort study of naturalistic treatment of patients (N=460) accepted by FEP services across seven UK sites. Treatment initiation to all-cause discontinuation determined from case files.

Results
Risk of treatment discontinuation is greatest within 3 months of treatment initiation. Risperidone had longest median survival time. No significant differences were observed in time to discontinuation between commonly used antipsychotics on multivariable Cox regression analysis. Poor adherence and efficacy failure were the most common reasons for discontinuation.

Conclusions
Effectiveness differences appear not to be a current reason for antipsychotic choice in FEP. Adherence strategies and weighing up likely adverse effects should be the clinical focus.

Declaration of interest
R.W., A.T. and S.M. have received research grant, speaker honoraria and conference attendance funding from all companies marketing antipsychotics.

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Included patients met the criteria of ‘FEP’ in all seven services during the period of data collection, as determined at clinical interview with illness severity defined as a positive ‘CAARMS’ (comprehensive assessment of at-risk mental states) threshold for psychosis or a score >4 on hallucinations and/or delusions and/or >5 on conceptual disorganisation subscales of the PANSS (Positive and Negative Syndrome Scale), and of duration at least 7 days. All patients were aged between 14 and 35 years as per service criteria. Patients were excluded from the study if psychotic symptoms were due to a depressive or primary organic aetiology. Patients were also excluded if they were under the care of the service for less than 1 year, or they were not prescribed antipsychotic medication at all during their treatment with the service. Patients started on long-acting injectable medications as their first treatment were also excluded if they were under the care of the service for less than 1 year, or they were not prescribed antipsychotic medication at all during their treatment with the service. Patients started on long-acting injectable medications as their first treatment were also excluded from the sample because of difficulty of comparison with oral medications. Patients were not excluded if other psychotropic drugs (non-antipsychotic mood stabilisers, benzodiazepines, anti-depressants, etc.) were used in combination with an antipsychotic.

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Clinicians would have commenced and managed treatment following international and local guidelines for treating FEP patients and using their own clinical experience in collaboration with the views and attitudes of the patient and the patient’s family. Similarly, treatment was ‘open label’ with flexible dosing.

Data procedures
For each patient, the case notes, prescription cards and electronic records available were examined to determine the first antipsychotic prescribed, the date of treatment onset and the point at which the antipsychotic was discontinued. Raters were all psychiatrists (all authors listed except C.I.J.), and the data entered on a pre-designed form with variables defined.

Outcome measures
Discontinuation was defined as the first day of a 2-week or longer interruption in treatment adherence as decided by author and academic peer consensus. Dates of treatment discontinuation were ascertained by careful scrutiny of recorded clinical notes. Where only approximations were available (e.g. treatment stopped ‘a few weeks ago’), prescribing clinicians were contacted to improve precision. Standard dates were used when periods of the month were referred to. Where greater clarity could not be sought, estimates were made by agreement reached by a panel of three of the researchers, or data were entered as ‘unavailable’ if this was not possible. Where a cross-titration of medication was initiated, the date of discontinuation was taken as the first day of the cross-titration. If patients were lost to follow-up (e.g. disengaged from treatment before completing a year of medication), they were excluded from the cohort but included in an intention-to-treat analysis.

All raters undertook an interrater reliability exercise. Records of 10 patients were randomly chosen and a chronology of the prescribing transcribed by the clinician who had initially rated the case. The accuracy of this rating, as judged by the time to discontinuation in days, was assessed based on these transcriptions by all other raters.

The causes for treatment discontinuation were recorded for analysis and comparison between antipsychotic cohorts. These were divided into ‘clinician managed’ – where the clinician was informed and the discontinuation was planned by the clinician – and ‘patient managed’ – where the clinician was not informed. Reasons for discontinuation were then categorised by the primary reason for patient or clinician dissatisfaction with the medication (such as lack of efficacy, adverse effects or poor adherence without clear association with medication factors). Where adverse effects were the main factor, these were also classified as sedation, sexual dysfunction, extrapyramidal dysfunction, weight gain, agitation or ‘other’.

In cases where more than one reason was given for treatment attrition, two researchers determined a consensus rating of the most important reason based on the clinical file, requesting input from the prescribing clinician if possible or a third clinician if necessary to reach majority consensus.

Statistical methods
Data from each group were analysed following intention-to-treat principles. The median survival time was calculated for specified medications, referring to the number of days when 50% of the cohort had discontinued that treatment. The advantage of this measurement is that it is not dependent on length of follow-up time (some patients continuing treatment beyond 12 months) and can be compared with median survival times from other studies with different follow-up periods. ‘Mean time to patient discontinuation’ is often used in studies but is dependent on discontinuation rate, which is relative to the follow-up period used. Cox proportional hazards regression analysis was conducted to determine the impact on time to discontinuation of antipsychotic type, age, gender, duration of untreated psychosis (DUP; defined as the time from date of psychotic symptom onset to the date of medication commencement), clinical role of first prescriber and region of initiation on the survival function. Data were analysed using Stata version 14.1.

Ethics
Locality governance approval was attained for this study on the basis of service evaluation examining how standard care is delivered where decisions Regarding the prescribing and administering of treatment were made jointly by the clinician and patient.

Results

Study sample and baseline characteristics
Five hundred and ten patients met the inclusion criteria of FEP for treatment by the early intervention services in this study, within the designated time period. Fifty patients were excluded from this study as per designed method, owing to not starting an oral antipsychotic medication or relevant baseline data were lacking (Fig. 1). Olanzapine, quetiapine, risperidone and aripiprazole were the first-line treatments chosen for 97.8% of patients, comprising 40.2, 25.2, 19.1 and 13.3% of the sample, respectively. For 28 patients, follow-up data were not available due to disengagement with services or leaving the locality (before 1 year of treatment or until treatment was discontinued), resulting in a final analysis sample of 427. No deaths of any cause were observed in available data. The proportion of patients without follow-up data was similar for the four antipsychotics, ranging from 3% for olanzapine to 9% for risperidone.

Baseline characteristics of the study sample are shown in Table 1. The greatest proportion of the sample was male and had antipsychotic medication initiated in a community v. an acute care setting. Only 30% had medication initiated by early intervention services. Median age, median DUP and role of prescriber were all significantly different between the four most likely prescribed antipsychotic medications (all P<0.001). Those prescribed olanzapine had a notably lower median DUP and were most likely to be managed by acute care services (in-patient or community crisis team) than other groups.

Comparison between baseline variables of included and excluded cases revealed no significant difference between age,
DUP, gender and prescriber role. A significant difference was revealed between geographical areas, with more missing data in Warwickshire than Sussex.

**Interrater reliability**

For the interrater reliability exercise, based on time to discontinuation in days between the raters, the intra-class correlation coefficient was very high (0.99) suggesting high reliability of ratings.

**Time to discontinuation**

In the whole cohort, 73.3% of patients discontinued their first prescribed antipsychotic within 12 months. The all-cause rate of discontinuation within 12 months from initiation of medication and median survival times by antipsychotic medication are shown in Table 2. Although the ranking of lowest discontinuation rates and longest time to discontinuation was risperidone, quetiapine, aripiprazole then olanzapine, both favouring risperidone, no statistically significant differences were found between medications. The survival distribution curves of the four medications are plotted in Fig. 2.

Multivariable Cox regression analysis revealed no significant association between medication type and time to discontinuation, using olanzapine as the reference category as per our hypothesis (Table 3). A significant effect of both DUP years (HR 0.87, 95% CI 0.77 to 0.98, $P=0.028$) and region of first prescription (Sussex v. Warwickshire; HR 1.50, 95% CI 1.05 to 2.15, $P=0.026$) on survival was demonstrated. The discontinuation rate was reduced by 0.0027% per week (or 13% per year) of DUP. Discontinuation rate was 50% higher in Sussex v. Warwickshire. No significant effect of age, gender or prescriber role was observed.

Overall, 60.2% (189/314) of patients discontinued treatment aided by a clinician v. those stopping medication themselves (Table 2). No significant difference was observed for this variable between the four most commonly prescribed antipsychotic medications (for Chi-squared test, $P=0.13$).

The primary reasons for discontinuation of each antipsychotic group recorded by clinicians are shown in Fig. 3. Of all discontinuations (excluding 66 missing values), 34.4% were attributed to poor patient adherence (without clear attribution to medication efficacy or adverse events), and this was the primary reason given for patients discontinuing for all antipsychotic groups. The next commonest whole group reason was poor adherence (20.5%).

After poor adherence, the magnitude of reasons for discontinuation by drug (over 5% of the individual drug sample) was ranked: for aripiprazole, poor efficacy joint with agitation/restlessness, then extrapyramidal side-effects; for olanzapine, weight gain joint with poor adherence, sedation and then poor efficacy; for quetiapine, sedation, poor efficacy and then weight gain; and for risperidone, poor efficacy, raised prolactin, sedation and then weight gain. Relative proportions of these causes by drug are shown in Fig. 3. Of note, olanzapine and risperidone had the lowest rates of poor adherence.

**Discussion**

In a large naturalistic FEP cohort, we demonstrate a high rate of all-cause discontinuation (whether switching to another
medication or not) of the initially prescribed antipsychotic of 73.3% by 1 year. Four antipsychotics (aripiprazole, olanzapine, quetiapine and risperidone) accounted for 97.8% of prescriptions for first-line treatment of FEP. Risk of discontinuation was highest in the first 3 months of treatment for all medications. Although risperidone had a longer median time to discontinuation than other medications, multivariable Cox regression analysis revealed no significant effect of medication type on all-cause time to discontinuation. Significant differences were observed between prescribed medication groups for age, DUP and role of initiating prescriber. Only DUP and geographical site had significant influence on discontinuation. The most prevalent reason for discontinuation was ‘poor patient adherence’, grouped as independent of clearly associated adverse effects.

DUP has a consistently demonstrated effect on FEP outcome, and a longer DUP may be explained by negative symptoms. Negative symptoms have an arguably poor response to currently licensed antipsychotic medications, which may account for earlier discontinuation in those with a longer DUP. Although olanzapine did not have a significantly different discontinuation rate from other medications, it appeared to be most used in acute services, possibly a marker for a more severely unwell group. This could explain olanzapine’s lower ranked median discontinuation time, although prescriber role did not have significant effect in the multivariable analysis. The olanzapine-exposed group also had a shorter DUP than other medications but this did not positively bias its comparative discontinuation rate. The significant effect of region on discontinuation is unexpected and reasons are unclear from this data set. The other difference observed between regions was a larger missing data rate in Warwickshire, which does not have obvious association with discontinuation time. Variables not explored, such as ethnicity, may influence this effect of region.

Comparison with previous studies

In comparison with other studies that have described all-cause medication discontinuation rates in FEP populations, our overall rate was higher than reported in randomised studies (all-cause discontinuation at 12 months for Kahn et al19 was 41.6%, McEvoy et al22 70.3%, Crespo-Facorro et al14 58.6% and San et al16 64%). The naturalistic follow-up study by Tiihonen et al described a rapid early attrition with discontinuation rate of 54.3% at 30 days (a much greater rate than we observed, although their sample was post in-patient admission), and 92.5% at a mean follow-up of 2 years (direct comparison with our data not possible). Also naturalistic, Liew et al described a 90% all-cause discontinuation rate by 18 months, roughly 80% by 12 months (extrapolated from published report). This implies that the boundaries of and nature of patients recruited to a randomised study enhance antipsychotic adherence in comparison with a naturalistic study. It is also arguable that our sample, using FEP criteria rather than

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Characteristics of sample in total cohort and by medication group</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td>Aripiprazole</td>
</tr>
<tr>
<td>Age entering EIP case-load (years)</td>
<td>Median</td>
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<tr>
<td></td>
<td>IQR</td>
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<tr>
<td></td>
<td>n</td>
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<tr>
<td>Duration of untreated psychosis (weeks)</td>
<td>Median</td>
</tr>
<tr>
<td></td>
<td>IQR</td>
</tr>
<tr>
<td></td>
<td>n</td>
</tr>
<tr>
<td>Gender</td>
<td>% male</td>
</tr>
<tr>
<td></td>
<td>n male</td>
</tr>
<tr>
<td></td>
<td>n overall</td>
</tr>
<tr>
<td>Clinical role of prescriber</td>
<td>Acute services%</td>
</tr>
<tr>
<td></td>
<td>Community (non-EIP)%</td>
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<tr>
<td></td>
<td>EIP%</td>
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<tr>
<td></td>
<td>GP%</td>
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<tr>
<td></td>
<td>n overall</td>
</tr>
<tr>
<td>Region</td>
<td>% Sussex</td>
</tr>
<tr>
<td></td>
<td>n Sussex</td>
</tr>
<tr>
<td></td>
<td>% Warwickshire</td>
</tr>
<tr>
<td></td>
<td>n Warwickshire</td>
</tr>
</tbody>
</table>

EIP, early intervention in psychosis; GP, general practitioner.
a. Excluded from comparisons/tests for association owing to low numbers.

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Discontinuation variables between medications within 12 months of initiation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td>Aripiprazole</td>
</tr>
<tr>
<td>Discontinuation within 12 months</td>
<td>%</td>
</tr>
<tr>
<td></td>
<td>n</td>
</tr>
<tr>
<td></td>
<td>n overall</td>
</tr>
<tr>
<td>Time to discontinuation (days)</td>
<td>Median</td>
</tr>
<tr>
<td></td>
<td>IQR</td>
</tr>
<tr>
<td></td>
<td>n overall</td>
</tr>
<tr>
<td>Clinician managed discontinuation?</td>
<td>% yes</td>
</tr>
<tr>
<td></td>
<td>n yes</td>
</tr>
<tr>
<td></td>
<td>n overall</td>
</tr>
</tbody>
</table>

a. Excluded from comparisons/tests for association owing to low numbers.
significant difference was observed between olanzapine and
treatment rate with haloperidol (72%). Survival analysis showed
months for olanzapine (33%) than quetiapine (53%), amisulpride
found relatively lower rates of all-cause discontinuation at 12
previous studies. Kahn et al conducted a randomised, unblinded,
tricluoperazine in an Asian cohort (only risperidone was used
3 months of discontinuation of risperidone compared with haloperidol and
but a numerically lower rate with olanzapine (68.4, 70.9 and
174 patients with first-episode non-affective schizophrenia
spectrum disorders, randomised to haloperidol, risperidone and
olanzapine, and although they found discontinuation rates at 1
year were significantly higher for haloperidol, the rates for
risperidone and olanzapine were not significantly different.14
This lack of difference was sustained by 3 years’ follow-up.14
Johnsen et al randomised 213 successive admissions with a wide
range of diagnosed psychotic disorders (including depressive and
drug-induced psychosis) at an emergency ward in Norway to four
commonly used antipsychotics.13 Included participants were not
clearly CEP however, with only 44% of participants being
antipsychotic naïve. At 1-year follow-up, study dropout rates
were high, but by an intention-to-treat model, ranking of greatest
likelihood of continuation was quetiapine, olanzapine, ziprasidone
then risperidone, but not of different enough magnitude to render
significance.

In contrast with our results, Tiihonen et al followed up a
naturalistic cohort of first hospitalised patients for schizophrenia
and schizoaffective disorder (interpreted as a first episode of
schizophrenia) in Finland and found olanzapine was significantly
less likely to be discontinued within 30 days of discharge v.
risperidone and less associated with rehospitalisation than risper-
dione over a mean 2 years follow-up.15 In comparison, disconti-
uation within 30 days was very similar for all medications in our
sample. Twelve-month discontinuation comparison was not
reported by Tiihonen et al and neither quetiapine nor aripiprazole
were commonly used.16 San et al randomised treatment with
haloperidol, olanzapine, risperidone, quetiapine and ziprasidone
to 114 antipsychotic-naïve FEP patients. The lowest discontina-
tion rate observed by 1 year was for olanzapine (40%), this being
significantly lower than for risperidone (64%) and quetiapine
(56.5%) but all lower than haloperidol and ziprasidone (both
>80%).15 In a further naturalistic first-episode schizophrenia
spectrum disorder study, Liew et al found significantly lower rates
of discontinuation of risperidone compared with haloperidol and
trifluoperazine in an Asian cohort (only risperidone was used
commonly in our sample).17 The median time to discontinuation
for risperidone was 69 days, shorter than we report.

Limitations and strengths
This study is one of the largest investigations reported of a real-
world cohort of FEP patients taking a range of commonly used
medications. It arguably reflects standard patients seen by early
psychosis services across the UK, including seven separate teams
with different geographical catchments (both urban and rural)
and notable ethnic variation. It is possible that variation from findings
of some previous studies15,16 reflects different patient populations.
Although it is possible that our results incorporate bias of
medication choice, due to the non-randomised nature of the
study, this is mitigated by the number of services and prescribing
doctors involved, the fact that none of the prescribers was aware
that discontinuation time would be used as a method to compare
medications and the non-significant effect of medication type on
the all-cause discontinuation. The interrater reliability as to date of
discontinuation was very high which suggests minimal rater bias.

A disadvantage of retrospective studies where case notes are
relied upon is the potential for information bias. Clinical records
have not been kept in a manner that was designed specifically for
this study, and the data we required were not always measured
and recorded in ways that were ideal. That said, there is only a
small amount of missing follow-up data for those prescribed an
antipsychotic (7%) but we cannot be sure that the people whose
data we could not locate did not have different discontinuation

Table 3 Multivariable Cox regression analysis for time to all-
cause treatment discontinuation

<table>
<thead>
<tr>
<th>Medication (olanzapine reference category)</th>
<th>Hazard ratio</th>
<th>95% CI</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aripiprazole</td>
<td>1.06</td>
<td>0.72–1.57</td>
<td>0.756</td>
</tr>
<tr>
<td>Quetiapine</td>
<td>0.94</td>
<td>0.69–1.28</td>
<td>0.677</td>
</tr>
<tr>
<td>Risperidone</td>
<td>0.84</td>
<td>0.59–1.20</td>
<td>0.348</td>
</tr>
<tr>
<td>Age</td>
<td>1.02</td>
<td>0.99–1.04</td>
<td>0.156</td>
</tr>
<tr>
<td>DUP years</td>
<td>0.87</td>
<td>0.77–0.98</td>
<td>0.028</td>
</tr>
<tr>
<td>Gender</td>
<td>Male</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1.06</td>
<td>0.82–1.36</td>
<td>0.652</td>
</tr>
<tr>
<td>Prescriber role (acute services reference category)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community (non-EIP)</td>
<td>0.95</td>
<td>0.70–1.23</td>
<td>0.722</td>
</tr>
<tr>
<td>EIP</td>
<td>0.86</td>
<td>0.63–1.18</td>
<td>0.346</td>
</tr>
<tr>
<td>GP</td>
<td>1.22</td>
<td>0.56–2.64</td>
<td>0.613</td>
</tr>
<tr>
<td>Region</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sussex</td>
<td>1.50</td>
<td>1.05–2.15</td>
<td>0.026</td>
</tr>
</tbody>
</table>

*EIP, early intervention in psychosis; GP, general practitioner.
rates. The age, gender and DUP of those with missing data were not significantly different from the included cohort with full data available. Although our raters demonstrated good interrater reliability, the ratings on discontinuation are taken from the clinical files and therefore rely on the accuracy of these files. We were also not able to collect some information on possible confounders such as ethnicity, specific diagnosis (not just FEP), functional impairment and illness severity. We did not include a variable related to medication dose owing to the differences between medications and variation over time. All of the early intervention teams used NICE guideline interventions and low-dose medication strategies; three of the teams had a specific low-dose FEP service protocol. This may bias particularly against quetiapine due to its wide dosing flexibility and low dopamine D2 receptor affinity at lower doses. Further research in larger samples to investigate treatment groups with smaller numbers (such as aripiprazole in this study), and enable further subgroup analysis, is warranted. The documented cause of discontinuation is arguably the least reliable variable considered, with potential overlap of variables and bias by preconceived clinician and patient attitudes about the properties of a medication. This would require prospective qualitative analysis to explore more clearly, particularly in the “poor adherence” coded group. Significant adverse effects differentially associated with the medications studied, and ranked by reported frequency, clearly reflect those from existing literature however. Recruitment to earlier studies also may influence patient and clinician attitude to medication with greater recent publicity around metabolic issues with olanzapine v. other medications.

We excluded individuals prescribed long-acting injectable (depot) medication but the numbers of patients started on this as a first treatment were small (n=4) as per usual current treatment for FEP. The cohort has a low rate of individuals starting on other antipsychotics such as amisulpride or older dopamine antagonists and does not include other newer medications that have recently been licenced in the UK (asenapine and lurasidone).

Clinical implications
This naturalistic study by multivariable analysis, adjusting for confounding factors, assists in confirming the applicability of findings from existing randomised studies to the wider clinical setting. These studies build on efficacy studies to largely support a lack of difference in effectiveness (as measured by all-cause time to discontinuation) between commonly used antipsychotic medications in FEP. Most patients will discontinue their first antipsychotic medication (for a variety of reasons) in the first year of treatment however, and clinicians should expect this to be the norm rather than the exception. Strategies to improve this should be more widely adopted, such as shared decision-making and insight-orientated interventions.

This is also clearly relevant beyond first episode prescribing. Long-acting antipsychotic preparations, not explored in this study, may also have a role in enhancing effectiveness in this setting. Common adverse effects associated with discontinuing specific medications, as highlighted by this study and others, should be a focus of discussion pre-treatment when deciding with the patient which medication should be first prescribed.

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