

Original citation:

Nzinga, Jacinta, McGivern, Gerry and English, Mike (2018) Examining clinical leadership in Kenyan public hospitals through the distributed leadership lens. Health Policy and Planning, 33 (Supplement 2). ii27-ii34. doi:10.1093/heapol/czx167

Permanent WRAP URL:

<http://wrap.warwick.ac.uk/94872>

Copyright and reuse:

The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions. Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

Publisher's statement:

This is a pre-copyedited, author-produced PDF of an article accepted for publication in Health Policy and Planning following peer review. The version of record Nzinga, Jacinta, McGivern, Gerry and English, Mike (2018) Examining clinical leadership in Kenyan public hospitals through the distributed leadership lens. Health Policy and Planning, 33 (Supplement 2). ii27-ii34 is available online at <https://doi.org/10.1093/heapol/czx167>

A note on versions:

The version presented here may differ from the published version or, version of record, if you wish to cite this item you are advised to consult the publisher's version. Please see the 'permanent WRAP URL' above for details on accessing the published version and note that access may require a subscription.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk

Nzinga, J., McGivern, G. & English, M. ‘Examining clinical leadership in Kenyan public hospitals through the distributed leadership lens. *Forthcoming in Health Policy and Planning*.

Abstract

Clinical leadership is recognised as a crucial element in health system strengthening and health policy globally yet it has received relatively little attention in low and middle income countries (LMICs). Moreover, analyses of clinical leadership tend to focus on senior-level individual leaders, overlooking a wider constellation of middle-level leaders delivering health care in practice in a way affected by their health care context. Using the theoretical lens of ‘distributed leadership’, this paper examines how middle-level leadership is practised and affected by context in Kenyan county hospitals, providing insights relevant to health care in other LMICs.

The paper is based on empirical qualitative case studies of clinical departmental leadership in two Kenyan public hospitals, drawing on data gathered through ethnographic observation, interviews and focus groups. We inductively and iteratively coded, analysed and theorised our findings.

We found the distributed leadership lens useful for the purpose of analysing middle-level leadership in Kenyan hospitals, although clinical departmental leadership was understood locally in more individualised terms. Our distributed lens revealed medical and nursing leadership occurring in parallel and how only doctors in leadership roles were able to directly influence behaviour among their medical colleagues, using interpersonal skills, power and professional expertise. Finally, we found that Kenyan hospital contexts were characterized by cultures, norms and structures that constrained the way leadership was practiced. We make a theoretical contribution by demonstrating the utility of using distributed leadership as a lens for analysing leadership in LMIC health care contexts, revealing how context, power and interprofessional relationships moderate individual leaders’ ability to bring about change. Our findings, have important implications for how leadership is conceptualised and the way leadership development and training are provided in LMICs health systems.

Introduction

Leadership plays a key role in improving care quality, performance and outcomes in health systems globally (WHO, 2008, Gilson and Daire, 2011, Alliance for health policy and systems, 2016) and having doctors and nurses in leadership roles has been found to be important in driving health service improvement (Ferlie and Shortell, 2001, Ham, 2003, Fitzgerald et al., 2013, McGivern et al., 2015). However, there is relatively little empirical research on clinical leadership

in LMICs (Van Lerberghe, 2008), despite weak leadership and managerial capacities contributing to problems facing health systems in these settings (Egger and Ollier, 2007, Puoane et al., 2008, Marchal et al., 2010, Moyo et al., 2013).

Moreover, leadership in health systems improvement and strengthening is rarely discussed in a way informed either by leadership theory or an understanding of the ‘messy’ practice of leadership (Denis et al., 2010). Furthermore, leadership is usually conceptualised as a top-down and individualised phenomenon, including LMIC health systems. Yet health care delivery involves multiple actors (Denis et al., 2010), particularly powerful medical professionals (Freidson, 1988), who often make operational clinical decisions at ward level, in ways influenced more by collegial mechanisms than line management structures (Ham and Dickinson, 2008). Accordingly, researchers have shown that leadership in health care usually involves multiple leaders from different professional groups, at the top and middle-levels of organisations, whose actions are enabled and constrained by their organisational contexts (Denis et al., 2001, Currie and Lockett, 2011, Denis et al., 2012, Fulop and Mark, 2013, Ferlie et al., 2013, Nzinga et al., 2013, Daire and Gilson, 2014, Fitzgerald et al., 2013). Addressing this oversight, we use the lens of ‘distributed leadership’ (Gronn, 2002) to examine the messy day-to-day practice of middle-level leadership in Kenyan district hospitals.

District hospitals are an important part of health systems in LMICs, delivering essential health care services in resource poor settings (Hugo et al., 2010), although their functioning is not well understood (Van Lerberghe, 2008, English et al., 2004). The limited literature on district hospitals in LMICs tends to focus on performance outcomes (Puoane et al., 2008, Hugo et al., 2010) and quality improvement in a decontextualized way (Elwyn et al., 2007). Yet hospitals are complex organizations, whose functioning and performance are determined by both formal and informal rules, regulations, cultures and norms (Kuhlmann et al., 2016). We focus on day-to-day leadership of middle level leaders during routine delivery of health care in Kenyan county (formerly district) hospitals.

The structure of the paper is as follows. First, we outline theory underpinning our study and explain why distributed leadership is a useful lens for examining health care. We then describe the Kenyan county hospital context where our study was situated. We explain the methods we used to gather and analyse our qualitative data, before presenting our empirical findings and discussing their implication for health policy and practice.

Distributed and socially constructed leadership

In health care, there is a complex interrelationship between leadership, health professions, contexts and organizational performance (Ferlie and Shortell, 2001, Goodall, 2011), so leadership cannot be conceptualized as a top-down and individualized construct. We therefore need a broader conceptualization of health care leadership, which encapsulates interactions between leaders, followers and contexts (Edmonstone, 2009, Chreim et al., 2010).

Distributed leadership therefore provides a useful framework for understanding how leaders and followers co-create a shared understanding of their daily interactions (Gronn, 2002, Spillane et al., 2004) in health care. Distributed leadership is defined as a constellation in which individual members plays distinct roles and all members work together. It provides a holistic sense of leadership as a product of leaders and followers co-constructing performance in collective and group context, and provides a dynamic, non-linear frame on how people and events interact in organizations (Denis et al., 2001, Gronn, 2002). We use distributed leadership to frame the process of leadership as a co-construction of shared meaning and action to accomplish common objectives (Bolden, 2011).

Moreover, leadership includes a relational aspect involving power, relationships between actors involved and the context within which they operate. Thus, through social processes, such as building interpersonal relationships, influencing and motivating others, we shift from a perspective of ‘who is leading’ to ‘how leadership is created and accomplished’ (Uhl-Bien, 2006, Martin et al., 2009). Distributed leadership can also therefore be thought of a form of ‘relational leadership’; a process of social influence through which emergent coordination and change are constructed and produced (Uhl-Bien, 2006). Put simply, distributed leadership conceptualizes leadership as a collective practice embedded within a wider constellation of relations between leaders, followers and context (Gronn, 2002, Denis et al., 2012).

For Gronn (2002) there are two main dimensions of distributed leadership. *Concertive action* is about aligning the direction of leadership across different individuals, facilitating collaboration and sharing of leadership within work groups. *Conjoint agency* is about the nature and quality of interactions among leaders and followers; how leaders synchronize leadership acts through their individual plans, those of peers and a willingness to engage in mutual influence with one another (Gronn, 2002, Currie and Lockett, 2011). Therefore, distributed leadership can be thought of as ‘a process involving multiple agents, including those who might enact leadership and those who might enact followership depending on context (Gordon et al., 2015, Mehra et al., 2006), involving

the ‘influence-ship’ of both leaders on followers and followers on leaders. This reciprocal influence affects leadership actions whilst contingent on the context in which the interactions happen.

Context, including organizational structures, routines, socio-cultural, political and historical elements, is an important element in the conceptualisation of the dynamics between leadership and followership (Spillane et al., 2004). Context enables and constrains leadership practice and, as such, leadership can be thought of as an emergent, on-going negotiation between social actors in co-constructing meaning, trust and cohesion and better practice (Bolden, 2011).

While there has been increasing use of distributed leadership as theoretical ‘unit of analysis’ (Gronn, 2002) in analysing health care leadership particularly in HIC settings (Currie and Lockett, 2011, Fitzgerald et al., 2013, Ferlie et al., 2013), distributed leadership has not been applied in LMICs. Yet using the distributed leadership lens is critical in LMIC health system contexts, because, in the frequent absence of effective standardised processes and accountability mechanisms, its governance is affected by plural and contextually situated modes of professional organization. Thus, we use the distributed leadership lens to examine clinical leadership in Kenyan county hospitals, which are similarly embedded in wider complex healthcare contexts. By focusing on county hospitals in one LMIC, we show how distributed leadership provides a useful lens for understanding clinical leadership and, in doing so, provide lessons for others analysing leadership in other LMIC health care contexts.

The Kenyan health care context

In Kenya, county hospitals serve critical roles as the first level of referral care, while also providing support to peripheral health facilities such as health centres, dispensaries and the community. Training of physicians, clinical officers, nurses and on-going medical education are all provided by the county hospitals. County hospitals consume about 50% of all funding allocated to the Kenyan health sector (Mills, 1990, Barasa et al., 2015) and employ half of all public health care staff. Improving the way Kenyan district hospitals are led and managed could therefore have a significant impact on the country’s health system. Unfortunately, the performance and quality of Kenyan public sector hospitals is often poor (English et al., 2004, Irimu et al., 2012) due to resource and structural limitations, inadequate leadership and poor communication between senior and frontline workers (Nzinga et al., 2009, English, 2013).

County hospital heads of departments, including those clinically and non-clinically trained, form the middle level leadership of these hospitals and play a key role in making improvements in Kenya county hospitals. Our focus is on these middle level leaders running clinical departments and

supervising front-line workers (principally doctors and nurses) (Nzinga et al., 2009, Nzinga et al., 2013). All middle level leaders report to a senior leadership team, comprising a medical superintendent (a doctor) and a hospital matron (the head of nursing), supported by a health administrative officer (without clinical training) (*See Figure 1 below*), who are in charge of translating health policies into practice. Senior district hospital leaders may also have regulatory roles at county and national levels (English et al., 2004)

Fig 1: Generic organogram of county hospitals in Kenya with the circle representing the mid-level leaders of interest for this study

Clinical departments in Kenyan district hospitals (for example medicine, paediatrics, obstetrics and gynaecology, and surgery) are jointly managed by doctors and nurses (*See Figure 1 above*). Doctors heading these departments may have a higher degree in an appropriate specialty or, especially in smaller rural hospitals, a general medical qualification. Nurses ‘in charge’ of inpatient wards and outpatient departments tend to have more work experience than junior doctors, although few have higher training in a specific clinical specialty (Nzinga et al., 2013). Senior managers and frontline workers alike expect doctors running departments to implement policy, lead and motivate staff to improved service delivery, despite few such doctors having leadership or management training (Nzinga et al., 2009, English et al., 2011).

The poor performance of hospitals in Kenya and other LMICs is often attributed to poor leadership at operational level (Nzinga et al., 2009, English et al., 2011), yet such leadership is often situated in a complex healthcare context that undermines leaders’ abilities to act. For example, decentralization of governance of health services in Kenya and increasing accountability demands on clinicians taking on leadership and managerial roles (KPMG, 2013) make the enactment of leadership roles difficult. Consequently, our research question is: ‘how are leadership micro-practices at the middle level of hospitals (clinical departments) negotiated and enacted?’

Methodology

This paper is based upon qualitative case studies of two Kenyan public county (district) hospitals, focusing on eight mid-level departmental leaders (four in each hospital) running front-line clinical departments (four medical consultants (three male and one female) and four nurses ‘in charge’ of inpatient wards (all female). Between February and September 2014, the lead author spent 480 hours shadowing and observing these leaders’ routine hospital work, including during clinical ward rounds, departmental meetings, hospital management meetings, and continuous learning

(continuing professional development) sessions running clinics run (*see interview and observation guides in appendix*).

The lead author interviewed each of the clinical departmental leaders three times, asking questions about what influenced them to pursue clinical training, how they came to be appointed as heads of departments, day-to day leadership in terms of how they interpreted behaviours acts and experiences, their roles and achievements as departmental leaders. She also interviewed three senior managers, four mid-level leaders and 21 frontline workers in Hospital A and three senior managers, four mid-level leaders and 16 frontline workers in Hospital B during one-to-one interviews and focus groups. She asked questions about perceptions of leadership in the departments run by the eight departmental leaders. Thus, in total, 61 people were interviewed across the two hospitals.

We managed and coded data using NVIVO 10 Qualitative data software. We then theorised data drawing on Gioia and colleagues' (2013) inductive and Corbin and Strauss' (2014) grounded research methods. We started with open coding, looking for inductive concepts and themes (also informed by relevant literature), then axially coded these data, allowing concepts to emerge, while developing relationships and patterns among categories and themes. We then compared concepts emerging from data with leadership literature, taking an iterative approach to theorisation (Eisenhardt, 1989, Golden-Biddle and Locke, 2007) to explain the social mechanisms and processes through which leadership is enacted in the empirical sites we studied.

Results

We now describe and explain our empirical findings.

Perceptions of leadership as an individualised phenomenon

While we used a distributed leadership lens to analyse mid-level leadership, interviewees perceived leadership as individualized, top-down phenomenon, in which clinical departmental heads were expected to tell clinical staff what to do. As a result, followers demonstrated little personal agency. As a consultant paediatrician leading a department noted:

"When I left, some of my staff felt lost because I was not there to give them direction... I felt like I had not build structures to support things. I felt like I was the one man show but I said that has to change... they should not think that I should always be there for things to go on." **Paediatric consultant, Hospital A**

Most respondents also conflated leadership with being a departmental “figure head”, “spokesperson” and “role model”, as noted below:

“Our consultant is hilarious and so, so good. He knows his stuff also and... is not [just]... focused on medicine and the patient... he brings some social aspects, cultural aspect.” **Medical officer intern, obstetrics/gynaecology rotation, Hospital B**

“They expect you to be the role model in everything, even just coming on duty, putting on proper uniform, even the language. Even in the working... they expect you to show them. You teach them OK, mostly they always act like we do.” **Nurse manager, Maternity ward, Hospital A**

Heads of departments’ formal responsibilities and accountability within the departments underpinned the individualized view of leadership. As a medical consultant running a department noted:

“My role as head of the department is to make sure that everything in the pediatric department is running. Doing daily ward rounds, outpatient clinics and specialist clinics... academic mentorship to clinical officers to medical officers and interns.” **Pediatric consultant, Hospital B**

Leadership along professional hierarchies

A key feature of the context in which middle-level leadership occurred in district Kenyan hospitals was inter-professional stratification, particularly between doctors and nurses, producing parallel lines of leadership. Nurse ‘in charges’ supervised nurses in departments, whose work plans were developed separately from those of medical officers, medical and clinical officer (non-physician clinicians) interns, who were supervised by medical consultants, as described below:

“When it comes to the CO [clinical officer] interns, there’s a bit of interference from their in-charge. For example, you might have a number of CO interns in your rotation, and then you come on a random day and you find the CO in-charge has actually deployed them somewhere else to do some work, and a Head of Department, you really have no powers to contest that. The nurses, we have always worked as parallel systems, so the nurses have their own way of reporting and the Medical Officers also have their own way of reporting but we’ve never had that clash, somehow we’ve been able to accommodate each other. But that doesn’t seem to happen with the CO interns because there will be some decision from their in-charge and somehow that decision will be ... there’ll be very little that you can do to influence that decision when it’s made. So yeah, that again is quite a challenge I would say from the admin side.”

Obstetrics/gynaecology consultant, Hospital B

Relationships in clinical department also developed around professional specializations, with limited opportunities for different professional groups to meet and discuss departmental issues as a team. From observations, meetings were cadre specific and nurses and doctors rarely interacted. Even where standard operating procedures were designed to be multi-disciplinary, they were not always enacted in multi-disciplinary ways, as the following interview extract indicates:

“The collaboration between us and nurses... could be better. For example, when we hold mortality meetings, the nurses should be there but often... they are not and also we rarely see them (nurses) join ward rounds.” **Paediatric medical officer, Hospital A**

“We even have Continuous Medical Education (CME) every two weeks but we can’t attend, we have so much work, so you don’t really have time for CME’s.” **Paediatric nurse, Hospital A**

Doctors usually made departmental decisions individually, without involving their teams or nurse managers within the same department. Nurses also made decisions on ward operations independently, without involving their nursing teams or medical consultants.

Despite hospital administrators recognising problems resulting from parallel lines of leadership, it was accepted as a cultural norm and remained unaddressed, undermining the possibility of team or distributed leadership, as indicated by the interview extract below:

“Well we have work plans per departments and the nursing staff, they do their work with the nursing manager based on their profession. The doctors will do their work with their consultant in their department but the only challenge that we have had is marrying the work plan of the nurses and that of the clinicians. So that gap is there and we are still thinking of another way to address this.” **Medical Superintendent, Hospital A**

Respondents described medical dominance within the interprofessional hierarchy affecting leadership in these hospitals. As a medical head of department noted:

“As consultants, we are the top leadership of the department, so we make the decisions on everything.” **Obstetrics and Gynaecology Consultant, Hospital A**

Clinical heads of departments’ senior medical professional identity, presumed clinical knowledge and expertise appeared to provide taken-for-granted authority in leadership roles. For example, a medical officer described the consultant leading their department as:

“Someone who wasn’t just given a head of department position, that it is someone who is very knowledgeable.” **Medical Officer Intern, Obstetrics and Gynaecology, Hospital B**

Our observations suggested that even inexperienced medical doctors had authority over nurses. So, nurse managers with more technical experience struggled to exercise authority over the medical interns. A nurse noted:

“When the clinical interns come, they look down upon you. But you see, I’ve worked in paediatrics for long, so I know what the consultant expects. So, when you are trying to tell that intern, he’s like ‘who are you?’” **Paediatric Nurse Manager, Hospital B**

Nurses’ experiential knowledge was also less valued within the clinical departments and nurse leaders were expected to play supportive roles to doctors. As a consultant noted:

“We (medical doctors) are the main decision-makers in the ward... but for the supplies and resources generally... you have an efficient nurse who makes sure all of that is delivered.” **Paediatrics consultant, Hospital B**

Few nurse managers appeared empowered by their leadership role. For example, even a nurse in-charge of paediatrics, who interviewees considered charismatic, motivating and inspiring did not consider herself a leader. As she commented:

“I am someone who minds my own business and I don’t see it as a short coming and I like seeing things organized... that is just my initiative... another person without that character... will do the bare necessity.” **Nurse Manager, Hospital A**

Nurse managers often appeared approachable, empathetic and understanding towards team members, using informal interpersonal relationships to influence change, as the following interview extracts suggest:

“[Nurse manager] really tries his best to balance being an administrator, a teacher and also a friend. He tries to know what’s going on in people’s lives, so he tries to reach out and he is outgoing... he is very good with the nurses.” **Maternal and child health nurse, Hospital A**

“As a departmental head... first of all you listen to them [nurses] and understand that each one of us has got problems and you are dealing with adults... if you don’t solve their problem, then you are even creating problems for yourself.” **Maternity nurse Manager, Hospital B**

During observations of hospital management team meetings nurse managers played silent and supportive roles, unable to challenge the perceived expertise and authority of medical professional colleagues. A nurse reported:

“Our nurse manager is supportive, a team player but with the hospital administration he feels intimidated. He cannot report to the administration the needs of the department because he is afraid that

he may be pinned down there, so when he comes back to us, he will just be silent.” **Paediatric nurse, Hospital B**

Only a few clinical departmental leaders, particularly those with social skills and knowledge of the local hospital context, had the authority and credibility to actively solve problems, as a consultant explained:

“[I] solve problems rather than blaming others or shifting problems to others. Like if there is no oxygen for patients who need it, I won’t start saying that the administration is not giving them oxygen, I will look, talk to the maintenance; ‘what is your problem?’ Maintenance will tell me it is procurement. Procurement will tell me we have a debt. So, I know the whole side of things. I actually went to see what the problem is, so I think that is what has helped me.” **Paediatric consultant, Hospital A**

More commonly, however, we observed medical consultants using coercive power and intimidating junior staff to make things happen, as a medical officer describes below:

“The way she [departmental leader] talked to us! She would tell us sometimes: ‘I don’t trust your decisions; see the way you make poor decisions’ ... all those bad things. She was not encouraging, she was finding fault at your decisions, and doing it in front of the patients. She was not encouraging.” **Medical officer intern, Paediatrics rotation, Hospital B**

Clinical departmental leaders rarely recognised effort or praised their teams and were more likely to point out inadequacies and failures. This created a blame culture and poor interpersonal relationships, which subsequently became accepted as the norm. Another medical officer intern noted:

“Nobody will applaud you for the good things, the bad things will be detected.” **Medical officer intern, Paediatrics rotation, Hospital B**

Intimidation was also seen to characterize senior management:

“[Senior managers] play the intimidation game. They tell you, if you do this we will not pay you.” **Medical officer, Paediatric rotation, Hospital A**

Top-down communication was seen to be problematic too:

“As a team leader, communication downwards or upwards it is a challenge... communication from the topmost administration... is tricky”. **Nurse ‘in charge’, Paediatrics ward, Hospital B**

In sum, interprofessional hierarchies and boundaries significantly affected mid-level leadership practices, with doctors ‘naturally’ assuming leadership roles, due to their perceived credibility and expert medical knowledge, while nurse leaders played quieter supportive roles.

317

318 **How context shapes and is shaped by leadership**

319 Interestingly, we found little difference between patterns of leadership in the two hospital we
 320 studied. In both hospitals, departments usually lacked standardized ways of working, clear goals,
 321 aims, job descriptions, accountability and supervision. Without these procedures, mid-level
 322 leaders were, in effect, often unaccountable for their own and their teams' conduct.
 323 Simultaneously, inertia was deeply embedded within the hospital cultures, meaning that clinical
 324 staff simply ignored problems, as described below:

325 *"There are conflicts or disagreements in this ward... We don't bring it up. You keep quiet and it goes*
 326 *away... The victimization is really a lot in this hospital. You don't go and report because if you do it*
 327 *will come back to you."* **Medical officer, Gynaecology, Hospital A**

328 We also observed the way conflicts, poor practices, negative work climates and health worker
 329 norms were both accepted and taken-for-granted, and leaders' ignorance (or ignoring) of such
 330 issues only reinforced this. Thus, negligent practices, even those resulting in fatalities, simply
 331 went unreported, as the interview extract below describes:

332 *"You have called the anaesthetist at 2pm, the guy shows up at 6pm. You go in and remove the dead*
 333 *baby, who was alive from 2pm to 5pm, and you are removing the foetus at around 5.30-6pm. I am*
 334 *afraid of going to report this guy, because it will come back to me and they will say I am the one who*
 335 *reported him. So, you just keep quiet and maybe when the case is taken upstairs and when the matron*
 336 *looks at the file then she will summon him."* **Medical Officer, Obstetrics and Gynaecology,**
 337 **Hospital A**

338 While nurse managers were continuously present in the hospitals, clinical consultants were often
 339 absent, some spending only a few hours in the public county hospitals per week. However, the
 340 few middle-level medical leaders who were physically present in their clinical departments had
 341 made significant effort and progress in improving service delivery. For instance, one ward,
 342 which stood out in terms of cleanliness, staff punctuality and high quality, team-based patient
 343 care, was led by a consultant paediatrician who, from our observations, role-modelled good
 344 clinical practice, interpersonal relationships and behavior expected of staff. The consultant
 345 noted:

346 *"You can drive the agenda... people used to start ward rounds at 9am... continue to 1p.m visiting*
 347 *hours. But now we have been starting our rounds at 8a.m. And we have been having a feedback-like*

report in the morning. So that the person on night duty tells us what happened at night. As a head of department actually you... can bring in such changes." **Paediatrics Consultant, Hospital A**

So, while ineffective managerial procedures, inert organizational culture and poor practices were accepted as the norm, where doctors in leadership roles were motivated to do so, they could bring about improvements to health care delivery.

Discussion

Using distributed leadership as the unit of analysis (Gronn, 2002), we examined leadership in Kenyan hospital departments at micro-level, focusing on individual leaders (clinical heads of department and nurse 'in charges') situated within organizational context and social processes, involving interactions between multiple professional actors. Four key themes emerged from our analysis.

First, we found clinical departmental leadership was heavily affected by taken-for-granted individualised concepts of leadership, top-down authority and medical professional dominance, reflecting other research on leadership in Kenyan health care (Nzinga et al., 2009), other LMIC health care systems and global health care more generally (Freidson, 1988, Denis et al., 2001, Ferlie et al., 2013). Thus, leadership in such settings cannot be explained in individual terms but ought to be considered in relation to organizational structures and wider (inter)professional norms.

Second, our research shows how power is fully implicated in leadership, reflecting existing research (Smircich and Morgan, 1982, Pfeffer, 2010). Indeed, Kenyan hospital managers have been shown to be powerful actors expressing 'power over, power with, power to and power within' (VeneKlasen et al., 2002) routine hospital priority setting activities (Barasa et al., 2016). Likewise, we found that professional 'expert power' (Raven, 1992) to be a crucial component of leadership in LMIC healthcare, anchored particularly in clinicians' specialized knowledge, which was often uncontested in Kenyan hospitals. Indeed, most mid-level leaders in our study relied on their expert power to lead departments and influence colleagues and juniors. Moreover, because of their dominance within the professional hierarchy, and greater representation in hospital management meetings, doctors were able enact leadership roles in the Kenyan county hospitals in ways that could potentially influence how health care was delivered. Such professional power is so deeply embedded and taken for granted in health care, that the associated problems it also propagates appear to be accepted. Thus, professional power and politics may also undermine the

development of distributed leadership, where it requires power to be exercised at all organizational levels and by different professional cadres (Gordon et al., 2015).

Third, leader-follower relations occurred along cadre-specific lines, affected by professional power and social identities, with little multi-disciplinary interactions or conjoint agency (Gronn, 2002). Within their profession, medical consultants and nurse leaders were seen as knowledgeable experts, expected to provide coaching and mentorship to junior professional colleagues. Yet there was little inter-professional collaboration, multi-professional teamwork or diffusion of knowledge and experience across professional cadres, which distributed leadership requires. This may require leadership building trust, respect and inspiring common goals across professions (Mehra et al., 2006).

An emerging and related observation is that hospital leaders require leadership training and development to understand and address the contextual, (inter)professional and political factors affecting their ability to change and improve health care systems. Such soft skills, including understanding how to use different sources of power, engage in local politics and cultivate facilitative relationships, are vital leadership skills.

Finally, we found a general pattern of inertia in the hospitals we studied. However, mid-level leaders with intimate knowledge of their organizations and informal social networks can negotiate and influence change in ways that senior leaders cannot (Huy, 2001, Dopson and Fitzgerald, 2006). Moreover, middle level leaders spend significant amounts of time communicating information, providing a useful resource in connecting with others (Nzinga et al., 2013) and developing shared meanings (Rouleau and Balogun, 2011). However, in our study poor communication structures between senior and middle-level leaders and between mid-level leaders and their teams resulted in individualised, professionally dominated models of leadership, which often perpetuated apathy and inertia among followers. Yet, in rare cases, departmental medical leaders, who were physically present in their hospital departments, motivated improved work practices, role-modelled good professional practice and behaviours, and developed inter-personal and interprofessional team work, did make some changes.

Implications for policy and practice and future research

Our study has implications for health care policy and practice in Kenya and other LMIC contexts. Firstly, our findings highlight the critical importance of reconceptualising leadership in distributed rather than individual terms; as a collective social process situated in context and affected by (inter)professional politics. Second, leadership training accordingly needs to focus on developing conceptual, analytical and political skills to resolve the complex problems leaders face

in practice, rather than concentrating only on technical skills and competencies, as is currently the case in Kenya and other LMICs. Such training needs to be contextually rich, to help leaders diagnose organisational contexts, understand the political consequences of their actions, particularly for professional hierarchies, to develop relationships and learn to use power to bring about constructive and sustainable change.

Moreover, where effective hospital departmental leaders are spotted, they need to be nurtured and brought together with other like-minded and talented leaders (Lehmann and L.. 2013, Lehmann and Gilson, 2014). Leadership that ignores contexts, professional authority, relations and power will do little in strengthening health systems and remedying the many significant problems facing health care systems in LMICs.

Future research might attempt to explore the development and implementation of leadership training programmes providing contextually embedded software skills and test their impact on leadership and hospital performance.

Conclusion

This paper explains mid-level leadership on the front line of health services in Kenyan district hospitals from a distributed perspective. It provides contextually situated lessons for those seeking to understand and develop leadership in other LMIC health care settings, where such research remains underdeveloped. Indeed, to the best of our knowledge, our study is the one of the first using the distributed leadership lens to understand healthcare leadership in LMICs.

We argued that using a distributed leadership lens to analyse leadership in LMIC health care, rather than individual ‘leader’ oriented perspectives, is crucial because of (inter)professional power, politics and parallel leadership between nurses and doctors. Indeed, these are also likely to undermine the development of distributed modes of leadership in practice. By focusing on everyday leadership practices, we provide descriptions of complex and relational distributed leadership processes in which the exercise of power is critical to influencing change. Our findings have implications for health leadership and managerial development programmes, which tend to focus on technical skills but ignore software skills and the way power, politics and context influence leadership practices and outcomes.

References

ALLIANCE FOR HEALTH POLICY AND SYSTEMS, R. 2016. Open mindsets: Participatory Leadership for Health

- BARASA, E. W., CLEARY, S., ENGLISH, M. & MOLYNEUX, S. 2016. The influence of power and actor relations on priority setting and resource allocation practices at the hospital level in Kenya: a case study. *BMC health services research*, 16, 536.
- BARASA, E. W., MOLYNEUX, S., ENGLISH, M. & CLEARY, S. 2015. Setting healthcare priorities in hospitals: a review of empirical studies. *Health policy and planning*, 30, 386-396.
- BOLDEN, R. 2011. Distributed Leadership in Organizations: A Review of Theory & Research *International Journal of Management Reviews*, 13, 251-69.
- CHREIM, S., WILLIAMS, B. B., JANZ, L. & DASTMALCHIAN, A. 2010. Change agency in a primary health care context: The case of distributed leadership. *Health Care Management Review*, 35, 187-199.
- CORBIN, J. & STRAUSS, A. 2014. *Basics of qualitative research: Techniques and procedures for developing grounded theory*, Sage publications.
- CURRIE, G. & LOCKETT, A. 2011. Distributing Leadership in Health and Social Care: Concertive, Conjoint or Collective? *International Journal of Management Reviews*, 13, 286-300.
- DAIRE, J. & GILSON, L. 2014. Does identity shape leadership and management practice? Experiences of PHC facility managers in Cape Town, South Africa. *Health policy and planning*, 29, ii82-ii97.
- DENIS, J.-L., LAMOTHE, L. & LANGLEY, A. 2001. The Dynamics of Collective Leadership and Strategic Change in Pluralistic Organizations. *Academy of Management Journal*, 44/4, 809-837.
- DENIS, J.-L., LANGLEY, A. & ROULEAU, L. 2010. The practice of leadership in the messy world of organizations. *Leadership*, 6, 67-88.
- DENIS, J.-L., LANGLEY, A. & SERGI, V. 2012. Leadership in the plural. *The Academy of Management Annals*, 6, 211-283.
- DOPSON, S. & FITZGERALD, L. 2006. The role of the middle manager in the implementation of evidence-based health care. *Journal of Nursing Management*, 14, 43-51.
- EDMONSTONE, J. 2009. Clinical leadership: the elephant in the room. *The International journal of health planning and management*, 24, 290-305.
- EGGER, D. & OLLIER, E. 2007. Managing the health Millennium Development Goals--the challenge of management strengthening: lessons from three countries.
- EISENHARDT, K. M. 1989. Building theories from case study research. *Academy of management review*, 14, 532-550.
- ELWYN, G., BUETOW, S., HIBBARD, J. & WENSING, M. 2007. Respecting the subjective: quality measurement from the patient's perspective. *Bmj*, 335, 1021-1022.
- ENGLISH, M. 2013. Designing a theory-informed, contextually appropriate intervention strategy to improve delivery of paediatric services in Kenyan hospitals. *Implementation Science*, 8, 39.
- ENGLISH, M., ESAMAI, F., WASUNNA, A., WERE, F., OGUTU, B., WAMAE, A., SNOW, R. W. & PESHU, N. 2004. Assessment of inpatient paediatric care in first referral level hospitals in 13 districts in Kenya. *The Lancet*, 363, 1948-1953.
- ENGLISH, M., WAMAE, A., NYAMAI, R., BEVINS, B. & IRIMU, G. 2011. Implementing locally appropriate guidelines and training to improve care of serious illness in Kenyan hospitals: a story of scaling-up (and down and left and right). *Archives of disease in childhood*, 96, 285-290.
- FERLIE, E., FITZGERALD, L., MCGIVERN, G., DOPSON, S. & BENNETT, C. 2013. *Making Wicked Problems Governable? The Case of Managed Health Care Networks*, Oxford University Press.
- FERLIE, E. B. & SHORTELL, S. M. 2001. Improving the quality of health care in the United Kingdom and the United States: a framework for change. *The Milbank Quarterly*, 79, 281.

- FITZGERALD, L., FERLIE, E., MCGIVERN, G. & BUCHANAN, D. 2013. Distributed leadership patterns and service improvement: Evidence and argument from English healthcare. *The Leadership Quarterly*, 24, 227-239.
- FREIDSON, E. 1988. *Profession of medicine: a study of the sociology of applied knowledge*, University of Chicago Press.
- FULOP, L. & MARK, A. 2013. Relational leadership, decision-making and the messiness of context in healthcare. *Leadership*, 9, 254-277.
- GILSON, L. & DAIRE, J. 2011. Leadership and Governance Within the South African Health System. In: PADARATH, A. & ENGLISH, R. (eds.) *South African Health Review*. Durban: Health Systems Trust.
- GIOIA, D. A., CORLEY, K. G. & HAMILTON, A. L. 2013. Seeking qualitative rigor in inductive research notes on the Gioia methodology. *Organizational Research Methods*, 16, 15-31.
- GOLDEN-BIDDLE, K. & LOCKE, K. 2007. *Composing qualitative research*, Sage.
- GOODALL, A. H. 2011. Physician-leaders and hospital performance: is there an association? *Social science & medicine*, 73, 535-539.
- GORDON, L. J., REES, C. E., KER, J. S. & CLELAND, J. 2015. Leadership and followership in the healthcare workplace: exploring medical trainees' experiences through narrative inquiry. *BMJ open*, 5, e008898.
- GRONN, P. 2002. Distributed leadership as a unit of analysis. *The leadership quarterly*, 13, 423-451.
- HAM, C. 2003. Improving the performance of health services: the role of clinical leadership. *The Lancet*, 361, 1978-1980.
- HAM, C. & DICKINSON, H. 2008. Engaging doctors in leadership. *NHS Institute for Innovation and Improvement, Coventry*.
- HUGO, J. F., COUPER, I. D., THIGITI, J. & LOELIGER, S. 2010. Equity in health care: does family medicine have a role?: conference proceedings. *African Journal of Primary Health Care and Family Medicine*, 2, 1-3.
- HUY, Q. N. 2001. In praise of middle managers. *Harvard business review*, 79, 72-9, 160.
- IRIMU, G. W., GATHARA, D., ZUROVAC, D., KIHARA, H., MAINA, C., MWANGI, J., MBORI-NGACHA, D., TODD, J., GREENE, A. & ENGLISH, M. 2012. Performance of health workers in the management of seriously sick children at a Kenyan tertiary hospital: before and after a training intervention. *PloS one*, 7, e39964.
- KPMG 2013. Devolution of Healthcare Services in Kenya. Kenya: Nairobi;
- KUHLMANN, E., BLANK, R., BOURGEAULT, I. & WENDT, C. 2016. *The Palgrave International Handbook of Healthcare Policy and Governance*, Springer.
- LEHMANN, U. & GILSON, L. 2014. Action learning for health system governance: the reward and challenge of co-production. *Health Policy and Planning*, doi: 10.1093/heapol/czu097.
- LEHMANN, U. & L., G. 2013. Actor interfaces and practices of power in a community health worker programme: a South African study of unintended policy outcomes. *Health Policy and Planning*, 28, 358-66.
- MARCHAL, B., DEDZO, M. & KEGELS, G. 2010. A realist evaluation of the management of a well-performing regional hospital in Ghana. *BMC health services research*, 10, 24.
- MARTIN, G. P., CURRIE, G. & FINN, R. 2009. Leadership, service reform, and public-service networks: the case of cancer-genetics pilots in the English NHS. *Journal of public administration research and theory*, 19, 769-794.
- MCGIVERN, G., CURRIE, G., FERLIE, E., FITZGERALD, L. & WARING, J. 2015. Hybrid manager-professionals' identity work, the maintenance and hybridization of medical professionalism in managerial contexts. *Public Administration*, 93, 412-432.
- MEHRA, A., SMITH, B. R., DIXON, A. L. & ROBERTSON, B. 2006. Distributed leadership in teams: The network of leadership perceptions and team performance. *The Leadership Quarterly*, 17, 232-245.

- MILLS, A. 1990. The economics of hospitals in developing countries. Part I: expenditure patterns. *Health policy and planning*, 5, 107-117.
- MOYO, S., MADALE, R., OGUNMEFUN, C. & ENGLISH, R. 2013. Public health and management competency requirements for primary health care facility managers at sub-district level in the District Health System in South Africa. *Research snapshot. Durban, South Africa: Health Systems Trust*, 1Á5.
- NZINGA, J., MBAABU, L. & ENGLISH, M. 2013. Service delivery in Kenyan district hospitals—what can we learn from literature on mid-level managers? *Human resources for health*, 11, 10.
- NZINGA, J., MBINDYO, P., MBAABU, L., WARIRA, A. & ENGLISH, M. 2009. Documenting the experiences of health workers expected to implement guidelines during an intervention study in Kenyan hospitals. *Implement Sci*, 4, 44.
- PFEFFER, J. 2010 *Power: Why Some People Have It and Others Don't* New York, Harper Collins
- PUOANE, T., CUMING, K., SANDERS, D. & ASHWORTH, A. 2008. Why do some hospitals achieve better care of severely malnourished children than others? Five-year follow-up of rural hospitals in Eastern Cape, South Africa. *Health Policy and Planning*, 23, 428-437.
- RAVEN, B. H. 1992. A power/interaction model of interpersonal influence: French and Raven thirty years later. *Journal of Social Behavior & Personality*.
- ROULEAU, L. & BALOGUN, J. 2011. Middle managers, strategic sensemaking, and discursive competence. *Journal of Management Studies*, 48, 953-983.
- SMIRCICH, L. & MORGAN, G. 1982. Leadership: The management of meaning. *The Journal of applied behavioral science*, 18, 257-273.
- SPILLANE, J. P., HALVERSON, R. & DIAMOND, J. B. 2004. Towards a theory of leadership practice: A distributed perspective. *Journal of curriculum studies*, 36, 3-34.
- UHL-BIEN, M. 2006. Relational leadership theory: Exploring the social processes of leadership and organizing. *The Leadership Quarterly*, 17, 654-676.
- VAN LERBERGHE, W. 2008. *The world health report 2008: primary health care: now more than ever*, World Health Organization.
- VENEKLASEN, L., MILLER, V., BUDLENDER, D. & CLARK, C. 2002. *A new weave of power, people & politics: the action guide for advocacy and citizen participation*, World Neighbors Oklahoma City, OK.
- WHO 2008. The World Health Report. Geneva, Switzerland: WHO.