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The impact of surgery on global climate: a carbon footprinting study of operating theatres in three health systems

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Summary

Background Climate change is a major global public health priority. The delivery of health-care services generates considerable greenhouse gas emissions. Operating theatres are a resource-intensive subsector of health care, with high energy demands, consumable throughput, and waste volumes. The environmental impacts of these activities are generally accepted as necessary for the provision of quality care, but have not been examined in detail. In this study, we estimate the carbon footprint of operating theatres in hospitals in three health systems.

Methods Surgical suites at three academic quaternary-care hospitals were studied over a 1-year period in Canada (Vancouver General Hospital, VGH), the USA (University of Minnesota Medical Center, UMMC), and the UK (John Radcliffe Hospital, JRH). Greenhouse gas emissions were estimated using primary activity data and applicable emissions factors, and reported according to the Greenhouse Gas Protocol.

Findings Site greenhouse gas evaluations were done between Jan 1 and Dec 31, 2011. The surgical suites studied were found to have annual carbon footprints of 5 187·936 kg of CO₂e at JRH, 4 181·864 kg of CO₂e at UMMC, and 3 218·907 kg of CO₂e at VGH. On a per unit area basis, JRH had the lowest carbon intensity at 1702 kg CO₂e/m², compared with 1951 kg CO₂e/m² at VGH and 2284 kg CO₂e/m² at UMMC. Based on case volumes at all three sites, VGH had the lowest carbon intensity per operation at 146 kg CO₂e per case compared with 173 kg CO₂e per case at JRH and 232 kg CO₂e per case at UMMC. Anaesthetic gases and energy consumption were the largest sources of greenhouse gas emissions. Preferential use of desflurane resulted in a ten-fold difference in anaesthetic gas emissions between hospitals. Theaters were found to be three to six times more energy-intensive than the hospital as a whole, primarily due to heating, ventilation, and air conditioning requirements. Overall, the carbon footprint of surgery in the three countries studied is estimated to be 9·7 million tonnes of CO₂e per year.

Interpretation Operating theatres are an appreciable source of greenhouse gas emissions. Emissions reduction strategies including avoidance of desflurane and occupancy-based ventilation have the potential to lessen the climate impact of surgical services without compromising patient safety.

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(1650 m² at VGH, 1450 m² at UMMC, and 3049 m² at JRH) as a result of differences in theatre design. JRH was constructed with separate anaesthetic induction rooms for improved efficiency which, in combination with other structural and process differences, resulted in higher throughput compared with the other sites despite a similar number of theatres (30 000 cases annually vs 18 000 at UMMC and 22 000 at VGH). The surgical suites at VGH and UMMC were both constructed in 1996. Adult surgical services at JRH are divided between two blocks of operating theatres in different hospital wings, one built in 1979 and the other in 2006.

A comprehensive greenhouse gas inventory was performed according to the Greenhouse Gas Protocol, with slight modifications as described below. The surgical suite was defined as the sum of a hospital’s operating theatres, surrounding corridors, and sterile core, inclusive of anaesthetic and equipment rooms, but exclusive of pre-operative and post-operative holding and recovery areas, administrative offices, and medical device reprocessing departments.

The Greenhouse Gas Protocol defines three scopes of emissions for accounting and reporting. Scope 1 includes direct emissions from within the organisational boundary, scope 2 represents indirect emissions due to electricity consumption, and scope 3 includes all other indirect emissions occurring as a consequence of the organisation’s activities. Within the boundary of the surgical suite, greenhouse gas emissions are reported as follows: scope 1, anaesthetic gases; scope 2, electricity use, energy for space heating; and scope 3, surgical supply chain, waste disposal.

The Greenhouse Gas Protocol states that non-Kyoto greenhouse gases should be reported separately, but as these represent the only direct emissions from the surgical suite, they have been included in scope 1. Thermal energy for space heating could be reported as scope 1, but has been included here in scope 2 as this combustion occurs outside of the surgical suite and is conceptually best considered with other energy-related emissions. Staff travel was excluded as it was considered outside of the study boundary.

Activity data were collected for each source of operating theatre emissions and multiplied by a relevant emissions factor to determine the carbon footprint. Site greenhouse gas evaluations were done between Jan 1 and Dec 31, 2011.

Scope 1

Annual volumes of volatile anaesthetic agents (desflurane, isoflurane, and sevoflurane) used at participating hospitals were determined from pharmacy purchasing records. As volatile anaesthetics undergo minimal in-vivo metabolism, the administered volume approximates the

Research in context

Evidence before this study

The PubMed database was searched for articles published from inception until May 13, 2017, addressing the environmental impacts of surgery using the search terms “carbon footprint”, “greenhouse gas emissions”, “climate change”, “global warming”, and “life cycle assessment” in combination with “surgery”, “anaesthesia”, “operating theatre”, “operating room”, “hospital”, and “healthcare”. All article types and languages were included. We found six studies investigating the environmental impacts of individual surgical procedures or approaches (eg, laparoscopy, robot), as well as specialty-specific data in nephrology, ophthalmology, psychiatry, critical care, and primary care. The global warming potential of volatile anaesthetics is recognised, and attempts have been made to estimate their overall contribution to greenhouse gas emissions. Small surgical waste audits have reported the success of recycling programmes in diverting waste from landfill or avoiding incineration, and a single published report documents the activities of an operating theatre green committee at a US hospital. Life-cycle assessments of various medical devices and processes have been done. National-level health-care carbon footprint estimates are published for the USA and the UK.

The existing evidence on the impact of surgical activities on the environment encompasses individual products and procedures or comparisons of alternative surgical approaches. Although three studies apply aspects of the Greenhouse Gas Protocol methodology, none has undertaken a full carbon accounting study and all rely to varying degrees on low-quality activity data.

Added value of this study

This study is the first to investigate the carbon footprint of the entire surgical suite, estimating the carbon burden of the provision of surgical services as a multidisciplinary clinical specialty. The scope of this study far exceeds previous work and is designed to provide data at a level that would allow identification and implementation of emissions reduction strategies. The greenhouse gas inventory undertaken is the first comprehensive accounting of emissions in any medical specialty and is derived from granular primary activity data rather than approximations. This study is also the first to compare environmental performance in hospitals from three different countries.

Implications of all the available evidence

Surgical activities generate a substantial carbon footprint. Widespread implementation of the emissions reduction strategies identified here has the potential to dramatically reduce the carbon burden associated with the provision of surgical services. Climate change is one of the greatest public health threats today. Mitigating the climate impacts of health-care activities is a vital component of health sector leadership in responding to global climate change.
waste anaesthetic gas volume with the exception of sevoflurane for which a 5% correction was applied.7 Emissions in CO₂ equivalents (kg CO₂e) were calculated using Global Warming Potential (GWPₚₑ) values from the work of Sølbaek Andersen and colleagues,8 which are a measure of the contribution of a greenhouse gas to climate change over a 100-year time horizon.

Scope 2

Heating, ventilation, and air conditioning (HVAC) system thermal energy requirements were calculated according to the specific system employed at each site (single duct reheat system at UMMC and JRH, dual duct system at VGH). System set-points and operating parameters were obtained from building automation systems and air flow rates used to determine total volume of air circulated and conditioned within the study boundary was weighed and catalogued within the study boundary was weighed and catalogued.

Waste audits were done in which all waste generated during a representative sample of three weeks was weighed and catalogued. Waste audits were done in which all waste generated during a representative sample of three weeks was weighed and catalogued. The separation of operating theatres at JRH between different hospital wings resulted in variations in HVAC systems between theatres. For the purposes of this study, HVAC parameters from one wing were applied to estimate energy demands at both.

Lighting audits were done by compiling inventories of total lighting loads and power ratings and applying appropriate occupancy and usage patterns. Additional electricity consumption was determined by theatre submetering.

Greenhouse gas emissions due to electricity use were calculated using grid intensities (emissions produced per kWh of electricity generated) provided by local electrical utilities: 0·023 kg CO₂/kWh at VGH, 0·548 kg CO₂/kWh at UMMC, and 0·540 kg CO₂/kWh at JRH. The considerable variability in carbon intensity of electricity supply reflects the preponderance of hydroelectricity in British Columbia as opposed to coal-dominated electrical grids in Minneapolis and Oxford.

Scope 3

Waste audits were done in which all waste generated within the study boundary was weighed and catalogued according to waste segregation practices at each institution during a representative sample of three week-days and one weekend at each site. Measured waste volumes were extrapolated to annual values based on surgical case volumes during the audits relative to the rest of the year. Processing or disposal of each type of waste was investigated via personal visits to waste management facilities where energy and material inputs and outputs as well as transport distances were recorded.

Surgical waste was segregated into the following streams: municipal solid waste, domestic waste, hazardous waste, fluid waste, sharps, cytotoxic waste, black box waste (material that is both acutely toxic and infectious), recycling, and reusable textiles.

Municipal solid waste from all sites is incinerated at waste-to-energy facilities, and hazardous waste is autoclaved before landfill. At JRH a separate stream of municipal solid waste from corridors outside theatres is termed domestic waste and is landfilled without pretreatment. Sharps are autoclaved and landfilled at VGH and UMMC, and incinerated at JRH. Cytotoxic and black box waste are incinerated at specialised facilities for disposal of hazardous waste. Recycling is collected by local vendors and sold to a variety of users. Laundry at all three sites is processed by third parties. Third party single-use device reprocessing and on-site reprocessing of surgical instruments were excluded.

Carbon footprints were calculated by applying DEFRA greenhouse gas life-cycle conversion factors for waste disposal, which take into account greenhouse gas emissions generated upstream in the supply chain as well as in the downstream disposal.9 Production emissions factors were used to account for emissions involved in the manufacture of surgical consumables, based on the material composition of the waste stream. As the majority of theatre waste is composed of high-density and low-density polyethylene (plastic tubing, basins, jugs), polypropylene and PET (disposable textiles, blue wrap), and latex, the production emissions factors for average plastics were applied (3179 kg CO₂e/tonne), with a 10% modifier to reflect the small non-plastic portion. Production emissions factors for plastic (3254 kg CO₂e/tonne), steel (2708 kg CO₂e/tonne), and glass (895 kg CO₂e/tonne) were used for sharps, cytotoxic, and black box waste, as these contain predominantly syringes, needles, and glass vials. Production emissions were omitted for reusable textiles as these were laundered and reused.

Combustion emissions factors for incineration with energy recovery were applied to the disposal of municipal solid waste from all three sites, again using the emissions factor for average plastics to reflect the composition of the surgical waste stream (1179 kg CO₂e/tonne). The highest emissions factor for incineration was used for incineration of hazardous wastes (1833 kg CO₂e/tonne). For steam disinfection of hazardous waste, process data from the Stericycle facility in Minneapolis (Stericycle Inc, Lake Forrest, IL, USA) were used to calculate emissions from autoclaving, and were extrapolated to the analogous facilities at the other two sites. Emissions due to laundering...
of textiles were calculated from the K-Bro Linen facility in Burnaby (K-Bro Linen Inc, Edmonton, AB, Canada), and applied to UMMC and JRH with incorporation of local electrical grid intensities, as primary data from laundering facilities at these two sites were unavailable. Emissions factors for open-loop recycling (the process of recycling materials into other products) were used.

Role of the funding source
This work was not supported by any funding sources. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Results
Volatile anaesthetic agents resulted in similar emissions profiles at the two North American hospitals (2034277 kg CO\textsubscript{2}e/year at VGH and 2129841 kg CO\textsubscript{2}e/year at UMMC), whereas the carbon footprint of anaesthetic gases at the UK site was only 211212 kg CO\textsubscript{2}e/year (table 1) despite a considerably higher case volume at the latter (30000 cases per year vs 18000–22000 cases per year). This disparity in carbon intensity (7·0 kg CO\textsubscript{2}e per case at JRH vs 92·5 kg CO\textsubscript{2}e per case at VGH and 118·3 kg CO\textsubscript{2}e per case at UMMC) can be accounted for by differential use of desflurane. Nitrous oxide use at all sites was found to be negligible.

Total annual energy consumption was 3382 MWh at VGH, 3856 MWh at UMMC, and 10641 MWh at JRH (table 2). HVAC demands comprised 90–99% of operating theatre energy consumption at all sites. Corresponding carbon footprints were 534194 kg CO\textsubscript{2}e for VGH, 1515763 kg CO\textsubscript{2}e for UMMC, and 4344150 kg CO\textsubscript{2}e for JRH per year. VGH had the best energy performance (2·0 MWh/m\textsuperscript{2}), probably due to HVAC system set-backs for energy conservation after-hours. UMMC, despite being of similar building stock to VGH, had no titration of ventilation based on occupancy, and a higher energy intensity (2·7 MWh/m\textsuperscript{2}). JRH consumed disproportionately more energy per unit area (3·5 kWh/m\textsuperscript{2}), probably because of the older building and infrastructure at this site. The low carbon-intensity of British Columbia’s electricity supply is apparent in the considerably lower emissions at VGH compared with the other sites in all energy categories apart from heating, which is provided by natural gas.

Hospital-level energy performance data were available for two sites. Energy consumption at VGH averaged 2·38 GJ/m\textsuperscript{2} whereas the operating theatres consumed 7·15 GJ/m\textsuperscript{2}. The building energy performance of JRH was 2·14 GJ/m\textsuperscript{2} but that of the surgical suite was 7·15 GJ/m\textsuperscript{2}. JRH consumed disproportionately more energy per unit area (3·5 kWh/m\textsuperscript{2}), probably because of the older building and infrastructure at this site. The low carbon-intensity of British Columbia’s electricity supply is apparent in the considerably lower emissions at VGH compared with the other sites in all energy categories apart from heating, which is provided by natural gas.

A representative waste management map (figure 1) shows the trajectory of the surgical waste streams from VGH. Mean daily waste was 1165 kg for VGH, 681 kg for UMMC, and 792 kg for JRH. On a per case basis, JRH generated the least amount of waste at 7·62 kg per case, whereas JRH generated mainly municipal solid waste and hazardous waste. Differences in the relative proportions of municipal solid waste and hazardous waste largely reflect waste segregation practices at each institution. At JRH all surgical gowns and drapes are
considered hazardous waste, even if not heavily soiled with bodily fluids. By contrast, VGH has more stringent definitions of hazardous waste such that a much smaller proportion of theatre waste is disposed of in this stream. At VGH reusable surgical gowns are used which, in combination with liberal use of flannel blankets for patient comfort, resulted in a much larger fraction of reusable textiles compared with the other two sites. UMMC employs the Stryker Neptune fluid management system, which discharges fluid waste directly to the hospital sanitation system, thereby eliminating fluid waste from scope 3.

Using DEFRA life-cycle emissions factors to take into account emissions embedded in the production of surgical consumables as well as in their disposal, VGH was found to produce 650436 kg CO₂e/year, compared with 536260 kg CO₂e/year at UMMC and 632574 kg CO₂e/year at JRH (table 3).

Cumulative carbon footprints are shown in table 4 with the relative contribution of each scope shown in figure 2. Overall, JRH had the largest carbon footprint at 5187936 kg CO₂e/year, followed by UMMC at 4181864 kg CO₂e/year and VGH at 3218907 kg CO₂e/year. On a per unit area basis, JRH had the lowest carbon intensity at 1702 kg CO₂e/m², compared with 1951 kg CO₂e/m² at VGH and 2284 kg CO₂e/m² at UMMC. Taking into account the case volumes at all three sites, VGH had the lowest carbon intensity per operation at 146 kg CO₂e per case compared with 173 kg CO₂e per case at JRH and 232 kg CO₂e per case at UMMC. At both North American sites, anaesthetic gases were the primary source of operating theatre emissions, whereas most of the carbon footprint at JRH was attributable to energy consumption.

Discussion

The estimation of carbon footprints in health care is still in its infancy. Three studies have approximated emissions from national health-care systems using economic data, thereby providing important contextual information to compare the health sector with other global industries and to situate it within a national carbon emissions profile. Whereas national level estimates are valuable, emissions reduction strategies must be developed and implemented at a more grassroots level where their individual components can be identified and managed. Connor and colleagues were the first to examine specialty-level emissions, quantifying the emissions profile. They found that the hospital sanitation system, which discharges fluid waste directly to the hospital sanitation system, thereby eliminating fluid waste from scope 3.
to global anaesthetic gas emissions. Preferential use of alternative anaesthetic agents or strategies (eg, regional techniques, total intravenous anaesthesia) has the potential to dramatically reduce theatre greenhouse gas emissions. A lack of awareness regarding the environmental impacts of anaesthetic choices is believed to be the greatest barrier to widespread implementation of low-carbon practices.

While HVAC systems have been shown to be responsible for 52% of the energy needs of inpatient health-care facilities, we found that HVAC energy demands comprised 90–99% of overall theatre energy use, reflecting the more aggressive space conditioning requirements for theatres relative to other inpatient areas. This finding partially explains the relatively higher energy consumption of JRH, as theatre energy use is directly correlated to the size of the surgical suite. Energy conservation efforts should therefore focus on HVAC system management. Occupancy-based ventilation strategies reduce unnecessary air flow to unused space and have the potential for considerable energy savings. These measures were already in place to a limited extent at VGH, reflected in its improved energy performance compared with the other two sites. By extending the HVAC set-backs at VGH to the maximum number of theatres, reducing air flow rates to 19 of 22 theatres overnight and on weekends, leaving three theatres online for emergencies, we demonstrated a 50% reduction in HVAC energy consumption compared with baseline.

The substantial variability in greenhouse gas emissions due to energy use between sites demonstrates the importance of the carbon intensity of local electricity supply. There was a 24-fold difference in grid intensity between British Columbia, which is supplied by hydroelectricity, and Oxford and Minnesota which have coal-dominated electrical grids. For deregulated energy markets with multiple possible suppliers, the selection of a low-carbon electricity source has the potential to substantially impact emissions.

Emissions resulting from the manufacture of surgical consumables are invariably underestimated in this study as they are approximated using production emissions factors which reflect only those emissions embedded in the raw materials (eg, polypropylene) and fail to capture emissions involved in the manufacture, sterilisation, and transport of end products. A more accurate accounting of surgical supply chain emissions via economic analyses or individual product footprinting would be expected to reveal a substantially larger scope 3 footprint. To determine the relative environmental impact of one product over another, full life-cycle assessment is required, such as that done by Eckelman and colleagues demonstrating that the carbon footprint of a single-use laryngeal mask airway is 11.3 kg CO₂e versus 7.4 kg CO₂e for the reusable alternative. Given the increasing availability of single-use surgical consumables, such robust investigations are invaluable in informing purchasing decisions. In its original carbon footprinting exercise in 2009, NHS England found that scope 3 accounted for 65% of total greenhouse gas emissions, and that pharmaceuticals and medical devices were responsible for nearly half of these. The exclusion of pharmaceuticals from the current study thus represents a considerable limitation and further underscores the conservative nature of the scope 3 results. Additionally, steam sterilisation of reusable surgical instruments would need to be included in a comprehensive scope 3 inventory. McGain and colleagues found that this required 1.9 kWh/kg of mass sterilised, and that inefficiencies in the process were common.

The Lancet Commission on Climate and Health has called for the health-care community to take a leadership role in advocating for emissions reductions, and to
critically examine its own activities with respect to their effects on human and environmental health. This study is the first to estimate the considerable climate impacts of surgical services. Averaging the greenhouse gas emissions measured here on a per theatre basis gives a carbon intensity of 188 tonnes CO\(_2\)e per theatre per year. If the hospitals studied were representative of their respective regions, extrapolation of these results to the number of operating theatres within these three countries would yield a total carbon footprint of 9.7 million tonnes CO\(_2\)e/year, or the equivalent of 2 million passenger vehicles.

Addressing the environmental impacts of the operating theatre will require coordinated multidisciplinary action from the diverse members of the surgical team. Similar to the process by which enhanced recovery after surgery (ERAS) protocols have expedited and improved recovery after many operations\(^2.19\)–\(^2.21\) by assimilating and standardising best practices from anaesthesia, surgery, nursing, and other health professionals involved in perioperative care, so too optimisation of operating theatre environmental performance relies upon engagement and innovation from the many players who converge to deliver surgical services. Anaesthetists are positioned to take ownership of a large proportion of the theatre footprint by reducing emissions from volatile agents, whereas HVAC system optimisation falls within the purview of facilities managers and engineers. Surgeons, nurses, perfusionists, and others can influence environmentally preferable purchasing and minimise waste. Much like adoption of ERAS pathways, reducing the environmental impact of surgical services requires a collective drive for cultural change, this time toward a culture of sustainability and social responsibility.

Best practices in operating theatre environmental performance remain to be determined, but measuring and reporting emissions and comparing profiles as we have done here is a necessary prerequisite. The power of data to drive quality improvement has been demonstrated by the American College of Surgeons National Surgical Quality Improvement Program (ACS-NSQIP), which allows hospitals to see how they are performing relative to a multitude of comparable institutions with respect to a multitude of metrics related to quality of surgical care.\(^\text{22,23}\) ACS-NSQIP provides a platform for collaboration and sharing of best practices while leaving responsibility for action with the participating institutions. In the experience of this study, the observed variability in environmental performance led to a cross-pollination of advantageous ideas between sites. Similar to the cost savings demonstrated with avoidance of surgical complications by ACS-NSQIP, the observed variability in environmental performance relies upon engagement and innovation from the many players who converge to deliver surgical services. Anaesthetists are positioned to take ownership of a large proportion of the theatre footprint by reducing emissions from volatile agents, whereas HVAC system optimisation falls within the purview of facilities managers and engineers. Surgeons, nurses, perfusionists, and others can influence environmentally preferable purchasing and minimise waste. Much like adoption of ERAS pathways, reducing the environmental impact of surgical services requires a collective drive for cultural change, this time toward a culture of sustainability and social responsibility.

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This study is the most comprehensive greenhouse gas inventory of operating theatres to date. However, it has a number of considerable limitations, including reliance on production emissions factors to approximate upstream emissions in the surgical supply chain and omission of pharmaceuticals and steam sterilisation data. Waste volumes were gleaned from a representative sample using waste audits rather than having access to actual annual waste data for each stream. Estimation of national level emissions from operating theatres on the basis of a very limited sample entails a high degree of uncertainty, but in spite of this we feel that even a crude estimate is beneficial to help situate surgical services within the broader context of health-care carbon footprints.

We have estimated the carbon footprint of surgical suites and identified initial strategies for improved environmental performance. Although further work is needed to refine the benefits that might be realised, it is clear that both carbon and cost savings are possible and that multidisciplinary action should be undertaken to minimise the adverse effects of surgery on the environment.

Contributors
AJM was responsible for study design, data collection and analysis, and manuscript preparation. RL contributed to study design, data interpretation, and manuscript preparation. CJB contributed to data interpretation and manuscript preparation.

Declaration of interests
We declare no competing interests.

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