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NON-PARITIOUS HILBERT MODULAR FORMS

LASSINA DEMBÉLÉ, DAVID LOEFFLER, AND ARIEL PACETTI

ABSTRACT. The arithmetic of Hilbert modular forms has been extensively studied under the assumption that the forms concerned are “paritious” – all the components of the weight are congruent modulo 2. In contrast, non-paritious Hilbert modular forms have been relatively little studied, both from a theoretical and a computational standpoint.

In this article, we aim to redress the balance somewhat by studying the arithmetic of non-paritious Hilbert modular eigenforms. On the theoretical side, our starting point is a theorem of Patrikis, which associates *projective* ℓ -adic Galois representations to these forms. We show that a general conjecture of Buzzard and Gee actually predicts that a strengthening of Patrikis’ result should hold, giving Galois representations into certain groups intermediate between GL_2 and PGL_2 ; and we verify that the predicted Galois representations do indeed exist. On the computational side, we give an algorithm to compute non-paritious Hilbert modular forms using definite quaternion algebras. To our knowledge, this is the first time such a general method has been presented. We end the article with an example.

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INTRODUCTION

Background. Let G be a reductive group over a number field F . One of the key themes of the Langlands programme is that “sufficiently nice” automorphic representations of G should give rise to ℓ -adic Galois representations, for any prime ℓ . However, translating this idea into a formal statement is surprisingly difficult, and a precise formulation of such a conjecture has only recently been given by Buzzard and Gee in [BG14].

In *op.cit.*, they define a class of automorphic representations Π of G which are “ L -algebraic”; and their conjecture predicts that if Π is L -algebraic, then for every

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prime ℓ (and isomorphism $\mathbf{C} \cong \overline{\mathbf{Q}}_\ell$), there should be a continuous representation of $\text{Gal}(\overline{F}/F)$ with values in the Langlands L -group ${}^L G(\overline{\mathbf{Q}}_\ell)$, whose restrictions to the decomposition groups at good primes v are determined by the corresponding local factors Π_v of Π . (We shall recall the statement of this conjecture in more detail below.)

One natural testing ground for this conjecture is provided by Hilbert modular forms. As noted in *op.cit.*, if F is a totally real number field, and f is a Hilbert modular form for GL_2/F , then the automorphic representation Π associated to f is L -algebraic (after a suitable twist) if and only if the weight of f is “parituous” (all of its components k_σ are congruent modulo 2). It is well-known that parituous Hilbert eigenforms have associated 2-dimensional ℓ -adic Galois representations, confirming the Buzzard–Gee conjecture in this case.

On the other hand, there are also eigenforms that are non-parituous. These do not have 2-dimensional Galois representations; however, Patrikis [Pat15] showed¹ one can associate 2-dimensional *projective* ℓ -adic Galois representations to such forms. This is wholly consistent with the Buzzard–Gee conjecture: the group PGL_2 is the Langlands dual of SL_2 , and one checks that non-parituous eigenforms give rise to automorphic representations of GL_2 which are not L -algebraic, but become L -algebraic when restricted to SL_2 . This has inspired us to begin a more general study of non-parituous Hilbert modular forms, both from a theoretical and a computational viewpoint; as far as we are aware, the problem of computing non-parituous forms explicitly has not been considered before.

Goals of this article. The goals of the present article are the following.

- (1) We introduce a hierarchy of conditions on the weight $(\underline{k}, \underline{t})$ of a Hilbert modular automorphic representation Π for GL_2/F , depending on a choice of a subfield $E \subseteq F$; we call such weights “ E -parituous”. (If $E = F$, this is the usual parity condition that all the k_σ are congruent modulo 2. If $E = \mathbf{Q}$ it is no condition at all, i.e. every Π is \mathbf{Q} -parituous). We define a subgroup G^* of the restriction of scalars $G := \text{Res}_{F/E} \text{GL}_2$, containing $\text{Res}_{F/E} \text{SL}_2$; and we show that if Π is E -parituous, the restriction of Π to $G^*(\mathbf{A}_E)$ is L -algebraic after a suitable twist.
- (2) We shall demonstrate that, as predicted by the Buzzard–Gee conjecture, we may associate ℓ -adic representations of $\text{Gal}(\overline{E}/E)$ to E -parituous automorphic representations of GL_2/F , taking values in the Langlands L -group of the group G^* defined in (1). Since our group G^* always strictly contains $\text{Res}_{F/E}(\text{SL}_2)$, whose Langlands dual is $\text{Res}_{F/E}(\text{PGL}_2)$, this result refines Patrikis’ construction of projective Galois representations.
- (3) We describe algorithms for computing non-parituous Hilbert modular forms, via the Jacquet–Langlands correspondence between GL_2 and totally definite quaternion algebras.
- (4) We give an explicit example of non-parituous Hilbert modular forms computed using these algorithms, and describe the conjugacy classes of Frobenius elements in their associated Galois representations.

The article is organized as follows: in Section 1 we state Buzzard–Gee conjecture, and make a small detour through the concepts involved. Section 2 is about Hilbert modular forms: we recall their automorphic definition, and we prove that if a non-parituous Hilbert modular form is E -parituous (see Definition 2.2) then we can restrict it to an automorphic form of $G^* = G \times_{(\text{Res}_{F/E} \text{GL}_1)} \text{GL}_1$ (as predicted by

¹Patrikis’ result is actually considerably more general, applying to regular algebraic, essentially self-dual cuspidal automorphic representations of GL_n over totally real fields. However, we shall consider only the $n = 2$ case in the present paper.

Buzzard-Gee). Section 3 contains the main theorem (Theorem 3.5), namely that non-parituous Hilbert modular forms, do have Galois representations attached to them, as predicted. Section 4 relates our construction with Patrikis' one. In Section 5 we focuss on real quadratic fields, where some exceptional isomorphism allows the Galois representation to land in GO_4 . In Section 6 we show how to use quaternion groups to compute Hilbert modular forms (parituous and non-parituous ones). In particular, in Theorem 6.7 and Corollary 6.8 we prove how from automorphic forms for the quaternion group H we can construct forms in H^* . This is the key result for computational purposes. In the same section we explain how to compute the Hecke action on such forms. We end the article with one illustrative example. The code used is available at https://warwick.ac.uk/fac/sci/math/people/staff/david_loeffler/research/nonparituous/

Notation. Throughout the article, we use the following notations:

- F denotes a number field. (In §1 F can be arbitrary, but from §2 onwards we shall assume F to be totally real.)
- \mathcal{O}_F denotes the ring of integers of F , \mathcal{O}_F^\times the unit group, and $\mathcal{O}_F^{\times+}$ the subgroup of totally positive units.
- \mathbf{A}_F is the adèle ring of F .
- $\mathrm{Cl}^+(F)$ denotes the narrow class group of F .
- Γ_F denotes the Galois group $\mathrm{Gal}(\overline{F}/F)$.
- E will denote a subfield of F , and the notations \mathcal{O}_E, Γ_E etc have the same meanings as for F .

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1. L-GROUPS

In this section we'll recall from [BG14] the necessary notions to formulate their conjecture relating automorphic representations and Galois representations; and we will check the compatibility of their conjecture with restriction of scalars.

1.1. Global definitions. Let G be a connected reductive group over a number field F . The *Langlands dual* \hat{G} is the connected reductive group \hat{G} over $\overline{\mathbf{Q}}$ whose root datum is dual to that of G . The Galois group $\Gamma_F = \mathrm{Gal}(\overline{F}/F)$ acts naturally on \hat{G} , and the *Langlands L-group* ${}^L G$ is the pro-algebraic group over $\overline{\mathbf{Q}}$ defined as the semidirect product $\hat{G} \rtimes \Gamma_F$. See [BG14, §2.1] for details. If G is split over F (or is an inner form of a split group) the action of Γ_F on \hat{G} is trivial, so ${}^L G$ is a direct product.

We shall be interested in continuous homomorphisms $\rho : \Gamma_F \rightarrow {}^L G(M)$, for various fields M , satisfying the following condition: *the composite of ρ with the projection ${}^L G(M) \rightarrow \Gamma_F$ is the identity map on Γ_F* . Such a morphism is called an *admissible homomorphism*, or sometimes *L-homomorphism*. More generally, if $\Gamma' \subseteq \Gamma_F$ is a subgroup, we define a homomorphism $\Gamma' \rightarrow {}^L G(M)$ to be admissible if its projection to Γ_F is the inclusion map $\Gamma' \hookrightarrow \Gamma_F$.

Notation. If H_1 and H_2 are two reductive groups over F , then the Langlands L-group ${}^L(H_1 \times H_2)$ is the fibre product ${}^L H_1 \times_{\Gamma_F} {}^L H_2$; for $r_1 : \Gamma_F \rightarrow {}^L H_1$ and $r_2 : \Gamma_F \rightarrow {}^L H_2$ admissible homomorphisms, we write $r_1 \times r_2 : \Gamma_F \rightarrow {}^L(H_1 \times H_2)$ for their product.

1.2. Local theory. If v is a finite place of F at which G is unramified (i.e. G is quasi-split over F_v and becomes split over an unramified extension of F_v), then there is a parametrisation of unramified representations of $G(F_v)$ in terms of *Langlands–Satake parameters*. We choose an embedding $\overline{F} \hookrightarrow \overline{F}_v$, so we can identify Γ_{F_v} with a subgroup of Γ_F . Then a Langlands–Satake parameter is a $\hat{G}(\mathbf{C})$ -conjugacy class of admissible homomorphisms

$$s_v : W_{F_v} \rightarrow {}^L G(\mathbf{C})$$

whose projection to $\hat{G}(\mathbf{C}) \rtimes \text{Gal}(F_v^{\text{nr}}/F_v)$ factors through W_{F_v}/I_{F_v} , where I_{F_v} is the inertia group, and satisfies a certain semisimplicity condition. (Note that this projection is well-defined, since the action of the inertia group I_v on $\hat{G}(\mathbf{C})$ is trivial by assumption.)

If Γ_{F_v} acts trivially on \hat{G} – equivalently, if G is split over F_v – then s_v is entirely determined by the conjugacy class of the projection to $\hat{G}(\mathbf{C})$ of $s_v(\text{Frob}_v)$. This semisimple conjugacy class in $\hat{G}(\mathbf{C})$ is referred to simply as a *Satake parameter*.

As explained in [BG14, §2.2], there is a bijection between isomorphism classes of irreducible unramified representations of $G(F_v)$, and Langlands–Satake parameters.

1.3. The Buzzard–Gee conjecture. Let $\Pi = \bigotimes' \Pi_v$ be an automorphic representation of $G(\mathbf{A}_F)$. Then the local factor Π_v is unramified for almost all v , so we have a collection of Satake parameters $(s_v)_{v \notin \Sigma}$, where Σ is a finite set.

On the other hand, we also have a *Harish–Chandra parameter* for each infinite place σ of F , which is a Weyl group orbit² $\lambda_\sigma \in X_\bullet(\hat{T}) \otimes \mathbf{C}$, where \hat{T} is a maximal torus in \hat{G} .

Definition 1.1. *We say Π is L -algebraic if $\lambda_\sigma \in X_\bullet(\hat{T})$ for every infinite place σ .*

Conjecture 1.2 ([BG14, Conjectures 3.1.1 & 3.2.1]). *Suppose Π is an L -algebraic automorphic representation of $G(\mathbf{A}_F)$. Then there is a finite extension E/\mathbf{Q} such that the Satake parameters $r(\Pi_v)$ are all defined over E ; and for any prime ℓ and choice of embedding $\iota : E \hookrightarrow \overline{\mathbf{Q}}_\ell$, there exists an admissible homomorphism*

$$r_\Pi : \Gamma_F \rightarrow {}^L G(\overline{\mathbf{Q}}_\ell)$$

such that the restriction of r_Π to Γ_{F_v} is conjugate to $\iota(s_v)$ for every prime $v \notin \Sigma$ such that $v \nmid \ell$.

1.4. Weil restriction. We now check a compatibility property of the above conjecture. Let $E \subseteq F$ be number fields. Let H be a reductive group over F , and let G be the Weil restriction $\text{Res}_{F/E} H$, which is a reductive group over E . Then $G(\mathbf{A}_E)$ is canonically isomorphic to $H(\mathbf{A}_F)$, and this isomorphism sends $G(E)$ to $H(F)$; so automorphic representations of $H(\mathbf{A}_F)$ and of $G(\mathbf{A}_E)$ are the same objects. However, the Buzzard–Gee conjecture for H over F , and for G over E , are apparently very different statements. In this section we shall check that the two statements are in fact equivalent.

Proposition 1.3. *Let $E \subseteq F$ be number fields. Let H be a reductive group over F , and let G be the Weil restriction $\text{Res}_{F/E} H$, which is a reductive group over E . Then:*

- *The dual group \hat{G} is a product of $[F : E]$ copies of \hat{H} indexed by the cosets Γ_E/Γ_F ; in particular the subgroup Γ_F preserves the first factor.*

²If σ is a complex place then there is a small subtlety in that λ_σ actually depends not only on the place σ but also on a choice of isomorphism $F_\sigma \cong \mathbf{C}$; but replacing this isomorphism with its conjugate changes λ_σ by an element of $X_\bullet(\hat{T})$, so the notion of L -algebraicity is well-defined. However, in this paper we shall mostly restrict to the case of totally real F where this subtlety does not arise.

- The L -group ${}^L G$ is isomorphic to the semidirect product $\hat{G} \rtimes \Gamma_E$, with the natural action of Γ_E on \hat{G} .
- If $r : \Gamma_F \rightarrow {}^L H(\overline{\mathbf{Q}}_\ell)$ is an admissible homomorphism, there is an admissible homomorphism

$$\tilde{r} = \text{Ind}_{F/E}(r) : \Gamma_E \rightarrow {}^L G(\overline{\mathbf{Q}}_\ell)$$

(uniquely determined up to conjugacy) such that the projection of $\tilde{r}|_{\Gamma_F}$ to the first factor of \hat{G} is r .

Remark 1.4. This proposition takes a particularly simple form if H is split over F (or is an inner form of a split group). In this case the action of Γ_F on \hat{H} is trivial, so ${}^L H$ is a direct product; and an admissible homomorphism $\Gamma_F \rightarrow {}^L H(\overline{\mathbf{Q}}_\ell)$ is simply a homomorphism $\Gamma_F \rightarrow \hat{H}(\overline{\mathbf{Q}}_\ell)$. Meanwhile, $\hat{G} \cong \prod_{x \in \Gamma_E/\Gamma_F} \hat{H}$, with Γ_E acting by permuting the factors via its left action on Γ_E/Γ_F .

In this situation, if r is an L -homomorphism $\Gamma_F \rightarrow {}^L H(\overline{\mathbf{Q}}_\ell)$, and $\rho : \hat{H} \rightarrow \text{GL}_m$ is a representation of \hat{H} , then there is a natural representation $\tilde{\rho} : {}^L G \rightarrow \text{GL}_{[F:E]m}$ whose restriction to the identity component \hat{G} is given by $\rho \times \cdots \times \rho$; and the composite $\tilde{\rho} \circ \tilde{r}$ is the induced representation $\text{Ind}_{\Gamma_F}^{\Gamma_E}(\rho \circ r)$ in the usual sense. This justifies the notation “ $\text{Ind}_{F/E}(r)$ ” for this homomorphism \tilde{r} .

Proof of Proposition 1.3. The first two statements of the proposition are standard. We give an outline of the construction of the homomorphism \tilde{r} .

It is convenient to work in a slightly more general setting; let V be an arbitrary group, and $\rho : V \rightarrow H$ a homomorphism. Suppose $U \geq V$ is an overgroup with $[U : V] = d < \infty$.

Let G be the group $H^{U/V} \rtimes U$. Explicitly, an element of G is a pair (f, u) where f is a function $U/V \rightarrow H$ and $u \in U$, and the multiplication is given by $(f, u)(f', u') = (x \mapsto f(x)f'(u^{-1}x), uu')$.

We define a map $\tilde{\rho} : U \rightarrow G, u \mapsto (f_u, u)$, where $f_u : U/V \rightarrow H$ is defined as follows. Choose a set of coset representatives $U = \bigsqcup_{i=1}^d u_i V$. We define $f_u(u_i) = \rho(u_i^{-1} u u_i)$, where $k \in \{1, \dots, d\}$ is the unique index such that $u_i^{-1} u u_i \in V$. Then a routine but tedious check shows that $\tilde{\rho}$ is a group homomorphism. \square

We now consider automorphic representations of G and H . Let Π be an automorphic representation of $H(\mathbf{A}_F)$, and let $\tilde{\Pi}$ denote the same space regarded as a representation of $G(\mathbf{A}_E)$.

Proposition 1.5. *We have the following compatibilities:*

- Π is L -algebraic as a representation of $G(\mathbf{A}_E)$ if and only if $\tilde{\Pi}$ is L -algebraic as a representation of $H(\mathbf{A}_F)$ [BG14, §3.1].
- If w is a finite place of E such that F_v/E_w is unramified for every $v \mid w$, then $\tilde{\Pi}_w = \bigotimes_{v \mid w} \Pi_v$ is unramified as a representation of $G(E_w)$ if and only if each Π_v is unramified as a representation of $H(F_v)$; and in this setting, the Langlands–Satake parameter \tilde{s}_w of $\tilde{\Pi}_w$ is defined over a subfield E if and only if the same is true of each of the s_v .
- Let $r : \Gamma_F \rightarrow {}^L H(\overline{\mathbf{Q}}_\ell)$ be an admissible homomorphism, and let $\tilde{r} : \Gamma_E \rightarrow {}^L G(\overline{\mathbf{Q}}_\ell)$ be the induction of r described in Proposition 1.3. Then the restriction of \tilde{r} to W_{E_w} is \hat{G} -conjugate to $\iota(\tilde{s}_w)$ if and only if the restriction of r to W_{F_v} is \hat{H} -conjugate to $\iota(s_v)$ for all $v \mid w$.

Proof. Statements (i) and (ii) are proved in [BG14], in Section 3.1 and Section 3.2 respectively. So it remains to prove (iii), for which we need to make precise the relation between the Langlands–Satake parameters of $\tilde{\Pi}_w$ and Π_v .

Let H_v denote the base extension of H to F_v , and similarly for G_w . Then we have $G_w = \prod_{v|w} \text{Res}_{F_v/E_w} H_v$ as algebraic groups over E_w . For each v , we have a Langlands–Satake parameter $s_v : W_{F_v} \rightarrow {}^L H_v(\mathbf{C}) = \hat{H}(\mathbf{C}) \rtimes \Gamma_{F_v}$ attached to Π_v . Applying exactly the same induction process as before, we obtain an admissible homomorphism

$$\tilde{s}_v = \text{Ind}_{F_v/E_w}(s_v) : W_{E_w} \rightarrow \hat{H}(\mathbf{C})^{\Gamma_{F_v}/\Gamma_{E_w}} \rtimes \Gamma_{E_w}.$$

From the definition of the Langlands–Satake parameter, one sees that \tilde{s}_v is exactly the Langlands–Satake parameter of Π_v considered as a representation of the E_w -points of the algebraic group $\text{Res}_{F_v/E_w} H_v$ over E_w .

There is a bijection between the orbits for the action of the Frobenius σ_w on the factors of $\hat{G}(\mathbf{C})$, and the primes $v | w$; so taking the fibre product (over Γ_{E_w}) of the representations \tilde{s}_v defines an admissible homomorphism $\tilde{s}_w : W_{E_w} \rightarrow {}^L G(\mathbf{C})$. Since the Langlands–Satake parameter of a representation $\Pi \otimes \Pi'$ of a product group $U \times U'$ is the fibre product of the parameters of the factors, we see that \tilde{s}_w is exactly the Langlands–Satake parameter of $\tilde{\Pi}_w$. On the other hand, since \tilde{s}_w is obtained from $(s_v)_{v|w}$ by induction, it is clear that $\iota(\tilde{s}_w)$ is the restriction to W_{E_w} of a global homomorphism $\tilde{r} = \text{Ind}_{F/E}(r)$ if and only if $\iota(s_v)$ is the restriction of r to W_{F_v} for all $v | w$. \square

Corollary 1.6. *The Buzzard–Gee conjecture is true for an automorphic representation Π of $H(\mathbf{A}_F)$ if, and only if, it is true for the same representation regarded as a representation of $G(\mathbf{A}_E)$.* \square

2. HILBERT MODULAR FORMS

2.1. Weights. Let F be a totally real field, and let Σ_F be the set of infinite places of F . By a *weight* for F , we mean a collection $\underline{k} = (k_\sigma)_{\sigma \in \Sigma_F}$ of integers indexed by Σ_F .

Notation. For $x \in F^\times$ and \underline{k} a weight, we write $x^{\underline{k}}$ for $\prod_{\sigma} \sigma(x)^{k_\sigma} \in \mathbf{R}^\times$.

Thus weights are just the same thing as characters of the torus $\text{Res}_{F/\mathbf{Q}} \mathbf{G}_m$.

Definition 2.1. *We say k is paritious if the parity of k_σ is independent of σ .*

We also consider a slightly more general notion. For $E \subseteq F$ a subfield and \underline{k} a weight of F , we define \underline{k}_E to be the weight for E defined by $(k_E)_\tau = \sum_{\sigma|\tau} k_\sigma$ (equivalently, the restriction of \underline{k} to $\text{Res}_{E/\mathbf{Q}} \mathbf{G}_m \subset \text{Res}_{F/\mathbf{Q}} \mathbf{G}_m$).

Definition 2.2. *We shall say \underline{k} is E -paritious if \underline{k}_E is paritious as a weight for E .*

Thus being E -paritious is no condition at all if $E = \mathbf{Q}$, and becomes more restrictive as E gets larger, with the opposite extreme $E = F$ being the previous definition.

2.2. Adelic Hilbert modular forms. Let \mathfrak{H}_F be the set of elements of $F \otimes \mathbf{C}$ of totally positive imaginary part, with its natural left action of $\text{GL}_2^+(F \otimes \mathbf{R})$. Let $\underline{k} = (k_\sigma)_{\sigma \in \Sigma_F}$ be a collection of integers, and $\underline{t} = (t_\sigma)_{\sigma \in \Sigma_F}$ a collection of real numbers. We can define the weight $(\underline{k}, \underline{t})$ right action of $\text{GL}_2^+(F \otimes \mathbf{R})$ on functions $\mathfrak{H}_F \rightarrow \mathbf{C}$ by

$$(f |_{\underline{k}, \underline{t}} \gamma)(\tau) = \det(\gamma)^{\underline{k} + \underline{t} - 1} (c\tau + d)^{-\underline{k}} f(\gamma \cdot \tau).$$

Notation. We say the pair $(\underline{k}, \underline{t})$ is *reasonable* if the quantity $k_\sigma + 2t_\sigma$ is independent of σ , which is equivalent to requiring that $\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}$ acts trivially for all $x \in \mathcal{O}_F^{\times,+}$ (or just for all x in a finite-index subgroup). We denote the common value of $k_\sigma + 2t_\sigma$ by R .

We define a *Hilbert modular form of weight* $(\underline{k}, \underline{t})$ to be a function

$$f : \mathrm{GL}_2(\mathbf{A}_{F,f}) \times \mathfrak{H}_F \rightarrow \mathbf{C}$$

such that

- $f(g, -)$ is holomorphic on \mathfrak{H}_F for all $g \in \mathrm{GL}_2(\mathbf{A}_{F,f})$,
- $f(\gamma g, -) = f(g, -) |_{\underline{k}, \underline{t}} \gamma^{-1}$ for all $\gamma \in \mathrm{GL}_2^+(F)$,
- there exists an open compact subgroup U of $\mathrm{GL}_2(\mathbf{A}_{F,f})$ such that $f(gu, \tau) = f(g, \tau)$ for all $u \in U$ and $(g, \tau) \in \mathrm{GL}_2(\mathbf{A}_{F,f}) \times \mathfrak{H}_F$.

(If $F = \mathbf{Q}$ we need an additional condition of holomorphy at the cusps, which is otherwise automatic by the Kocher principle.) We write $M_{\underline{k}, \underline{t}}$ for the space of such functions, and $S_{\underline{k}, \underline{t}}$ for the subspace of cusp forms. Both spaces are clearly zero unless $(\underline{k}, \underline{t})$ is reasonable. From now on $(\underline{k}, \underline{t})$ is implicitly assumed reasonable.

Remark 2.3. We have chosen to formulate the definition in terms of $\mathrm{GL}_2(\mathbf{A}_{F,f}) \times \mathfrak{H}_F$ since it makes the link to the classical theory slightly more direct. The alternative, more analytic, approach is to work with functions on the quotient $\mathrm{GL}_2(F) \backslash \mathrm{GL}_2(\mathbf{A}_F)$. Concretely, if f is a Hilbert modular form in the above sense, then the function \tilde{f} on $\mathrm{GL}_2(\mathbf{A}_F)$ given by $\tilde{f}(g_{\mathrm{fin}}, g_{\infty}) = (f(g_{\mathrm{fin}}, -) |_{\underline{k}, \underline{t}} g_{\infty}) (1 \otimes i)$ is left $\mathrm{GL}_2(F)$ -invariant, and for each $\sigma \in \Sigma_F$, it transforms by $e^{ik_{\sigma}\theta}$ under right translation by $\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \in \mathrm{SO}_2(F_{\sigma})$. Conversely we can recover f from \tilde{f} via $f(g, x + iy) = y^{-(\underline{k} + \underline{t} - 1)} \tilde{f}(g, \begin{pmatrix} y & x \\ 0 & 1 \end{pmatrix})$.

The following properties of $M_{\underline{k}, \underline{t}}$ and $S_{\underline{k}, \underline{t}}$ are well-known:

- The spaces $M_{\underline{k}, \underline{t}}$ and $S_{\underline{k}, \underline{t}}$ are admissible smooth representations of the group $\mathrm{GL}_2(\mathbf{A}_{F,f})$, via the right-translation action.
- If $\underline{t}' = \underline{t} + h \cdot \underline{1}$ for some $h \in \mathbf{R}$, where $\underline{1}$ is the weight all of whose components are 1, then the map $f \mapsto f'$, $f'(g, \tau) = \|\det g\|^h f(g, \tau)$, defines a bijection between $M_{\underline{k}, \underline{t}}$ and $M_{\underline{k}, \underline{t}'}$, and an isomorphism of $\mathrm{GL}_2(\mathbf{A}_{F,f})$ -representations.

$$M_{\underline{k}, \underline{t}'} = M_{\underline{k}, \underline{t}} \otimes \|\det\|^h.$$

(Here $\|x\|$ is the adèle norm map, sending a uniformiser at a prime \mathfrak{q} of F to the reciprocal of the size of its residue field.)

- For any $f \in M_{\underline{k}, \underline{t}}$ there is a finite-index subgroup of $\mathbf{A}_{F,f}^{\times}$, containing $F^{\times+}$, such that for x in this subgroup, $\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix} \in Z(\mathrm{GL}_2(\mathbf{A}_{F,f}))$ acts on f by $\|x\|^{R-2}$ where R is the common value of $k_{\sigma} + 2t_{\sigma}$.
- If the t_{σ} are all in \mathbf{Z} , then $M_{\underline{k}, \underline{t}}$ and $S_{\underline{k}, \underline{t}}$ are the base-extensions to \mathbf{C} of $\mathrm{GL}_2(\mathbf{A}_{F,f})$ -representations defined over \bar{F} , the Galois closure³ of F in \mathbf{C} (see e.g. [Shi78]).

2.3. Hecke theory and Satake parameters. Let Π be an irreducible $\mathrm{GL}_2(\mathbf{A}_{F,f})$ -subrepresentation of $S_{\underline{k}, \underline{t}}$. Then we can write $\Pi = \bigotimes'_v \Pi_v$, where the product

runs over finite primes of F , and each Π_v is an irreducible smooth representation of $\mathrm{GL}_2(F_v)$. All but finitely many of the Π_v will be unramified, so we have a collection of Satake parameters s_v .

These s_v can be described in terms of the action of Hecke operators. Let $\mathcal{T}(v)$ denote the double coset of $\begin{pmatrix} 1 & 0 \\ 0 & \varpi_v \end{pmatrix}$, where $\varpi_v \in \mathbf{A}_{F,f}$ is a uniformiser at v ; and let $\mathcal{S}(v)$ denote the double coset of $\begin{pmatrix} \varpi_v & 0 \\ 0 & \varpi_v \end{pmatrix}$. If τ_v and σ_v denote the eigenvalues of these operators acting on the $\mathrm{GL}_2(\mathcal{O}_{F,v})$ -invariants of Π , then one has the following formula:

³Actually a somewhat smaller space suffices: one can take here the fixed field of the largest subgroup of $\mathrm{Gal}(\bar{F}/\mathbf{Q})$ whose permutation action on Σ_F stabilises the weight \underline{k} .

Proposition 2.4. *The Satake parameter s_v is the semisimple conjugacy class such that*

$$\mathrm{tr} s_v = \mathrm{Nm}(v)^{-1/2} \tau_v \quad \text{and} \quad \det s_v = \sigma_v.$$

We give a more explicit description of the s_v if the prime v is narrowly principal, generated by a totally positive element ϖ ; compare [BG14, §3.3] for $F = \mathbf{Q}$. Let f be the new vector of Π . Then the restriction of f to \mathfrak{H}_F has a Fourier expansion

$$f(\tau) = \sum_{\substack{\alpha \in \mathfrak{o}_F^{-1} \\ \alpha \gg 0}} c(\alpha) \exp(2\pi i \mathrm{tr}(\alpha\tau)).$$

There is a constant $t(\varpi)$, the “naive Hecke eigenvalue”, such that $c(\varpi\alpha) = t(\varpi)c(\alpha)$ if $(\varpi, \alpha\mathfrak{o}_F) = 1$. This is related to the “normalised Hecke eigenvalue” τ_v above by

$$\tau_v = \varpi^{2-k-t} t(\varpi).$$

Meanwhile, the quantity σ_v is simply $\mathrm{Nm}(v)^{2-R} \chi(\varpi)$, where χ is the finite-order character by which the diamond operators act on F .

It is shown in §3.2 of [BG14] that Π is L -algebraic if and only if $t_\sigma \in \frac{1}{2} + \mathbf{Z}$, for all $\sigma \in \Sigma_F$. Notice that, for a given k , we can find t such that (k, t) is reasonable and $t_\sigma \in \frac{1}{2} + \mathbf{Z} \forall \sigma$ if and only if k is paritious. Thus the automorphic representations of G arising from non-paritious Hilbert modular forms *cannot* be twisted to become L -algebraic.

It follows from Shimura’s algebraicity theorem quoted above that if all t_σ are in $\frac{1}{2} + \mathbf{Z}$ then the Satake parameters s_v are all defined over a finite extension of \mathbf{Q} (for all good primes v , not only those trivial in the narrow class group).

Remark 2.5. Buzzard and Gee define Π to be L -arithmetic if all the s_v lie in a common finite extension. So Shimura’s algebraicity theorem shows that if Π is L -algebraic, then it is L -arithmetic. If $F = \mathbf{Q}$, the converse holds: L -arithmetic implies L -algebraic, as shown in [BG14]. The same holds over general fields F , as we will see in the next section.

2.4. The group G^* . Now let E be a subfield of F , as before, and set $G = \mathrm{Res}_{F/E} \mathrm{GL}_2$. We are interested in subgroups of G defined by a condition on the determinant, as follows. The group GL_1 is a subgroup of $\mathrm{Res}_{F/E} \mathrm{GL}_1$ in the obvious way. We define a group G^* over E by

$$G^* = G \times_{(\mathrm{Res}_{F/E} \mathrm{GL}_1)} \mathrm{GL}_1.$$

Thus $G^*(E) = \{g \in \mathrm{GL}_2(F) : \det(g) \in E^*\}$.

Proposition 2.6 (cf. [BL84, p399]). *The L -group of G^* is the quotient of ${}^L G$ by a subgroup of $Z(\hat{G})$. More specifically, if K is the kernel of the “norm” map $Z(\hat{G}) = \prod_{\Gamma_E/\Gamma_F} \mathrm{GL}_1 \rightarrow \mathrm{GL}_1$, then K is normal in ${}^L G$, and we have*

$$\widehat{G^*} = \hat{G}/K, \quad {}^L G^* = {}^L G/K.$$

Remark 2.7. The group $\hat{G} = (\mathrm{GL}_2)^{\Gamma_E/\Gamma_F}$ has a 2^d -dimensional representation, where $d = [F : E]$, given by the tensor product of the standard 2-dimensional representations of the GL_2 factors. This representation factors through \hat{G}^* , and since it is invariant under permutation of the factors, it extends to a representation of ${}^L G^*$. We call this the *Asai representation*, as the corresponding L -series first appeared in the work of Asai [Asa77]; see also Yoshida [Yos94]. However, it is important to note that many other interesting algebraic representations of ${}^L G$ factor through ${}^L G^*$, such as the induction from ${}^L H$ of the 3-dimensional adjoint representation of ${}^L H$, where $H = \mathrm{GL}_2/F$.

The reason for introducing G^* is that it, so to speak, “makes more representations algebraic”. There is a natural quotient map $X_\bullet(\hat{T})$ to $X_\bullet(\hat{T}^*)$, where \hat{T} is the standard maximal torus of \hat{G} . If $\lambda \in X_\bullet(\hat{T})_{\mathbf{C}}$, and λ^* is its image in $X_\bullet(\hat{T}^*)_{\mathbf{C}}$, then **it can occur that λ^* is integral even if λ is not**. In fact, we have the following result:

Proposition 2.8. *Let Π be the automorphic representation of $G(\mathbf{A}_E) = \mathrm{GL}_2(\mathbf{A}_F)$ given by a Hilbert modular form over F of weight $(\underline{k}, \underline{t})$; and for τ a real place of E , let λ_τ be the Harish–Chandra parameter of Π_τ .*

Then the projection λ_τ^ lies in the integral cocharacter lattice $X_\bullet(\hat{T}^*)$ if, and only if, we have $\sum_{\sigma|\tau} (t_\sigma - \frac{1}{2}) \in \mathbf{Z}$.*

Proof. Using the basis of the Cartan subalgebra of $\mathfrak{gl}_2(\mathbf{C})$ described in [BG14, §3.3], we can identify $X_\bullet(\hat{T})$ with the abelian group

$$\{(m_\sigma, n_\sigma)_{\sigma|\tau} : m_\sigma, n_\sigma \in \mathbf{Z}, m_\sigma = n_\sigma \pmod{2}\},$$

and in terms of this basis we have

$$\lambda_\tau = \left(\pm (k_\sigma - 1), k_\sigma + 2t_\sigma - 2 \right)_{\sigma|\tau}.$$

One has a similar description of $X_\bullet(\hat{T}^*)$; it is given by pairs $((m_\sigma)_{\sigma|\tau}, n)$, with $m_\sigma, n \in \mathbf{Z}$ such that $n = \sum m_\sigma \pmod{2}$. The quotient map is given by $(m_\sigma, n_\sigma)_{\sigma|\tau} \mapsto ((m_\sigma)_{\sigma|\tau}, \sum n_\sigma)$. So one computes that $\lambda_\tau^* \in X_\bullet(\hat{T}^*)$ if and only if $\sum_{\sigma|\tau} (t_\sigma - \frac{1}{2}) \in \mathbf{Z}$, as required. \square

Proposition 2.9. *If \underline{k} is E -parititious, then we may choose the t_σ such that $(\underline{k}, \underline{t})$ is reasonable and λ_τ^* is L -algebraic for all real places τ of E . Conversely, if \underline{k} is not E -parititious then no such \underline{t} exists.*

Proof. Since $(\underline{k}, \underline{t})$ is reasonable, the quantity $k_\sigma + 2t_\sigma = R$ is independent of σ . Then $\sum_{\sigma|\tau} (t_\sigma - \frac{1}{2}) = \frac{[F:E](R-1) - \sum_{\sigma|\tau} k_\sigma}{2}$. We can chose R so that this number is an integer if and only if the parity of $\sum_{\sigma|\tau} k_\sigma$ is independent of τ . \square

2.5. Restriction of automorphic representations for G . Let Π be an irreducible $\mathrm{GL}_2(\mathbf{A}_{F,f})$ -subrepresentation of $S_{\underline{k}, \underline{t}}$. Then we may consider the restriction of Π to the subgroup $G^*(\mathbf{A}_{E,f})$. This will usually not be irreducible. We denote by Ψ the set of irreducible constituents of Π as a $G^*(\mathbf{A}_{E,f})$ -representation; this is (the finite part of) a global L -packet for G^* .

If Π is not of CM type (which we shall assume from now on), then all representations $\Pi^* \in \Psi$ are the finite parts of automorphic representations of G^* , and they all have the same multiplicity in the spectrum of G^* [BL84, §3.2]. Moreover, any two representations $\Pi_1^*, \Pi_2^* \in \Psi$ have the same Satake parameter at any prime where they are both unramified, and the same Harish–Chandra parameter at ∞ ; these parameters are simply the images of the Satake and Harish–Chandra parameters of Π under the quotient map ${}^L G(\mathbf{C}) \rightarrow {}^L G^*(\mathbf{C})$.

In particular, the Buzzard–Gee conjecture is true for one $\Pi^* \in \Psi$ if and only if it holds for all of them, with the same representation $r_{\Pi^*, \iota}$. (That is, the Buzzard–Gee conjecture is really an assertion about automorphic L -packets, not about individual automorphic representations.)

3. GALOIS REPRESENTATIONS

3.1. Setup. The following theorem, which establishes the Buzzard–Gee conjecture for automorphic representations of GL_2 arising from parititious Hilbert modular forms, is well known:

Theorem 3.1 (Blasius–Rogawski). *Let Π be an irreducible subrepresentation of $S_{k,\underline{t}}$, where $k_\sigma \geq 2$ and $t_\sigma \in \frac{1}{2} + \mathbf{Z}$ for all σ . Let ℓ be prime and let ι be an isomorphism $\mathbf{C} \rightarrow \overline{\mathbf{Q}}_\ell$. Then there exists a continuous Galois representation*

$$r_{\Pi,\iota} : \Gamma_F \rightarrow \mathrm{GL}_2(\overline{\mathbf{Q}}_\ell)$$

such that for all primes $v \nmid \ell$ at which the local factor Π_v is unramified, the representation $r_{\Pi,\iota}$ is also unramified, and the conjugacy class of $r_{\Pi,\iota}(\mathrm{Frob}_v)$ is $\iota(s_v)$.

(For concreteness we take Frob_v to be the *geometric* Frobenius at v , inducing $x \mapsto x^{1/\mathrm{Nm}(v)}$ on the residue field, although the validity of the above statement is obviously independent of the choice of geometric or arithmetic Frobenius.)

Via the restriction-of-scalars compatibility above, the conjecture is true for the same representations Π regarded as automorphic representations of $G = \mathrm{Res}_{F/E} \mathrm{GL}_2$ for any intermediate field E , giving admissible homomorphisms

$$r_{\Pi,E,\iota} : \Gamma_E \rightarrow {}^L G(\overline{\mathbf{Q}}_\ell).$$

If \underline{k} is not paritious, but is E -paritious for some subfield E (recall that this is *always* the case for $E = \mathbf{Q}$), then the above theorem says nothing. However, as we have seen above, the restriction of Π to the group G^* is L -algebraic for a suitable choice of \underline{t} , and hence the Buzzard–Gee conjecture predicts Galois representations into ${}^L G^*$. The goal of this section will be to construct these “extra” Galois representations.

3.2. Representations over CM fields.

Theorem 3.2 (Blasius–Rogawski). *Let Π be a non-CM irreducible subrepresentation of $S_{k,\underline{t}}$, where $k_\sigma \geq 2$ for all σ . Let K/\mathbf{Q} be an imaginary quadratic extension and set $M = FK$. Then there exists a Hecke character χ of M , and a continuous Galois representation*

$$r_{\Pi,\chi,\iota} : \Gamma_M \rightarrow \mathrm{GL}_2(\overline{\mathbf{Q}}_\ell),$$

with the following property: let $v \nmid \ell$ be a prime of F which splits in M/F and such that Π and χ are unramified at v . Then for each of the two primes w above v , the restriction of $r_{\Pi,\chi,\iota}$ to W_{M_w} is conjugate to $\iota(s_v \otimes \chi(w))$. Furthermore, if Π_E is not induced from a character of \mathbf{A}_M^\times , then $r_{\Pi,\chi,\iota}$ is irreducible.

Proof. The existence of $r_{\Pi,\chi,\iota}$ comes from [BR93, Theorem 2.6.1], while the irreducibility result is proved in the same way as [Mok14, Theorem 4.14, Proposition 5.9] (using the fact that Π is assumed to be non-CM, so its base-change to M is cuspidal). \square

Corollary 3.3. *The representation Π is L -arithmetic if and only if it is L -algebraic.*

Proof. As mentioned in Remark 2.5, Shimura’s algebraicity results show that L -algebraic implies L -arithmetic. For the converse, the argument given in [BG14] generalizes as follows: by Theorem 3.2 there are infinitely many principal primes v for which s_v is non-zero (look at the residual representation at a prime $\ell \neq 2$ and primes mapping to the identity have this property). If Π is L -arithmetic, by Shimura’s theorem the set $\{v^{\underline{t}} \mathrm{Nm}(v)\}$ lies in a finite extension, so $\underline{t} \in \frac{1}{2} + \mathbf{Z}$. \square

Before stating the main result, we need an auxiliary Lemma.

Lemma 3.4. *Let U, V be groups, with $Z(V)$ 2-divisible, and let $U' \subset U$ be an index 2 subgroup. Let $\psi : U' \rightarrow V$ be a morphism satisfying:*

- *it has big image, i.e. $\{v \in V : v\psi(u)v^{-1} = \psi(u) \forall u \in U'\} = Z(V)$.*
- *The homomorphism $\psi^\mu : U' \rightarrow V$ defined by $\psi^\mu(u) = \psi(\mu u \mu^{-1})$ is conjugate in V to ψ .*

Then ψ extends to a morphism $U \rightarrow V$.

Proof. Let μ be an element of $U - U'$. The second condition means that there exists $v \in V$ such that

$$v\psi(u)v^{-1} = \psi(\mu u \mu^{-1}) \quad \forall u \in U'.$$

The first condition implies that if such an extension exists, then $\psi(\mu) = vz$, for some $z \in Z(V)$. The equality $\psi(\mu^2 u \mu^{-2}) = v^2 \psi(u) v^{-2}$ together with the second condition implies that $\psi(\mu^2) = v^2 z$ for some $z \in Z(V)$. Since $Z(V)$ is 2-divisible, let $\tilde{z} \in Z(V)$ be a square root of z , and define $\psi(\mu) = v\tilde{z}$. \square

Theorem 3.5. *Let Π be a non-CM-type irreducible subrepresentation of $S_{E,\ell}$, and $E \subset F$ such that the restricted representation Π^* is L -algebraic. Let $\iota : \mathbf{C} \rightarrow \overline{\mathbf{Q}}_\ell$ an isomorphism. Then there is a Galois representation*

$$r_{\Pi,\iota}^* : \Gamma_E \rightarrow {}^L G^*(\overline{\mathbf{Q}}_\ell),$$

whose local factors at unramified places v are the $\iota(r_v^*)$.

Proof. As in Theorem 3.2, we choose an imaginary quadratic field K , and a character χ of \mathbf{A}_M^\times (where $M = FK$), such that there is a Galois representation

$$r_{\Pi,\chi,\iota} : \Gamma_M \rightarrow \mathrm{GL}_2(\overline{\mathbf{Q}}_\ell)$$

whose Satake parameters at the split primes are determined by Π and χ . Let $L = KE$. By Proposition 1.3 we can extend $r_{\Pi,\chi,\iota}$ to an admissible homomorphism

$$\tilde{r}_{\Pi,\chi,\iota} : \Gamma_L \rightarrow {}^L G(\overline{\mathbf{Q}}_\ell).$$

Let us write $r_{\Pi,\chi,\iota}^*$ for the projection of $\tilde{r}_{\Pi,\chi,\iota}$ into the quotient ${}^L G^*(\overline{\mathbf{Q}}_\ell)$.

Since Π is E -paritious, the Hecke character $\chi|_{\mathrm{GL}_1(\mathbf{A}_L)}$ is algebraic. Hence it has a Galois representation $r_{\chi,\iota} : \Gamma_E \rightarrow \mathrm{GL}_1(\overline{\mathbf{Q}}_\ell)$ attached to it. We identify $\mathrm{GL}_1(\overline{\mathbf{Q}}_\ell)$ with the centre of $\hat{G}^*(\overline{\mathbf{Q}}_\ell)$, and we consider the ‘‘tensor product’’ representation

$$r_{\Pi,K,\iota}^* := r_{\Pi,\chi,\iota}^* \otimes r_{\chi^{-1},\iota} : \Gamma_{EK} \rightarrow {}^L G(\overline{\mathbf{Q}}_\ell).$$

where by ‘‘tensor product’’ we mean the component-wise product in \hat{G} , which goes to the quotient (as it lies in the center).

Let us check that this morphism $r_{\Pi,K,\iota}^*$ is independent of the choice of the character χ . If we multiply χ by an algebraic character ψ of \mathbf{A}_M^\times , then ψ has an associated Galois representation $\Gamma_M \rightarrow \mathrm{GL}_1(\overline{\mathbf{Q}}_\ell)$, and we may induce this to a homomorphism $\Gamma_L \rightarrow (\mathrm{GL}_1)^{[M:L]} \rtimes \mathrm{Gal}(M/L)$. If we compose this homomorphism with the product map $(\mathrm{GL}_1)^{[M:L]} \rightarrow \mathrm{GL}_1$, then the action of $\mathrm{Gal}(M/L)$ becomes trivial, and one checks easily that the result is exactly the Galois representation $\Gamma_L \rightarrow \mathrm{GL}_1(\overline{\mathbf{Q}}_\ell)$ associated to $\psi|_{\mathbf{A}_L^\times}$. Hence the twists cancel out, showing that the representation $r_{\Pi,K,\iota}^*$ is independent of the choice.

Because of the irreducibility of $r_{\Pi,\chi,\iota}$, the centraliser of the image of $r_{\Pi,K,\iota}^*$ is the centre of ${}^L G^*(\overline{\mathbf{Q}}_\ell)$, which is just $\overline{\mathbf{Q}}_\ell^*$ and is thus certainly 2-divisible. So we are in a position to apply the preceding lemma.

Let τ denote a lift to Γ_E of the complex conjugation automorphism of K/\mathbf{Q} . Since F is linearly disjoint from K (and K is Galois), we can and do assume that τ acts trivially on the dual group \hat{G} . Let $(r_{\Pi,\chi,\iota}^*)^\tau$ denote the morphism given by $(r_{\Pi,K,\iota}^*)^\tau(\sigma) = r_{\Pi,K,\iota}^*(\tau\sigma\tau^{-1})$. We claim that $(r_{\Pi,K,\iota}^*)^\tau$ is conjugate to $r_{\Pi,K,\iota}^*$.

Tracing through the definitions, we find that $(r_{\Pi,K,\iota}^*)^\tau$ is obtained by induction and twisting from the homomorphism $(r_{\Pi,\chi,\iota})^\tau : \Gamma_M \rightarrow \mathrm{GL}_2(\overline{\mathbf{Q}}_\ell)$. Since the representations $(r_{\Pi,\chi,\iota})^\tau$ and $r_{\Pi,\tau(\chi),\iota}$ are both irreducible and their traces agree on the Frobenii at split primes, they are conjugate by an element of $\mathrm{GL}_2(\overline{\mathbf{Q}}_\ell)$. Since the construction of $r_{\Pi,K,\iota}^*$ is independent of the choice of τ , as we have seen, this

gives the required conjugacy between $r_{\Pi,K,\ell}^*$ and $(r_{\Pi,K,\ell}^*)^\tau$. Hence $r_{\Pi,K,\ell}^*$ extends to a representation of Γ_E , uniquely determined up to twisting by the quadratic character associated to K/\mathbf{Q} .

By construction, $r_{\Pi,K,\ell}^*$ has the desired Satake parameters at all but finitely many primes split in L/E . It only remains to prove that the quadratic twists may be chosen in a uniform way, so that the morphisms obtained by extending $r_{\Pi,K,\ell}^*$ for different choices of K coincide; this will imply that the resulting representation has the required Satake parameters at every prime (since for any given prime q , we may choose K such that q is split in K). This will be carried out in the next proposition. \square

Proposition 3.6. *Let K_i be an infinite list of imaginary quadratic fields, whose ramification set is pairwise disjoint and disjoint from the ramification set of F , and for each K_i let $r_{\Pi,K_i,\ell}^* : \Gamma_{EK_i} \rightarrow {}^L G^*(\mathbf{Q}_\ell)$ be the morphism constructed in the previous proof. Then there exists a morphism $r_{\Pi,\ell}^* : \Gamma_E \rightarrow {}^L G^*(\overline{\mathbf{Q}}_\ell)$ whose restriction to Γ_{EK_i} is isomorphic to $r_{\Pi,K_i,\ell}^*$ for every i .*

Proof. The result resembles that of [BR93, Proposition 4.3.1] and so does its proof. As pointed already each $r_{\Pi,K_i,\ell}^*$ can be extended, non-uniquely, to Γ_E ; let $\varrho_{\Pi,K_i,\ell}$ be such an extension. Note that $\varrho_{\Pi,K_1,\ell}|_{\Gamma_{FK_1K_2}} \simeq \varrho_{\Pi,K_2,\ell}|_{\Gamma_{FK_1K_2}}$ (using irreducibility, and comparing traces of Frobenii at split primes). Our ramification conditions imply that there are characters $\alpha_{1,2} : \text{Gal}(EK_1/E) \rightarrow \mathbf{C}^\times$ and $\beta_{2,1} : \text{Gal}(EK_2/E) \rightarrow \mathbf{C}^\times$ such that

$$\varrho_{\Pi,K_1,\ell} \otimes \alpha_{1,2} \simeq \varrho_{\Pi,K_2,\ell} \otimes \beta_{2,1}.$$

Fix one imaginary quadratic field K_1 and let K_n vary. The restriction of $\alpha_{1,2}$ (as a character of $\text{Gal}(\overline{\mathbf{Q}}/EK_1)$) to $\text{Gal}(EK_1K_n/K_n)$ equals that of $\alpha_{1,n}$. Then the representation $\varrho_{\Pi,K_1} \otimes \alpha_{1,2}$ satisfies that its restriction to any Γ_{K_n} is isomorphic to $\varrho_{\Pi,K_n,\ell}$, so we define

$$r_{\Pi,\ell}^* = \varrho_{\Pi,K_1,\ell} \otimes \alpha_{1,2}. \quad \square$$

This completes the proof of the Buzzard–Gee conjecture for representations of G^* arising from E -parituous Hilbert modular forms.

3.3. Realising the Asai representation geometrically. Composing the representation $r_{\Pi,\ell}^*$ constructed in the preceding subsection with the Asai representation ${}^L G^*(\overline{\mathbf{Q}}_\ell) \rightarrow \text{GL}_{2d}(\overline{\mathbf{Q}}_\ell)$, we obtain a 2^d -dimensional ℓ -adic representation of Γ_E , the *Asai Galois representation* associated to Π .

In the special case $E = \mathbf{Q}$, this representation can be realised geometrically. Attached to the group G^* is a compatible family of Shimura varieties (of varying levels), which are d -dimensional algebraic varieties defined over \mathbf{Q} . The main result of [BL84] shows that if the level is taken small enough, the Asai Galois representation of Π is realised (up to semisimplification⁴) as a direct summand of the middle-degree ℓ -adic intersection cohomology of this Shimura variety (with coefficients in some locally-constant sheaf determined by the weight $(\underline{k}, \underline{t})$). Hence the content of Theorem 3.5 is to show that this representation factors naturally through the group ${}^L G^*$.

If $\mathbf{Q} \subsetneq E \subsetneq F$ then standard conjectures predict that the Asai Galois representation should still be realisable geometrically, via Shimura varieties attached to quaternion algebras. Let us suppose that at least one of the following conditions holds:

- (i) The degree $d = [F : E]$ is even;

⁴If $E = \mathbf{Q}$ then the semisimplification can be dispensed with, since it has been shown by Nekovar [Nek18] that the ℓ -adic cohomology is semi-simple.

- (ii) The degree $[E : \mathbf{Q}]$ is odd;
- (iii) There is a finite place v of F at which the local factor Π_v is in the discrete series.

We then choose an infinite place τ of E , and a quaternion algebra B over F such that $B \otimes_{F, \sigma} \mathbf{R}$ is split for $\sigma \mid \tau$ and ramified for all other $\sigma \in \Sigma_F$. If either (i) or (ii) holds there is a unique such B which is unramified at every finite place; if neither (i) nor (ii) holds, but (iii) does, then we can take B to ramify additionally at v . Then Π admits a Jacquet–Langlands transfer to B^\times , and the restriction of this representation to the group H^* of elements of B^\times whose reduced norm is in $E^\times \subset F^\times$ is L -algebraic.

Attached to H^* , there is a Shimura variety \mathcal{X} of dimension d , whose reflex field is E . It is expected that the Asai Galois representation of Π should appear in the middle-degree ℓ -adic cohomology of \mathcal{X} , and a conditional proof of this has been given by Langlands [Lan79] modulo a conjecture describing the action of Frobenius on the special fibre.

4. RELATION TO PATRIKIS' CONSTRUCTION

In the above construction, we verified the Buzzard–Gee conjecture for the restriction of Π to the group $G^* \subseteq \text{Res}_{F/E} \text{GL}_2$. One can also restrict further, all the way to the group $G^0 = \text{Res}_{F/E} \text{SL}_2$. This case has also been treated by Patrikis, who works more generally with essentially self-dual automorphic representations of GL_n and SL_n for general n [Pat15, Corollary 5.10].

For a Hilbert modular automorphic representation Π , it follows from the $n = 2$ case of Patrikis' result that there is an admissible homomorphism $\Gamma_F \rightarrow \text{PGL}_2(\overline{\mathbf{Q}}_\ell)$, or (equivalently, via the restriction-of-scalars formalism of Corollary 1.6) an admissible homomorphism $\Gamma_E \rightarrow {}^L G_0$, with the appropriate Satake parameters. This can be seen as a consequence of Theorem 3.5 by composing with the quotient map
$${}^L G^* \rightarrow \frac{{}^L G^*}{Z(\hat{G}^*)} = {}^L G^0.$$

Remark 4.1. Patrikis' work suggests that a generalisation of Theorem 3.5 should hold for any mixed-parity, regular, essentially self-dual, cuspidal automorphic representation Π of GL_n/F . This could potentially be proved, by essentially the same method as above, if one knew that for sufficiently many CM extensions M of F , the representations $\Gamma_M \rightarrow \text{GL}_n(\overline{\mathbf{Q}}_\ell)$ associated to L -algebraic twists of the base change of Π to M were irreducible.

5. THE CASE $[F : E] = 2$

If F/E is a quadratic extension, then the L -group ${}^L G^*$ has a particularly simple description. In this case, \hat{G}^* is the quotient of $\text{GL}_2 \times \text{GL}_2$ by the subgroup of elements of the form $\left(\begin{pmatrix} z & 0 \\ 0 & z \end{pmatrix}, \begin{pmatrix} z & 0 \\ 0 & z \end{pmatrix}^{-1} \right)$.

An explicit model for the Asai representation of $\hat{G} = \text{GL}_2 \times \text{GL}_2$ is given by the action on 2×2 matrices, via $(g_1, g_2)(m) = g_1 \cdot m \cdot g_2^t$. This factors through \hat{G}^* , and is a faithful representation of \hat{G}^* . We may extend this to a representation of ${}^L G^*$, factoring through the quotient $\hat{G}^* \rtimes \text{Gal}(F/E)$, by letting the non-trivial element $\sigma \in \text{Gal}(F/E)$ act as $m \mapsto m^t$.

This representation preserves the quadratic form $q(m) = \det m$ up to scalar multiplication, with the multiplier character given by $(g_1, g_2) \mapsto \det(g_1) \det(g_2)$. Thus we may regard this representation as a homomorphism $\hat{G}^* \rtimes \text{Gal}(F/E) \rightarrow \text{GO}_4$. In fact it is an isomorphism between these groups [Ram02, §1]. The identity component GSO_4 thus corresponds to \hat{G}^* . We thus obtain the following result:

Theorem 5.1. *Let F/E be a quadratic extension of totally real fields, and Π a non-CM Hilbert modular automorphic representation of GL_2/F whose restriction to G^* is L -algebraic. Then, for every embedding $\iota : \overline{\mathbf{Q}} \hookrightarrow \overline{\mathbf{Q}}_\ell$, there exists a Galois representation*

$$r_{\Pi,\ell}^* : \Gamma_E \rightarrow \mathrm{GO}_4(\overline{\mathbf{Q}}_\ell)$$

such that for primes $w = w_1 w_2$ of E split in F , $r_{\Pi,\ell}^*(\mathrm{Frob}_w)$ is conjugate to the image of $(s_{w_1}(\Pi), s_{w_2}(\Pi))$ under the map $\mathrm{GL}_2 \times \mathrm{GL}_2 \rightarrow \mathrm{GO}_4$.

Let ν denote the orthogonal multiplier $\mathrm{GO}_4 \rightarrow \mathbf{G}_m$. Then $\nu \circ r_{\Pi,\ell}^*$ is the ℓ -adic Galois character corresponding (via ι) to the algebraic Grössencharacter $\omega|_{\mathbf{A}_E^\times}$, where $\omega : F^\times \backslash \mathbf{A}_F^\times \rightarrow \mathbf{C}^\times$ is the central character of Π . (Note that ω will not generally be algebraic as a Grössencharacter of F , but its restriction to E will be.)

The determinant of the standard 4-dimensional representation of GO_4 agrees with ν^2 on GSO_4 , but not on GO_4 ; the determinant of $r_{\Pi,\ell}^*$ is therefore given by $\omega^2|_{\mathbf{A}_E^\times} \cdot \chi_{F/E}$, where $\chi_{F/E}$ is the character associated to our quadratic extension.

Remark 5.2. For $d > 2$ we do not know of a simple description of the image of ${}^L G^*$ in GL_{2^d} .

6. COMPUTING HILBERT MODULAR FORMS AND QUATERNION GROUPS

We now explain how these non-parituous Hilbert modular forms can be computed explicitly. For computational purposes, it is better to work with a definite quaternion algebra, rather than with the Hilbert modular variety; so we need to explain how to explicitly compute examples of non-parituous automorphic forms for definite quaternion algebras over F , extending the algorithms explained in [DV13] for the parituous case.

6.1. Groups. Let B be a totally definite quaternion algebra over F , of discriminant \mathfrak{d}_B , and let \mathcal{O}_B be a maximal order in B . Then $H = \mathrm{Res}_{F/E} B^\times$ is an algebraic group over E ; it is an inner form of $G = \mathrm{Res}_{F/E} \mathrm{GL}_2$, and in particular it has the same L -group as G .

Let H^* be the fibre product of H with GL_1 over $\mathrm{Res}_{F/E} \mathrm{GL}_1$ (with respect to the reduced norm map $H \rightarrow \mathrm{Res}_{F/E} \mathrm{GL}_1$); this is an inner form of G^* . The E -parituous Hilbert modular forms will give rise to automorphic forms for H which are not algebraic, but become algebraic while restricted to H^* . These are exactly the automorphic forms we shall compute.

6.2. Automorphic forms for H and H^* . The following definition is standard:

Definition 6.1. *Let U be an open compact subgroup of $H(\mathbf{A}_{E,\mathfrak{f}}) = (B \otimes \mathbf{A}_{F,\mathfrak{f}})^\times$, and W a finite-dimensional \mathbf{C} -linear representation of $H(E) = B^\times$. The space of automorphic forms for H of weight W and level U is the space $M_W(H; U)$ of functions*

$$f : (B \otimes \mathbf{A}_{F,\mathfrak{f}})^\times \rightarrow W$$

satisfying $f(\gamma gu) = \gamma \cdot f(g)$ for all $\gamma \in B^\times$ and $u \in U$.

As is well known, $B^\times \backslash (B \otimes \mathbf{A}_{F,\mathfrak{f}})^\times / U$ is finite. If C_U denotes a set of representatives for this set, and for $x \in C_U$ we write $\Gamma_x = B^\times \cap xUx^{-1}$, then the map $f \mapsto (f(x))_{x \in C_U}$ gives an isomorphism

$$(1) \quad M_W(H; U) \cong \bigoplus_{x \in C_U} W^{\Gamma_x}.$$

In particular, $M_W(H; U)$ is finite-dimensional.

Similarly, if U^* is an open compact subgroup of $H^*(\mathbf{A}_{F,f})$, and W a representation of $H^*(E)$, we can define a space $M_W(H^*; U^*)$ of automorphic forms for H^* of weight W and level U^* .

6.3. Pullback from H to H^* . If U is an open compact subgroup of $H(\mathbf{A}_{E,f})$, and U^* its intersection with H^* , then the inclusion $H^*(\mathbf{A}_{E,f}) \hookrightarrow H(\mathbf{A}_{E,f})$ gives a map

$$(2) \quad \psi : H^*(E) \backslash H^*(\mathbf{A}_{E,f}) / U^* \rightarrow H(E) \backslash H(\mathbf{A}_{E,f}) / U.$$

Definition 6.2. *The map ψ induces a pullback map $\psi^* : M_W(H; U) \rightarrow M_W(H^*; U^*)$ given on $f \in M_W(H; U)$ by*

$$\psi^*(f)(x) := f(\psi(x)).$$

We shall now analyse this map more closely, under the following hypothesis: *the image of U under the reduced norm map $\text{nrd} : H(\mathbf{A}_{E,f}) \rightarrow \mathbf{A}_{F,f}^\times$ is the maximal compact subgroup $\widehat{\mathcal{O}}_F^\times$.* For instance, this is true if $U = \widehat{\mathcal{O}}_B^\times$, or if U is one of the subgroups $U_1(\mathfrak{N})$ or $U_0(\mathfrak{N})$ to be introduced below. In this case, all three maps

$$(3) \quad H(\mathbf{A}_{E,f}) \rightarrow \mathbf{A}_{E,f}^\times, \quad U \rightarrow \widehat{\mathcal{O}}_F^\times, \quad H(E) \rightarrow F^{\times+}$$

induced by the reduced norm are surjective. We thus obtain a surjection from $H(E) \backslash H(\mathbf{A}_{E,f}) / U$ to $F^{\times+} \backslash \mathbf{A}_{F,f}^\times / \widehat{\mathcal{O}}_F^\times$, which is the narrow class group $\text{Cl}^+(F)$; and this fits into a commutative diagram

$$\begin{array}{ccc} H^*(E) \backslash H^*(\mathbf{A}_{E,f}) / U^* & \xrightarrow{\psi} & H(E) \backslash H(\mathbf{A}_{E,f}) / U \\ \downarrow \text{nrd} & & \downarrow \text{nrd} \\ \text{Cl}^+(E) & \longrightarrow & \text{Cl}^+(F) \end{array}$$

where the vertical arrows are natural surjections.

Lemma 6.3. *The image of ψ consists of those elements of $H(E) \backslash H(\mathbf{A}_{E,f}) / U$ whose reduced norm lies in the image of $\text{Cl}^+(E)$ in $\text{Cl}^+(F)$.*

Proof. It is clear from the commutativity of the diagram that the image of ψ cannot be any larger than this. Conversely, let $x \in H(\mathbf{A}_{E,f})$ be such that the class of $\text{nrd}(x)$ is in the image of $\text{Cl}^+(E)$. Since the maps (3) are surjective, there exist $\gamma \in H(E)$ and $u \in U$ such that $\text{nrd}(\gamma xu) \in \mathbf{A}_{E,f}^\times$. That is, $\gamma xu \in H^*(\mathbf{A}_{E,f})$, and γxu lies in the same double coset as x . \square

We now study the fibres of ψ . We will need the following definition:

Definition 6.4. *The capitulation group is the group*

$$K_{F/E} := \frac{F^{\times+} \cap \left[\widehat{\mathcal{O}}_F^\times \cdot \mathbf{A}_{E,f}^\times \right]}{E^{\times+}}.$$

Clearly, if $a \in F^{\times+}$ represents a class in the capitulation group, then the ideal $a\mathcal{O}_F$ is the base-extension to \mathcal{O}_F of an ideal of \mathcal{O}_E , whose narrow ideal class is independent of the representative a and is in the kernel of the natural map $\text{Cl}^+(E) \rightarrow \text{Cl}^+(F)$ (the *capitulation kernel*). This gives an exact sequence

$$0 \rightarrow \frac{\mathcal{O}_F^{\times+}}{\mathcal{O}_E^{\times+}} \rightarrow K_{F/E} \rightarrow \text{Cl}^+(E) \rightarrow \text{Cl}^+(F).$$

Definition 6.5. *We define an action of $K_{F/E}$ on $H^*(E) \backslash H^*(\mathbf{A}_{E,f}) / U^*$ as follows. Given $a \in F^{\times+}$ representing a class in $K_{F/E}$, there exists $\gamma \in H(E)$ such that*

$\text{nr}d(\gamma) = a$, and $u \in U$ such that $a \text{nr}d(u) \in \mathbf{A}_{E,f}^\times \subset \mathbf{A}_{F,f}^\times$. Then we define the action by

$$a \cdot [x] = [\gamma x u],$$

which clearly is independent of the choice of γ and u , and preserves the fibres of ψ .

Remark 6.6. If $a \in (\mathcal{O}_F^\times)^2$ then the action is trivial, since for such a we may choose γ to be in $Z(B) \cap U$ and $u = \gamma^{-1}$. Thus the action of $K_{F/E}$ factors through the quotient of $K_{F/E}$ by the image of $(\mathcal{O}_F^\times)^2$, which is a finite group.

For $x \in H(\mathbf{A}_{E,f})$, let Γ_x denote the group $B^\times \cap x U x^{-1}$, as above. Let $\mathcal{O}_x = \{\text{nr}d(\nu) : \nu \in \Gamma_x\} \subset \mathcal{O}_F^{\times+}$. As $(\mathcal{O}_F^\times)^2 \subset \mathcal{O}_x$, the quotient $\mathcal{O}_F^{\times+}/\mathcal{O}_x$ is finite.

Theorem 6.7. *Let $x \in H^*(\mathbf{A}_{E,f})$. Then $K_{F/E}$ acts transitively on $\psi^{-1}(\psi(x))$, and the stabiliser of x is \mathcal{O}_x ; i.e. the fiber at $\psi(x)$ is an homogeneous space for $K_{F/E}/\mathcal{O}_x$.*

Proof. Let $x, y \in H^*(\mathbf{A}_{E,f})$ be such that $\psi([x]) = \psi([y])$. Then there exists $\gamma \in H(E)$ and $u \in U$ such that $\gamma x u = y$, so $\text{nr}d(\gamma) \in K_{F/E}$ and $[y] = \text{nr}d(\gamma) \cdot [x]$, proving that the action is transitive.

Clearly the quotient $K_{F/E}/\mathcal{O}_F^{\times+}$ permutes different fibers, so the stabilizer is contained in $\mathcal{O}_F^{\times+}/\mathcal{O}_E^{\times+}$. Let $f \in \mathcal{O}_F^{\times+}$, choose γ and u depending on f as above, and suppose that there exists $\tilde{\gamma} \in H^*(E)$ and $\tilde{u} \in U^*$ such that $\gamma x u = \tilde{\gamma} x \tilde{u}$. Taking norms, $\text{nr}d \tilde{\gamma} \in \mathcal{O}_F^{\times+} \cap E^\times = \mathcal{O}_E^{\times+}$. The equality

$$x(\tilde{u} u^{-1}) x^{-1} = \tilde{\gamma}^{-1} \gamma,$$

implies that the element on the right belongs to Γ_x and has norm equal to $\text{nr}d(\gamma)$, up to $\mathcal{O}_E^{\times+}$. If there is no such element, the orbits cannot be equivalent, while if such an element ξ exists, $\tilde{\gamma} = \gamma \xi^{-1} \in H^*(E)$ and $\tilde{u} = x^{-1} \xi x u \in U^*$ gives the required equivalence. \square

Corollary 6.8. *There exist an algorithm to compute the space $M_W(H^*; U^*)$.*

Proof. The action of $K_{E/F}$ on the above double quotients translates readily into an action on the space $M_W(H^*; U^*)$. For $a \in F^{\times+}$ representing a class in $K_{E/F}$, and γ, u as before, and $f \in M_W(H^*; U^*)$, we define

$$(a \cdot f)(x) = \gamma^{-1} f(\gamma x u).$$

From Theorem 6.7, we see that the image of the pullback map ψ^* consists of exactly those forms in $M_W(H^*; U^*)$ which are invariant under the action of $K_{F/E}$. Therefore, provided we have determined the image of $\text{Cl}^+(E)$ inside $\text{Cl}^+(F)$ and the capitulation group $K_{F/E}$, the algorithms described in [DV13] can be readily adapted to work with $\psi^*(M_W(H; U))$. \square

6.4. Weights. We now define the specific modules W in which we are interested.

Definition 6.9. *For $(\underline{k}, \underline{t})$ a weight, with all $k_\sigma \geq 2$, we define the weight module of weight $(\underline{k}, \underline{t})$ to be the \mathbf{C} -linear representation $W(\underline{k}, \underline{t})$ of B^\times given by*

$$W(\underline{k}, \underline{t}) = \bigotimes_{\sigma \in \Sigma_F} \left(\text{Sym}^{k_\sigma - 2}(V_\sigma) \otimes (\sigma \circ \text{nr}d)^{2 - k_\sigma - t_\sigma} \right).$$

(The appearance of $\text{nr}d^{2 - k_\sigma - t_\sigma}$ is needed in order for our parametrisation of the weights to be consistent with automorphic forms for GL_2 via the Jacquet–Langlands correspondence.) Here the action of B^\times on the first factor is given by choosing splittings $B \otimes_{F, \sigma} \mathbf{C} \cong M_{2 \times 2}(\mathbf{C})$, for each $\sigma \in \Sigma_F$. This representation is, of course, not algebraic unless the t_σ are all in \mathbf{Z} .

Notation. We write $M_{\underline{k}, \underline{t}}(H; U)$ for $M_W(\underline{k}, \underline{t})(H; U)$ and similarly for H^* .

The restriction map ψ^* is clearly compatible with taking direct limits as U shrinks. So we have a well defined map

$$\psi^* : M_{\underline{k}, \underline{t}}(H) \rightarrow M_{\underline{k}, \underline{t}}(H^*),$$

where $M_{\underline{k}, \underline{t}}(H) := \varinjlim_U M_{\underline{k}, \underline{t}}(H; U)$ and likewise for H^* .

We now recall the precise statement of the Jacquet–Langlands correspondence. Let $S_{\underline{k}, \underline{t}}(H) = M_{\underline{k}, \underline{t}}(H)$ if $\underline{k} \neq (2, \dots, 2)$, and if $\underline{k} = (2, \dots, 2)$ let it be the quotient of $M_{\underline{k}, \underline{t}}(H)$ by its unique one-dimensional subrepresentation.

Theorem 6.10 (Jacquet–Langlands). *There is a bijection between the $H(\mathbf{A}_{E, \mathfrak{f}})$ -subrepresentations of $S_{\underline{k}, \underline{t}}(H)$, and the $\mathrm{GL}_2(\mathbf{A}_{F, \mathfrak{f}})$ -subrepresentations of the space $S_{\underline{k}, \underline{t}}$ of holomorphic Hilbert modular forms whose local factors at the primes dividing \mathfrak{d}_B are discrete series; and this bijection preserves Satake parameters at the unramified primes.*

Let Π_{H^*} be an automorphic representation of H^* of weight $(\underline{k}, \underline{t})$ which arises from $\psi^*(S_{\underline{k}, \underline{t}}(H))$. Then Π_{H^*} is a constituent of some automorphic representation Π_H of H , which is the Jacquet–Langlands correspondent of an automorphic representation Π_G of G arising in $S_{\underline{k}, \underline{t}}$. If Π_{G^*} is any G^* -constituent of Π_G , then the Satake parameters of Π_{G^*} at unramified primes are the same as those of Π_{H^*} ; and we can compute these using the action of Hecke operators on $M_{\underline{k}, \underline{t}}(H^*)$. This gives an explicit approach to computing with automorphic representations arising from (possibly non-paritious) Hilbert modular forms.

6.5. Induction and Shapiro’s lemma. We shall also need to consider some more general modules incorporating some finite-order character. Let \mathfrak{N} be an ideal of \mathcal{O}_F coprime to \mathfrak{d}_B . For each $\mathfrak{q} \mid \mathfrak{N}$ we fix an isomorphism

$$\mathcal{O}_{B, \mathfrak{q}}^\times = (\mathcal{O}_B \otimes_{\mathcal{O}_F} \mathcal{O}_{F, \mathfrak{q}})^\times \cong \mathrm{GL}_2(\mathcal{O}_{F, \mathfrak{q}}),$$

so that we can define the subgroups $U_0(\mathfrak{N}) = \{u \in \widehat{\mathcal{O}}_B^\times : u = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \bmod \mathfrak{N}\}$ and $U_1(\mathfrak{N}) = \{u \in \widehat{\mathcal{O}}_B^\times : u = \begin{pmatrix} * & * \\ 0 & 1 \end{pmatrix} \bmod \mathfrak{N}\}$. Clearly $U_1(\mathfrak{N}) \trianglelefteq U_0(\mathfrak{N})$, and the quotient is isomorphic to $(\mathcal{O}_F/\mathfrak{N})^\times$.

Definition 6.11. *Let ε be a character of $(\mathcal{O}_F/\mathfrak{N})^\times$. The weight module for $(\mathfrak{N}, \underline{k}, \underline{t}, \varepsilon)$ is the \mathbf{C} -linear representation of $B^\times \cap \prod_{\mathfrak{q} \mid \mathfrak{N}} \widehat{\mathcal{O}}_{B, \mathfrak{q}}^\times$ given by*

$$V(\mathfrak{N}, \underline{k}, \underline{t}, \varepsilon) := W(\underline{k}, \underline{t}) \otimes \mathbf{C}[\mathbf{P}^1(\mathcal{O}_F/\mathfrak{N})],$$

where the action on $\mathbf{C}[\mathbf{P}^1(\mathcal{O}_F/\mathfrak{N})] = \mathbf{C}[\widehat{\mathcal{O}}_B^\times/U_0(\mathfrak{N})]$ is given by induction from the character $\varepsilon : U_0(\mathfrak{N})/U_1(\mathfrak{N}) \rightarrow \mathbf{C}^\times$.

The module $V(\mathfrak{N}, \underline{k}, \underline{t}, \varepsilon)$ is not a representation of B^\times , but only of the subgroup consisting of elements that are units locally at the primes dividing \mathfrak{N} . However, by weak approximation, an automorphic form for H or H^* (of any level) is uniquely determined by its values on elements of $H(\mathbf{A}_{E, \mathfrak{f}})$ or $H^*(\mathbf{A}_{E, \mathfrak{f}})$ that are units at \mathfrak{N} . Thus we may make the following definition:

Definition 6.12. *We define the space of quaternionic Hilbert modular forms of weight $(\underline{k}, \underline{t})$, level \mathfrak{N} and character ε by*

$$M_{\underline{k}, \underline{t}}(\mathfrak{N}, \varepsilon) := M_{V(\underline{k}, \underline{t}, \mathfrak{N}, \varepsilon)}(H, \widehat{\mathcal{O}}_B^\times).$$

We define similarly a space $M_{\underline{k}, \underline{t}}^*(\mathfrak{N}, \varepsilon)$ of automorphic forms on H^* .

From Shapiro’s lemma, one sees readily that there is an isomorphism between $M_{\underline{k}, \underline{t}}(\mathfrak{N}, \varepsilon)$ and the subspace of $M_{W(\underline{k}, \underline{t})}(H; U_1(\mathfrak{N}))$ where the quotient $U_0(\mathfrak{N})/U_1(\mathfrak{N})$ acts via the character ε . However, the former interpretation is more convenient for computations, since for $U = \widehat{\mathcal{O}}_B^\times$ the double cosets C_U have an interpretation as

equivalence classes of right \mathcal{O}_B -ideals in B , and there are robust algorithms available for computing with them, as explained in [DV13].

Lemma 6.13. *The group $\mathcal{O}_F^\times \subseteq \mathcal{O}_B^\times$ acts via a character on $V(\mathfrak{N}, \underline{k}, \underline{t}, \varepsilon)$, and this character is trivial if and only if $(\underline{k}, \underline{t})$ is reasonable and $\varepsilon(u) = \prod_{\sigma} \text{sign } \sigma(u)^{k_{\sigma}}$ for all $u \in \mathcal{O}_F^\times$. \square*

Remark 6.14. The conditions of the lemma are equivalent to ε being the finite part of a Hecke character of conductor \mathfrak{N} , whose signs at the infinite places are determined by the k_{σ} .

For $U = \widehat{\mathcal{O}}_B^\times$, each of the groups Γ_x appearing in (1) will contain \mathcal{O}_F^\times as a finite-index subgroup; so $M_{\underline{k}, \underline{t}}(\mathfrak{N}, \varepsilon)$ is zero unless the conditions of Lemma 6.13 are satisfied. If these conditions do hold, then $M_{\underline{k}, \underline{t}}(\mathfrak{N}, \varepsilon)$ can be decomposed into a direct sum of eigenspaces for the action of $Z(H)(\mathbf{A}_{E,f})$, corresponding to the set of Grössencharacters of F extending ε .

6.6. Hecke operators. Let \mathfrak{m} be an ideal of \mathcal{O}_F coprime to $\mathfrak{N}\mathfrak{d}_B$. On the space $M_{\underline{k}, \underline{t}}(\mathfrak{N}, \varepsilon)$, we have the following Hecke operators:

- The operator $\mathcal{T}(\mathfrak{m})$, given by the double U -coset of elements of $\widehat{\mathcal{O}}_B$ whose norms generate the ideal $\mathfrak{m}\widehat{\mathcal{O}}_F$;
- the operator $\mathcal{S}(\mathfrak{m})$, given by the double U -coset generated by the element $x \in Z(H)(\mathbf{A}_{E,f})$, for any $x \in \widehat{\mathcal{O}}_F$ generating the ideal $\mathfrak{m}\widehat{\mathcal{O}}_F$.

They satisfy the familiar multiplicative relations: if \mathfrak{m} and \mathfrak{m}' are coprime, then $\mathcal{T}(\mathfrak{m}\mathfrak{m}') = \mathcal{T}(\mathfrak{m})\mathcal{T}(\mathfrak{m}')$, and if \mathfrak{p} is prime, then $\mathcal{T}(\mathfrak{p}^2) = \mathcal{T}(\mathfrak{p}) + q\mathcal{S}(\mathfrak{p})$, where $q = \text{Nm}(\mathfrak{p})$. If \mathfrak{m} is narrowly principal, generated by some $x \in F^{\times+}$, then $\mathcal{S}(\mathfrak{m}) = \text{Nm}(x)^{2-R}\varepsilon(x)$.

For $M_{\underline{k}, \underline{t}}^*(\mathfrak{N}, \varepsilon)$, the action of Hecke operators is more restricted. We obtain Hecke operators $\overline{\mathcal{T}}(\mathfrak{m})$ and $\overline{\mathcal{S}}(\mathfrak{m})$ for any ideal \mathfrak{m} of \mathcal{O}_E (rather than \mathcal{O}_F) coprime to $\mathfrak{N}\mathfrak{d}$, and these are compatible with the corresponding operators for H via the map ψ . More generally, we can descend to H^* those Hecke operators for H corresponding to double cosets with a natural choice of representative lying in H^* . For instance, if \mathfrak{p} is a prime of F , then the operator $\mathcal{S}(\mathfrak{p})^{-1}\mathcal{T}(\mathfrak{p}^2)$ is well-defined as a Hecke operator for H^* , although $\mathcal{S}(\mathfrak{p})$ and $\mathcal{T}(\mathfrak{p}^2)$ themselves are not, since in the spherical Hecke algebra of $\text{GL}_2(F_{\mathfrak{q}})$ we have

$$\mathcal{S}(\mathfrak{p})^{-1}\mathcal{T}(\mathfrak{p}^2) = [1] + \left[\begin{pmatrix} \varpi^{-1} & 0 \\ 0 & \varpi \end{pmatrix} \right]$$

for ϖ a uniformizer at \mathfrak{q} , and the double-coset representatives on the left are in $\text{SL}_2(F_{\mathfrak{q}})$ and thus a fortiori in $H^*(\mathbf{A}_{E,f})$.

Although we have fewer Hecke operators to consider when working with H^* , we have potentially gained an algebraicity property. If \underline{k} is not F -parituous, but is E -parituous, then we can choose \underline{t} such that $(\underline{k}, \underline{t})$ is reasonable and W is algebraic as a representation of H^* (although we cannot, of course, make it algebraic as a representation of H). In this case, we can find a finite extension L/\mathbf{Q} to which $V(\mathfrak{N}, \underline{k}, \underline{t}, \varepsilon)$ descends, and hence $M_{\underline{k}, \underline{t}}^*(\mathfrak{N}, \varepsilon)$ is the base-extension to \mathbf{C} of an L -vector space which is preserved by the action of the Hecke operators for H^* .

Remark 6.15. We can re-introduce some of the “missing” Hecke action using a trick due to Shimura (cf. [LLZ18, Definition 2.2.4]). Let \mathcal{H} denote the subgroup of $(B \otimes \mathbf{A}_{F,f})^\times$ consisting of the elements whose reduced norms are in $F^{\times+} \cdot \mathbf{A}_{E,f}^\times \subset \mathbf{A}_{F,f}^\times$. Then the double quotient $H(E) \backslash \mathcal{H} / U^*$ bijects with $H^*(E) \backslash H^*(\mathbf{A}_{E,f}) / U^*$, so we can interpret $M_{\underline{k}, \underline{t}}^*(\mathfrak{N}, \varepsilon)$ as a space of functions on \mathcal{H} / U^* . Thus we may define a Hecke operator for any double U^* -coset in \mathcal{H} . In particular, we can use this to make sense of $\mathcal{T}(\mathfrak{p})$ as an operator on $M_{\underline{k}, \underline{t}}^*(\mathfrak{N}, \varepsilon)$ for any prime $\mathfrak{p} \nmid \mathfrak{N}\mathfrak{d}_B$ of

F whose ideal class lies in the image of $\text{Cl}^+(E)$ in $\text{Cl}^+(F)$; however, this will only be well-defined modulo the action of the capitulation group $K_{E/F}$.

Note that the Hecke operators associated to double cosets in \mathcal{H} make sense even if $(\underline{k}, \underline{t})$ is not “reasonable” in the sense of §2.2, since we only need \mathcal{O}_E^\times to act trivially, not \mathcal{O}_F^\times . We shall see an application of this in the next section.

7. AN EXPLICIT EXAMPLE OF A NON-PARTITIOUS HILBERT EIGENFORM

7.1. Setup. Let $F = \mathbf{Q}(\sqrt{2})$, and let σ_1, σ_2 denote the two embeddings $F \hookrightarrow \mathbf{R}$ (mapping $\sqrt{2}$ to $\sqrt{2}$ and $-\sqrt{2}$ respectively). Let $B = \left(\frac{-1, -1}{F}\right)$ be the Hamilton quaternions over F , so that B is the unique quaternion algebra over F unramified at all finite places; and let \mathcal{O}_B be a maximal order in B , so that $\widehat{\mathcal{O}}_B^\times$ is a maximal compact subgroup of $H(\mathbf{A}_{F,f})$. The class number of \mathcal{O}_B is one.

There is a 6-dimensional \mathbf{C} representation of the group $H = \text{Res}_{F/\mathbf{Q}} B^\times$ corresponding to $\underline{k} = (4, 3)$ and $\underline{t} = (-\frac{7}{4}, -\frac{5}{4})$, given by

$$W = \text{Sym}^2 V_{\sigma_1} \otimes \text{Sym}^1 V_{\sigma_2} \otimes (\sigma_1 \circ \text{nr})^{-1/4} \otimes (\sigma_2 \circ \text{nr})^{1/4},$$

where V_{σ_i} is the 2-dimensional representation of H coming from a splitting of $B \otimes_{F, \sigma_i} \mathbf{C}$. This representation is, of course, not algebraic, but its restriction to H^* is algebraic and can be descended to any finite extension K/F over which B splits, such as the cyclotomic field $\mathbf{Q}(\zeta_8)$.

The central character of W is the character of $Z(B^\times) = F^\times$ given by

$$z \mapsto \sigma_1(z)^2 \cdot \sigma_2(z) \cdot |\sigma_1(z)^2|^{-1/4} \cdot |\sigma_2(z)^2|^{1/4} = |\text{Nm}_{F/\mathbf{Q}} z|^{3/2} \text{sign } \sigma_2(z).$$

In order to obtain non-zero Hilbert modular forms, we need to take a non-trivial character. Let \mathfrak{N} be the ideal generated by $5 - 3\sqrt{2}$ (so \mathfrak{N} is one of the two prime ideals above 7). There is a unique non-trivial quadratic character $\varepsilon : (\mathcal{O}_F/\mathfrak{N})^\times \rightarrow \pm 1$, and one checks that for $u \in \mathcal{O}_F$ we have $\varepsilon(u) = \text{sign } \sigma_2(u)$, where σ_2 is the embedding $F \hookrightarrow \mathbf{R}$ mapping $\sqrt{2}$ to $-\sqrt{2}$; in particular, the restriction of ε to \mathcal{O}_F^\times is the inverse of the central character of V , a necessary condition for Hilbert modular forms of weight V and character ε to exist.

With this choice we compute that the space $M_{\underline{k}, \underline{t}}(\mathfrak{N}, \varepsilon)$ is 2-dimensional. Since F has narrow class number one, and $\mathcal{O}_F^{\times+} = (\mathcal{O}_F^\times)^2$, this is isomorphic (via the pullback map ψ) to the space $M_{\underline{k}, \underline{t}}^*(\mathfrak{N}, \varepsilon)$.

7.2. Hecke operators. If \mathfrak{m} is an ideal of F coprime to \mathfrak{n} , then we have two related definitions of a Hecke operator at \mathfrak{m} :

- A *normalized Hecke operator* $\mathcal{T}(\mathfrak{m})$, defined as in §6.6 above.
- A *naive Hecke operator* $T(\varpi)$, depending on a choice of totally-positive generator ϖ of \mathfrak{m} . This is given by identifying W as an H^* -representation with the representation $W(\underline{k}, \underline{t}') = \text{Sym}^2 V_{\sigma_1} \otimes \text{Sym}^1 V_{\sigma_2}$, where $\underline{t}' = 2 - \underline{k} = (-2, -1)$; and treating $T(\varpi)$ as a double coset in the group \mathcal{H} of Remark 6.15.

The normalisation of the “naive Hecke operator” is chosen in such a way that its eigenvalue corresponds to the “naive Hecke eigenvalue” defined above in the complex-analytic theory. The two operators are related by the formula

$$(4) \quad \mathcal{T}(\mathfrak{m}) = \left(\frac{\sigma_2(\varpi)}{\sigma_1(\varpi)} \right)^{\frac{1}{4}} T(\varpi).$$

In particular, if \mathfrak{m} is the base-extension to F of an ideal of \mathbf{Z} , and ϖ is the positive integer generating \mathfrak{m} , then $\mathcal{T}(\mathfrak{m})$ and $T(\varpi)$ agree.

The normalised Hecke operator $\mathcal{T}(\mathfrak{m})$ is canonically defined, but it does not preserve the natural K -structure on the space, so the collection of eigenvalues of

these operators (for varying \mathfrak{m}) do not all lie in a finite extension of \mathbf{Q} . On the other hand, the naive Hecke operator $T(\varpi)$ preserves the K -structure, but it will depend on the choice of generator ϖ .

From equation (4), it is clear that if p is a prime inert in F and $\mathfrak{m} = (p)$, then $\mathcal{T}(\mathfrak{m}) = T(p)$; whereas if $p = \mathfrak{p}_1\mathfrak{p}_2$ is a prime split or ramified in F , and ϖ_1, ϖ_2 are totally positive generators of these ideals such that $\varpi_1\varpi_2 = p$, then $\mathcal{T}(\mathfrak{p}_1)\mathcal{T}(\mathfrak{p}_2) = T(\varpi_1)T(\varpi_2) = T(p)$. So in either case we *do* have a canonical operator $T(p)$, which is both independent of choices and has eigenvalues defined over a finite extension, which is the Hecke operator of H^* and can be computed with either definition.

Similarly we can define a normalized operator $\mathcal{S}(\mathfrak{m})$ for any ideal \mathfrak{m} , and a naive operator $S(\varpi)$ for $\varpi \in \mathcal{O}_F$, via the action of $\begin{pmatrix} \varpi & 0 \\ 0 & \varpi \end{pmatrix}$. Note that if p is a split prime and $\varpi_1\varpi_2 = p$, the operators $T(\varpi_1^2)S(\varpi_2)$ and $T(\varpi_2^2)S(\varpi_1)$ are well defined and are independent of the choice of generators with either (but consistent) definition. Clearly the action of $S(p)$ is given by $p^3\varepsilon(p)$.

7.3. Hecke eigenvalues. Our space $M_{k,t}(\mathfrak{N}, \varepsilon)$ is an irreducible module for the Hecke algebra with coefficients in F ; it decomposes over the CM field $L = F[b]$, where $b^2 = -3\sqrt{2} - 8$. (We note that L is not Galois over \mathbf{Q} .)

In Table 7.1, we display the Hecke eigenvalues for all primes of F of norm up to 200. For an inert prime p , we list the eigenvalue $t(p)$ of the Hecke operator $T(p) = \mathcal{T}(p)$. For a split prime, we choose arbitrary totally-positive generators ϖ_1 and ϖ_2 of the two primes above p such that $\varpi_1\varpi_2 = p$, and we list the eigenvalues $t(\varpi_i)$ of the naive Hecke operators $T(\varpi_1)$ and $T(\varpi_2)$.

The eigenvalues displayed show many of the interesting features we expect for such an eigensystem. For example, we see that the eigenvalue $t(\varpi)$ lies in F when $\varepsilon(\varpi) = 1$, and in $b \cdot F$ when $\varepsilon(\varpi) = -1$. In particular, when p is totally split in $\mathbf{Q}(\sqrt{2}, \sqrt{-7})$, such as $p = 23$, then we see that $t(\varpi_1)$ and $t(\varpi_2)$ are both in F .

The smallest rational prime which is inert in F is $p = 3$. In that case, we have $\varepsilon(3) = -1$, and $t(3) = (7\sqrt{2} - 4)b$.

The smallest rational prime which splits in F is $p = 17$: we have $17 = \varpi_1\varpi_2$ where $\varpi_1 = 2\sqrt{2} + 5$. Note that $\varepsilon(\varpi_1) = -1$, but $\varepsilon(\varpi_2) = +1$, so $t(\varpi_2)$ is in F but $t(\varpi_1)$ is not, and nor is the product $t(p) = t(\varpi_1)t(\varpi_2) = (150\sqrt{2} + 264)b$ is not in F .

If $\mathfrak{p}_1 = (\varpi_1)$ then equation (4) tells us that the normalised Hecke operator $\mathcal{T}(\mathfrak{p})$ -eigenvalue acts as $(3\sqrt{2} + 12)b \cdot \left(\frac{5-2\sqrt{2}}{5+2\sqrt{2}}\right)^{1/4}$. Any other totally positive generator of \mathfrak{p} is of the form $\varpi' = \varpi u^{2k}$, where $u = 1 + \sqrt{2}$ is the fundamental unit. For such a generator, we see that $T(\varpi') = (3\sqrt{2} + 12)u^k b$, and one readily verifies that

$$(3\sqrt{2} + 12)u^k \cdot \left(\frac{(5 - 2\sqrt{2})u^{-2k}}{(5 + 2\sqrt{2})u^{2k}}\right)^{\frac{1}{4}} = (3\sqrt{2} + 12) \cdot \left(\frac{5 - 2\sqrt{2}}{5 + 2\sqrt{2}}\right)^{\frac{1}{4}}.$$

So, indeed, the eigenvalue for the normalised Hecke operator $\mathcal{T}(\mathfrak{p})$ is independent of the choice of totally positive generator of \mathfrak{p} .

7.4. Satake parameters. Let $\Pi = \Pi_0 \otimes \|\text{nrd}\|^{-1/2}$, where Π_0 is the automorphic representation of H arising from the system of eigenvalues described above (and tabulated in Table 7.1). The shift by $\|\text{nrd}\|^{-1/2}$ is included in order to give a slightly more pleasant normalisation of the Satake parameters.

If $s_{\mathfrak{p}}$ denotes the Satake parameter of Π at a finite prime \mathfrak{p} , then $s_{\mathfrak{p}}$ is the conjugacy class of matrices with characteristic polynomial

$$\mathcal{H}_{\mathfrak{p}}(X) = X^2 - \tau(\mathfrak{p})X + \text{Nm}(p)^{5/2}\varepsilon(\mathfrak{p}),$$

TABLE 7.1. Naive Hecke eigenvalues at level $(5 - 3\sqrt{2})$ and weight $(4, 3)$ over $\mathbf{Q}(\sqrt{2})$, for primes of norm < 200 . Here $w = \sqrt{2}$ and $b^2 = -3\sqrt{2} - 8$.

$\text{Nm}(p)$	ϖ_1	$t(\varpi_1)$	$t(\varpi_2)$
9	3	$(7w - 4)b$	
17	$2w + 5$	$(3w + 12)b$	$-8w - 18$
23	$w + 5$	$-22w + 14$	$26w + 36$
25	5	$(-16w + 18)b$	
31	$3w + 7$	$(13w - 18)b$	$-30w + 34$
41	$2w + 7$	$-16w - 106$	$(-32w + 26)b$
47	$w + 7$	$-76w + 46$	$(7w - 70)b$
71	$5w + 11$	$(-74w - 6)b$	$(3w - 32)b$
73	$2w + 9$	$(-27w + 18)b$	$168w + 14$
79	$w + 9$	$(-46w + 60)b$	$(7w + 40)b$
89	$4w + 11$	$(65w + 64)b$	$-206w + 30$
97	$6w + 13$	$272w + 38$	$(83w - 32)b$
103	$3w + 11$	$78w + 228$	$(-8w + 122)b$
113	$2w + 11$	$(46w - 56)b$	$(-18w + 8)b$
121	11	$170w + 366$	
127	$9w + 17$	$-50w + 46$	$-272w + 372$
137	$14w + 23$	-10	$-74w + 114$
151	$3w + 13$	$-282w - 168$	$172w - 318$
167	$w + 13$	$(172w - 166)b$	$-398w - 24$
169	13	$(-84w + 62)b$	
191	$7w + 17$	$(11w + 12)b$	$(-114w + 184)b$
193	$4w + 15$	$(129w + 162)b$	$(185w - 486)b$
199	$11w + 21$	$-250w - 188$	$(-288w + 430)b$

where $\tau(\mathfrak{p})$ denotes the $\mathcal{T}(\mathfrak{p})$ -eigenvalue. On the other hand, we may consider the “naive Satake parameter”

$$s_\varpi = \left(\frac{\sigma_1(\varpi)}{\sigma_2(\varpi)} \right)^{1/4} s_{\mathfrak{p}},$$

where ϖ is a choice of totally-positive generator of \mathfrak{p} . Then the characteristic polynomial of s_ϖ is the polynomial

$$H_\varpi(X) = X^2 - t(\varpi)X + \sigma_1(\varpi)^3 \sigma_2(\varpi)^2 \varepsilon(\mathfrak{p})$$

where as above $t(\varpi)$ is the eigenvalue of $T(\varpi)$; and these polynomials all have coefficients in the finite extension $L = F[b]$.

If $p = \mathfrak{p}_1 \mathfrak{p}_2$ is a rational prime split in F , and ϖ_1, ϖ_2 are positive generators of the \mathfrak{p}_i chosen so that $\varpi_1 \varpi_2 = p$, then the images of the pairs $(s_{\mathfrak{p}_1}, s_{\mathfrak{p}_2})$ and $(s_{\varpi_1}, s_{\varpi_2})$ in the quotient

$$\frac{(\text{GL}_2(\mathbf{C}) \times \text{GL}_2(\mathbf{C})) \rtimes \text{Gal}(F/\mathbf{Q})}{\{(z, z^{-1}) : z \in \mathbf{C}^\times\}} \cong \text{GO}_4(\mathbf{C})$$

are the same. The common image of these elements gives the conjugacy class of $r_{\Pi, \iota}^*(\text{Frob}_p)$. Using this description one can easily compute the characteristic polynomial of $r_{\Pi, \iota}^*(\text{Frob}_p)$ in the standard representation of GO_4 : if p is split, it is

TABLE 7.2. Characteristic polynomials of Frob_p in the standard representation of GO_4 (notations as in Table 7.1).

p	$H_p(X)$
3	$X^4 + (-7w + 4)bX^3 + (-1701w + 972)bX - 3^{10}$
5	$X^4 + (16w - 18)bX^3 + (50000w - 56250)bX - 5^{10}$
11	$X^4 + (-170w - 366)X^3 + (27378670w + 58944666)X - 11^{10}$
17	$X^4 + (150w + 264)bX^3 + (-1213222w + 584358)X^2$ $+ (-212978550w - 374842248)bX + 17^{10}$
23	$X^4 + (428w + 640)X^3 + (4107156w - 157642)X^2$ $+ (2754754804w + 4119259520)X + 23^{10}$
31	$X^4 + (-982w + 1392)bX^3 + (24199902w + 22262526)X^2$ $+ (28113826282w - 39851778192)bX + 31^{10}$

given by⁵

$$H_p(X) = X^4 - t(p)X^3 + (t(p)^2 - t(p^2) - p^5\varepsilon(p))X^2 - p^5t(p)\varepsilon(p)X + p^{10}\varepsilon(p)^2.$$

Similarly, if p is inert in F it is given by

$$H_p(X) = X^4 - t(p)X^3 + p^5t(p)\varepsilon(p)X - p^{10}\varepsilon(p)^2.$$

The coefficients of these characteristic polynomials for the three smallest primes of each type are given in Table 7.2.

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⁵Note that for the X^2 coefficient we need to compute the Hecke operators $T(p)^2$ and $T(p^2)$; these can be calculated directly as double cosets, but it is quicker computationally to express these operators as polynomials in $T(\varpi_1)$ and $T(\varpi_2)$, since evaluating these non-normalised operators involves summing over fewer double coset representatives.

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