# High-fidelity Computational Simulation to Refine Strategies for Lung-Protective Ventilation in Paediatric Acute Respiratory Distress Syndrome

# **Data Supplement**

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#### **DETAILED METHODS**

#### **Patient Selection**

Development cohort: Patients were selected from an ongoing (since 2011) observational prospective cohort [1] of intubated children meeting Berlin criteria for ARDS from the Children's Hospital of Philadelphia (CHOP). The study was reviewed by the CHOP Institutional Review Board, and requirement for informed consent was waived. As the cohort was initiated prior to publication of the PALICC definition of PARDS [2], we did not screen based on oxygenation index; however, all patients met PALICC criteria. Thirty subjects between 2.5 and 4 years of age ventilated via a 5.0 mm cuffed endotracheal tube during neuromuscular blockade were selected. We restricted the initial cohort to this age/size range to limit some of the variability during model development: e.g. we selected subjects with 5.0 mm internal diameter tracheal tubes, allowing consistency when calculating ventilatory resistance. Furthermore, this age range was close to the median age (4 years) of the overall cohort. Finally, we used paralyzed subjects to ensure reproducibility of the associations between ventilator changes and gas exchange, which would be confounded by spontaneous effort. Arterial blood gases (ABG) and ventilator changes during the first 72 hours of PARDS were recorded. The respiratory variables of peak inspiratory pressure (PIP), positive endexpiratory pressure (PEEP), and exhaled V<sub>T</sub> were collected at the ventilator for patients with  $V_T \ge 100$  mL using integrated software provided by the manufacturer (Dräger, Inc., Lübeck, Germany), and using a sensor proximate to the endotracheal tube for  $V_T < 100$  mL. Ventilator management and use of ancillary therapies were not protocolized.

Test cohorts: To test the utility and generalisability of the model and associated ventilation strategies, we repeated the analyses in a separate cohort of children aged 1 to 2 years. This age group was selected because larger  $V_T$  are commonly used to overcome

perceived increases in dead space in younger lungs. Finally, we repeated analyses in a cohort of 19 children between 1 month and 18 years of age with  $V_T > 10$  mL/kg, as we identified this as a subgroup in which lung-protective strategies may have the greatest impact.

# **Simulator Development**

Analyses were carried out using a simulator that includes representations of multiple interacting organ systems, incorporates a high level of physiological detail, and has been extensively validated in several previous studies of adult ARDS [3-5]. The paediatric simulator was developed by performing a detailed revision of both the model structure and parameters in light of the key differences between paediatric and adult physiology. The core components of the computational simulator used in this study have been developed by our group over the past several years and have been validated on a number of previous studies of adult pathophysiology [6-8]. The model includes representations of multiple interacting organ systems and incorporates a high level of physiological detail (including multiple alveolar compartments, multi-compartmental gas-exchange, viscoelastic compliance behaviour, interdependent blood-gas solubility and haemoglobin behaviour and heterogeneous distributions of pulmonary ventilation and perfusion). Each model component is described as several mass conserving functions and solved as algebraic equations, obtained or approximated from the published literature, experimental data and clinical observations. These equations are solved in series in an iterative manner, so that solving one equation at current time instant determines the values of the independent variables in the next equation. At the end of the iteration, the results of the solution of the final equations determine the independent variables of the first equation for the next iteration.

The model simulates all relevant aspects of pulmonary dynamics and gas exchange, i.e. the transport of air from mouth to airway and alveoli, the gas exchange between alveoli

and their corresponding capillaries, and the gas exchange between blood and peripheral tissue. The model includes series dead space (i.e. conducting airways where there is no gas exchange) to represent the trachea, bronchi and the bronchioles. The lung model incorporates 100 independently configurable alveolar compartments, implemented in parallel. Multiple alveolar compartments allow the model to simulate alveolar shunt and alveolar dead space in detail. Figure S1 shows a simplified, diagrammatic representation of the model components and their interactions.

There are a number of important differences between adult and paediatric pulmonary physiology. Some physiological features such as lung volume, cardiac output, oxygen consumption, airway resistance and pulmonary vascular resistance are highly variable in children depending on their age and weight. Thus, cardiac output and the volume of functional residual capacity are estimated in the model using the following equations:

$$CO = 933 \times weight_{(kg)}^{0.38}$$
  $(ml.min^{-1})$  (1)

$$V_{frc} = 9.51 \times weight_{(kg)}^{1.31} \tag{ml}$$

The total airway resistance and pulmonary vascular resistance are greater in children than in adults, decreasing as they grow older. The specific airway resistance can be estimated by:

$$sR_{tot} = 1.3083378 - 0.00016486 * age^3 - 0.03670306 * sex \qquad (kPa.s) \qquad (3)$$

where sex is set to 1 for males and 0 for females. The total resistance is calculated as:

$$R_{tot} = \frac{sR_{tot}}{V_{frc}} \qquad (kPa.s.l^{-1})$$
 (4)

and is distributed between the main airway and 100 parallel alveolar compartments in the model. Every alveolar compartment also has two resistances placed in series, namely the alveolar inlet resistance and the upper bronchial resistance. The pulmonary vascular resistance (PVR) is calculated by means of the following equation:

$$PVR = \frac{80(MPAP - MPCWP)}{CO} \qquad (\frac{dyn.s}{cm^5})$$
 (5)

Note that the difference in values for mean pulmonary arterial pressure (MPAP) and mean pulmonary capillary wedge pressure (MPCWP) is virtually identical in children and adults. Hence, lower cardiac output plays the main role in generating higher PVR values for paediatric subjects. In the model, each single alveolar compartment is characterized by four individually configurable parameters. These four parameters represent the alveolar compartment inlet resistance, the extrinsic pressure acting on the compartment, the stiffness of the compartment and the threshold opening pressure of the compartment, which are annotated as  $R_{comp}$ ,  $P_{ext}$ ,  $k_{stiff}$  and TOP respectively. Selection of varying values for each of these four parameters across the 100 alveolar compartments in the model allows the accurate representation of the heterogeneous nature of diseased lungs.

# **Simulator Calibration to Patient Data**

The model was calibrated against the individual patient data on arterial blood gas contents, airway pressures and ventilator settings for each patient in the PARDS dataset using an optimization approach. The model parameters (x) that were used in the optimization include the four key alveolar features mentioned previously ( $R_{comp}$ ,  $P_{ext}$ ,  $k_{stiff}$  and TOP) for all 100 alveolar compartments, as well as values for the inspiratory duty cycle (DC), respiratory quotient (RQ), total oxygen consumption (VO2), respiratory rate (RR, when not available), haemoglobin (Hb), volume of anatomical dead space ( $V_D$ ) and anatomical shunt (Shuntanat). The optimization problem is formulated to find the configuration of model parameters (x) that minimize the difference between the model outputs (for a given set of ventilator settings) and the patient data. This error is captured by a cost function J given below:

$$\min_{x} J = \sqrt{\sum_{i=1}^{7} \frac{\hat{Y}_i - Y_i}{Y_i}} \tag{6}$$

where

$$Y = [PaO_2, PaCO_2, P_E'CO_2, PIP, mPaw, TOP_{mean}, V_{frc}]$$
(7)

Y is a vector of data values and  $\hat{Y}$  is the model estimated values. The average threshold opening pressure of all the compartments (TOP<sub>mean</sub>) is optimized to be 20 cmH<sub>2</sub>O. Table S1 presents a summary of the parameters included in (x), with their dimensions and allowable range of variation. The values of the model parameters (x) that produced the closest match to the patient data were found by using a Genetic Algorithm (GA), a global optimization method.

# **Strategies for Achieving Lung-Protective Ventilation**

After matching the model to each individual patient, the potential for achieving lungprotective ventilation in these patients was investigated by evaluating four different strategies on each of the virtual patients. The primary objective was to progressively lower the risk of VILI without violating the following safety constraints:

 $P_aO_2 \ge 8 (60)$  kPa (mmHg)

 $P_aCO_2 \le 8 (60)$  kPa (mmHg)

 $PIP \le 35$   $cmH_2O$ 

 $RR \le 40$  bpm

P<sub>a</sub>O<sub>2</sub>, P<sub>a</sub>CO<sub>2</sub> and RR are partial pressure of oxygen in arterial blood, partial pressure of carbon dioxide in arterial blood and respiratory rate, respectively. These constraints are based on those used in clinical trials in adult ARDS, adapted to match the requirements of paediatrics [9, 10]. In cases where the data indicated that a patient's initial settings did not comply with one or more of the aforementioned safety criteria, an attempt to reduce VILI was

only made if it led to an improvement in the patient's safety parameters (e.g. reducing P<sub>a</sub>CO<sub>2</sub> or PIP). The four strategies were designed using volume control mode (VC-CMV) and based on physiological equations that are widely used in clinical practice, as follows:

Strategy 1: V<sub>T</sub> was reduced in steps of 0.5 mL/kg with each step lasting for 30 minutes. ABG and PIP were checked at the end of each phase until any further reduction violated one of the above constraints. RR was simultaneously adjusted at each step to maintain a constant minute ventilation (MinV) using:

$$MinV = V_T \times RR \tag{1}$$

Strategy 2: Alveolar minute ventilation (MinV<sub>alv</sub>) was kept constant instead of the general MinV. To do this, the amount of anatomical dead space ( $V_D$ ) must be taken into account, and thus MinV<sub>alv</sub> was calculated from the equation [11-13]:

$$MinV_{alv} = (V_T - V_D) \times RR \tag{2}$$

Strategy 3: Here, a strategy previously employed in [14, 15] was implemented in the simulator. In this approach, the MinV<sub>alv</sub> was kept constant using Eq.2, and the inspiratory flow kept constant using the equation:

$$F_{insp} = \frac{V_T \times RR}{60 \times DC} \tag{3}$$

where  $F_{insp}$  is the square inspiratory flow into the lung from the ventilator (no pause time used), and DC is duty cycle. As  $V_T$  and RR had already been determined (same as strategy 2),  $F_{insp}$  could only be manipulated by varying DC in Eq.3. Thus, the difference between strategies 2 and 3 is that DC is set as constant for the former, while DC is adjusted to maintain a constant  $F_{insp}$  in the latter.

Strategy 4: A recent study of adult ARDS [16] suggested that reductions in  $V_T$  are most advantageous when accompanied by a corresponding decrease in  $\Delta P$ , defined as the difference between plateau pressure ( $P_{plat}$ ) and PEEP.  $P_{plat}$  is calculated directly from the simulator and represents the end inspiratory lung pressure. The strategy for decreasing  $\Delta P$  works by increasing PEEP and adjusting  $V_T$  to keep the plateau pressure constant. For this purpose, PEEP was increased by 1 cmH<sub>2</sub>O (causing a rise in  $P_{plat}$ ) and then  $V_T$  was reduced in steps of 0.5 mL/kg until  $P_{plat}$  returned to its initial value. The procedure was then repeated until one of the safety constraints was violated.

# **Additional Variables Collected**

We also recorded strain, strain rate, and mechanical power. Dynamic and static strain are markers of mechanical load during ventilation and assist with understanding how the whole lung is affected by ventilation [17-19]. Although it is not possible to measure exact values of strain in clinical practice, in the simulator they can be estimated as  $V_T/V_{frc(ZEEP)}$  for dynamic strain and  $V_{PEEP}/V_{frc(ZEEP)}$  for static strain, where  $V_{PEEP}$  is the volume of gas in the lung due to PEEP and  $V_{frc(ZEEP)}$  is the volume of functional residual capacity when PEEP is zero [17]. Mechanical power was calculated using the equation [20]:

$$Power_{rs} = 0.098 \times RR \times \left\{ V_T^2 \times \left[ 0.5 \times EL_{rs} + RR \times \frac{(1+I:E)}{60 \times I:E} \times R_{aw} \right] + V_T \times PEEP \right\} \quad (4)$$

Where  $EL_{rs}$  is the elastance of the respiratory system, I:E is the inspiratory-to-expiratory time ratio, and  $R_{aw}$  is the airway resistance.

# **Statistical Analysis**

Data are presented as mean  $\pm$  SD, or graphed using median, interquartile and total ranges. Data for all subjects, even those in whom VILI reductions could not be performed without violating safety constraints, was presented. To avoid violation of underlying

distribution assumptions, variables were compared using nonparametric statistics when, including Spearman's rho, signed-rank test, rank-sum test, and Kruskal–Wallis. Two-sided p < 0.05 was considered significant.

# **COMPLETE RESULTS**

# The Simulator Accurately Represents Patient Data

The ability of the simulator to accurately reproduce patient data was verified by comparing its responses against data on the responses ( $P_aO_2$  and  $P_aCO_2$ values) of 30 patients in the development cohort to mechanical ventilation. No strong correlation was observed between the reported values of  $V_T$  (Kruskal-Wallis p=0.7), PEEP (p=0.4) and RR (p=0.3) and PARDS severity category. Individual and average data across the cohort are shown in Table S2. At baseline, 2 patients had  $V_T > 10$  mL/kg, 10 patients had  $V_T = 10$  mL/kg, 16 had  $V_T = 10$  mL/kg, and 2 had  $V_T = 10$  mL/kg.

After model calibration, each individual patient was simulated for 30 minutes (or until reaching steady-state) under ventilation with constant flow in the supine position. Figure 1-(a) and 1-(b) compares the outputs of the simulator with the original data, expressed as median, interquartile range and actual range for the entire cohort (see also Figure S2). Figure 1-(c) to 1-(f) plot the data points versus simulator output values. These results confirm the capability of the simulator to accurately replicate multiple output values of the patients included in the cohort dataset across a range of different ventilator settings.

# **Evaluating Strategies for Implementing Protective Ventilation**

Figure S3 shows the effect of each strategy for implementing protective ventilation, in terms of its effect on  $V_T$ , alveolar dynamic strain, mechanical power and  $\Delta P$ . Similar reductions in average  $V_T$  across were achieved using strategies 1 to 3 (15% (1.3 mL/kg), 12%

(1 mL/kg) and 14% (1.2 mL/kg), respectively). RR needed to be increased by a smaller amount (15%) for strategy 1, (versus 33% and 37% on average for strategies 2 and 3, respectively). After implementing any of these three strategies, the number of patients being ventilated using  $V_T > 10$  mL/kg fell to zero. There were also reductions in the number of patients receiving  $V_T$  in the ranges of 8–10 mL/kg (-30 % for all strategies) and 6–8 mL/kg (-18.8% for strategies 1 and 3, -12.5% for strategy 2). Correspondingly, the number of patients receiving  $V_T$  in the range 4–6 mL/kg rose from 6.7% to 33.3% in strategy 1 and to 30% in strategies 2 and 3, respectively. These average reductions were achieved despite the fact that there were 8 patients (4 severe PARDS, 4 moderate PARDS) whose baseline values of  $P_aCO_2$  and PIP did not allow any of the strategies to be implemented without violating constraints.

Figure S3 shows significant reductions in dynamic strain for strategies 1 to 3 (-20%, -19% and -19%, respectively) with corresponding increases in static strain (+9%, +17% and +35%, respectively; Figure S4). The rise in static strain indicates larger lung volumes at end-expiration. In strategy 3, the change in DC (shorter exhalation time) explains the higher static strain compared to strategies 1 and 2. To rule out the possibility that higher static strains are due to breath-stacking, the difference between the inhaled  $V_T$  ( $V_{T_i}$ ) and exhaled  $V_T$  ( $V_{T_e}$ ) were examined in all strategies to detect possible incomplete exhalation. The change in end-expiratory lung volume was also monitored over a 3-hour time period. Both investigations confirm that the higher static strains are not a result of breath-stacking (Figure S5).

Differences emerged when considering the effect of each strategy on mechanical power. Strategy 1 produced no significant change (+1%; p = 0.2, signed-rank test) but both strategies 2 and 3 resulted in large increases (+22% and +19%, respectively; both p < 0.05). Amongst the four safety constraints, limits on  $P_aCO_2$  and RR played the main role in restricting further reduction of  $V_T$ . Limits on  $P_aO_2$  were never reached.

When strategy 4 (adjusting PEEP and  $V_T$  to reduce  $\Delta P$ ) was applied, the  $P_aCO_2$  limit was the only constraint precluding further reductions due to the strategy not compensating for MinV. Maintaining MinV is not possible using this strategy, as it requires increasing RR, leading to a rise in  $P_{plat}$  which in turn impedes reduction of  $\Delta P$ . Consequently, this approach was only able to reduce  $\Delta P$  in the 13 patients with the lowest initial  $P_aCO_2$  levels. The average reduction in  $\Delta P$  was -6% for all 30 patients in the cohort and -17% for the 13 patients on which this strategy could be applied. The corresponding changes in  $V_T$  and PEEP were -7% and +10% respectively. This compares with changes in  $\Delta P$  of -4%, +1% and +8% for strategies 1-3, respectively. Strategy 4 was the only approach that produced a significant reduction in mechanical power (-8%, versus +1%, +22% and +19% for strategies 1-3).

# **Additional Test Cohorts**

To test the utility and generalisability of our results, we applied the same 4 strategies to two separate test cohorts of PARDS patients from the CHOP dataset. For both test cohorts, the same fidelity in matching simulated outputs to patient data was observed as with the initial development cohort. Two patients in Test Cohort 1 and three patients in Test Cohort 2 had baseline values of  $P_aCO_2$  and PIP that would not allow any of the proposed strategies to be implemented.

Similar trends emerged in terms of achieving more protective ventilation for all 4 strategies (Figures S6-7). Strategy 1 produced the largest reductions in  $V_T$  (-22% in Test Cohort 1, -28% in Test Cohort 2) and dynamic strain (-20% in Test Cohort 1, -27% in Test Cohort 2), with the lowest corresponding increase in static strain (+1% in Test Cohort 1, +3% in Test Cohort 2). Strategy 1 produced a small reduction in mechanical power (-4% in both cohorts) and significant reductions in  $\Delta P$  (-13% and -16%). While strategies 2 and 3 achieved reductions in  $V_T$ ,  $\Delta P$ , and dynamic strain, this came at the cost of higher increases in static

strain than required by strategy 1. Both strategies 2 and 3 also produced large increases in power. Finally, strategy 4 produced significant decreases in  $V_T$ , dynamic strain and  $\Delta P$  in both test cohorts, and the largest decreases in power (-10% in Test Cohort 1, -23% in Test Cohort 2). Over the three cohorts analysed, Test Cohort 2 (initial  $V_T > 10$  mL/kg) showed the greatest potential for improvements in terms of achieving more protective ventilation.

# ADDITIONAL DISCUSSION

We have developed and tested four separate strategies for achieving lung protective ventilation in PARDS. A strategy of maintaining MinV allowed for greatest reduction in  $V_T$  with small decreases in mechanical power. A strategy of minimizing  $\Delta P$  resulted in larger reductions in mechanical power, with smaller reductions in  $V_T$ . Conversely, strategies aimed at maintaining constant alveolar ventilation, either by manipulating DC or inspiratory flow, are capable of reducing  $V_T$  and  $\Delta P$ , they come at the expense of increasing power. Although previous studies utilising a uniform low  $V_T$  showed deleterious effects [21], here  $V_T$  was progressively reduced in patients only as long as it did not violate safety constraints on gas exchange.

In 2015, PALICC released the first recommendations specifically for PARDS [2], and addressed several issues in patient treatment. Strategies limiting  $V_T$  and plateau pressure achieved "weak agreement." In addition, a survey on practice patterns found that although paediatricians theoretically concurred with adult guidelines to use lower  $V_T$  and pressures, in actual practice, over 25% of PARDS patients are ventilated with  $V_T > 10$  mL/kg [22], and likely higher in obese children when adjusting for ideal body weight [23]. Despite this, mortality rates in PARDS have improved over the last two decades, part of which may be related to adoption of lung-protective ventilation strategies. Continued resistance to lung-protective ventilation, particularly use of  $V_T > 10$  mL/kg, may reflect concerns regarding

whether  $V_T$  and ventilator pressures can be safely reduced in this population. We have demonstrated here the feasibility of adjusting ventilator settings to mitigate VILI within reasonable safety parameters for gas exchange and RR. The reductions achieved are most pronounced in subjects with  $V_T > 10$  mL/kg, and appear to be generalizable throughout the entire age range and severity encountered in PARDS. While studies of  $\Delta P$  and mechanical power limits are in their infancy, preliminary data suggest "thresholds" above which these values are associated with worse outcomes [24, 25]. Analysis of our dataset shows that these thresholds are currently being exceeded in many subjects, particularly in children with  $V_T > 10$  mL/kg.

Static strain represents the initial displacement of the lungs from their original position due to PEEP at the start of ventilation and subsequently stays constant during ventilation unless PEEP is changed. It has been shown that the lung can tolerate increased static strain, provided that the total lung capacity is not exceeded, and that dynamic strain is likely to be more injurious [17, 19]. The change in lung strain is also correlated with the recruited volume of the lung. A recent study showed that lung recruitment causes reduction in dynamic strain while increasing static strain [19]. Hence, both the changes in static and dynamic strain observed in our results suggest general improvement in lung recruitment as a result of the changes to ventilator settings.

Strategy 4 resulted in reduced mechanical power. The original power equation (Eq. 4) by Gattinoni et al. [20] can be simplified and re-written as the equation below (Section S8 in the Supplemental File):

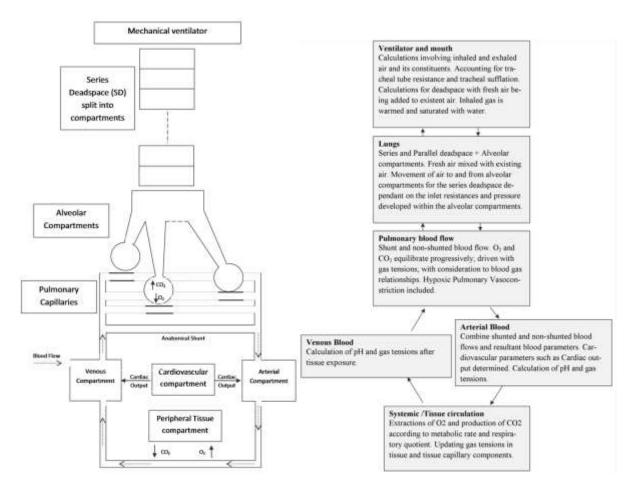
 $Power_{rs} = RR \times V_T \times (P_{peak} - 0.5P_{plat} + 0.5PEEP) = RR \times V_T \times (P_{peak} - 0.5\Delta P)$  (5) Considering the above equation, it can be expected that an increment in inspiratory flow (Eq. 3) leads to an increase in power as long as the cause of the change in flow is MinV (i.e.  $RR \times V_T$ ). However, when MinV was kept constant and a smaller DC raised the flow, the resultant change in power depends on how  $P_{peak}$  and  $P_{plat}$  respond to the adjustment – i.e. specifically the change of  $P_{peak}$  – 0.5 $\Delta P$ . As a result, it cannot be concluded that a greater flow would always lead to a rise in the power. Moreover, it should be noted that MinV directly impacts the power, not the flow itself. For instance, patients were ventilated with the same flow in strategy 1 and strategy 3, while the latter has a larger MinV, thus higher power.

The study has a number of limitations. Data were derived from a single institution, and while severity of PARDS and outcomes were similar to other cohorts, generalizability remains to be demonstrated. To minimise possible confounding factors, the model was configured to represent patients that are fully sedated under mechanical ventilation; therefore autonomic reflex modules in the model were not utilised. The model also does not include the effect of inflammatory mediators commonly found in PARDS, which are difficult to quantify and isolate in clinical settings. As the model is computational in nature, it does not provide any direct histological or biological evidence of the effects of the proposed ventilation strategies on VILI markers, and therefore further animal and/or human studies should be performed to provide conclusive evidence of their relative effectiveness in achieving more protective ventilation. As adolescents may be diagnosed with either Berlin or PALICC criteria [26], it is unclear whether they would be more appropriately managed with our protocol, or an adult version. The model was developed to focus on ventilator settings affecting VILI, and thus we chose to set constraints on PaCO2, rather than pH, which is often modified by entire non-ventilator interventions, such as volume resuscitation or exogenous bicarbonate.

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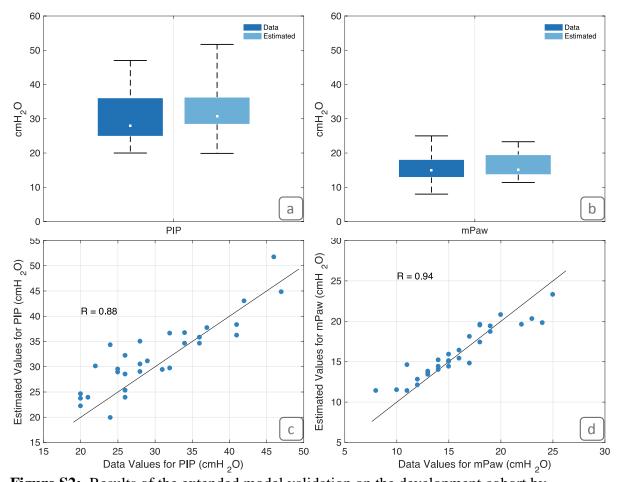


**Figure S1:** A simplified, diagrammatic representation of the model. The model includes representations of multiple interacting organ systems and incorporates an unprecedented level of physiological detail; including multiple alveolar compartments, multi-compartmental gas-exchange, viscoelastic compliance behaviour, interdependent blood-gas solubility and haemoglobin behaviour and heterogeneous distributions of pulmonary ventilation and perfusion.

**Table S1:** List of the parameters varied by the optimization algorithm in order to calibrate the model to patient data, with their dimensions and allowable range of variation.

Parameter (x)	size	ranges
Pext	100	[-50,20]
$oldsymbol{k}_{stiff}$	100	[-1,2]
TOP (cmH <sub>2</sub> O)	100	[0,100]
RQ	1	[0.7,0.9]
<b>Duty Cycle</b>	1	[0.3 0.4]
VO <sub>2</sub> (mL.min <sup>-1</sup> )	1	[40,200]
<b>RR</b> * (b.min <sup>-1</sup> )	1	[20,40]
Hb (g.l <sup>-1</sup> )	1	[90,160]
Shuntanat (%)	1	[1,2]
VD <sub>anat</sub> (mL)	1	[20,200]

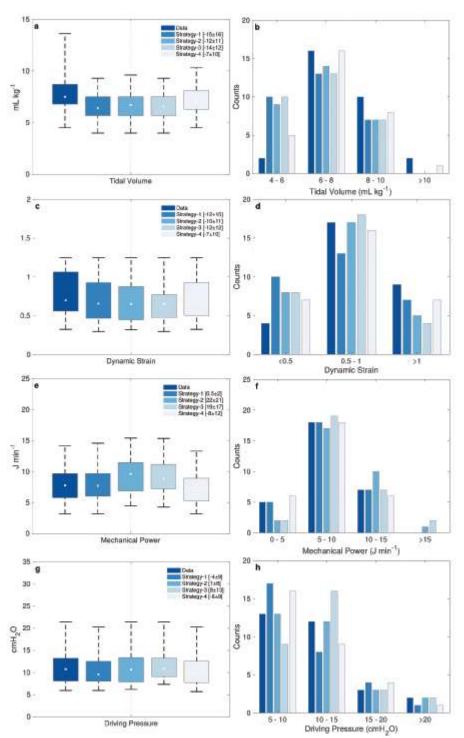
 $P_{ext}$ : the extrinsic pressure acting on compartments;  $k_{stiff}$ : the stiffness of the compartments; TOP: threshold opening pressure of the compartments; RQ: respiratory quotient;  $VO_2$ : total oxygen consumption; RR: respiratory rate; Hb: hemoglobin;  $V_D$ : volume of anatomical dead space;  $Shunt_{anat}$ : anatomical shunt.



**Figure S2:** Results of the extended model validation on the development cohort by comparing the patient data against simulator predicted values expressed as median, interquartile range and actual range: Panel (a) shows the result for leaving PIP out of the model matching and subsequently predicting its value; Panel (b) shows the result for leaving mPaw out of the model matching and subsequently predicting its value. In (c) and (d) data points are plotted versus predicted values, and R is the Spearman's rank correlation coefficient. PIP: peak inspiratory pressure; mPaw: mean airway pressure.

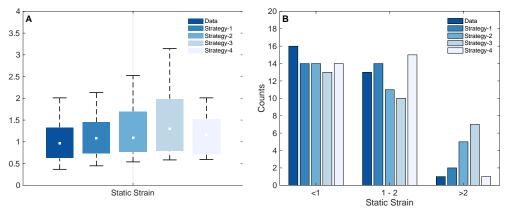
**Table S2**: Patient characteristics and mechanical ventilator settings for each individual patient in the development cohort, and as mean and standard deviations (SD) across the cohort.

ID	Age	Wgt	PRISM	Die	FiO <sub>2</sub>	RR	$\mathbf{V}_{\mathbf{T}}$	PEEP	PF	OI	PaO <sub>2</sub>	PE'CO2	PaCO <sub>2</sub>	PIP	mPa
	у	kg				bpm	mL/kg	cmH <sub>2</sub> O				mmHg		cm	H <sub>2</sub> O
# 1	2.8	15	8	N	0.45	28	6.7	6	137	8	62	39	46	20	11
# 2	2.8	14	4	N	0.5	20	6.3	14	152	14.5	76	54	72	34	22
# 3	2.8	14.5	17	N	0.6	25	6.8	10	97	15.5	58	42	60	25	15
# 4	2.8	17	18	N	0.5	20	4.5	10	236	5.9	118	37	53	26	14
# 5	2.8	20	4	N	0.21	26	7	10	295	5.1	62	32	32	26	15
# 6	3	9.5	20	N	1	40	8.9	8	78	16.7	78	62	65	22	13
#7	3	15	18	N	0.5	30	8.7	5	118	6.8	59	37	40	20	8
# 8	3	13.6	0	N	0.5	16	7.4	10	178	7.3	89	43	59	21	13
# 9	3	15	24	N	0.3	24	5.3	10	266	6.4	80	50	56	28	17
# 10	3	13	6	N	0.4	28	7.8	12	230	7	92	59	60	25	16
# 11	3.3	15	16	Y	0.5	25	7.5	8	178	7.3	89	43	46	26	13
# 12	3.5	14	14	N	0.35	34	10.3	8	274	5.1	96	54	56	28	14
# 13	4	17.5	0	N	0.55	20	6.9	10	149	10.1	82	43	72	29	15
# 14	4.3	19	12	N	0.6	24	7.2	14	111	18	67	41	56	41	20
# 15	2.5	12.2	1	N	0.3	26	8.9	12	343	5.2	103	60	63	32	18
# 16	3.7	15	13	N	0.4	22	6.7	6	170	5.9	68	48	51	24	10
# 17	3.3	14	5	Y	0.55	35	6.5	15	118	21.2	65	55	74	47	25
# 18	2.8	27	18	N	0.8	24	6.7	12	73	24.7	59	33	42	32	18
# 19	2.9	12	15	N	1	32	7.5	10	72	23.6	72	42	48	34	17
# 20	3	9.5	20	N	1	37	8.9	12	78	29.5	78	50	79	42	23
# 21	3	15	18	N	0.8	21	8.7	8	79	17.7	63.2	39	45	31	14
# 22	3	13.6	0	N	1	20	9.6	8	57	26.3	57	30	39	24	15
# 23	3	15	24	N	0.7	30	8	12	130	18.5	91	30	33	46	24
# 24	3	13	9	N	0.4	27	7.5	12	150	12.7	60	72	75	41	19
# 25	3.1	13	6	N	0.55	27	8.1	12	129	14.0	70.95	59	65	36	18
# 26	3.1	13	9	N	0.75	21	8.8	8	112	10.7	84	62	64	20	12
# 27	3.2	13	27	Y	1	23	7.7	7	59	18.6	59	20	34	28	11
# 28	3.3	15.5	7	N	0.35	23	8.4	8	237	6.8	82.95	47	59	36	16
# 29	3.3	15	7	N	0.6	24	7.5	6	108	11.1	64.8	26	38	26	12
# 30	3.5	14	5	Y	0.35	20	13.6	12	226	8.4	79.1	35	36	37	19
Mea	3.1	14.7	11.5	-	0.6	25.9	7.8	9.8	154.7	13.0	75.5	44.8	53.9	30.	15.9
SD	0.4	3.2	7.8	-	0.2	6.0	1.6	2.6	76.7	7.1	15.2	12.2	13.6	7.7	4.2

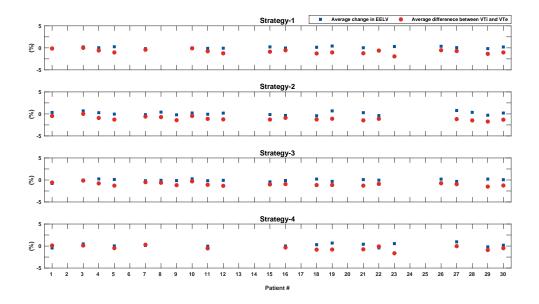


**Figure S3:** Development Cohort, box plots on the left show data as median, interquartile range and actual range while histograms on the right demonstrate the distribution of all patients' data before and after implementing different strategies. Numbers in the brackets are the average percentage change across the cohort [mean±SD]. Panels illustrate the amount of

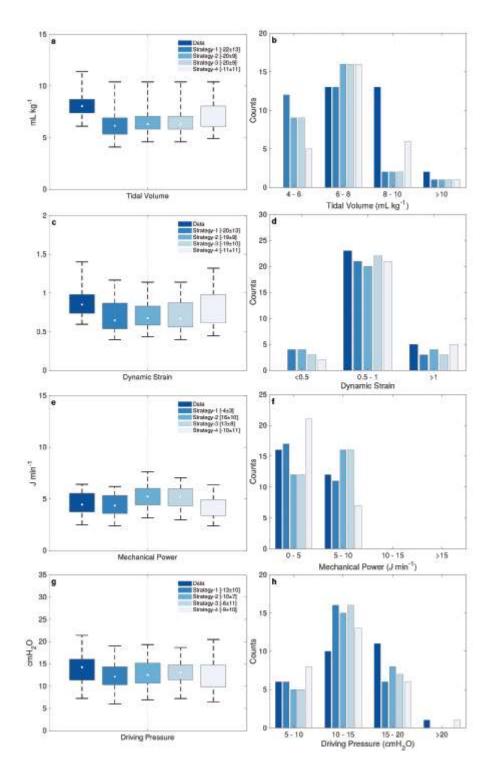
tidal volume reduction (a)-(b), comparison of the alveolar dynamic strain (c)-(d), change in mechanical power (e)-(f) and driving pressure (g)-(h). Strategy-1: Reducing  $V_T$  whilst maintaining the initial minute ventilation; Strategy-2: Reducing  $V_T$  whilst maintaining the initial alveolar minute ventilation; Strategy-3: Reducing  $V_T$  whilst maintaining both the initial alveolar minute ventilation and inspiratory flow; Strategy-4: Reducing  $\Delta P$  by increasing PEEP and decreasing  $V_T$ .



**Figure S4:** Comparison of the alveolar static strain before and after implementing the four strategies; expressed as median, interquartile range and actual range, (a). The distributions of patients' static and dynamic strains before after applying all the strategies are shown in (b).

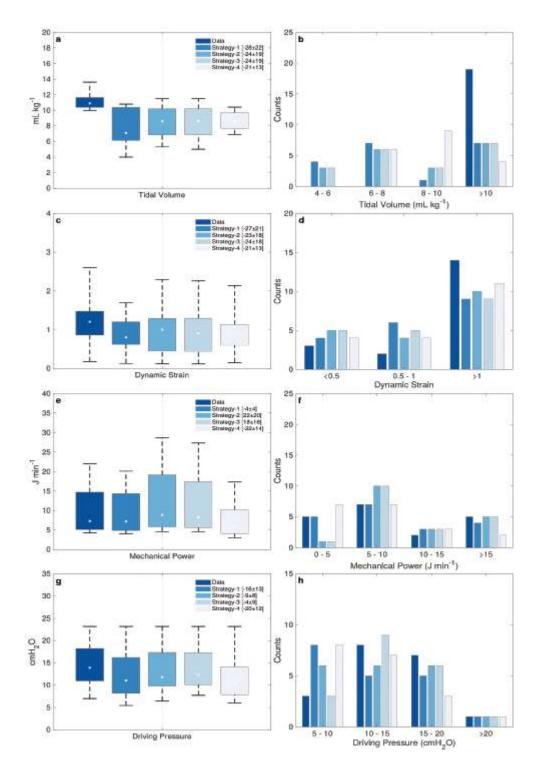


**Figure S5:** Figure shows the difference between the average inhaled tidal volumes  $(V_{T_i})$  exhaled tidal volumes  $(V_{T_e})$  (red circles) as well as the change in end-expiratory lung volume (EELV) over a 3h time period (blue squares).



**Figure S6:** Test Cohort 1, box plots on the left show data as median, interquartile range and actual range while histograms on the right demonstrate the distribution of all patients' data before and after implementing different strategies. Numbers in the brackets are the percentage of average change across the cohort [mean±SD]. Panels illustrate the amount of tidal volume reduction (a)-(b), comparison of the alveolar dynamic strain (c)-(d), change in

mechanical power (e)-(f) and driving pressure (g)-(h). Strategy-1: Reducing  $V_T$  whilst maintaining the initial minute ventilation; Strategy-2: Reducing  $V_T$  whilst maintaining the initial alveolar minute ventilation; Strategy-3: Reducing  $V_T$  whilst maintaining both the initial alveolar minute ventilation and inspiratory flow; Strategy-4: Reducing  $\Delta P$  by increasing PEEP and decreasing  $V_T$ .



**Figure S7:** Test Cohort 2, box plots on the left show data as median, interquartile range and actual range while histograms on the right demonstrate the distribution of all patients' data before and after implementing different strategies. Numbers in the brackets are the percentage of average change across the cohort [mean±SD]. Panels illustrate the amount of tidal volume reduction (a)-(b), comparison of the alveolar dynamic strain (c)-(d), change in

mechanical power (e)-(f) and driving pressure (g)-(h). Strategy-1: Reducing  $V_T$  whilst maintaining the initial minute ventilation; Strategy-2: Reducing  $V_T$  whilst maintaining the initial alveolar minute ventilation; Strategy-3: Reducing  $V_T$  whilst maintaining both the initial alveolar minute ventilation and inspiratory flow; Strategy-4: Reducing  $\Delta P$  by increasing PEEP and decreasing  $V_T$ .