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1 Running head: VISION PROBLEMS IN NEURODEVELOPMENTAL DISORDERS

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7 The underreporting of vision problems in statutory documents of children with Williams

8 syndrome and Down syndrome

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11 Abstract

12 Vision problems can lead to negative developmental outcomes. Children with Williams
13 syndrome and Down syndrome are at higher risk of vision problems, and these are less likely
14 to be detected due to diagnostic overshadowing and difficulty accessing eye-care. Education,
15 Health and Care (EHC) plans are statutory documents, introduced by the Children and
16 Families Act 2014 in England, with the intention of integrating provision across these
17 domains. Vision issues should be reported in these plans, and recommendations made about
18 appropriate adjustments for them. We analysed the EHC plans from 53 children with Down
19 or Williams syndrome. Our results showed significant underreporting, especially for children
20 with Williams syndrome, and little explanation of what adjustments should be made. We also
21 report pockets of good practice.

22 *Keywords:* Down syndrome; Williams syndrome; Special Educational Needs; vision;
23 neurodevelopmental disorders

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51 (CVI), where abnormal brain development or brain damage results in visual processing
52 problems. These range from difficulties perceiving motion or colour to effective blindness,
53 despite typical eyes and optic tracts, but a relative lack of diagnostic specificity means that they
54 are widely underdiagnosed (Philip and Dutton 2014). The total proportion of children with WS
55 who have a vision problem is therefore likely substantially higher than 50%.

56 Despite similarly high rates of vision problems in both of these populations, information
57 in the public domain about typical symptomatic profiles of each syndrome does not equally
58 represent this risk. Whereas for DS the increased rate of vision problems is generally included
59 in public information briefings (e.g. Down's Syndrome Association 2019), this information is
60 missing from comparable profiles of WS (e.g. Williams Syndrome Foundation 2019). This
61 suggests that awareness of vision problems in children with WS is likely to be low, even for
62 groups who should be well-informed. Whilst teachers and other professionals, including
63 teaching assistants and educational psychologists, report being relatively well-informed about
64 DS (Lee et al. 2005), they are less likely to have detailed knowledge about the profile of less
65 common conditions such as WS (Van Herwegen et al. 2019). This mirrors parents' concerns
66 that professionals are poorly informed about WS and do not adequately tailor provision to their
67 children's needs (Ashworth et al. 2019).

68 The importance of professionals being aware of the broader health and social needs of
69 the children they work with was recognised in the introduction of Education, Health, and Care
70 (EHC) plans in England 2014. The aim of the EHC plans was to achieve a more integrated
71 approach to provision for children and young people with disabilities, ensuring that everyone
72 involved in delivering services relating to a child's education, health, or care is aware of all
73 other areas; facilitating a consistent approach. The EHC plan should cover personal
74 information; the special educational, health, and social care needs of the child and provision
75 required to address these; the outcomes sought, the type of placement required, and any

76 personal budget allocated. The required provision set out in the plan is legally required to be
77 provided for the child or young person, up until the age of 25 years (Department for Education
78 2015).

79 However, recent analyses of the quality of EHC plans have suggested that they may not
80 be delivering their intended aims (Castro et al. 2019). The systems for drawing up the EHC
81 plans vary across Local Authorities, with no particular legislation mandating the consultation
82 of particular professionals (Department for Education 2015). This risks some aspects of a
83 child's needs being missed altogether.

84 In view of the high prevalence of vision issues in children with DS and WS, the current
85 study reviewed the inclusion of information about children's vision status in their EHC plans.
86 Our aim was to evidence whether they are currently being used effectively to provide
87 information about appropriate adjustments and support needed e.g. in the classroom. Both
88 conditions (DS and WS) confer a similarly increased risk of vision issues, but the literature
89 suggests that this kind of syndrome-specific knowledge is less common both for parents and
90 teachers of children with WS than those with DS. We therefore predicted that we would find
91 poorer reporting of vision issues in the plans of children with WS. Our research questions were:
92 1) How many EHC plans make reference to vision issues?; 2) How does this relate to parental
93 report of actual vision issues?; and 3) Are specific recommendations of adjustments for
94 recorded vision issues made in EHC plans?

95 Methods

96 EHC plans were obtained for 53 children (see Table 1). These participants were
97 recruited via social media and parental support groups. Contact details for a follow-up survey
98 were available for 42 of the parents (23 of children with WS, 19 of children with DS).
99 Responses were returned by 37 parents (response rate DS 89%, WS 87%). EHC plans were
100 provided by parents and fully anonymised for analysis. A short follow-up survey was emailed

101 to parents asking whether their child had received a recent sight test, whether they were aware
102 of any problems with their child's vision, and for details of any known problems. Face validity
103 of this survey was confirmed by members of SeeAbility's Eye care and Vision team, who run
104 a specialist eye examination service for children attending special schools. This study received
105 ethical approval from the Research Ethics Committee at Kingston University.

106 Results

107 *1) How many EHC plans made reference to vision issues?* Overall, just under half
108 (47%) of the EHC plans reported an issue with the child's vision. A Fisher's Exact test showed
109 that this rate of reporting was significantly higher for the children with DS (70%) compared to
110 those with WS (33.3%), $\chi^2 = 0.012$, $p < .05$. The rate observed for children with DS is therefore
111 in line with previous reports based on actual optometric/ophthalmic assessments, whereas for
112 children with WS it appears that vision issues are underreported (see Table 2).

113 *2) How does this relate to parental report of actual vision issues?* We received
114 responses about 17 of the 20 children (85%) with DS, and 19 of the 33 children (58%) with
115 WS. For the children with DS, all parents reported their child had a problem with their vision
116 and 12 (71%) had a vision issue mentioned in their EHC plan. For the children with WS, 13
117 (68%) parents reported their child to have a problem with their vision of which just 4 (31%)
118 had this vision issue mentioned in their EHC plan.

119 The most common issue for both groups was refractive error (12/13 of children with
120 WS, and 14/17 of those with DS). Eight of the children with WS also had a squint, one had no
121 binocular vision and colour vision deficiency, and one had a suspected CVI. Three children
122 with DS had a nystagmus, one had a squint, three had a history of cataracts, and one used
123 prisms to correct for an abnormal head position. This information is summarized in Table 3.
124 Of those children with WS who had no mention of a vision problem in their EHC plan, one had
125 suspected CVI, one had a squint, one had no binocular vision, one showed colour vision

126 deficiency, and one had been prescribed glasses for long-sightedness but was unwilling to wear
127 them. This demonstrates that children with WS have a range of complex vision difficulties that
128 are not reported in their EHC plans.

129 *3) Are specific recommendations of adjustments for recorded vision issues made in*
130 *EHC plans?* Very few EHC plans made any specific recommendations for adjustments for
131 recorded vision issues. For children with WS, none of the EHC plans made specific
132 recommendations for any adaptations to be made for the child's vision problem. For children
133 with DS, four plans (28%) noted only that the children needed to wear glasses, with no further
134 information provided. However, there were some examples of good practice, with three plans
135 (21%) making very specific recommendations for the classroom (see Supplementary Table 1).
136 Only four plans included input from a vision professional.

137 Discussion

138 This study investigated how vision issues are reported in the statutory documents of
139 children with WS and DS. There was clear evidence for underreporting of issues, and
140 information about the functional impact of vision issues and practical adaptations that should
141 be made for them were only included in half of those mentioning vision issues. Another
142 important finding was that children with WS were less likely to have an existing vision issue
143 noted in their plan than children with DS, despite these issues being known to parents.

144 Parental report of sight problems confirmed our hypothesis that there is true
145 underreporting of vision issues in EHC plans, and that this is particularly the case for children
146 with WS. For children whose parents responded to a question about actual visual status and
147 reported a vision problem, less than a third had any mention of this problem in their plan. There
148 are two reasons why children with WS in particular may be less likely to have their vision
149 problems noted in an EHC plan: Firstly, WS is 12 times less common than DS, and
150 correspondingly there is less research and public awareness. One recent survey of professionals

151 involved in the education of children with neurodevelopmental disorders (Van Herwegen et al.
152 2019) found that only 10% reported that the children with WS who they worked with had an
153 issue with their vision; almost certainly a vast underestimation given what is known about the
154 prevalence of vision problems in this population (Atkinson et al. 2001). The evidence provided
155 by the current study showing worrying omissions of information around serious eye conditions
156 for some children reinforces the need for better syndrome-specific training for professionals.
157 Secondly, the range of vision problems associated with each syndrome is such that some of
158 those associated with DS, especially refractive error, are also better understood and more easily
159 detectable. In WS, a common visual comorbidity is CVI (Philip and Dutton 2014), which,
160 despite being the foremost cause of childhood visual impairment, has no clear diagnostic and
161 treatment pathways; resulting in a higher likelihood of diagnostic overshadowing for children
162 with additional needs, such as WS (Van Den Broek et al. 2006).

163 One way in which the problems identified here could be addressed is by ensuring that
164 vision specialists are always consulted when writing EHC plans. This could also help to
165 improve detection rates of vision problems for children with neurodevelopmental disorders, by
166 flagging children who had not received any eye-care. More comprehensive guidance over
167 where and how issues such as sight problems should be reported in EHC plans would also help
168 to improve reporting, ensuring that those preparing the reports were always prompted to
169 enquire about a child's visual status, whether or not they were aware of the likelihood of raised
170 prevalence in a particular group. Access to good examples of some adaptations to different
171 vision conditions (such as those highlighted as good practice in Supplementary Table 1) may
172 be useful to ensure that this advice is always provided.

173 The main limitation of this work is that we would ideally have been able to verify the
174 actual visual status of all children reported on in this study via a full optometric assessment;
175 rather than relying on parental report. Future work could therefore aim to carry out a more

176 comprehensive study to include such an assessment. It may also be of interest to compare
177 these groups to typically developing children with visual problems only (i.e. no underlying
178 developmental disorder) and EHC plans. Finally, given the wide variation in how vision
179 problems are reported and not reported in EHC plans in this work, it may be interesting to
180 look in more detail at facilitating and limiting factors of local resource provision underlying
181 this variation.

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