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# **Influence of plasticiser type and nanoclay on the properties of chitosan-based materials<sup>‡</sup>**

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‡ Supplementary material provided.

Abbreviations: MMT, montmorillonite; CMC, carboxymethyl cellulose; IL, ionic liquid; [C<sub>2</sub>mim][OAc], 1-ethyl-3-methylimidazolium acetate; PEC, polyelectrolyte complexation;  $T_d$ , thermal decomposition temperature at maximum weight-loss rate;  $\tan \delta$ , loss tangent;  $T_\beta$ , peak temperature of  $\beta$ -transition;  $T_\alpha$ , peak temperature of  $\alpha$ -transition;  $E$ , Young's modulus;  $\sigma_t$ , tensile strength;  $\varepsilon_b$ , elongation at break;  $\theta_{c0s}$ , contact angle at 0 s;  $\theta_{c60s}$ , contact angle at 60 s; RH, relative humidity; RT, room temperature.

19 **Abstract:**

20 Chitosan, a biocompatible polysaccharide having antimicrobial efficacy, is highly useful for  
21 biomedical and other applications. How chitosan properties can be tailored continues to attract  
22 intense research interest. Herein, chitosan and chitosan/carboxymethyl cellulose (CMC) materials  
23 filled with montmorillonite (MMT) were thermomechanically processed resulting in excellent  
24 nanoclay dispersion. Inclusion of MMT significantly increased the molecular relaxation  
25 temperatures, tensile mechanical properties, and film surface hydrophobicity. When plasticisers such  
26 as 1-ethyl-3-methylimidazolium acetate ([C<sub>2</sub>mim][OAc]) or glycerol were introduced, the effect of  
27 MMT on the biopolymer properties largely depends on whether the MMT alters plasticiser–  
28 biopolymer interactions. [C<sub>2</sub>mim][OAc]-plasticised chitosan exhibited a relatively high contact angle  
29 ( $100\pm 7^\circ$ ) similar to the un-plasticised chitosan/MMT material, derived from the strong hydrogen-  
30 bonding capability of [C<sub>2</sub>mim][OAc]. Polyelectrolyte complexation (PEC) allowed the glycerol-  
31 plasticised chitosan/CMC material to have a hydrophobic surface (contact angle:  $90\pm 6^\circ$ ) similar to  
32 that of the un-plasticised chitosan/CMC/MMT material. Specifically, further inclusion of MMT  
33 interrupted biopolymer–plasticiser interactions, leading to increased surface wettability. However,  
34 while addition of [C<sub>2</sub>mim][OAc] resulted in reduced hydrophilicity of the chitosan/CMC matrix,  
35 addition of MMT counteracted this effect by interacting with the IL. This work shows the plasticizers  
36 and MMT influence surface hydrophilicity mainly by determining the availability of free biopolymer  
37 polar groups.

38

39    *Keywords:* Biopolymer thermomechanical processing; Polysaccharide plasticisation; Chitosan

40    nanocomposites; Nanoclay; Ionic liquid; Surface hydrophilicity

41

## 42    **1    Introduction**

43        The utilisation of natural biopolymers such as polysaccharides (*e.g.* cellulose, chitin/chitosan,  
44    starch, and alginate) and proteins continue to attract increasing interest as these materials are  
45    renewable, are widely available, biodegradable, and have low toxicity or are non-toxic. For the  
46    production of products, biopolymers are usually processed with plasticisers since, without plasticiser,  
47    biopolymer materials are too brittle or fragile to use [1]. Glycerol is the most widely used plasticiser  
48    for biopolymers due to its non-volatility and matching hydrophilicity. In recent years, ionic liquids  
49    (ILs) have attracted much attention for the processing and plasticisation of biopolymers, especially  
50    starch [2-10]. ILs that contain a strongly basic, hydrogen-bond-accepting anion (*e.g.* carboxylates or  
51    halides) can effectively disrupt biopolymer hydrogen-bonded networks [10].

52        However, how biopolymer–plasticiser (especially IL) interactions determine the properties of  
53    biopolymers is not fully understood. Chen et al. [11] suggested that glycerol is more advantageous  
54    for chitosan plasticisation than ILs because the hydrophobic end groups (C–H) of glycerol can  
55    prevent hydrogen bonding between chitosan chains whereas ILs, which have stronger hydrogen-  
56    bonding capability, can form multiple hydrogen bonds with chitosan chains and thus limit chain  
57    mobility. In contrast, a few studies [2, 4, 6, 7, 12] indicated that ILs are more effective plasticisers  
58    than glycerol for biopolymers such as starch, protein, and chitosan, resulting in a more amorphous  
59    structure, greater chain mobility, and mechanical ductility. Moreover, while ILs are more hydrophilic  
60    than glycerol, there has been no consensus on how plasticisers affect the hydrophilicity or  
61    hygroscopicity of biopolymers. Sankri et al. [6] indicated that starch plasticised by 1-butyl-3-  
62    methylimidazolium acetate ([C<sub>4</sub>mim]Cl) were significantly less hygroscopic than the glycerol-

63 plasticised counterpart. Zhang et al. [4] found that at a high plasticiser content (24 wt%), starch  
64 plasticised by 1-ethyl-3-methyl-imidazolium acetate ([C<sub>2</sub>mim][OAc]) showed greater water uptake  
65 during conditioning at 75% relative humidity (RH), whereas the reverse was observed at a low  
66 plasticiser content (9 wt%).

67 Nanocomposites from biorenewable resources have been produced to enhance mechanical  
68 properties (tensile, flexural, and impact) and play a wide and increasing role in diverse industrial  
69 applications (e.g. drug delivery, tissue engineering, fuel cells, electronics, food packaging,  
70 environmental remediation, genetic engineering, and biomedical sciences) [13]. Nonetheless, limited  
71 studies have been undertaken on how nanofillers influence the hydrophilicity of plasticised  
72 biopolymers other than starch. In the area of starch-based nanocomposites, it has been established  
73 that the hydrophilic nature of glycerol can negate the improved water resistance provided by  
74 montmorillonite (MMT) [14]. In a study [15] where chitosan was shown to function as a  
75 compatibiliser between the starch matrix and MMT, higher chitosan content led to increased surface  
76 hydrophobicity and decreased water vapour transmission rate and moisture absorption of the  
77 composite film. MMT was also found to decrease the water absorption, water solubility, and surface  
78 wettability of carboxymethyl starch films [16]. In these studies, the increased hydrophobicity was  
79 attributed to the biopolymer–MMT interaction and the tortuous path in the matrix created by the  
80 delaminated 2D nanoclay. However, how the interplay between nanofiller and plasticiser affects the  
81 surface hydrophilicity of chitosan-based nanocomposites has largely been unexplored, which forms  
82 the motivation for this current study.

83 We prepared chitosan and chitosan/carboxymethyl cellulose (CMC) polyelectrolyte-complexed  
84 materials with MMT by thermomechanical processing. This method provides strong shear stresses  
85 and efficient mixing for high filler concentration and high-viscosity ‘melts’, enabling excellent  
86 dispersion of MMT nanosheets in the biopolymer matrices as well as the plasticisation of  
87 biopolymers [17-21]. This method could also realise macroscopically uniform, bulky polyelectrolyte-  
88 complexed biopolymer materials, whereas in frequently used solution conditions, the rapid  
89 complexation between two polymers at the contact interface can result in heterogeneous aggregates  
90 [22]. We hypothesise that the material surface hydrophilicity/hydrophobicity can be largely  
91 influenced by the varying biopolymer–plasticiser interactions, while mechanical properties are  
92 mainly controlled by biopolymer inter-chain hydrogen bonding. We propose mechanisms underlying  
93 the hydrophilicity/hydrophobicity of biopolymer materials that were affected by MMT and the  
94 plasticisers ([C<sub>2</sub>mim][OAc] and glycerol).

## 95 **2 Materials and methods**

### 96 **2.1 Materials**

97 Chitosan (poly( $\beta$ -(1,4)-D-glucosamine), derived from crab shells, with a viscosity of about 100  
98 mPa·s (*i.e.* 1% solution in 1% acetic acid at 25 °C), a degree of deacetylation of >90%, and a weight-  
99 average molecular mass ( $M_w$ ) of about 150k g·mol<sup>-1</sup>, was purchased from Shanghai Ryon Biological  
100 Technology Co., Ltd (China). This chitosan was characterised previously [23]. CMC sodium, with a  
101 viscosity of 50–100 mPa·s (Brookfield, 2% solution, at 25 °C), a degree of substitution (DS) of 0.7,  
102 and an  $M_w$  value of 90,000 g·mol<sup>-1</sup>, was acquired from Shanghai Macklin Biochemical Co., Ltd  
103 (China). The characteristics of this CMC were reported in our previous study [24]. Glycerol ( $\geq 99\%$

analytical grade) was supplied by Fisher Scientific UK Ltd (UK); [C<sub>2</sub>mim][OAc] ( $\geq 95.0\%$ ) and MMT K 10 (surface area 220–270 m<sup>2</sup>/g) by Sigma-Aldrich Company Ltd (UK); formic acid (98 wt% AR) and NaBr (pure) by Scientific Laboratory Supplies Ltd (UK). Deionised water was used throughout.

## 2.2 Sample preparation

Table 1 shows the formulations and corresponding codes of the different chitosan-based samples prepared in this study. The matrices used were either chitosan alone (A) or chitosan/CMC (B). The codes also indicate the plasticiser used, with, for example, “G2” representing 20 wt% glycerol and “E4” indicating 40 wt% [C<sub>2</sub>mim][OAc]. The suffix “F” denotes the samples were prepared as films. Following our method established previously [24], the samples were prepared by pre-blending the ingredients, thermomechanical kneading at 80 °C for 15 min, hot-pressing at 110 °C and 160 bar for 10 min, and conditioning at 57% RH for three weeks before characterisation. The samples without plasticiser or MMT (A-F and B-F) [24], those plasticised by glycerol without MMT (AG2-F, AG4-F, BG2-F, and BG4-F) [25], and those plasticised by [C<sub>2</sub>mim][OAc] without MMT (AE2-F, AE4-F, BE2-F, and BE4-F) [26], all prepared in the same way, have been reported previously and are used for comparison throughout the discussion.



121

**Table 1.** Sample codes and compositions (represented as portions by weight).

Sample	Chitosan	CMC	Glycerol	[C <sub>2</sub> mim][OAc]	MMT	2M Formic acid solution
A/M-F	100	–	–	–	0.75	261
AG2/M-F	100	–	20	–	0.75	261
AG4/M-F	100	–	40	–	0.75	261
AE2/M-F	100	–	–	20	0.75	261
AE4/M-F	100	–	–	40	0.75	261
B/M-F	50	50	–	–	0.75	261
BG2/M-F	50	50	20	–	0.75	261
BG4/M-F	50	50	40	–	0.75	261
BE2/M-F	50	50	–	20	0.75	261
BE4/M-F	50	50	–	40	0.75	261

122

123 **2.3 Sample characterisation**

124 Scanning electron microscopy (SEM) imaging was performed using a ZEISS SIGMA field-  
 125 emission scanning electron microscope with an acceleration voltage of 6 kV. The biopolymer films  
 126 were cryo-fractured using liquid nitrogen and the samples sputter-coated with gold/palladium before  
 127 imaging.

128 Scanning transmission electron microscopy (STEM) was conducted using a Talos F200X  
 129 transmission electron microscope at 200 kV to obtain both bright-field (BF) and high-angle annular  
 130 dark-field (HAADF) images. Ribbons about 60 nm thick were sectioned from epoxy-embedded  
 131 sample blocks and subsequently transferred onto holey carbon films on 200-mesh copper grids. No  
 132 liquid was used during preparation to avoid damaging the samples.

133 X-ray diffraction (XRD) analysis was undertaken using a PANalytical Empyrean X-ray  
134 diffractometer at 40 kV and 40 mA with a Co target ( $K\alpha = 1.790307 \text{ \AA}$ ) and a beam slit of 10 mm.  
135 The samples were scanned over an angular range ( $2\theta$ ) of  $6\text{--}40^\circ$  with a step size of  $0.0263^\circ$  and a step  
136 rate of 2.16 s/step.

137 Fourier-transform infrared (FTIR) spectra were collected using a Bruker TENSOR 27 FTIR  
138 spectrometer with an attenuated total reflection (ATR) accessory with 32 scans for each sample over  
139 a range of  $4000\text{--}500 \text{ cm}^{-1}$  at room temperature (RT).

140 Thermo-gravimetric analysis (TGA) was undertaken using a Mettler Toledo TGA apparatus over  
141 a temperature range of  $30\text{--}700^\circ\text{C}$  at  $10 \text{ K/min}$  under nitrogen.

142 Dynamic mechanical thermal analysis (DMTA) was performed using a Triton 2000 DMA  
143 (Triton Technology Ltd., UK) in the dual cantilever mode with a sample length of 5 mm at a  
144 displacement of 0.01 mm. Temperature scans were performed from  $-100^\circ\text{C}$  to  $180^\circ\text{C}$  at  $2 \text{ K/min}$   
145 and  $1 \text{ Hz}$ . The dynamic storage modulus ( $E'$ ), loss modulus ( $E''$ ), and loss tangent ( $\tan \delta = E''/E'$ )  
146 were automatically calculated by the software.

147 Tensile tests were performed using an Instron 3367 universal testing machine with a 1kN load  
148 cell at a crosshead speed of  $3 \text{ mm/min}$ . As the specimens were in the form of thin sheets, specimen  
149 extension was measured by grip separation as recommended by ASTM Standard D882. Young's  
150 modulus ( $E$ ), tensile strength ( $\sigma_t$ ), and elongation at break ( $\epsilon_b$ ) were automatically determined using  
151 Instron Bluehill 3 software from at least seven replicates for each sample.

152 Contact angle data were obtained from sessile tests at RT based on Young–Laplace using an  
153 Attension Theta Lite instrument (Biolin Scientific, UK). As the contact angle kept changing after the

154 water drop was placed on the biopolymer film surface, contact angles at 0 s and 60 s ( $\theta_{c0s}$  and  $\theta_{c60s}$ ,  
155 respectively) were recorded.

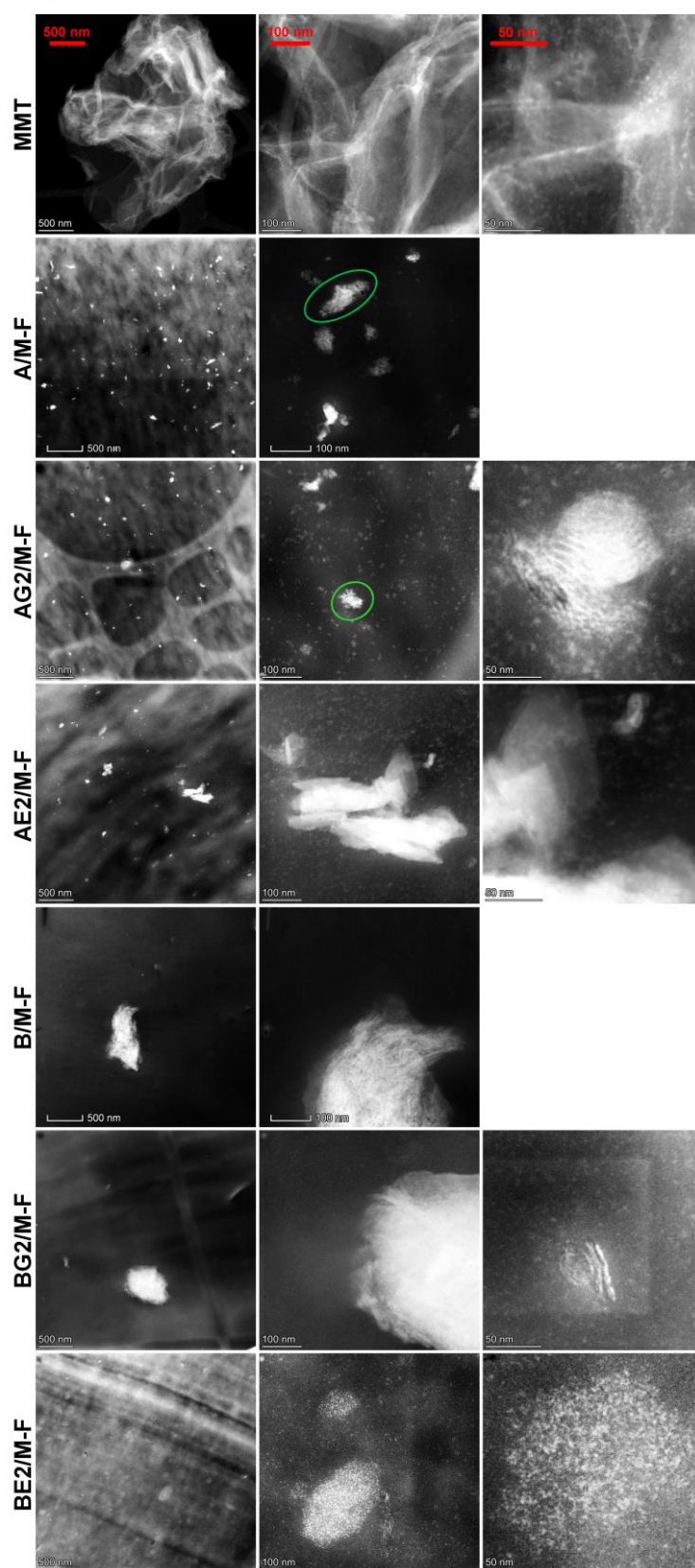
### 156 **3 Results and Discussion**

#### 157 **3.1 Morphology and structures of chitosan-based composites**

158 **Figure S1** shows SEM images of cryo-fractured surfaces of the different bionanocomposite  
159 films. All the samples displayed a cohesive structure, indicating successful processing of chitosan  
160 and CMC. No significant difference in micron-scale morphology can be seen between these MMT-  
161 filled and unfilled biopolymers (*i.e.* without MMT) [24-26].

162 **Figure 1** shows the morphology of neat MMT imaged using STEM. Stacks or agglomerations of  
163 large and individual sheets of MMT can be seen. In some areas, the MMT is just a few layers thick  
164 and was seen to be translucent, whereas the creases in the stacks had greater contrast and were more  
165 visible.

166



**Figure 1.** Scanning transmission electron microscopy high-angle annular dark-field (STEM-

HAADF) images of MMT and the different bionanocomposite films. Green circles indicate possible un-processed chitosan structure.

171

172       STEM was also used to examine the different bionanocomposite films, also shown in **Figure 1**.

173   For all the A-series of bionanocomposites, many bright dots in HAADF images can be seen

174   (examples enclosed by green circles), which are also exhibited by A-F [24]. These dots could be

175   some unprocessed chitosan structure. As no other features apparently existed in A/M-F, we consider

176   MMT was predominately delaminated and became invisible especially against the chitosan

177   background under STEM. MMT is naturally negatively charged because of isomorphic substitutions

178   occurring between clay platelets [28, 29]. As a result, a strong affinity between chitosan and MMT

179   should be expected because of not only the matching hydrophilicity but also ionic interaction,

180   leading to the intercalation of chitosan chains between MMT platelets and the subsequent

181   delamination of MMT platelets. In previous studies [17, 30], where significantly higher amounts

182   (2.5–10 wt%) of MMT were incorporated into chitosan, features like the creases or waviness of

183   MMT stacks were apparent and ubiquitous in the nanocomposites.

184       Compared with A/M-F, the images for AG2/M-F and AE2/M-F revealed some MMT

185   agglomerations. They also showed some ‘cloudy’ areas with diffused bright contrast in the HAADF

186   images, indicative of a “dissolvable” feature, which, may be ascribed to partially exfoliated MMT

187   nanosheets. Thus, the plasticiser negatively affected the delamination of MMT as it competed with

188   the biopolymer to interact with the MMT. However, as these agglomerations or ‘cloudy’ areas are

189   small in number and scattered distantly, it is considered that most of the MMT nanosheets were still

190   well dispersed in the chitosan matrix because of the strong shearing action applied during processing,

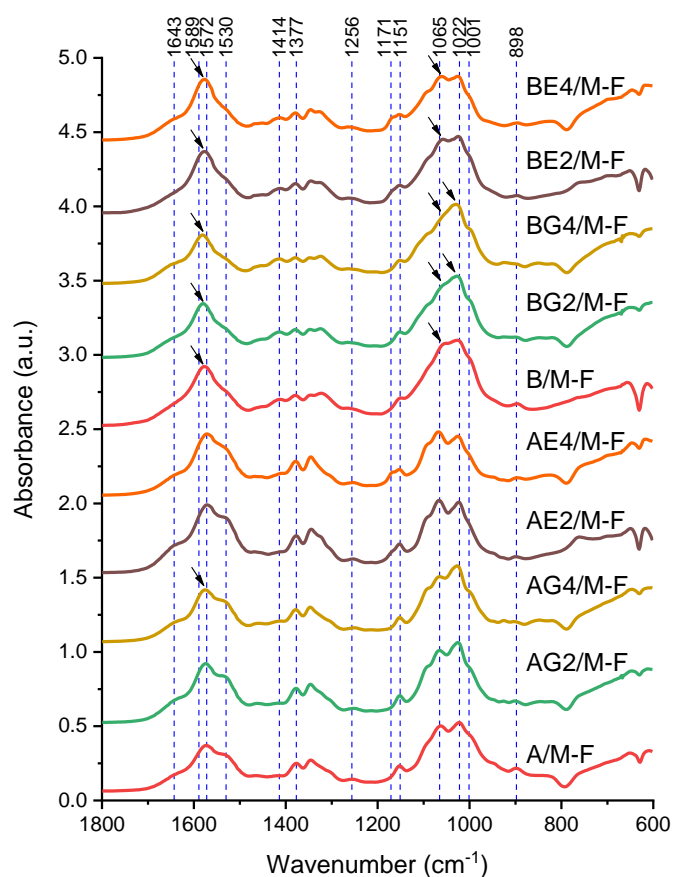
191   and the single and small bundles of nanosheets became much less visible.

192 B/M-F is similar to B-F [24], except that some MMT agglomerations were visible, larger than  
193 those in A/M-F. In this regard, the ionic and hydrogen-bonding interactions between CMC and  
194 chitosan may have competed with the similar interactions between chitosan and MMT, leading to  
195 poorer MMT dispersion in the polyelectrolyte-complexed chitosan/CMC (B) matrix. BG2/M-F also  
196 contained large agglomerations of MMT as well as ‘dissolvable’ features. Different from other  
197 samples, BE2/M-F displayed large densely populated structures. As BE2-F did not show this  
198 morphology [26], these features may be ascribed to partially exfoliated MMT nanosheets. In BE2/M-  
199 F, the IL (especially  $[C_2mim]^+$  cation) may have interacted with MMT through ionic interaction,  
200 contributing to the dispersion of MMT nanosheets. This phenomenon is worth further investigation.

201 **Figure 2** shows the FTIR spectra for the different bionanocomposites. Our previous studies [25,  
202 26] indicated that, for the A-matrix, plasticisation by glycerol could cause blue shifts of the  
203 absorption bands originally at  $1572\text{ cm}^{-1}$  (N—H bending from amine and amide II) and  $1022\text{ cm}^{-1}$   
204 (skeletal vibration of C—O stretching). For the B-matrix, inclusion of glycerol also caused a blue  
205 shift of the absorption band at  $1022\text{ cm}^{-1}$  and no significant changes to peak position were observed  
206 for the A- and B-matrices plasticised by  $[C_2mim][OAc]$ . Complexation between chitosan and CMC  
207 caused a blue shift of the absorption band at  $1572\text{ cm}^{-1}$  and a red shift of the band at  $1065\text{ cm}^{-1}$   
208 (asymmetric C—O—C stretching in the glycosidic linkage), suggesting strong molecular interactions  
209 between chitosan and CMC [25, 26]. MMT displayed a sharp peak at  $1001\text{ cm}^{-1}$  (**Figure S2**) due to  
210 the Si—O silica stretching mode [31] and this characteristic band of MMT was still slightly visible  
211 for all the samples. Besides, some changes to the FTIR spectra caused by inclusion of MMT were  
212 obvious. Compared with that for A-F and B-F, for A/M-F and B/M-F the band at  $1065\text{ cm}^{-1}$  was red-

213 shifted and became less sharp. Compared with AE2-F and AE4-F, AE2/M-F and AE4/M-F displayed  
 214 a slight blue shift of the band at  $1022\text{ cm}^{-1}$ . For BG2/M-F, the band at  $1022\text{ cm}^{-1}$  was also blue-  
 215 shifted compared with that for BG2-F. In this regard, interaction of MMT with the biopolymers  
 216 could have affected the biopolymer backbone chain and the saccharide ring by a steric hindrance  
 217 effect.

218



219

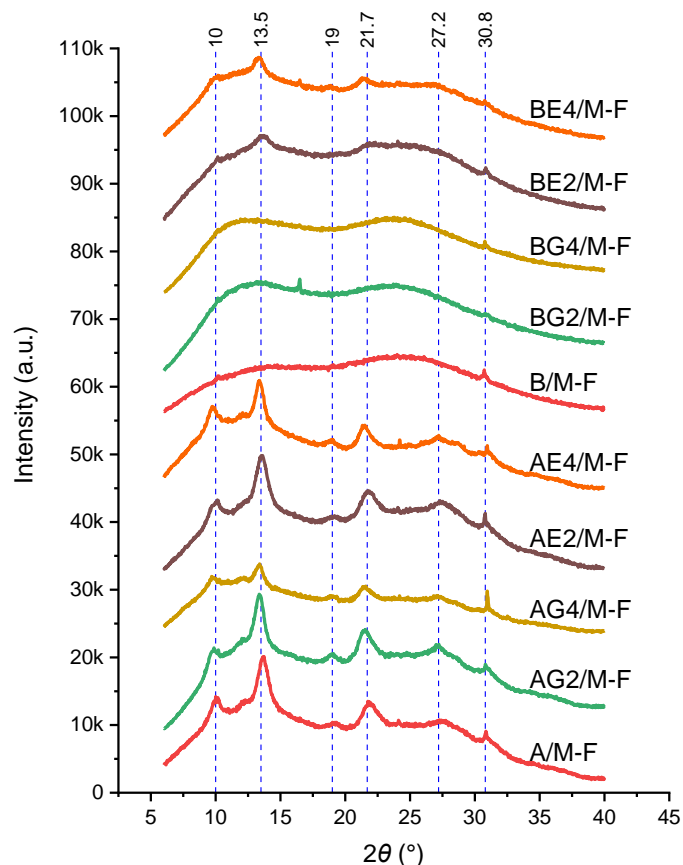
220 **Figure 2.** Fourier-transform infrared (FTIR) spectra for the different bionanocomposite films. The  
 221 reference lines indicate characteristic bands for unprocessed CMC ( $1589, 1414$  and  $1022\text{ cm}^{-1}$ ),  
 222 unprocessed chitosan ( $1643, 1572, 1530, 1377, 1256, 1151, 1065, 1022$  and  $898\text{ cm}^{-1}$ ) [24],  
 223  $[\text{C}_2\text{mim}][\text{OAc}]$  ( $1171\text{ cm}^{-1}$ ) [26], and MMT ( $1001\text{ cm}^{-1}$ ) (**Figure S2**). The arrows indicate shifts in  
 224 peak position or changes in peak intensity.

225

226 **Figure 3** shows the XRD curves for the different bionanocomposites. As discussed previously  
227 [25, 26], the A-series of samples displayed an apparent crystalline structure, which should be  
228 predominantly due to re-crystallisation as their XRD patterns are completely different from that of  
229 unprocessed chitosan. The B-series of samples, un-plasticised or plasticised by glycerol, were largely  
230 amorphous, implying the complexation with CMC suppressed the re-crystallisation of chitosan. The  
231 B-series of samples plasticised by [C<sub>2</sub>mim][OAc] had a low degree of crystallinity due to the re-  
232 crystallisation of chitosan facilitated by the IL. Inclusion of MMT to the different matrices did not  
233 result in changes to the XRD patterns for most samples. However, A/M-F showed reduced peak  
234 intensities relative to A-F, and B/M-F was more amorphous than B-F. This suggests that inclusion of  
235 MMT hindered the chain interactions and rearrangement for un-plasticised chitosan. Nonetheless,  
236 addition of 20 wt% glycerol allowed the chitosan chains to have greater mobility and therefore more  
237 likely to allow chain rearrangement and re-crystallisation especially with the assistance of MMT, as  
238 shown by AG2/M-F displaying more intense peaks than AG2-F. With these exceptions, the  
239 remaining MMT-filled biopolymers did not show XRD patterns different from those for the unfilled  
240 biopolymers.

241



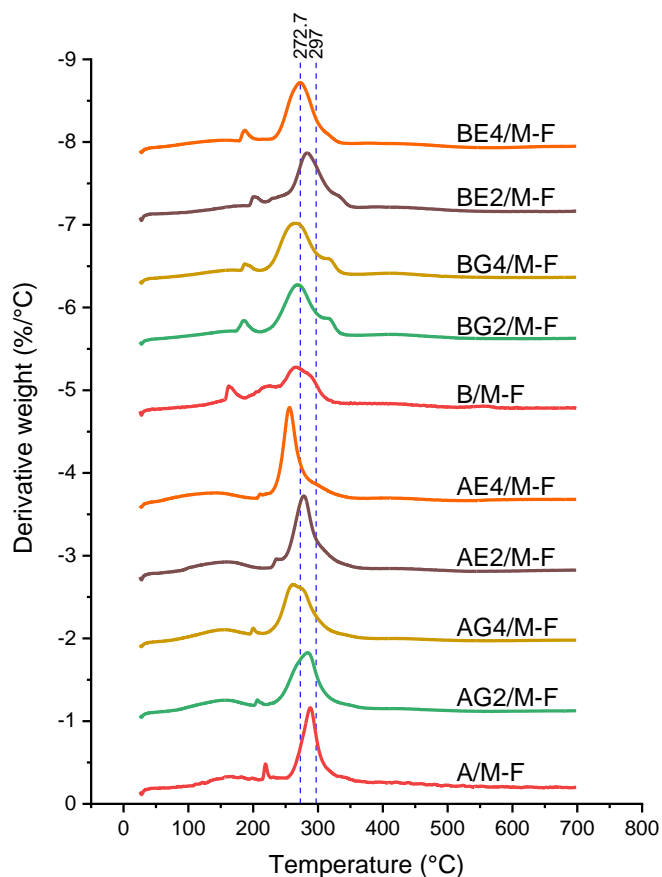


**Figure 3.** X-ray diffractograms for the different bionanocomposite films. The reference lines indicate characteristic peaks for A-F [24].

### 3.2 Material properties of chitosan-based composites

**Figure 4** shows the curves of derivative weight as a function of temperature for the different bionanocomposites obtained by TGA. Compared with A-F where the major peak temperature ( $T_d$ , maximum weight-loss rate) was 296 °C [24], A/M-F was less thermally stable ( $T_d$  = 288 °C), perhaps associated with reduced chain interactions and crystallinity (discussed in XRD results). For the A-matrix plasticised by glycerol (20 wt% or 40 wt%), inclusion of MMT did not change  $T_d$  (284 °C for AG2/M-F and 262°C for AG4/M-F). AE2/M-F had a higher  $T_d$  value (278 °C) than that of AE2-F (272 °C) [26]. In this regard, while plasticisation by [C<sub>2</sub>mim][OAc] significantly decreased the

254 thermal stability of chitosan, MMT counteracted this effect of the IL by shielding against the transfer  
 255 of pyrolysis products [32]. However, for the chitosan matrix plasticised by the higher content (40  
 256 wt%) IL, which displayed further reduced thermal stability [26], the effect of MMT was insignificant  
 257 ( $T_d = 256\text{ }^{\circ}\text{C}$  for AE4/M-F).  
 258



259  
 260 **Figure 4.** Derivative weight vs. temperature curves measured by thermogravimetric analysis (TGA)  
 261 for the different bionanocomposite films. The reference lines indicate the major peak temperatures of  
 262 A-F and B-F, respectively [24].  
 263

264 Our previous study [24] showed that B-F had a major weight loss as overlapped peaks at  $273\text{ }^{\circ}\text{C}$   
 265 ( $T_d$ ) and  $306\text{ }^{\circ}\text{C}$ , along with small peaks at  $169\text{ }^{\circ}\text{C}$  and  $223\text{ }^{\circ}\text{C}$  associated with the initial de-

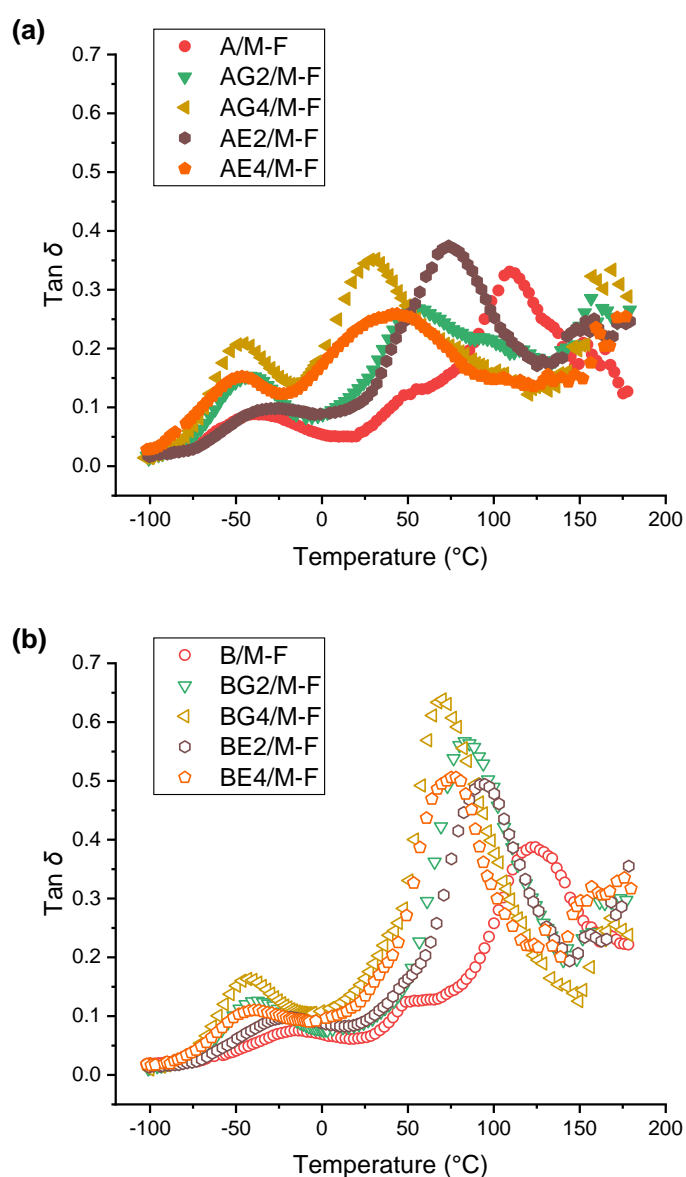
polymerisation of the biopolymers. The overlapped peaks at higher temperature (306 °C) may be attributed to the more thermally stable polyelectrolyte complexes. For B/M-F,  $T_d$  reduced to 266 °C and the peak derived from the polyelectrolyte complexes was less apparent. This corresponds with the reduction in crystallinity (see XRD results) and may also be derived from the partially weakened PEC between the two biopolymers due to the dispersed MMT nanosheets. BG2/M-F, BG4/M-F, BE2/M-F, and BE4/M-F had  $T_d$  values (269 °C, 265 °C, 283 °C, and 273 °C, respectively) similar to their respective matrix counterparts [25, 26], suggesting inclusion of MMT was ineffective at changing the thermal stability of these plasticised B-matrices. The high thermal stability of BE2/M-F is due to the enhanced chain interactions and re-crystallisation induced by [C<sub>2</sub>mim][OAc].

**Figure 5** shows the  $\tan \delta$  curves as a function of temperature measured by DMTA for the different bionanocomposites. For A-F, two transitions can be identified [24], a weak one centred at -47 °C associated with a  $\beta$ -relaxation of chitosan (the motions of the side chains or lateral groups of chitosan) and a much more prominent one at about 119 °C attributed to the  $\alpha$ -transition (glass transition) of chitosan [33, 34]. For A/M-F, the peak temperature of the  $\beta$ -transition ( $T_\beta$ ) increased to about -38 °C whereas the peak temperature of the  $\alpha$ -transition ( $T_\alpha$ ) was 109 °C. Thus, for the un-plasticised A-matrix, inclusion of MMT restricted the movement of chitosan side chains, due to interaction between negatively charged MMT and the chitosan cation. For the plasticised A-matrix, inclusion of MMT did not result in changes to  $T_\beta$  or  $T_\alpha$ . On the other hand, B-F also exhibited two major transitions ( $T_\beta = -43$  °C and  $T_\alpha = 97$  °C) [24]. In comparison, B/M-F showed significantly higher relaxation temperature ( $T_\beta = -13$  °C and  $T_\alpha = 123$  °C) indicating MMT restricted the mobility of both the side and main chains of the biopolymers in this ternary system. For the plasticised B-

287 matrix, inclusion of MMT did not significantly alter  $T_\beta$  or  $T_\alpha$ . Therefore, biopolymer chain mobility  
 288 in these composites is mainly determined by the plasticiser type. BE2/M-F had  $T_\beta = -21$  °C and  $T_\alpha =$   
 289 93 °C, higher than the respective values for other plasticised B-samples [25, 26]. In this case,  
 290 [C<sub>2</sub>mim][OAc] enhanced inter-chain interactions rather than providing a plasticisation effect, in  
 291 agreement with the high crystallinity and thermal stability discussed above.

292

293



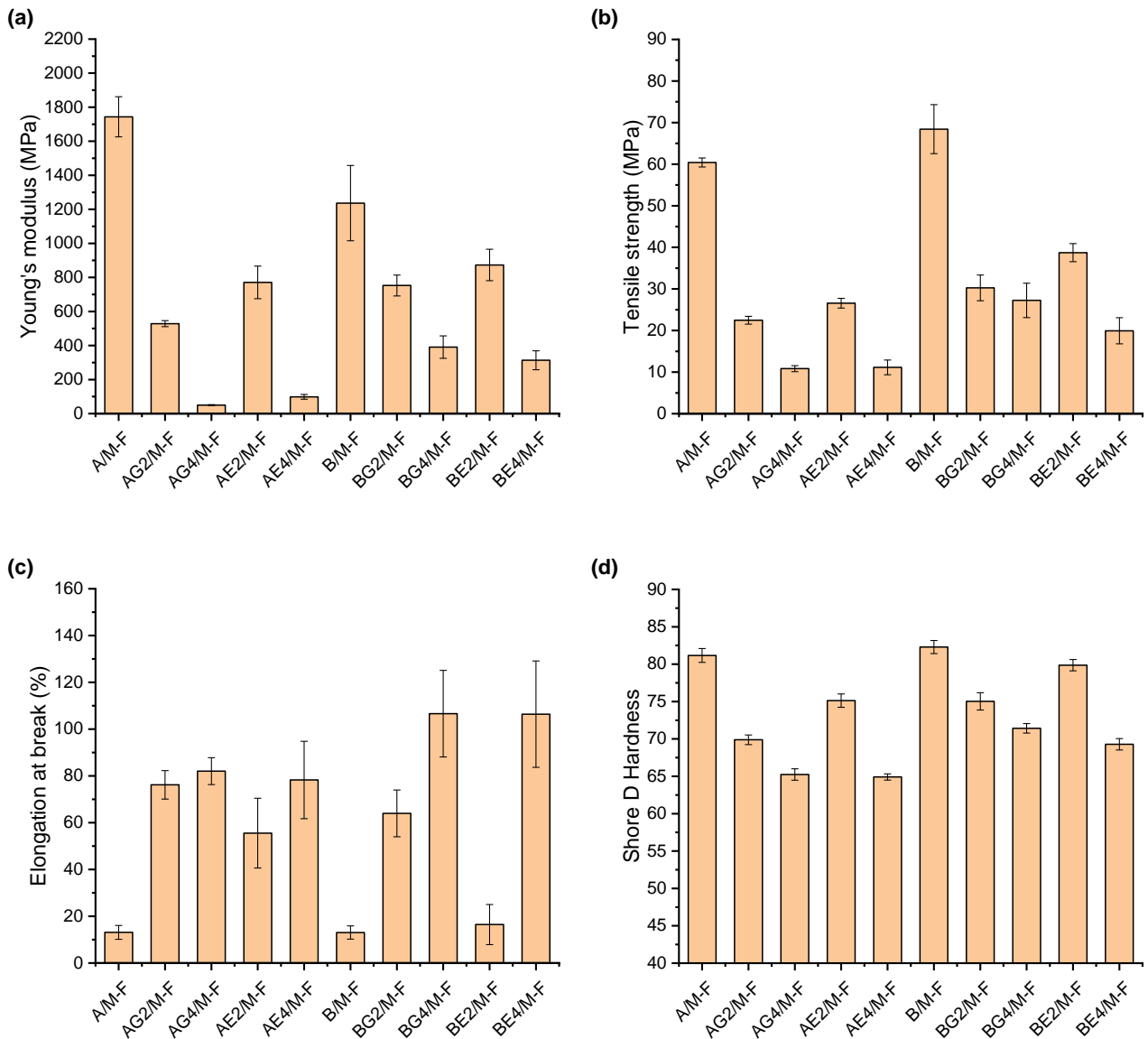
294

295 **Figure 5.** Dynamic mechanical thermal analysis (DMTA) results for the different bionanocomposite  
 296 films.

297

298        Representative stress–strain curves from tensile testing (**Figure S3**) indicate that while AG4/M-  
299 F and AE4/M-F were more elastomeric, most samples behaved like a hard and tough polymer  
300 displaying strain-hardening behaviour. In particular, A/M-F, B/M-F, and BE2/M-F showed higher  
301 stiffness and strength, associated with the formation of an inter-chain hydrogen-bonded network in  
302 these samples. From the stress–strain curves, the calculated  $E$ ,  $\sigma_t$ , and  $\varepsilon_b$  values are shown in **Figure**  
303 **6** (a), (b) and (c), respectively. Compared with A-F ( $E = 1260 \pm 169$  MPa,  $\sigma_t = 46.8 \pm 5.6$  MPa, and  $\varepsilon_b =$   
304  $22.6 \pm 4.6\%$ ), A/M-F displayed significant increases in these mechanical properties ( $E = 1744 \pm 118$   
305 MPa,  $\sigma_t = 60.4 \pm 1.1$  MPa, and  $\varepsilon_b = 13.1 \pm 2.9\%$ ). Compared with B-F ( $\sigma_t = 50.5 \pm 3.6$  MPa, and  $\varepsilon_b =$   
306  $10.4 \pm 3.4\%$ ), B/M-F had higher  $\sigma_t$  ( $68.4 \pm 5.9$  MPa) and  $\varepsilon_b$  ( $13.1 \pm 2.8\%$ ). The enhanced mechanical  
307 properties of the composites where MMT was added to the A- and B-matrices can be ascribed to the  
308 strong hydrogen-bonding and electrostatic interactions between MMT and chitosan and the large  
309 surface areas of MMT nanosheets, both contributing to effective stress transfer, despite the lower  
310 crystallinity (see XRD results) and possible decreased chitosan chain interactions, as discussed  
311 above. B/M-F also exhibited higher  $\sigma_t$  than A/M-F while both samples had similar  $\varepsilon_b$ , behaviour  
312 derived from PEC and an enhanced hydrogen-bonded network.

313



**Figure 6.** Tensile properties (a) Young's modulus, b) tensile strength and c) Elongation at break) and d) Shore D hardness for the different bionanocomposite films. Error bars represent standard deviations.

However, when the A- or B-matrix was plasticised with 20 wt% glycerol, inclusion of MMT even resulted in poorer mechanical properties. Specifically, AG2/M-F had  $E = 528 \pm 18$  MPa and  $\sigma_t = 22.5 \pm 0.9$  MPa, lower than those for AG2-F ( $E = 730 \pm 26$  MPa and  $\sigma_t = 26.3 \pm 1.4$  MPa) [25]. BG2/M-F had  $E = 528 \pm 18$  MPa and  $\sigma_t = 22.5 \pm 0.9$  MPa, lower than those for BG2-F ( $E = 883 \pm 65$  MPa and  $\sigma_t =$

38.4±2.8 MPa) [26]. In AG2/M-F and BG2/M-F, the inclusion MMT may assist the distribution of glycerol in the chitosan matrix and promote interactions with the biopolymer. While AG2/M-F had moderately higher crystallinity than AG2-F (see XRD results), crystallinity seemingly did not play a dominant role in determining the mechanical properties. A higher content (40 wt%) of glycerol resulted in weaker biopolymer chain interactions and in this case, MMT may assist with limited stress transfer between biopolymer chains. Therefore, AG4/M-F exhibited marginally higher  $\sigma_t$  (10.8±0.7 MPa) and  $\varepsilon_b$  (82.0±5.7%) than AG4-F ( $\sigma_t = 8.5\pm0.5$  and  $\varepsilon_b = 58.8\pm5.1\%$ ) [25]. BG4/M-F had a slightly higher  $E$  value (391±66 MPa) than BG4-F (333±60 MPa) [25].

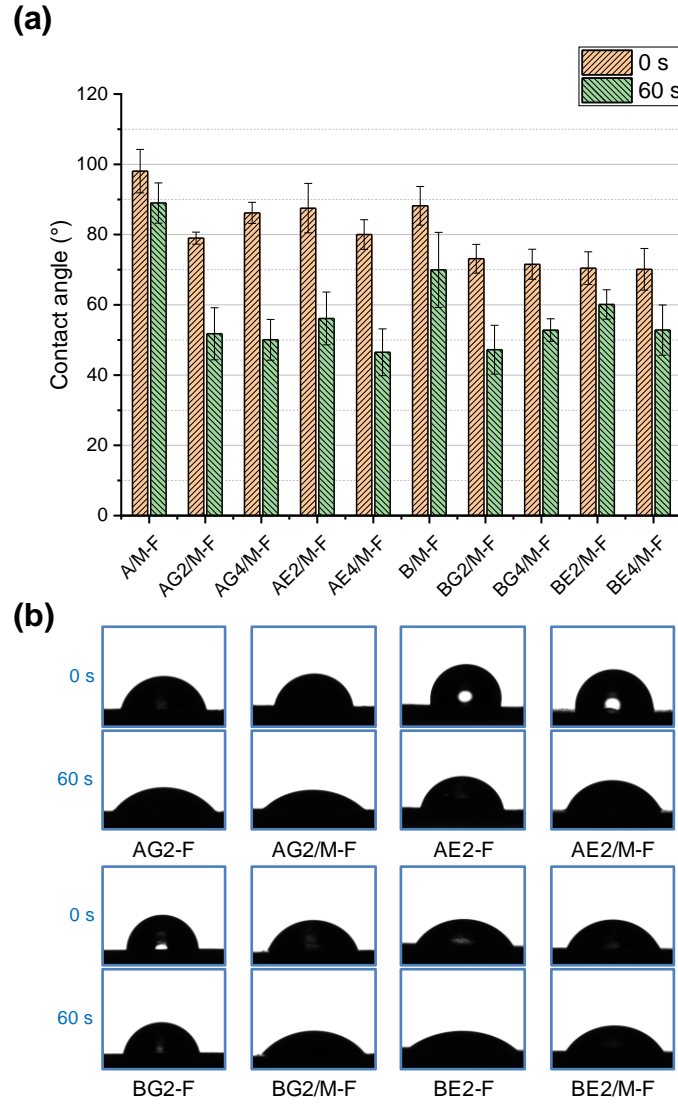
For the A-matrix plasticised by [C<sub>2</sub>mim][OAc], inclusion of MMT did not result in significant changes in the mechanical properties of chitosan, except that AE2/M-F had lower  $\varepsilon_b$  (55.5±15.0%) than AE2-F (72.0±9.2%) [26]. This shows the dominant role of the plasticiser in determining the interactions between chitosan chains, whereas the interaction between chitosan and MMT was weak. Due to ionic interactions, the [C<sub>2</sub>mim]<sup>+</sup> cation is likely to bind with the MMT. On the other hand, BE2/M-F displayed lower  $\varepsilon_b$  (16.4±8.6%) than BE2-F (33.4±8.0%) [26], and BE4/M-F showed lower  $E$  (333±56 MPa) and  $\sigma_t$  (19.9±3.1 MPa) than BE4-F ( $E = 518\pm82$  MPa and  $\sigma_t = 24.0\pm3.2\%$ ) [26]. Likely, in the B-matrix plasticised by [C<sub>2</sub>mim][OAc], MMT could restrict inter-chain hydrogen bonding. This will be further discussed in Section 3.3.

**Figure 6 d)** shows the Shore D hardness for the different samples, which shows similar trends shown by  $E$  and  $\sigma_t$  from tensile testing. In the regard, the plasticisers and inclusion of MMT influenced the hardness in the same way as for tensile properties. The highest Shore D hardness was obtained for A/M-F (81.2±0.9) and B/M-F (82.3±0.9). The hardness values for the two MMT-filled

un-plasticised samples were higher than that for the respective unfilled matrices ( $77.2\pm0.9$  for A-F and  $77.5\pm0.9$  for B-F), suggesting reinforcement is derived from the MMT. Compared with A-F and A/M-F, all plasticised A-samples showed lower hardness values irrespective of MMT addition. Compared with B-F, only BG2-F, BE2-F, and BE2/M-F displayed higher hardness values but still lower than that of B/M-F. Overall, plasticisation had a greater effect than MMT addition on biopolymer material hardness.

The surface wettability (hydrophilicity/hydrophobicity) of materials is important particularly for biomedical applications requiring biocompatibility and cell adhesion [35]. Cell adhesion occurs preferentially on moderately water-wettable polymer surfaces [36]. In addition, high surface hydrophilicity can lead to a hydrated layer on the materials as a high-surface-energy barrier to prevent biofouling (protein absorption) [37]. Therefore, it is interesting to tailor the surface wettability of chitosan materials via plasticisation or nanofiller addition. **Figure 7** shows the contact angle values obtained showing the surface hydrophilicity of the different bionanocomposite films. As the contact angle kept changing during the sessile measurement, both values at 0 s and 60 s ( $\theta_{c0s}$  and  $\theta_{c60s}$ ) were recorded. The  $\theta_{c0s}$  and  $\theta_{c60s}$  values for A-F were  $90\pm5^\circ$  and  $68\pm5^\circ$ , respectively [24]. In comparison, A/M-F had higher contact angle values ( $\theta_{c0s} = 98\pm6^\circ$  and  $\theta_{c60s} = 89\pm6^\circ$ ). Compared with B-F ( $\theta_{c0s} = 71\pm6^\circ$  and  $\theta_{c60s} = 60\pm5^\circ$ ), B/M-F also displayed higher  $\theta_{c0s}$  ( $88\pm6^\circ$ ) and  $\theta_{c60s}$  ( $70\pm11^\circ$ ). These results highlight the effect of inclusion of MMT in enhancing the surface hydrophilicity of both un-plasticised A- and B-matrices, behaviour attributed to the effective biopolymer–MMT interactions and the shielding provided by MMT nanosheets against water molecules.





**Figure 7.** a) Contact angle values and b) droplet images for the different bionanocomposite films at 0 s and 60 s. Error bars represent standard deviations. AG2-F, AE2-F, BG2-F, and BE2-F were tested in our previous studies [25, 26].

For the A-matrix plasticised by glycerol, the surface hydrophilicity only varied with glycerol content but was not affected by inclusion of MMT. Interestingly, BG2-F displayed significantly higher contact angle ( $\theta_{c0s} = 90 \pm 6^\circ$  and  $\theta_{c60s} = 73 \pm 8^\circ$ ) than AG2-F ( $\theta_{c0s} = 80 \pm 7^\circ$  and  $\theta_{c60s} = 49 \pm 7^\circ$ ) [25], while B-F displayed lower  $\theta_{c0s}$  and  $\theta_{c60s}$  than A-F [24]. Nonetheless, compared with BG2-F [25], BG2/M-F exhibited significantly higher surface hydrophilicity, with  $\theta_{c0s} = 73 \pm 4^\circ$  and  $\theta_{c60s} =$

376  $47\pm7^\circ$ , a consequence of the MMT nanosheets disrupting interactions between biopolymer chains  
377 and between biopolymer and glycerol. However, the effect of MMT was negligible with a high  
378 content (40 wt%) of glycerol in the B-matrix, as reflected by the similar  $\theta_{c0s}$  and  $\theta_{c60s}$  values for  
379 BG4-F and BG4/M-F.

380 Inclusion of MMT led to increased surface hydrophilicity for the A-matrix plasticised by  
381 [C<sub>2</sub>mim][OAc]. In this regard, MMT disrupts the hydrogen bonding between chitosan chains and the  
382 interaction between chitosan and the IL, making more polar groups available to interact with water.  
383 In contrast, for the IL-plasticised B-matrix, inclusion of MMT resulted in lower surface  
384 hydrophilicity (increased hydrophobicity), possibly due to enhanced PEC between biopolymer  
385 chains.

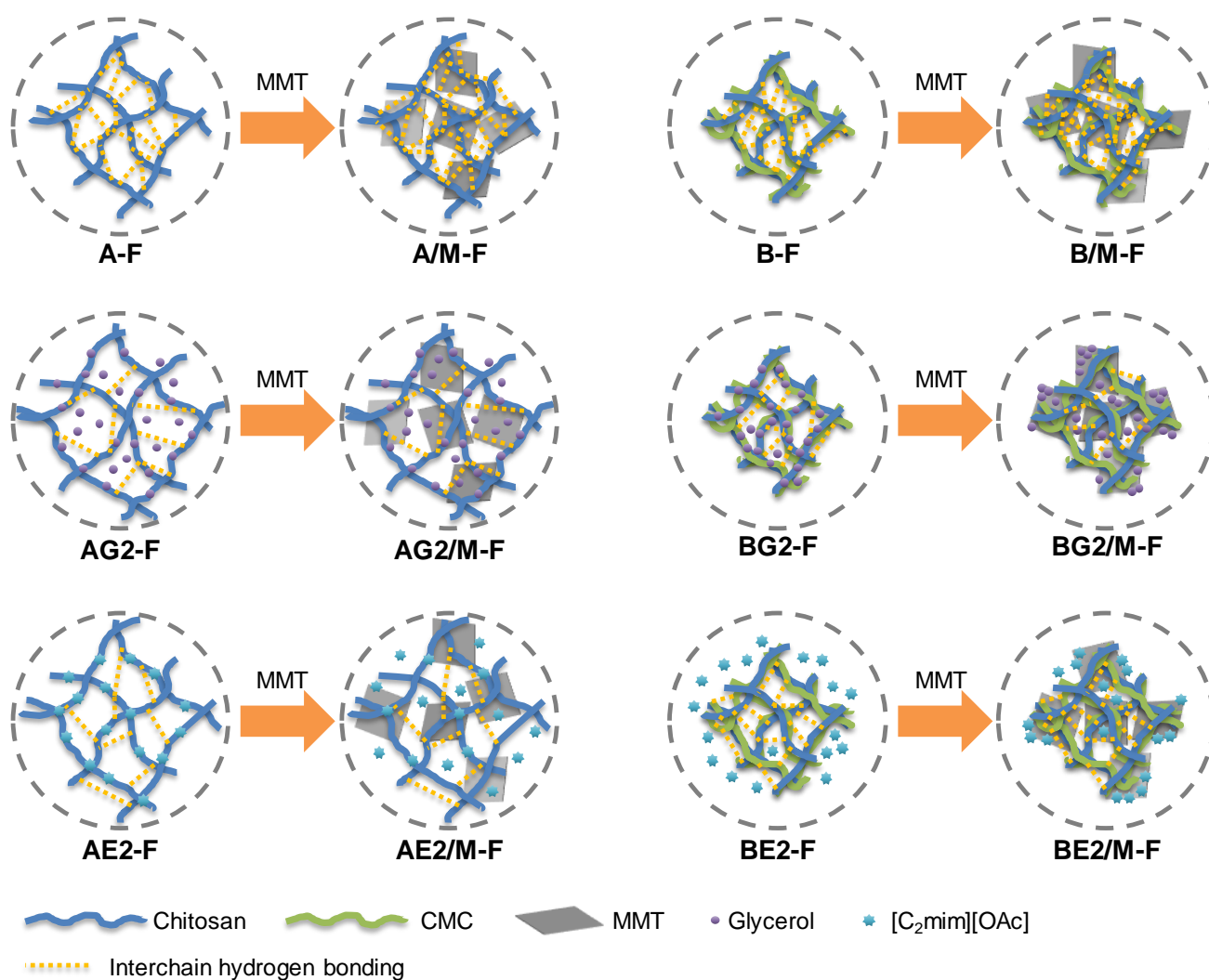
### 386 **3.3 Discussion on surface hydrophilicity/hydrophobicity of chitosan-based composites**

387 Our previous study [24] indicated that compared with A-F, B-F had much higher hydrolytic  
388 stability, attributed to PEC between chitosan and CMC. The enhanced structural stability of  
389 biopolymers by PEC can be ascribed to ionic bonds (governed by electrostatic attraction, or  
390 Coulomb's law) being stronger than hydrogen bonds. However, B-F still showed higher surface  
391 hydrophilicity than A-F [24], due to the higher hydrophilicity of CMC.

392 Surface hydrophilicity of a biopolymer material is largely determined by the surface free energy  
393 linked to chemical groups exposed on the material surface. For some biopolymers such as gelatin,  
394 the high chain mobility would allow for the burying of polar groups in the bulk phase, making the  
395 surface more hydrophobic. However, for polysaccharides such as chitosan, cellulose and starch,  
396 hydroxyl groups are located on planar saccharide rings and, consequently, have much less freedom to

397 rearrange themselves to change the material surface configuration [38]. According to Zhang et al.  
398 [39], the contact angle for solution-cast gelatin/starch films decreased from 114 to 72 with increasing  
399 starch content from 0 wt% and 100 wt%, indicating that starch has higher surface hydrophilicity than  
400 gelatin. However, research [40, 41] suggested that for chitosan/gelatin films (ratio from 100:0 to  
401 0:100, w/w) without crosslinking prepared by solution casting, the contact angle was in the range of  
402 78–90°; blending gelatin in chitosan was found to result in a moderately lower contact angle (higher  
403 surface hydrophilicity) and the pure-gelatin film was still more hydrophilic than the pure-chitosan  
404 film. In these studies, the difference in contact angle for gelatin might be due to the different ways of  
405 sample preparation, which led to different amounts of gelatin polar groups exposed on the surface. In  
406 this current work, as only polysaccharides were involved, we consider the surface hydrophilicity to  
407 be mainly linked to the availability of polar groups (typically hydroxyl groups) that participate in  
408 hydrogen-bonding interactions and the shielding effect due to the presence of the nanofiller, as  
409 illustrated schematically in **Figure 8** and discussed more specifically below. As contact angle  
410 decreased with time for the chitosan and chitosan/CMC samples, likely, the wetting process, which  
411 disrupted hydrogen bonding in the materials, also allowed more polar groups exposed on the surface.

412



**Figure 8.** Schematic representation of the structures of the different bionanocomposite films compared to their biopolymer counterparts.

A/M-F and B/M-F had reduced surface wettability relative to A-F and B-F, respectively, as a consequence of the strong hydrogen-bonding and ionic interactions between MMT and chitosan and, the large surface area of MMT nanosheets. Compared with A-F, the A-samples plasticised by glycerol displayed higher surface hydrophilicity. In these samples, glycerol weakened the hydrogen-bonded network in chitosan and increased the biopolymer free volume. Presumably, there was still a significant portion of glycerol molecules not hydrogen-bonded with chitosan polar groups, which

could bind with water and contributed to the increased surface hydrophilicity. Due to the more dynamic structure induced by glycerol, inclusion of MMT nanosheets was not effective at shielding the polar groups and reducing the surface hydrophilicity, although it further disrupted the inter-chain hydrogen-bonded network (as shown by reduced  $E$  and  $\sigma_t$ ).

Compared with the glycerol-plasticised A-samples, AE2-F had reduced surface hydrophilicity. In this regard, we propose that the IL anion ( $[\text{OAc}]^-$ ) could effectively bind with chitosan hydroxyl and amine groups, significantly reducing the amounts of free hydroxyl and amine groups available for interaction with water. Similarly, Sankri et al. [6] indicated that starch plasticised by  $[\text{C}_4\text{mim}]\text{Cl}$  were significantly less hygroscopic than the glycerol-plasticised counterpart. Chen et al. [11] suggested that ILs, due to their strong hydrogen-bonding capability, might bind with multiple hydroxyl groups of chitosan. However, the similar mechanical properties of AG2-F and AE2-F [25, 26] indicates the formation of substantive hydrogen-bonding crosslinks by  $[\text{C}_2\text{mim}][\text{OAc}]$  between chitosan chains was unlikely. Moreover, compared with that of AE2-F, the enhanced surface wettability of AE2/M-F suggests the MMT nanosheets may have restricted binding between the IL and chitosan polar groups.

Surprisingly, B/G2-F had low surface hydrophilicity similar to B/M-F. PEC brings the biopolymer chains closer, reducing free volume and assisting the binding between glycerol and biopolymer polar groups, reducing the amounts of free polar groups. Our visual observation indicates that the B-series of samples contracted more than the A-series of samples during conditioning, leading to a denser structure for the former. In agreement with this, a previous study [42] showed the excellent oil and water barrier properties of polyelectrolyte-complexed chitosan/CMC materials,

444 which the authors ascribed to the more dense material structure formed by PEC. PEC-induced  
445 densification of chitosan/fibroin materials has also been observed previously [43]. Compared with  
446 BG2-F, BG2/M-F displayed increased surface hydrophilicity. In this regard, the competing  
447 interactions between glycerol and MMT resulted in a greater amount of free biopolymer polar  
448 groups.

449 Unlike AE2-F, BE2-F had high surface wettability. In BE2-F, the IL assisted chain mobility, re-  
450 crystallisation, and the interactions between chitosan and CMC (resulting in high thermal stability  
451 and  $T_g$ ). However, the interactions between chitosan and CMC also led to more free IL ions that were  
452 not interacting with the biopolymers in sample BE2-F. The MMT added could interact with the IL  
453 and, thus, reduced surface wettability resulted.

## 454 **4 Conclusions**

455 This study shows the different ways in which MMT nanosheets influence the properties of  
456 biopolymers, dependent on plasticiser type. For un-plasticised A- and B-matrices, inclusion of MMT  
457 largely increased molecular relaxation temperatures, enhanced tensile mechanical properties, and  
458 increased surface hydrophobicity, all associated with strong hydrogen bonding and ionic interactions  
459 between MMT and chitosan. In particular, MMT shields the biopolymer polar groups, leading to  
460 reduced surface hydrophilicity. Meanwhile, inclusion of MMT reduced thermal stability and  
461 crystallinity, by hindering biopolymer chain interactions (hydrogen-bonding and/or ionic). When  
462 plasticisers such as glycerol or [C<sub>2</sub>mim][OAc] are introduced to the biopolymer system, any effect of  
463 MMT on material properties largely depends on whether the MMT alters the role of the plasticiser.

464 Unexpectedly, hydrophilic plasticisers may reduce the surface hydrophilicity of biopolymer  
465 films by interacting with biopolymer polar groups. This includes AE2-F, whose high surface  
466 hydrophobicity is derived from the strong hydrogen-bonding capability of [C<sub>2</sub>mim][OAc], and BG2-  
467 F, where PEC assisted the binding between biopolymer polar groups and glycerol. In these cases,  
468 inclusion of MMT interrupts the interactions between the plasticiser and biopolymer(s), leading to  
469 increased surface wettability, while its effect on the biopolymer inter-chain interactions was  
470 marginal. Thus, we conclude the plasticisers and MMT nanosheets influenced the surface  
471 hydrophilicity of biopolymer materials mainly by varying the availability of free polar groups of  
472 biopolymers.

473 This study has enabled a better understanding of the structure–property relationships of  
474 biopolymers and provided insights into the design of biopolymer materials with tailored  
475 hydrophilicity/hydrophobicity for specific applications (*e.g.* packaging, coating, controlled release,  
476 wound dressing, and tissue engineering).

477

## 478 **Conflicts of Interests**

479 Declarations of interest: none

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## **Data availability**

The raw/processed data required to reproduce these findings cannot be shared at this time due to technical or time limitations.

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602

## § Supporting Information §

### **Influence of plasticizer type and nanoclay on the properties of chitosan-based materials**

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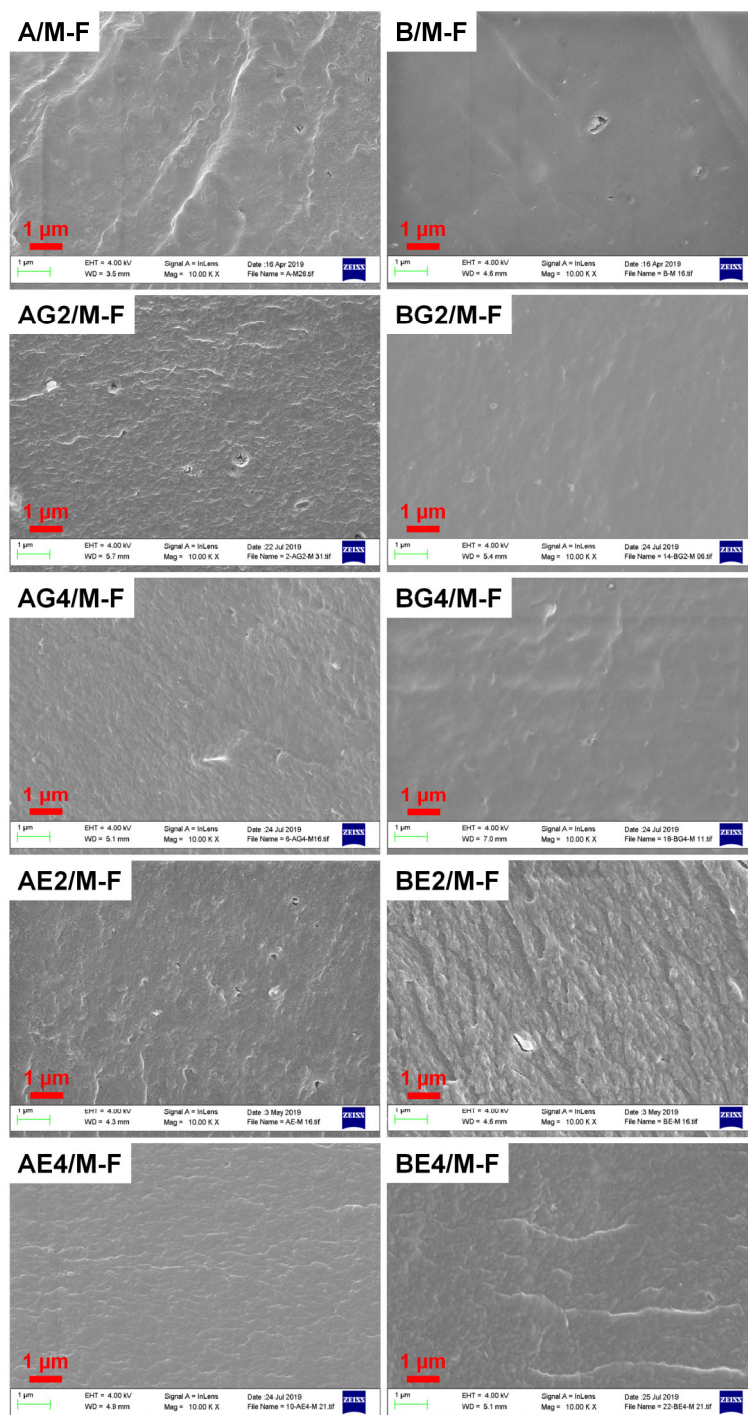
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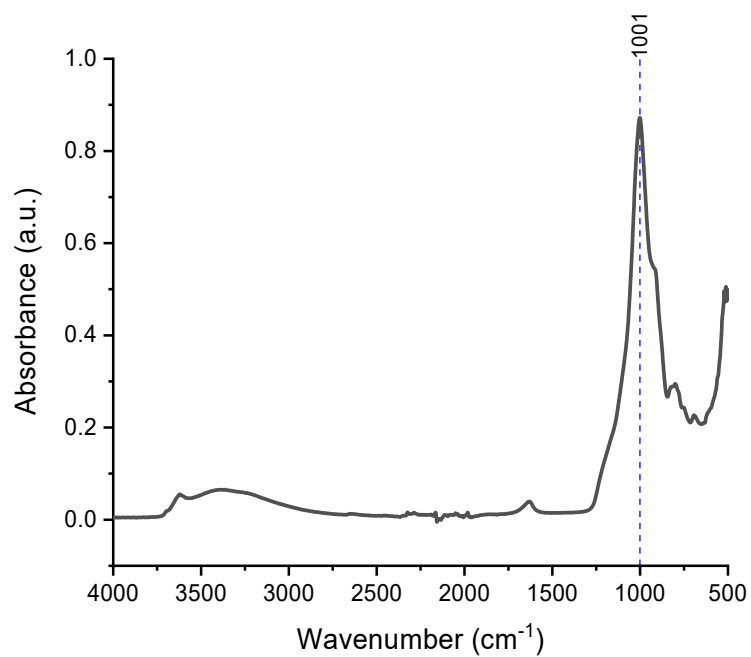
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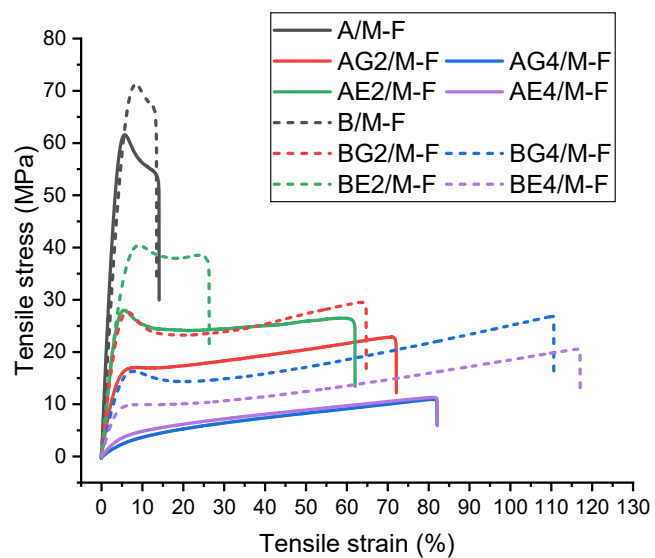
# 1 Figures



**Figure S1.** Scanning electron microscopy (SEM) images of cryofractured surfaces of the different bionanocomposite films.



**Figure S2.** Fourier-transform infrared (FTIR) spectrum of montmorillonite (MMT).



**Figure S3.** Representative stress–strain curves under tensile testing for the different bionanocomposite films.