

Manuscript version: Published Version

The version presented in WRAP is the published version (Version of Record).

Persistent WRAP URL:

http://wrap.warwick.ac.uk/158171

How to cite:

The repository item page linked to above, will contain details on accessing citation guidance from the publisher.

Copyright and reuse:

The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions.

Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

Publisher's statement:

Please refer to the repository item page, publisher's statement section, for further information.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk

Pacific Journal of Mathematics

GEOMETRIC STRUCTURES, THE GROMOV ORDER, KODAIRA DIMENSIONS AND SIMPLICIAL VOLUME

CHRISTOFOROS NEOFYTIDIS AND WEIYI ZHANG

Volume 315 No. 1

November 2021

GEOMETRIC STRUCTURES, THE GROMOV ORDER, KODAIRA DIMENSIONS AND SIMPLICIAL VOLUME

CHRISTOFOROS NEOFYTIDIS AND WEIYI ZHANG

We introduce an axiomatic definition for the Kodaira dimension and classify Thurston geometries in dimensions ≤ 5 in terms of this Kodaira dimension. We show that the Kodaira dimension is monotone with respect to the partial order defined by maps of nonzero degree between 5-manifolds. We study the compatibility of our definition with traditional notions of Kodaira dimension, especially the highest possible Kodaira dimension. To this end, we establish a connection between the simplicial volume and the holomorphic Kodaira dimension, which, in particular, implies that any smooth Kähler 3-fold with nonvanishing simplicial volume has top holomorphic Kodaira dimension.

1. Introduction

The Kodaira dimension provides a very successful classification tool for complex manifolds. This concept has been generalized by several authors to symplectic manifolds, especially in dimensions two and four [McDuff and Salamon 1996; 1998; LeBrun 1996; 1999; Li 2006; Dorfmeister and Zhang 2009], to almost complex manifolds [Chen and Zhang 2018], as well as to manifolds with a geometric decomposition in the sense of Thurston in dimensions three and four [Zhang 2017; Li 2019]. Our first goal is to generalize the traditional notions of Kodaira dimensions by introducing a more systematic study of the Kodaira dimension κ^g for manifolds that carry a geometric structure, especially in the sense of Thurston, and provide a complete classification in dimensions ≤ 5 .

Our proposed approach takes into account both coarse geometric (e.g., curvature) and group theoretic (e.g., fundamental group) structures of the manifold. On the one hand, manifolds that contain factors with compact universal coverings are assigned the lowest possible Kodaira dimension $(-\infty)$. On the other hand, the presence of some form of hyperbolicity on the manifold, as generalized by the notion of irreducible locally symmetric spaces of noncompact type (or the nonvanishing of

MSC2020: 57M50, 57N16.

Keywords: Kodaira dimension, Thurston geometry, simplicial volume, nonzero degree, Gromov's order, 5-manifold, projective manifold, Kähler manifold.

the simplicial volume, explained below), motivates the highest possible value (half of the dimension). These values generalize the well-known case of holomorphic Kodaira dimension for surfaces. Beyond the two ends, we treat in a uniform way solvable (Euclidean-by-Euclidean) geometries and introduce a more systematic consideration of half values as implied by the existence of fiber bundle structures for spaces that do not fall into the two boundary values of the Kodaira dimension.

A significant question in topology, suggested by Gromov [1999] and Milnor and Thurston [1977], is whether a given numerical homotopy invariant $\iota \in [0, \infty]$ is monotone with respect to maps of nonzero degree, that is, whether the existence of a map of nonzero degree $M \to N$ implies $\iota(M) \ge \iota(N)$. In [Zhang 2017; Neofytidis 2018b], this question was answered in the affirmative for the Kodaira dimension of manifolds of dimension ≤ 3 and for geometric manifolds in dimension four. We will show the monotonicity of the Kodaira dimension of geometric 5-manifolds.

Theorem 1.1. Let M and N be two closed oriented geometric 5-manifolds. If there is a map of nonzero degree from M to N, then $\kappa^{g}(M) \ge \kappa^{g}(N)$.

This clearly implies the following:

Corollary 1.2. Let M and N be two closed oriented geometric 5-manifolds. If there are maps of nonzero degree $M \rightleftharpoons N$, then $\kappa^g(M) = \kappa^g(N)$.

One of the most prominent examples of monotone invariants is the Gromov norm [Gromov 1982]. For a topological space X and a homology class $\alpha \in H_n(X; \mathbb{R})$, the Gromov norm of α is defined to be

$$\|\alpha\|_1 := \inf \left\{ \sum_j |\lambda_j| \ \Big| \ \sum_j \lambda_j \sigma_j \in C_n(X; \mathbb{R}) \text{ is a singular cycle representing } \alpha \right\}.$$

If X is a closed oriented *n*-dimensional manifold, then the Gromov norm or simplicial volume of X is given by $||X|| := ||[X]||_1$, where [X] denotes the fundamental class of X. The simplicial volume satisfies an even stronger condition than monotonicity: If $f: M \to N$ is a map of degree deg f, then

$$\|M\| \ge |\deg f| \|N\|,$$

and equality holds when f is a covering map. The nonvanishing of the simplicial volume is a powerful tool to show nonexistence of maps of nonzero degree, and the classification of Kodaira dimension suggests that manifolds with top Kodaira dimension are those with nonvanishing simplicial volume. Results of Gromov [1982] for hyperbolic manifolds and Lafont and Schmidt [2006] and Bucher [Bucher-Karlsson 2007] for irreducible locally symmetric spaces of noncompact type will motivate one of our building axioms, namely to set the Kodaira dimension of a closed manifold M in the above classes to be

$$\kappa^{g}(M) = \frac{\dim M}{2}.$$

Together with vanishing results, our choice, indeed, establishes the following connection between top Kodaira dimension and simplicial volume:

Theorem 1.3. A closed geometric 5-manifold M has nonzero simplicial volume if and only if $\kappa^{g}(M) = \frac{5}{2}$.

It is natural to examine the compatibility of our Kodaira dimension with the existing notions of Kodaira dimensions, such as the holomorphic Kodaira dimension κ^h for complex 2*n*-manifolds and the symplectic Kodaira dimension κ^s of minimal symplectic 4-manifolds. As Theorem 1.3 suggests, for the top Kodaira dimension the positivity of the simplicial volume is the connecting principle. We thus need to answer the following questions for the holomorphic and symplectic Kodaira dimension, respectively (in this paper we will concentrate on κ^h):

Question 1.4 (Question 3.13 in [Zhang 2017]).

- (1) Let *M* be a smooth 2*n*-dimensional complex manifold with nonvanishing simplicial volume. Is $\kappa^h(M) = n$?
- (2) Let *M* be a smooth 4-dimensional symplectic manifold with nonvanishing simplicial volume. Is $\kappa^{s}(M) = 2$?

When M is a Kähler surface, the above question was positively answered by Paternain and Petean [2004], who showed that M admits an \mathcal{F} -structure in the sense of Cheeger and Gromov [1986] if and only if the Kodaira dimension is different from two. The existence of an \mathcal{F} -structure implies the vanishing of the simplicial volume [Cheeger and Gromov 1986; Paternain and Petean 2004]. Moreover, all known examples of compact complex surfaces which are not of Kähler type have \mathcal{F} -structure and thus vanishing simplicial volume. In other words, the complex part of Question 1.4 for complex surfaces is reduced to answering the following: *Does every complex surface of Class VII have vanishing simplicial volume*?

Here, we will address the first part of Question 1.4, giving a uniform treatment in all dimensions, and an affirmative answer for Kähler 3-folds will follow from results in algebraic geometry.

Theorem 1.5.

- (1) If *M* is a smooth complex projective *n*-fold with nonvanishing simplicial volume, then $\kappa^h(M) \neq n-1, n-2 \text{ or } n-3$.
- (2) If M is a smooth Kähler 3-fold with nonvanishing simplicial volume, then $\kappa^h(M) = 3$.

In fact, our argument shows that the first part of Question 1.4 for projective manifolds follows from two well-known conjectures in algebraic geometry, due to Mumford and Kollár (Conjectures 4.3 and 4.4, respectively). When the complex dimension is no greater than three, both conjectures are known to be true. The second

part of Theorem 1.5 then follows from algebraic approximations of compact Kähler 3-folds. Moreover, the first part of Theorem 1.5 is actually true for Moishezon manifolds, and the second part works for complex 3-folds of Fujiki class C.

2. The Kodaira dimension for Thurston geometries

In this section, we give a definition of the Kodaira dimension and classify, in terms of this notion, closed manifolds that possess a Thurston geometry in dimensions ≤ 5 .

Let \mathbb{X}^n be a complete simply connected *n*-dimensional Riemannian manifold. We say that a closed manifold *M* is an \mathbb{X}^n -manifold, or that *M* is modeled on \mathbb{X}^n , or that *M* possesses the \mathbb{X}^n geometry in the sense of Thurston, if it is diffeomorphic to a quotient of \mathbb{X}^n by a lattice Γ in the group of isometries of \mathbb{X}^n (acting effectively and transitively). The group Γ is the fundamental group of *M*. Two geometries \mathbb{X}^n and \mathbb{Y}^n are the same whenever there exists a diffeomorphism $\psi: \mathbb{X}^n \to \mathbb{Y}^n$ and an isomorphism $\mathrm{Isom}(\mathbb{X}^n) \to \mathrm{Isom}(\mathbb{Y}^n)$ which sends each $g \in \mathrm{Isom}(\mathbb{X}^n)$ to $\psi \circ g \circ \psi^{-1} \in \mathrm{Isom}(\mathbb{Y}^n)$.

2A. Axiomatic definition. Let G be the smallest class of manifolds that contains all

- points;
- manifolds modeled on a compact geometry;
- solvable manifolds;
- irreducible symmetric manifolds of noncompact type;
- fiber bundles or manifolds modeled on fibered geometries, whose fiber and base (geometries) belong in *G*.

We define the *Kodaira dimension* κ^g of an *n*-manifold $M \in \mathcal{G}$ as follows:

(A0) If M is a point, then $\kappa^{g}(M) = 0$;

- (A1) If *M* is modeled on a compact geometry, then $\kappa^g(M) = -\infty$;
- (A2) If M is solvable, then $\kappa^g(M) = 0$;
- (A3) If M is irreducible symmetric of noncompact type, then $\kappa^g(M) = \frac{n}{2}$;
- (A4) If *M* is a fiber bundle or is modeled on a fibered geometry $\mathbb{F} \to \mathbb{X}^n \to \mathbb{B}$ and does not satisfy any of (A1)–(A3), then

$$\kappa^{g}(M) = \sup_{F,B} \{\kappa^{g}(F) + \kappa^{g}(B)\},\$$

where the supremum runs over all possible manifolds F and B that occur in a fibration $F \to M \to B$ or are modeled on \mathbb{F} and \mathbb{B} , respectively, and which satisfy one of (A1)–(A3).

An immediate consequence of the above definition is the following:

Lemma 2.1. Let $M \in \mathcal{G}$ and suppose $\overline{M} \to M$ is a finite covering. Then $\overline{M} \in \mathcal{G}$ and $\kappa^{g}(\overline{M}) = \kappa^{g}(M)$.

2B. Classification in dimensions ≤ 5 .

Dimension zero. The Kodaira dimension of a point is equal to zero by (A0).

Dimension one. The only closed 1-manifold is the circle $S^1 = \mathbb{R}/\mathbb{Z}$, i.e., it is modeled on the real line. In particular, S^1 is solvable satisfying (A2), hence

$$\kappa^g(S^1) = 0.$$

Dimension two. Let Σ_h be a surface of genus h. If h=0, then $\Sigma_0 = S^2$ satisfies (A1). If h = 1, then $\Sigma_1 = T^2 = \mathbb{R}^2/\mathbb{Z}^2$, i.e., it possesses the Euclidean geometry \mathbb{R}^2 which satisfies (A2). Finally, if $h \ge 2$, then Σ_h is hyperbolic, that is, it is modeled on \mathbb{H}^2 and satisfies (A3). Hence, to summarize, we have

$$\kappa^{g}(\Sigma_{h}) = \begin{cases} -\infty, & \text{if } h = 0; \\ 0, & \text{if } h = 1; \\ 1, & \text{if } h \ge 2. \end{cases}$$

Dimension three. By Thurston's geometrization picture in dimension three, there exist eight geometries [Scott 1983; Thurston 1997]. The compact geometry S^3 satisfies (A1), the geometries \mathbb{R}^3 (Euclidean), Nil³ (nilpotent) and Sol³ (solvable but not nilpotent) satisfy (A2), and the hyperbolic geometry satisfies (A3). We are left with the three product geometries which do not belong to (A1)–(A3). For the geometry $S^2 \times \mathbb{R}$ we have, according to (A4) and the Kodaira dimensions for 1- and 2-manifolds,

$$\kappa^g(S^2 \times S^1) = \kappa^g(S^2) + \kappa^g(S^1) = -\infty.$$

Every 3-manifold M modeled on $\mathbb{H}^2 \times \mathbb{R}$ or \widetilde{SL}_2 is finitely covered by a circle bundle over a closed hyperbolic surface Σ_h . Hence, (A4), Lemma 2.1 and the Kodaira dimensions for the circle and hyperbolic surfaces, give us

$$\kappa^{g}(M) = \kappa^{g}(S^{1}) + \kappa^{g}(\Sigma_{h}) = 1.$$

Summarizing,

$$\kappa^{g}(M) = \begin{cases} -\infty, & \text{if } M \text{ is modeled on } S^{3} \text{ or } S^{2} \times \mathbb{R}; \\ 0, & \text{if } M \text{ is modeled on } \mathbb{R}^{3}, \text{Nil}^{3} \text{ or } \text{Sol}^{3}; \\ 1, & \text{if } M \text{ is modeled on } \mathbb{H}^{2} \times \mathbb{R} \text{ or } \widetilde{\text{SL}}_{2}; \\ \frac{3}{2}, & \text{if } M \text{ is modeled on } \mathbb{H}^{3}. \end{cases}$$

Dimension four. In his thesis, Filipkiewicz [1983] classified the 4-dimensional geometries. According to that, there exist nineteen geometries, eighteen of which have representatives which are compact manifolds. We now enumerate those geometries following the axioms in Section 2A. For the notation and details on the structure of each geometry and of manifolds modeled on them, we refer to the thesis of Filipkiewicz [1983], as well as to the papers of Wall [1985; 1986]

and the monograph of Hillman [2002]; see also [Neofytidis 2018a] for some new characterizations for certain geometries of nilpotent and solvable type.

There are three compact geometries, namely S^4 , \mathbb{CP}^2 and $S^2 \times S^2$, and they satisfy (A1). Thus, a manifold M modeled on any of those geometries has Kodaira dimension

$$\kappa^g(M) = -\infty.$$

There are six solvable geometries satisfying (A2): the Euclidean \mathbb{R}^4 , the nilpotent Nil⁴ and Nil³ × \mathbb{R} , and the three solvable but not nilpotent geometries Sol₀⁴, Sol₁⁴ and Sol_{*m*,*n*}⁴ (note that Sol_{*m*,*m*}⁴ = Sol³ × \mathbb{R}). Hence, for those geometries we have

$$\kappa^{g}(M) = 0.$$

Next, (A3) is satisfied by the real and complex hyperbolic geometries, \mathbb{H}^4 and $\mathbb{H}^2(\mathbb{C})$, respectively, as well as by the irreducible $\mathbb{H}^2 \times \mathbb{H}^2$ geometry. We thus have for a manifold M that possesses one of those geometries

$$\kappa^g(M) = \frac{4}{2} = 2.$$

Finally, we deal with the remaining seven geometries which satisfy (A4): If a manifold M is modeled on one of the geometries $S^2 \times \mathbb{R}^2$, $S^2 \times \mathbb{H}^2$ or $S^3 \times \mathbb{R}$, then it has a finite cover which is a fiber bundle with S^2 - or S^3 -fiber. Thus $\kappa^g(M) = -\infty$, because $\kappa^g(S^n) = -\infty$ for $n \ge 2$. A manifold M modeled on one of the geometries $\mathbb{H}^2 \times \mathbb{R}^2$ or $\widetilde{SL}_2 \times \mathbb{R}$ has Kodaira dimension $\kappa^g(M) = 1$ by the corresponding classifications in lower dimensions, and, for the same reason, if M is an $\mathbb{H}^3 \times \mathbb{R}$ -manifold, then $\kappa^g(M) = \frac{3}{2}$. Finally, if M is modeled on the reducible geometry $\mathbb{H}^2 \times \mathbb{H}^2$, then it is virtually a product of two hyperbolic surfaces, hence $\kappa^g(M) = 2$ by the fact that hyperbolic surfaces have Kodaira dimension one. Note that $\kappa^g(M) = 2 = \frac{4}{2}$ for irreducible $\mathbb{H}^2 \times \mathbb{H}^2$ -manifolds, as we have seen above.

All this is summarized as follows:

$$\kappa^{g}(M) = \begin{cases} -\infty, & \text{if } M \text{ is modeled on } S^{4}, \mathbb{CP}^{2}, S^{2} \times \mathbb{X}^{2} \text{ or } S^{3} \times \mathbb{R}; \\ 0, & \text{if } M \text{ is modeled on } \mathbb{R}^{4}, \operatorname{Nil}^{4}, \operatorname{Nil}^{3} \times \mathbb{R}, \operatorname{Sol}_{m,n}^{4}, \operatorname{Sol}_{0}^{4} \text{ or } \operatorname{Sol}_{1}^{4}; \\ 1, & \text{if } M \text{ is modeled on } \mathbb{H}^{2} \times \mathbb{R}^{2} \text{ or } \widetilde{\operatorname{SL}}_{2} \times \mathbb{R}; \\ \frac{3}{2}, & \text{if } M \text{ is modeled on } \mathbb{H}^{3} \times \mathbb{R}; \\ 2, & \text{if } M \text{ is modeled on } \mathbb{H}^{4}, \mathbb{H}^{2}(\mathbb{C}) \text{ or } \mathbb{H}^{2} \times \mathbb{H}^{2}. \end{cases}$$

Dimension five. Recently, Geng [2016a] gave a classification of the 5-dimensional geometries. According to Geng's list, there exist fifty-eight geometries, and fifty-four of them are realized by compact manifolds. (Counting from Geng's list one finds fifty-nine geometries, because the geometry $\text{Sol}^3 \times \mathbb{R}^2$, which is $\text{Sol}_{m,n}^4 \times \mathbb{R}$ for m = n, is counted individually.) As before, we will enumerate these geometries following (A0)–(A4). For a description of each geometry, as well as for the terminology, we refer to the three papers from the thesis of Geng [2016a; 2016b; 2016c]. In particular,

for the virtual properties of a manifold modeled on each geometry, we refer to the individual sections/results as given in the statements of [Geng 2016b, Theorem 1.1] and [Geng 2016c, Theorem 1.1]. These descriptions will be used as well in Section 3. Furthermore, as it is remarked in [Geng 2016a, Section 4], a similar classification for the Thurston geometries was partially done in dimensions six and seven (and thus the Kodaira dimensions of those manifolds can be similarly determined).

Manifolds satisfying (A1). There are three compact geometries: the 5-sphere S^5 , the Wu symmetric manifold SU(3)/SO(3) and the product $S^2 \times S^3$. A manifold *M* modeled on these geometries has Kodaira dimension

$$\kappa^g(M) = -\infty.$$

Manifolds satisfying (A2). Naturally, this is one of the most rich classes of new geometries with the various (irreducible) extensions of solvable-by-solvable geometries. There are two nilpotent and six solvable but not nilpotent extensions of type $\mathbb{R}^4 \rtimes \mathbb{R}$, denoted by

$$A_{5,1}, A_{5,2}$$
 and $A_{5,7}^{a,b,-1-a-b}, A_{5,7}^{1,-1-a,-1+a}, A_{5,7}^{1,-1,-1}, A_{5,8}^{-1}, A_{5,9}^{-1,-1}, A_{5,15}^{-1}$

respectively. There are two nilpotent geometries of type Nil⁴ $\rtimes \mathbb{R}$, denoted by $A_{5,5}$ and $A_{5,6}$. There is one nilpotent and one solvable but not nilpotent geometry of type ($\mathbb{R} \times \text{Nil}^3$) $\rtimes \mathbb{R}$ denoted by $A_{5,3}$ and $A_{5,20}^0$, respectively. There is a solvable but not nilpotent extension $\mathbb{R}^3 \rtimes \mathbb{R}^2$ denoted by $A_{5,33}^{-1,-1}$. The last irreducible solvable geometry is Nil⁵. The remaining solvable geometries are built out of products of lower dimensional geometries: the Euclidean \mathbb{R}^5 , the nilpotent Nil³ $\times \mathbb{R}^2$, Nil⁴ $\times \mathbb{R}$, and the solvable but not nilpotent Sol_0^4 $\times \mathbb{R}$, Sol₁⁴ $\times \mathbb{R}$, Sol_{*m,n*}⁴ $\times \mathbb{R}$ (note that Sol_{*m,m*}⁴ $\times \mathbb{R} = \text{Sol}^3 \times \mathbb{R}^2$). A manifold M modeled on any of these geometries has Kodaira dimension

$$\kappa^g(M) = 0.$$

Manifolds satisfying (A3). Any manifold modeled on one of the irreducible symmetric geometries of noncompact type \mathbb{H}^5 or SL(3, \mathbb{R})/SO(3) has Kodaira dimension

$$\kappa^g(M) = \frac{5}{2}.$$

Manifolds satisfying (A4). A manifold M modeled on one of the geometries:

$S^2 \times S^2 \times \mathbb{R},$	$S^2 \times \mathbb{R}^3$,	$S^2 \times Nil^3$,	$S^2 \times \mathrm{Sol}^3$,
$S^2 \times \mathbb{H}^2 \times \mathbb{R},$	$S^2 \times \widetilde{\mathrm{SL}}_2,$	$S^2 \times \mathbb{H}^3$,	$S^2 \times \mathbb{H}^3$,
$S^3 \times \mathbb{R}^2$,	$S^3 \times \mathbb{H}^2$,	$S^4 \times \mathbb{R},$	$\mathbb{CP}^2 \times \mathbb{R},$
$\operatorname{Nil}^3 \times_{\mathbb{R}} S^3,$	$\widetilde{\mathrm{SL}}_2 \times_{\alpha} S^3$,	$L(a,1)\times_{S^1} L(b,1),$	$T^1(\mathbb{H}^3)$

satisfies (A4) with fiber or base one of the compact geometries S^2 , S^3 , S^4 or \mathbb{CP}^2 . Hence, $\kappa^g(M) = -\infty$ by the classification of Kodaira dimensions of manifolds of dimension ≤ 4 . Now, a manifold M modeled on one of the geometries:

$$\begin{array}{ll} \mathbb{R}^3 \times \mathbb{H}^2, & \operatorname{Nil}^3 \times \mathbb{H}^2, & \operatorname{Sol}^3 \times \mathbb{H}^2, \\ \widetilde{\operatorname{SL}}_2 \times \mathbb{R}^2, & \mathbb{R}^2 \rtimes \widetilde{\operatorname{SL}}_2, & \operatorname{Nil}^3 \times_{\mathbb{R}} \widetilde{\operatorname{SL}}_2 \end{array}$$

is fibered with involved geometries \mathbb{H}^2 and a solvable geometry. Hence, $\kappa^g(M) = 1$.

Every representative M of the $\mathbb{H}^3 \times \mathbb{R}^2$ geometry satisfies (A4), where the supremum is achieved with the geometries \mathbb{H}^3 and \mathbb{R}^2 , i.e., $\kappa^g(M) = \frac{3}{2}$.

Next, we deal with 5-manifolds which are fibrations over a space of Kodaira dimension two, namely they are modeled on one of geometries:

$$\begin{array}{ll} \mathbb{H}^2 \times \widetilde{\mathrm{SL}}_2, & \mathbb{H}^2 \times \mathbb{H}^2 \times \mathbb{R}, & \widetilde{\mathrm{SL}}_2 \times_{\alpha} \widetilde{\mathrm{SL}}_2, \\ \mathbb{H}^4 \times \mathbb{R}, & \mathbb{H}^2(\mathbb{C}) \times \mathbb{R}, & \widetilde{U(2,1)/U(2)}. \end{array}$$

Indeed, those geometries are fibered over one of the geometries $\mathbb{H}^2 \times \mathbb{H}^2$, \mathbb{H}^2 or $\mathbb{H}^2(\mathbb{C})$. Hence, any 5-manifold modeled on the above geometries has Kodaira dimension $\kappa^g(M) = 2$.

Finally, a manifold M modeled on the product geometry $\mathbb{H}^2 \times \mathbb{H}^3$ has top Kodaira dimension $\kappa^g(M) = 1 + \frac{3}{2} = \frac{5}{2}$.

We summarize the Kodaira dimensions of geometric 5-manifolds below:

$$\kappa^{g}(M) = \begin{cases} -\infty, \text{ if } M \text{ is modeled on } \mathrm{SU}(3)/\mathrm{SO}(3), S^{5}, S^{2} \times \mathbb{X}^{3}, S^{3} \times \mathbb{X}^{2}, S^{4} \times \mathbb{R}, \\ \mathbb{CP}^{2} \times \mathbb{R}, \mathrm{Nil}^{3} \times_{\mathbb{R}} S^{3}, \widetilde{\mathrm{SL}}_{2} \times_{\alpha} S^{3}, L(a, 1) \times_{S^{1}} L(b, 1) \text{ or } T^{1}(\mathbb{H}^{3}); \\ 0, \text{ if } M \text{ is modeled on } \mathbb{R}^{5}, \mathbb{R}^{4} \rtimes \mathbb{R}, \mathbb{R}^{3} \rtimes \mathbb{R}^{2}, \mathrm{Nil}^{5}, \mathrm{Nil}^{4} \times \mathbb{R}, \mathrm{Nil}^{3} \times \mathbb{R}^{2}, \\ \mathrm{Nil}^{4} \rtimes \mathbb{R}, (\mathbb{R} \times \mathrm{Nil}^{3}) \rtimes \mathbb{R}, \mathrm{Sol}_{0}^{4} \times \mathbb{R}, \mathrm{Sol}_{1}^{4} \times \mathbb{R} \text{ or } \mathrm{Sol}_{m,n}^{4} \times \mathbb{R}; \\ 1, \text{ if } M \text{ is modeled on } \mathbb{H}^{2} \times \mathbb{R}^{3}, \mathbb{H}^{2} \times \mathrm{Nil}^{3}, \mathbb{H}^{2} \times \mathrm{Sol}^{3}, \mathbb{R}^{2} \times \widetilde{\mathrm{SL}}_{2}, \\ \mathbb{R}^{2} \rtimes \widetilde{\mathrm{SL}}_{2} \text{ or } \mathrm{Nil}^{3} \times_{\mathbb{R}} \widetilde{\mathrm{SL}}_{2}; \\ \frac{3}{2}, \text{ if } M \text{ is modeled on } \mathbb{H}^{3} \times \mathbb{R}^{2}; \\ 2, \text{ if } M \text{ is modeled on } \mathbb{H}^{2} \times \widetilde{\mathrm{SL}}_{2}, \widetilde{\mathrm{SL}}_{2} \times \alpha \widetilde{\mathrm{SL}}_{2}, \mathbb{H}^{2} \times \mathbb{H}^{2} \times \mathbb{R}, \\ \mathbb{H}^{4} \times \mathbb{R}, \mathbb{H}^{2}(\mathbb{C}) \times \mathbb{R} \text{ or } \overline{U(2,1)/U(2)}; \\ \frac{5}{2}, \text{ if } M \text{ is modeled on } \mathbb{H}^{5}, SL(3, \mathbb{R})/SO(3) \text{ or } \mathbb{H}^{3} \times \mathbb{H}^{2}. \end{cases}$$

2C. Remarks on the definition and classification.

Half integers and bundle additivity. Half integers for the Kodaira dimension were introduced in [Zhang 2017] for hyperbolic 3-manifolds. This is a natural development, taking into account the known top Kodaira dimension for complex manifolds and the simplicial volume; see also Section 4. Moreover, an additivity condition for fiber bundles was introduced in [Li and Zhang 2011], similarly to (A4). Hence, although in [Zhang 2017] the Kodaira dimension for $\mathbb{H}^3 \times \mathbb{R}$ is defined to be one, it seems natural to define it to be equal to $\frac{3}{2}$. Indeed, a closed 4-manifold M modeled on $\mathbb{H}^3 \times \mathbb{R}$ is finitely covered by a product $F \times S^1$, where F is a hyperbolic 3manifold. Since solvable manifolds (in this case, the circle) have Kodaira dimension zero (by (A2)), we obtain the value

$$\kappa^g(M) = \kappa^g(F) + \kappa^g(S^1) = \frac{3}{2}.$$

The requirement on the supremum in (A4) becomes now clear: If F is a mapping torus of a pseudo-Anosov diffeomorphism of a hyperbolic surface Σ (every hyperbolic 3-manifold is virtually of this form [Agol 2013]), then M is a fiber bundle $\Sigma \to M \to T^2$. In that case, Σ is irreducible locally symmetric of noncompact type, the 2-torus is solvable and therefore $\kappa^g(\Sigma) + \kappa^g(T^2) = 1$. The supremum, however, is achieved with the fibration $F \to M \to S^1$.

Note that the monotonicity result for the Kodaira dimension of 4-manifolds with respect to maps of nonzero degree given in [Neofytidis 2018b, Theorem 1.2] is not affected with this new value for $\mathbb{H}^3 \times \mathbb{R}$ -manifolds. In fact, it reveals exactly the difference with the two 4-dimensional geometries with Kodaira dimension one, namely $\mathbb{H}^2 \times \mathbb{R}^2$ and $\widetilde{SL}_2 \times \mathbb{R}$: As shown in [Neofytidis 2018b, Theorem 1.1], not only does no $\mathbb{H}^3 \times \mathbb{R}$ -manifold admit a map of nonzero degree from a manifold modeled on one of the geometries $\mathbb{H}^2 \times \mathbb{R}^2$ or $\widetilde{SL}_2 \times \mathbb{R}$, but, moreover, given any manifold N which is modeled on one of the latter two geometries, then there is an $\mathbb{H}^3 \times \mathbb{R}$ -manifold M and a map $M \to N$ of nonzero degree.

Generalized Class VII surfaces. Our Kodaira dimension is compatible with the holomorphic one for Kähler manifolds. However, according to (A2), the Kodaira dimension for Sol₀⁴- and Sol₁⁴-manifolds is zero instead of $-\infty$ as defined in [Zhang 2017]. This is again compatible with (A4), because those geometries are solvable-by-solvable, and lower dimensional solvable geometries have Kodaira dimension zero. In [Zhang 2017], the Kodaira dimension for Sol₀⁴- and Sol₁⁴-manifolds was defined to be $-\infty$ following Wall's scheme for complex non-Kähler surfaces [Wall 1986]. We could have required the Kodaira dimension of those manifolds, as well as of Sol_{m≠n}⁴-manifolds, to be indeed $-\infty$ as they have vanishing virtual second Betti number and thus admit no symplectic structures. However, in this paper, we have chosen to introduce the Kodaira dimension taking a unified value (zero) for solvable manifolds, thus keeping our axiomatic approach natural with the least possible assumptions.

Remark 2.2. Axiom (A4) is also strongly related to the conjecture of Iitaka [1971], which states that the holomorphic Kodaira dimension for an algebraic fibration $F \rightarrow M \rightarrow B$ satisfies

$$\kappa^h(M) \ge \kappa^h(F) + \kappa^h(B).$$

In fact, our set of Axioms matches with the picture of Iitaka fibration in algebraic geometry, which is applied to compute the simplicial volume in Section 4.

Geometries with no compact representatives. A phenomenon that appears in dimensions four and above is that of geometries that have no compact representatives, but still manifolds with finite volume. Being interested mostly in the monotonicity of the Kodaira dimension with respect to nonzero degree maps, and thus in compact manifolds, we omitted those geometries from our classification. It is nevertheless worth giving their values:

- In dimension four, the geometry \mathbb{F}^4 is realized by T^2 -bundles over punctured hyperbolic surfaces [Hillman 2002]. According to our axioms, any manifold M modeled on \mathbb{F} has $\kappa^g(M) = 1$. This coincides with the definition given in [Zhang 2017].
- In dimension five, one has the geometries $T^1(\mathbb{R}^{1,2}) = \mathbb{R}^3 \rtimes \mathrm{SO}(1,2)^0/\mathrm{SO}(2)$, $\mathbb{F}^4 \times \mathbb{R}$, and two quotients of Nil³ $\rtimes \widetilde{\mathrm{SL}}_2$, which are denoted by \mathbb{F}_0^5 and \mathbb{F}_1^5 . A manifold M modeled on any of those geometries has virtually the structure of a circle bundle over an \mathbb{F}^4 -manifold [Geng 2016c], hence it has Kodaira dimension $\kappa^g(M) = 1$.

Beyond Thurston's geometries. The definition and classification of Kodaira dimension goes well beyond Thurston's geometries. Such a classification was given in [Zhang 2017] for 3-manifolds, following the torus and sphere decompositions for 3-manifolds. One cannot hope for such a general result in higher dimensions based on geometric structures, as there exist manifolds that do not possess geometric structures or decompositions. Moreover, there are diffeomorphic Kähler *n*-folds with different Kodaira dimensions when $n \ge 3$ [Răsdeaconu 2006]. Nevertheless, following decomposition results in dimension four [Hillman 2002] and developing a similar theory for the recently classified geometries in dimension five, one should be able to associate a numerical homotopy invariant for a much wider class of manifolds that will contain Thurston's geometries which is monotone with respect to maps of nonzero degree. We might include more manifolds by considering decomposition with pieces of Einstein manifolds.

Furthermore, our definition includes many more general classes that are not geometric. For example, in dimension four, the Kodaira dimension of a (not necessarily geometric) fiber bundle $F \rightarrow M \rightarrow B$ is

$$-\infty$$
, if one of *F*, *B* is S^2 or finitely covered by $\#_{m\geq 0}S^2 \times S^1$;

0, if $F = B = T^2$, or one of F, B is a 3-manifold which is not finitely covered by $\#_{m\geq 0}S^2 \times S^1$ and contains no $\mathbb{H}^2 \times \mathbb{R}$, \widetilde{SL}_2 or \mathbb{H}^3 pieces in its torus or sphere decomposition;

$$\kappa^{g}(M) = \begin{cases} 1, & \text{if one of } F, B \text{ is } T^{2} \text{ and the other is hyperbolic, or one of } F, B \\ & \text{is a 3-manifold which has at least one } \mathbb{H}^{2} \times \mathbb{R} \text{ or } \widetilde{SL}_{2} \text{ piece} \\ & \text{and no } \mathbb{H}^{3} \text{ pieces in its torus or sphere decomposition;} \end{cases}$$

- $\frac{3}{2}$, if one of *B*, *F* is a 3-manifold with at least one \mathbb{H}^3 piece in its torus or sphere decomposition;
- 2, if both F and B are hyperbolic surfaces.

The connection to the simplicial volume suggested by Theorem 1.3 is apparent: For the above fibration, ||M|| > 0 if and only if *F* and *B* are hyperbolic surfaces [Bucher and Neofytidis 2020, Corollary 1.3]. Also, this definition should be absolutely compatible with maps of nonzero degree. Namely, Gromov asks whether, given any manifold *N*, we can find a surface bundle *M* and a map $M \rightarrow N$ of nonzero degree [Gromov 2009, pg. 753, *Topological version of Bogomolov's question*].

3. The Gromov order

Given two closed oriented *n*-manifolds *M* and *N*, we say that *M* dominates *N* if there is a map $M \to N$ of nonzero degree, and we denote this by $M \ge N$. In 1978, Gromov suggested studying the domination relation as a partial order [Carlson and Toledo 1989]. In dimension two, the domination relation is a total order given by the genus, as it can be easily seen that $\Sigma_g \ge \Sigma_h$ if and only if $g \ge h$. In higher dimensions, however, such an order is impossible. Nevertheless, various results have been obtained with respect to this order by many authors [Carlson and Toledo 1989; Wang 1991; Rong 1992; Belegradek 2003; Kotschick and Neofytidis 2013; Bharali et al. 2015; Neofytidis 2018b]. As suggested by the monotonicity of the simplicial volume (inequality (1)), one hopes to be able to understand whether a numerical invariant is monotone with respect to the domination relation; see Gromov [1999] and Milnor and Thurston [1977]. The Kodaira dimension is indeed monotone in dimensions two (obviously), three [Zhang 2017] (see also [Neofytidis 2018b] for an alternative proof based on [Wang 1991; Kotschick and Neofytidis 2013]) and four [Neofytidis 2018b].

We prove that the Kodaira dimension for geometric 5-manifolds is monotone with respect to Gromov's order.

Theorem 3.1. Let M and N be two closed oriented geometric 5-manifolds. If $M \ge N$, then $\kappa^{g}(M) \ge \kappa^{g}(N)$.

Before proceeding to our argument, let us first recall some tools and properties that we will need at various stages of the proof.

Passing to finite coverings. We will use virtual properties of manifolds under consideration, such as a desired product or fiber bundle structure. We will do that after lifting our maps as follows: Given a (hypothetical) map of nonzero degree $f: M \to N$, the group $f_*(\pi_1(M))$ has finite index in $\pi_1(N)$, and so we can lift fto a π_1 -surjective map $\tilde{f}: M \to \tilde{N}$, where $\tilde{N} \to N$ is the covering corresponding to $f_*(\pi_1(M))$. Sometimes this alone is enough. If we want to achieve further virtual properties, then we consider the finite covering $p: \tilde{N} \to \tilde{N}$ (which is also a finite covering of N) that has the desired virtual property (e.g., \hat{N} has a product or fiber bundle structure). Then there is a covering $q: \tilde{M} \to M$ corresponding to $\tilde{f}_*^{-1}(p_*(\pi_1(\widehat{N})))$ such that $\tilde{f} \circ q$ lifts to a π_1 -surjective map $\hat{f}: \widetilde{M} \to \widehat{N}$. If \widetilde{M} has the desired properties (e.g., product or fiber bundle structure), then we work with that map. Otherwise, let $\hat{q}: \widehat{M} \to \widetilde{M}$ be the finite covering with the desired properties and either we work with the map $\hat{f} \circ \hat{q}: \widehat{M} \to \widehat{N}$ or we lift further $\hat{f} \circ \hat{q}$ to a π_1 -surjective map.

Killing normal subgroups. In certain cases, after passing to finite covers as explained above, the existence of a normal solvable subgroup in the fundamental group of the domain will simplify the argument. For instance, let $f: M \to N$ be a π_1 -surjective map, where M and N are aspherical n-manifolds. Moreover, suppose $\pi_1(M)$ has nontrivial center $C(\pi_1(M))$, such that $\pi_1(M)/C(\pi_1(M))$ has cohomological dimension < n. By π_1 -surjectivity, we obtain $f_*(C(\pi_1(M))) \subseteq C(\pi_1(N))$. Thus, if $C(\pi_1(N)) = 1$, then we immediately obtain that $H_n(f) = 0$, because f factors, up to homotopy, through a space of lower cohomological dimension. Hence, deg f = 0.

Realization of homology classes by manifolds. Another tool in showing nonexistence of certain maps of nonzero degree is given by the solution of Thom [1954] of Steenrod's realization problem [Eilenberg 1949]: If X is a topological space and $\alpha \in H_k(X; \mathbb{Z})$, then there is an integer d > 0 and a closed k-manifold E, together with a continuous map $g: E \to X$, such that $H_k(g)([E]) = d\alpha$. For $k \leq 6$, we can take d = 1. Suppose now $f: M \to N \times B$ is a map of nonzero degree, and let $\pi: N \times B \to B$ be the projection to B, where dim $B < \dim M$. Then, by Poincaré Duality, there is a nontrivial homology class $\alpha \in H_{\dim B}(M; \mathbb{Q})$ such that $H_{\dim B}(\pi \circ f)(\alpha) = [B]$. Thom's theorem [Thom 1954] guarantees the existence of a manifold E of dimension dim B together with a continuous map $h = \pi \circ f \circ g: E \to B$, such that $H_{\dim B}(h)([E]) \neq 0 \in H_{\dim B}(B; \mathbb{Z})$. In particular, $E \geq B$. Hence, if we knew that the latter is not possible, i.e., $E \not\geq B$, then we arrive at a contradiction, and so deg f = 0.

Proof of Theorem 3.1. We will show that $M \not\geq N$, whenever $\kappa^g(M) < \kappa^g(N)$. We organize the proof according to the Kodaira dimension of M or N. More specifically, we first examine the cases where $\kappa^g(M) = -\infty, 0, 1$ or $\frac{3}{2}$ and $\kappa^g(N) \neq \frac{5}{2}$. Then we give a uniform treatment for the case $\kappa^g(N) = \frac{5}{2}$, using only the simplicial volume (although other of our arguments would apply as well), proving in particular Theorem 1.3.

Case I: $\kappa^{g}(M) = -\infty$. Let $B\pi_{1}(M)$ be the classifying space of $\pi_{1}(M)$ and denote by $c_{M}: M \to B\pi_{1}(M)$ the classifying map. Since M is modeled on a geometry which is a (possibly trivial) fibration with a compact fiber or base, we conclude that the induced homomorphism $H_{5}(c_{M}): H_{5}(M; \mathbb{Q}) \to H_{5}(B\pi_{1}(M); \mathbb{Q})$ is zero. On the other hand, if N is a manifold modeled on one of the other geometries with Kodaira dimension 0, 1, $\frac{1}{2}$ or 2, then N is aspherical and, thus, its classifying map is homotopic to the identity. Suppose now $f: M \to N$ is a continuous map and let $Bf_*: B\pi_1(M) \to B\pi_1(N)$ be the induced map between the classifying spaces. Then there is the commutative diagram:

Since $H_5(c_M) = 0$ and $H_5(c_N) = id$, we conclude that

$$H_5(f) = H_5(c_N \circ f) = H_5(Bf_* \circ c_M) = 0,$$

which implies deg f = 0.

Case II: $\kappa^{g}(M) = 0$. Suppose *M* possesses a solvable geometry, and let $f: M \to N$ be a π_1 -surjective map, i.e., $f_*(\pi_1(M)) = \pi_1(N)$. If *N* has Kodaira dimension $1, \frac{3}{2}$ or 2, then $\pi_1(N)$ is not solvable, and thus deg f = 0 by the following group theoretic lemma whose proof is left to the reader:

Lemma 3.2. Let H_1, H_2 be two groups and $\varphi: H_1 \to H_2$ be a homomorphism. If H_1 is solvable, then $\varphi(H_1) \subseteq H_2$ is a solvable subgroup.

Case III: $\kappa^{g}(M) = 1$. First, let M be a manifold modeled on one of the geometries $\mathbb{H}^{2} \times \mathbb{R}^{3}$, $\mathbb{R}^{2} \times \widetilde{SL}_{2}$ or $\mathbb{R}^{2} \rtimes \widetilde{SL}_{2}$. Then, up to finite covers, M is a circle bundle over a (semi)direct product E of the 2-torus with a (possibly punctured) hyperbolic surface; see [Geng 2016c, Section 5], [Geng 2016c, Proposition 6.23 and Table 6.24] and [Geng 2016c, Proposition 6.17 and Tables 6.19 and 6.21], respectively. In particular, $\pi_{1}(M)$ has nontrivial center.

Remark 3.3. Note that an aspherical 5-manifold M modeled on a nonsolvable product geometry $\mathbb{X} \times \mathbb{R}^k$, $1 \le k \le 3$, is virtually a product of an \mathbb{X} -manifold with the *k*-torus by arguments similar to those of [Hillman 2002]. Adapting the argument of [Hillman 2002, Theorem 9.3], given for the 4-dimensional geometries $\mathbb{H}^2 \times \mathbb{R}^2$, $\mathbb{H}^3 \times \mathbb{R}$ and $\widetilde{SL}_2 \times \mathbb{R}$, the fundamental group of the 5-manifold M has (up to finite index subgroups) center $C(\pi_1(M)) \cong \mathbb{Z}^l \times \mathbb{Z}^k$, where l is the maximum rank of the center of the fundamental group of a manifold N modeled on \mathbb{X} , which is \mathbb{H}^4 , $\mathbb{H}^2(\mathbb{C})$, $\mathbb{H}^2 \times \mathbb{H}^2$, \mathbb{H}^3 , \widetilde{SL}_2 or \mathbb{H}^2 (i.e., l = 0 or 1). Then similarly to [Hillman 2002, Theorem 9.3] the projection to the Euclidean factor maps $C(\pi_1(M))$ injectively and $\pi_1(M)$ preserves the foliation of the model space by copies of the Euclidean factor.

Every map from *E* to a 4-manifold *B*, which is modeled on one of the geometries \mathbb{H}^4 , $\mathbb{H}^2(\mathbb{C})$ or $\mathbb{H}^2 \times \mathbb{H}^2$, has degree zero, because ||B|| > 0 and ||E|| = 0 by [Gromov 1982; Lafont and Schmidt 2006; Bucher-Karlsson 2008]. Now, every 5-manifold *N* of Kodaira dimension two is a circle bundle over a 4-manifold modeled on one

of the geometries \mathbb{H}^4 , $\mathbb{H}^2(\mathbb{C})$ or $\mathbb{H}^2 \times \mathbb{H}^2$; see [Geng 2016c, Proposition 6.23 and Tables 6.24 and 6.29] for the geometries $\mathbb{H}^2 \times \widetilde{SL}_2$, $\widetilde{SL}_2 \times_{\alpha} \widetilde{SL}_2$ and $\mathbb{H}^2 \times \mathbb{H}^2 \times \mathbb{R}$ and [Geng 2016c, Propositions 4.1 and 4.2 and Table 4.3] for the geometries $\mathbb{H}^4 \times \mathbb{R}$, $\mathbb{H}^2(\mathbb{C}) \times \mathbb{R}$ and U(2,1)/U(2). Hence, the following lemma, which is a straightforward generalization of [Neofytidis 2018b, Lemma 5.1], tells us that any map $f: M \to N$ has degree zero:

Lemma 3.4 [Neofytidis 2018b]. For i = 1, 2, let $S^1 \to M_i \to B_i$ be circle bundles over closed oriented aspherical manifolds B_i of the same dimension, so that the center of $\pi_1(M_2)$ remains infinite cyclic in finite covers. If $B_1 \not\geq B_2$, then $M_1 \not\geq M_2$.

If N has Kodaira dimension $\frac{3}{2}$, then it is virtually a product $F \times T^2$, where F is a hyperbolic 3-manifold; cf. [Geng 2016c, Section 5] or Remark 3.3. Since $\pi_1(M)$ contains \mathbb{Z}^2 as a normal subgroup (which is moreover central for the geometries $\mathbb{H}^2 \times \mathbb{R}^3$ and $\mathbb{R}^2 \times \widetilde{SL}_2$), we deduce that any π_1 -surjective map $f: M \to N$ factors through a map $\overline{f}: B \to F$, where B is a 3-manifold modeled on the geometry $\mathbb{H}^2 \times \mathbb{R}$, when M is an $\mathbb{H}^2 \times \mathbb{R}^3$ -manifold, or on the geometry \widetilde{SL}_2 , when M is an $\mathbb{R}^2 \times \widetilde{SL}_2$ - or $\mathbb{R}^2 \rtimes \widetilde{SL}_2$ -manifold. Hence, \overline{f} factors through a surface, which implies deg $\overline{f} = 0$. By a statement similar to that of Lemma 3.4, we deduce that deg f = 0.

Now let M be modeled on one of the geometries Nil³ × H² or Nil³ ×_R SL₂. In this case, M is virtually a circle bundle over $T^2 × \Sigma_g$, $g \ge 2$; cf. [Geng 2016c, Proposition 6.23 and Tables 6.24 and 6.29]. Hence, as above, there is no map of nonzero degree from M to any manifold of Kodaira dimension two. Suppose now that the target N has Kodaira dimension $\frac{3}{2}$, i.e., it is virtually a product $F × T^2$, where F is a hyperbolic 3-manifold, and let $f: M \to F × T^2$ be a continuous π_1 -surjective map. Let $\pi: F × T^2 \to F$ be the projection to the F-factor. Since the center of $\pi_1(M)$ is infinite cyclic given by the S^1 -fiber, the composite map $\pi \circ f: M \to F$ factors through the bundle projection $p: M \to T^2 × \Sigma_g$. If $H_3(p) = 0$, then $H_3(f) = 0$, which means that deg f = 0, because otherwise $H_3(f): H_3(M) \to H_3(F × T^2; \mathbb{Q}) \neq 0$ would be surjective. If $H_3(p) \neq 0$ and deg $f \neq 0$, then there is an induced map $\overline{f}: T^2 × \Sigma_g \to F$, such that $H_3(\overline{f}) \neq 0$. Since

$$H^{3}(T^{2} \times \Sigma_{g}) \cong (H^{2}(T^{2}) \otimes H^{1}(\Sigma_{g})) \oplus (H^{1}(T^{2}) \otimes H^{2}(\Sigma_{g})),$$

we conclude that there is a map of nonzero degree from T^3 or $S^1 \times \Sigma_g$ to F (cf. [Thom 1954; Neofytidis 2017]), which is impossible, as such a map would factor through a surface. Thus, deg f = 0.

Finally, let M be a Sol³ × \mathbb{H}^2 -manifold. We may assume (after passing to a finite cover, if necessary) that $M = E \times \Sigma_g$, where E is a mapping torus of an Anosov diffeomorphism of T^2 ; cf. [Geng 2016c, Prop 6.23 and Table 6.24] and [Scott 1983]. We first observe that every map from M to a manifold that possesses the

geometry $\mathbb{H}^3 \times \mathbb{R}^2$ has degree zero: Indeed, suppose $f: E \times \Sigma \to F \times T^2$ is a map of nonzero degree, where *F* is a hyperbolic 3-manifold. Let the composition $\pi \circ f: E \times \Sigma \to F$, where $\pi: F \times T^2 \to F$ is the projection to the *F*-factor. Since

$$H^{3}(E \times \Sigma_{g}) \cong (H^{3}(E) \otimes H^{0}(\Sigma_{g})) \oplus (H^{2}(E) \otimes H^{1}(\Sigma_{g})) \oplus (H^{1}(E) \otimes H^{2}(\Sigma_{g})),$$

we conclude by [Thom 1954; Neofytidis 2017] that there is a map of nonzero degree from E or $S^1 \times \Sigma_h$ $(h \ge 1)$ to F, which is a contradiction, as such a map would factor through the circle or a surface, respectively. This means that deg f = 0. Similar arguments apply when the target N is an $\widetilde{SL}_2 \times \mathbb{H}^2$ -manifold (see [Neofytidis 2018b] and also [Neofytidis 2020] for a general characterization regarding such products, as well as [Kotschick and Neofytidis 2013] for projections to the geometry \widetilde{SL}_2) or N is modeled on $\mathbb{H}^2 \times \mathbb{H}^2 \times \mathbb{R}$, $\mathbb{H}^4 \times \mathbb{R}$ or $\mathbb{H}^2(\mathbb{C}) \times \mathbb{R}$; for the last three geometries, note that any nontrivial class in

$$H^4(E \times \Sigma_g) \cong (H^3(E) \otimes H^1(\Sigma_g)) \oplus (H^2(E) \otimes H^2(\Sigma_g))$$

is realized either by the product of a Sol³-manifold with the circle or by the product of the 2-torus with a hyperbolic surface.

We are thus left with the cases where the target N is virtually a nontrivial circle bundle over a hyperbolic or an $\mathbb{H}^2 \times \mathbb{H}^2$ -manifold. In those cases, we will apply the theory of groups (not) infinite index presentable by products developed in [Neofytidis 2018a].

Definition 3.5. A group *H* is called *presentable by products* if there exist two infinite elementwise commuting subgroups $H_1, H_2 \subseteq H$, such that the multiplication homomorphism $H_1 \times H_2 \rightarrow H$ surjects onto a finite index subgroup of *H*. If both H_i can be chosen with $[H : H_i] = \infty$, then *H* is called *infinite index presentable by products*, or *IIPP*.

The property IIPP is a sharp refinement between *reducible* groups, i.e., groups that have a finite index subgroup which splits as a direct product of two infinite groups, and groups presentable by products, which were introduced in [Kotschick and Löh 2009]. The following gives a criterion such that the conditions IIPP and reducible are equivalent for central extensions:

Theorem 3.6 (Theorem D in [Neofytidis 2018a]). Let Γ be a group with center $C(\Gamma)$ such that the quotient $\Gamma/C(\Gamma)$ is not presentable by products. Then, Γ is reducible if and only if it is IIPP.

A prominent class of groups not presentable by products is given by nonelementary hyperbolic groups [Kotschick and Löh 2009]. Hence, Theorem 3.6 applies to the geometry U(2,1)/U(2), because if N is an U(2,1)/U(2)-manifold, then, up to finite covers, N has the structure of a nontrivial circle bundle over a closed complex hyperbolic 4-manifold B. Clearly $\pi_1(N)$ is not reducible, hence, by Theorem 3.6, it is not IIPP. Thus, every map from a $\text{Sol}^3 \times \mathbb{H}^2$ -manifold to N has degree zero, by the following theorem:

Theorem 3.7 (Theorem B in [Neofytidis 2018a]). Let $S^1 \rightarrow N \rightarrow B$ be a circle bundle over a closed oriented aspherical manifold B, so that $\pi_1(N)$ is not IIPP and its center remains infinite cyclic in finite covers. Then $P \not\geq N$ for any nontrivial direct product P.

The same argument applies when the target N is a nontrivial circle bundle over a 4-manifold B that possesses the irreducible $\mathbb{H}^2 \times \mathbb{H}^2$ geometry, because $\pi_1(B)$ is not presentable by products and $\pi_1(N)$ is irreducible, and thus not IIPP [Neofytidis 2018a].

The criterion of Theorem 3.6 is not any more valid once we relax the condition on $\Gamma/C(\Gamma)$ being not presentable by products. Such an example is given by the fundamental group of a Nil⁵-manifold N, which is irreducible and IIPP [Neofytidis 2018a, Section 8], and fits into the central extension

$$1 \longrightarrow \mathbb{Z} \longrightarrow \pi_1(N) \longrightarrow \mathbb{Z}^4 \longrightarrow 1.$$

As shown in [Neofytidis 2018a, Section 8], N still does not admit maps of nonzero degree from products. We will show below that a similar argument applies to the case of $\widetilde{SL}_2 \times_{\alpha} \widetilde{SL}_2$ -manifolds.

Let N be modeled on $\widetilde{\operatorname{SL}}_2 \times_{\alpha} \widetilde{\operatorname{SL}}_2$, such that, after passing to finite covers, it is a nontrivial S¹-bundle over the product of two hyperbolic surfaces $\Sigma_{h_1} \times \Sigma_{h_2}$, and $\pi_1(N)$ fits into the central extension

$$1 \longrightarrow \mathbb{Z} \longrightarrow \pi_1(N) \longrightarrow \pi_1(\Sigma_{h_1}) \times \pi_1(\Sigma_{h_2}) \longrightarrow 1.$$

Since N is not modeled on $\widetilde{\operatorname{SL}}_2 \times \mathbb{H}^2$ or $\mathbb{H}^2 \times \mathbb{H}^2 \times \mathbb{R}$, we conclude that $\pi_1(N)$ is irreducible. However, $\pi_1(N)$ is IIPP, and a presentation is given by the multiplication

$$H_1 \times H_2 \longrightarrow \pi_1(N),$$

where $H_i = \langle a_1, b_1, \dots, a_{h_i}, b_{h_i}, z \mid [a_1, b_1] \cdots [a_{h_i}, b_{h_i}] = z^t, t \in \mathbb{Z} \setminus \{0\} \rangle$.

Suppose, now, that there exists a π_1 -surjective map $f: X_1 \times X_2 \to N$ of nonzero degree, where $0 < \dim(X_i) < 5$. We then obtain a short exact sequence

(2)
$$1 \longrightarrow \Gamma_1 \cap \Gamma_2 \longrightarrow \Gamma_1 \times \Gamma_2 \xrightarrow{\varphi} \pi_1(N) \longrightarrow 1,$$

where $\Gamma_i := \operatorname{im}(\pi_1(f|_{X_i})) \subset \pi_1(N), \ \Gamma_1 \cap \Gamma_2 \subseteq C(\pi_1(N)) = \mathbb{Z}$ and φ is the multiplication homomorphism. Moreover, we obtain two nontrivial rational homology classes

(3)
$$\alpha_i := H_{\dim X_i}(B\pi_1(f|_{X_i}) \circ c_{X_i})([X_i]) \neq 0 \in H_{\dim X_i}(B\Gamma_i; \mathbb{Q}),$$

where c_{X_i} denotes the classifying maps; see [Kotschick and Löh 2009] or [Neofytidis 2018a]. Since $\pi_1(N)$ is irreducible, $\Gamma_1 \cap \Gamma_2$ is isomorphic to \mathbb{Z} . Now both \mathbb{Z}

and $\pi_1(N)$ are Poincaré Duality groups of cohomological dimension one and five, respectively, hence $\Gamma_1 \times \Gamma_2$ is a Poincaré Duality group of cohomological dimension $cd(\Gamma_1 \times \Gamma_2) = 6$ and each Γ_i is a Poincaré Duality group [Bieri 1972; Johnson and Wall 1972]. We need to examine the cases where $cd(\Gamma_1) = 1, 2$ or 3.

If $cd(\Gamma_1) = 1$, then $\Gamma_1 = \mathbb{Z}$, and so $B\Gamma_1 \simeq B\mathbb{Z} = S^1$. The nonvanishing of $\alpha_1 \in H_{\dim X_1}(S^1; \mathbb{Q})$ implies that dim $X_1 \leq 1$, that is, $X_1 = S^1$. Hence $S^1 \times X_2 \geq N$, which is impossible by the following Factorization lemma:

Lemma 3.8 (Lemma 4.8 in [Neofytidis 2018a]). Let $S^1 \to N \to B$ be a nontrivial circle bundle over a closed oriented aspherical manifold B. Suppose that the Euler class of N is not torsion and that the center of $\pi_1(N)$ remains infinite cyclic in finite covers. Then $X \times S^1 \not\geq N$ for any closed oriented manifold X.

If $cd(\Gamma_1) = 2$, then Γ_1 is a surface group [Eckmann 1987]. Since $\mathbb{Z} = \Gamma_1 \cap \Gamma_2 \subseteq C(\Gamma_1)$, we conclude that $\Gamma_1 \cong \mathbb{Z}^2$. Since $\mathbb{Z} = \Gamma_1 \cap \Gamma_2 \subseteq C(\Gamma_2)$, we deduce that rank $C(\Gamma_1 \times \Gamma_2) \ge 3$. But $\Gamma_1 \times \Gamma_2$ fits into the short exact sequence (2), where $C(\Gamma_1 \cap \Gamma_2) = C(\pi_1(N)) = \mathbb{Z}$. This gives us a contradiction [Neofytidis 2018a, Lemma 6.23].

The last case is $cd(\Gamma_i) = 3$. Since Γ_i are Poincaré Duality groups and $C(\Gamma_i) \neq 1$, we deduce that Γ_i must be fundamental groups of closed 3-manifolds modeled on \mathbb{R}^3 , Nil³, $\mathbb{H}^2 \times \mathbb{R}$ or \widetilde{SL}_2 by theorems of Bowditch [2004] and Thomas [1995]. The geometry \mathbb{R}^3 is excluded due to the rank of the center, as above. Hence, $B\Gamma_i$ are realized by closed manifolds. (Note that at least one of them must be modeled on $\mathbb{H}^2 \times \mathbb{R}$ or \widetilde{SL}_2 , because $\pi_1(N)$ is not nilpotent [Neofytidis 2018a].) We have shown that there are two nontrivial homology classes $\alpha_i \in H_{\dim X_i}(B\Gamma_i; \mathbb{Q})$ such that

$$H_5(B\varphi)(\alpha_1 \times \alpha_2) = (\deg f)[N].$$

For one of the α_i , say α_1 , we have by (3) a continuous map

$$B\pi_1(f|_{X_1}) \circ c_{X_1} \colon X_1 \longrightarrow B\Gamma_1,$$

where in our case $X_1 = E$ is a Sol³-manifold and $B\Gamma_1$ is realized by a 3-manifold modeled on Nil³, $\mathbb{H}^2 \times \mathbb{R}$ or \widetilde{SL}_2 . Since $\alpha_1 \neq 0$, the above map is nontrivial in degree three homology. This is a contradiction because, by the growth of the first Betti number (see for example [Scott 1983]), there are no maps of nonzero degree from a Sol³-manifold to any 3-manifold possessing one of the geometries Nil³, $\mathbb{H}^2 \times \mathbb{R}$ or \widetilde{SL}_2 . Therefore, deg f = 0 as claimed.

Case IV: $\kappa^g(M) = \frac{3}{2}$. Let M be a manifold modeled on $\mathbb{H}^3 \times \mathbb{R}$. We can assume that M is the product of a hyperbolic 3-manifold F and the 2-torus. In particular, $\mathbb{Z} \subset \mathbb{Z}^2 = C(\pi_1(M))$. Suppose $f: M \to N$ is a π_1 -surjective map, where N is a manifold of Kodaira dimension two. In all cases, we can assume that N is a circle bundle whose base B is modeled on one of the geometries \mathbb{H}^4 , $\mathbb{H}^2(\mathbb{C})$ or

 $\mathbb{H}^2 \times \mathbb{H}^2$. In particular, $C(\pi_1(N)) = \mathbb{Z}$. Hence f_* factors through a surjection $\bar{f}_*: \pi_1(M)/\mathbb{Z} \to \pi_1(B)$, where $\pi_1(M)/\mathbb{Z}$ is realized by $S^1 \times F$. Since $S^1 \times F \not\geq B$, Lemma 3.4 implies that deg f = 0.

Case V: $\kappa^{g}(N) = \frac{5}{2}$. In this case, *N* is modeled on one of the geometries \mathbb{H}^{5} , $SL(3, \mathbb{R})/SO(3)$ or $\mathbb{H}^{3} \times \mathbb{H}^{2}$, which implies ||N|| > 0. This is a consequence of the theorems of Gromov [1982] for hyperbolic 5-manifolds and products of hyperbolic 2- and 3-manifolds, by the inequality

$$||E_1 \times E_2|| \ge ||E_1|| ||E_2||,$$

and a consequence of a theorem of Bucher [2007] for $SL(3, \mathbb{R})/SO(3)$. On the other hand, any manifold M with Kodaira dimension $-\infty$, 0, 1, $\frac{1}{2}$ or 2 has zero simplicial volume. For if M is modeled on a compact geometry, then the classifying space of $\pi_1(M)$ has virtual dimension less than five (see also Case I), and thus Gromov's Mapping theorem [Gromov 1982] tells us that ||M|| = 0. If M is virtually a fiber bundle with amenable fiber, then ||M|| = 0 (again by Gromov [1982]). For any manifold which is virtually a product with a factor that belongs in the above cases (and thus has zero simplicial volume), it has zero simplicial volume by Gromov's inequality

$$||E_1 \times E_2|| \le {\dim(E_1 \times E_2) \choose \dim E_1} ||E_1|| ||E_2||.$$

In the remaining cases, M virtually fibers over a compact geometry and thus ||M|| = 0 (again by the Mapping theorem [Gromov 1982]). By ||N|| > 0 and ||M|| = 0, we conclude that $M \not\geq N$; cf. inequality (1). The proof of Theorem 3.1 is now complete.

Remark 3.9. Note that Case V proves, in particular, Theorem 1.3.

4. Kähler manifolds with nonvanishing simplicial volume

In this section, we prove Theorem 1.5, giving, in particular, a complete answer to Question 1.4(1) for Kähler 3-folds. In fact, we will present a uniform treatment for all dimensions, and, then, known results in algebraic geometry will imply an affirmative answer for Kähler 3-folds. This gives further evidence for the compatibility of our axiomatic Kodaira dimension with other existing Kodaira dimensions for manifolds with nonzero simplicial volume.

We start with a lemma which shows that the simplicial volume is a birational invariant.

Lemma 4.1. Birationally equivalent smooth projective varieties (respectively, bimeromorphic smooth Kähler manifolds) have the same simplicial volume.

Proof. The Mapping theorem of [Gromov 1982] implies that if there is a continuous map $f: X_1 \to X_2$ such that the induced homomorphism of fundamental groups is

an isomorphism, then $||X_1|| = ||X_2||$. In particular, it applies when f is a blowup. By the weak factorization theorem [Abramovich et al. 2002], any bimeromorphic map between complex manifolds can be factored as a composition of blowups and blowdowns at a smooth center, and each intermediate variety is a complex manifold. Moreover, if we start with a birational map between smooth projective varieties, then each intermediate variety is a smooth projective variety. Hence, birationally equivalent smooth projective varieties, respectively bimeromorphic Kähler manifolds, have the same simplicial volume.

We first deal with uniruled manifolds.

Proposition 4.2. Any uniruled manifold has vanishing simplicial volume.

Proof. For a uniruled *n*-fold *X*, there is a complex (n-1)-fold *Y* and a dominant and generically finite rational map $f: Y \times \mathbb{CP}^1 \dashrightarrow X$. Up to blowups, we can choose *Y* to be smooth.

By the resolution of singularities of Hironaka [1964], there is a birational morphism $g: Z \to Y \times \mathbb{CP}^1$, obtained as the composition of blowups along smooth centers, such that $f \circ g$ is a morphism.

Since $\mathbb{CP}^1 \cong S^2$, the product inequality for the simplicial volume implies $||Y \times \mathbb{CP}^1|| = 0$ (or by [Gromov 1982; Yano 1982] due to the circle action). By the Mapping theorem of [Gromov 1982], we have $||Z|| = ||Y \times \mathbb{CP}^1|| = 0$. Finally, since $||Z|| \ge |\deg(f \circ g)|||X|| \ge ||X||$, we conclude that ||X|| = 0.

Apparently, any uniruled manifold has holomorphic Kodaira dimension $\kappa^h = -\infty$. The converse is one of the major open problems, often attributed to Mumford, in the classification theory of projective manifolds (see, e.g., [Boucksom et al. 2013]).

Conjecture 4.3 (Mumford). A smooth projective variety with $\kappa^h = -\infty$ is uniruled.

This is known to be true for projective 3-folds [Mori 1988]. In general, it follows from the Abundance conjecture, which says that the Kodaira dimension agrees with the numerical Kodaira dimension [Kollár and Mori 1998].

The key for the vanishing of the simplicial volume for smooth projective varieties with $0 \le \kappa^h(M) \le n-1$ is the case of $\kappa^h = 0$. We have the following conjecture (see, for example, [Kollár 1995, (4.1.6)]):

Conjecture 4.4 (Kollár). Let X be a smooth and proper variety with $\kappa^h(X) = 0$. Then X has a finite étale cover X' such that X' is birational to the product of an Abelian variety and of a simply connected variety with $\kappa^h = 0$. In particular, $\pi_1(X)$ has a finite index Abelian subgroup.

In particular, an affirmative solution to Conjecture 4.4 would imply that such X has amenable (virtually Abelian) fundamental group, and thus ||X|| = 0. In the following, we show that any smooth projective *n*-fold with nonvanishing simplicial volume must have $\kappa^h = n$, up to the above two well-known conjectures:

Theorem 4.5. Up to Conjecture 4.4, any smooth 2*n*-dimensional complex projective variety M with $\kappa^h(M) \ge 0$ and ||M|| > 0 has $\kappa^h(M) = n$.

In particular, assuming Conjecture 4.3, then any smooth projective variety with nonvanishing simplicial volume is of general type.

Proof. When $\kappa^h(M) > 0$, then we know that M admits an Iitaka fibration. Precisely, M is birationally equivalent to a projective manifold X which admits an algebraic fiber space structure $\phi: X \to Y$ over a normal projective variety Y such that the Kodaira dimension of a very general fiber of ϕ has Kodaira dimension zero. By Lemma 4.1, we conclude ||M|| = ||X||.

We recall a vanishing result which is a corollary of the Mapping theorem [Gromov 1982]: If a closed manifold X can be mapped into a topological space Y whose covering dimension dim $Y < \dim X$, such that the pullback of every point in Y has an amenable neighborhood in X, then ||X|| = 0. In our situation, Y is a normal variety, which could be blown up to a smooth projective variety Y'. Since the blowup map is holomorphic, it is an open map by the Open Mapping theorem in complex analysis. Moreover, we know that the smooth manifold Y' has covering dimension dim Y, by sending open subsets to Y through the surjective birational morphism.

Hence, in our setting, the problem is reduced to showing that every fiber of an Iitaka fibration has a neighborhood whose fundamental group is amenable.

A general fiber of an Iitaka fibration is a smooth projective variety of Kodaira dimension zero, and therefore, by (the second part of) Conjecture 4.4, it has amenable fundamental group. Thus any regular fiber has a product neighborhood which has the same fundamental group as the fiber, thus amenable.

When $1 \le k = \kappa^h(M) < n$, we know dim_C Y = k. We are in the setting of [Kollár 1995, Theorem 2.12], which we recall below for the convenience of the reader.

Theorem 4.6. Let X and Y be irreducible normal complex spaces and $f: X \to Y$ a morphism. Assume that there is a Zariski open dense set $Y^0 \subset Y$ such that $f: X^0 := f^{-1}(Y^0) \to Y^0$ is a topological fiber bundle with connected fiber X_g . Let $y \in Y$ be a point such that there is an $x \in f^{-1}(y)$ satisfying dim_x $f^{-1}(y) =$ dim $X - \dim Y$. Then

- (1) there is an open neighborhood $y \in U \subset Y$ such that $\operatorname{im}[\pi_1(X_g) \to \pi_1(f^{-1}(U))]$ has finite index in $\pi_1(f^{-1}(U))$;
- (2) if f is proper, then $\operatorname{im}[\pi_1(X_g) \to \pi_1(f^{-1}(y))]$ has finite index in $\pi_1(f^{-1}(y))$;
- (3) if f is smooth at x, then $im[\pi_1(X_g) \to \pi_1(f^{-1}(U))]$ is surjective.

In our case, we can apply Theorem 4.6(1). Since the fundamental group of a general fiber is amenable, it implies that we can find a neighborhood U of $p \in Y$, such that $\pi_1(f^{-1}(U))$ is amenable (in fact, by Theorem 4.6(2), we also know that

any fiber of an Iitaka fibration is amenable). Hence, ||M|| = ||X|| = 0 by the above mentioned vanishing result of [Gromov 1982].

In dimension no greater than 3, both Conjectures 4.3 and 4.4 are known to be true, by [Mori 1988] and [Kollár 1995], respectively. Hence, we have the following:

Corollary 4.7. Let M be a smooth complex projective n-fold with nonvanishing simplicial volume. Then $\kappa^h(M)$ cannot be n-1, n-2 or n-3. If, moreover, n = 3, then $\kappa^h(M) = 3$.

Proof. The fundamental group of a smooth projective *n*-fold, $n \le 3$, of Kodaira dimension zero is amenable. The case of n = 1, 2 follows from the classification. By [Kollár 1995, (4.17.3)], the fundamental group of a smooth projective 3-fold of Kodaira dimension zero has a finite index Abelian subgroup. In particular, it is amenable. Hence, the first part of our corollary follows from the argument of Theorem 4.5.

We still must show that any smooth complex projective 3-fold with $\kappa^h(M) = -\infty$ must have ||M|| = 0. It follows from [Mori 1988] that any complex projective 3-fold has $\kappa^h = -\infty$ if and only if it is uniruled. Then Proposition 4.2 implies ||M|| = 0.

We remark that Theorem 4.5 also provides an alternative argument that any smooth Kähler surface with nonvanishing simplicial volume is a surface of general type: First, by classification of complex surfaces, any Kähler surface can be deformed to, in particular it is diffeomorphic to, a projective surface. By Theorem 4.5, any smooth projective surface with nonvanishing simplicial volume and nonnegative Kodaira dimension must have $\kappa^h = 2$. On the other hand, any Kähler surface with $\kappa^h = -\infty$ is rational or ruled, which has vanishing simplicial volume.

We can answer Question 1.4(1) for smooth Kähler 3-folds with the help of K_X -MMP and the Abundance conjecture which is established for Kähler 3-folds [Höring and Peternell 2016; Campana et al. 2016]. In fact, by [Claudon et al. 2019; Lin 2017], we know that for any compact Kähler manifold X of complex dimension three, there exists a bimeromorphic Kähler manifold X' which is deformation equivalent to a projective manifold. Hence, by Lemma 4.1 and Corollary 4.7, we have

Theorem 4.8. If X is a smooth Kähler 3-fold with nonvanishing simplicial volume, then $\kappa^h(X) = 3$.

Combining Corollary 4.7 and Theorem 4.8 we obtain Theorem 1.5. Then, by Lemma 4.1, Corollary 4.7 works for Moishezon manifolds and Theorem 4.8 works for complex 3-folds of Fujiki class C.

Moreover, any smooth Kähler *n*-fold with $\kappa^h = n - 1$ must have vanishing simplicial volume, since it satisfies the above mentioned version of algebraic

approximation [Claudon et al. 2019]. This approach might be generalized to higher dimensional Kähler manifolds. Although there are Voisin's examples in each even complex dimension ≥ 8 of compact Kähler manifolds all of whose smooth bimeromorphic models are homotopically obstructed to being a projective variety [Voisin 2004], these examples are all uniruled. In fact, it is conjectured by Peternell that this phenomenon cannot happen when the Kodaira dimension is nonnegative [Lin 2017].

There is a more direct approach. By running the MMP for a Kähler 3-fold X, we obtain a Q-factorial bimeromorphic model X_{\min} of X with at worst (isolated) terminal singularities whose canonical bundle $K_{X_{\min}}$ is nef. By the Abundance conjecture, which is known for Kähler 3-folds, there is some positive number m such that $mK_{X_{\min}}$ is base-point free and that the linear system $|mK_{X_{\min}}|$ defines a fibration with base dimension $\kappa^h(X)$. We can blow up the total space to get a fibered smooth Kähler manifold. The argument for Theorem 4.5 still applies.

Acknowledgements

The authors are very grateful to Michelle Bucher and Tian-Jun Li for very useful discussions. Part of this work was carried out during collaborative visits of Neo-fytidis at the University of Warwick and Zhang at the University of Geneva. The authors would like to thank these institutions for their hospitality and stimulating environments. We also thank the anonymous referees for their useful feedback.

References

- [Abramovich et al. 2002] D. Abramovich, K. Karu, K. Matsuki, and J. Wlodarczyk, "Torification and factorization of birational maps", *J. Amer. Math. Soc.* **15**:3 (2002), 531–572. MR Zbl
- [Agol 2013] I. Agol, "The virtual Haken conjecture", *Doc. Math.* **18** (2013), 1045–1087. With an appendix by I. Agol, D. Groves, and J. Manning. MR Zbl
- [Belegradek 2003] I. Belegradek, "On co-Hopfian nilpotent groups", *Bull. London Math. Soc.* **35**:6 (2003), 805–811. MR Zbl
- [Bharali et al. 2015] G. Bharali, I. Biswas, and M. Mj, "The Fujiki class and positive degree maps", *Complex Manifolds* **2**:1 (2015), 11–15. MR Zbl
- [Bieri 1972] R. Bieri, "Gruppen mit Poincaré-Dualität", *Comment. Math. Helv.* **47** (1972), 373–396. MR Zbl
- [Boucksom et al. 2013] S. Boucksom, J.-P. Demailly, M. Păun, and T. Peternell, "The pseudo-effective cone of a compact Kähler manifold and varieties of negative Kodaira dimension", *J. Algebraic Geom.* 22:2 (2013), 201–248. MR Zbl
- [Bowditch 2004] B. H. Bowditch, "Planar groups and the Seifert conjecture", *J. Reine Angew. Math.* **576** (2004), 11–62. MR Zbl
- [Bucher and Neofytidis 2020] M. Bucher and C. Neofytidis, "The simplicial volume of mapping tori of 3-manifolds", *Math. Ann.* **376**:3-4 (2020), 1429–1447. MR Zbl
- [Bucher-Karlsson 2007] M. Bucher-Karlsson, "Simplicial volume of locally symmetric spaces covered by $SL_3\mathbb{R}/SO(3)$ ", *Geom. Dedicata* **125** (2007), 203–224. MR Zbl

- [Bucher-Karlsson 2008] M. Bucher-Karlsson, "The simplicial volume of closed manifolds covered by $\mathbb{H}^2 \times \mathbb{H}^2$ ", *J. Topol.* **1**:3 (2008), 584–602. MR Zbl
- [Campana et al. 2016] F. Campana, A. Höring, and T. Peternell, "Abundance for Kähler threefolds", *Ann. Sci. Éc. Norm. Supér.* (4) **49**:4 (2016), 971–1025. MR Zbl
- [Carlson and Toledo 1989] J. A. Carlson and D. Toledo, "Harmonic mappings of Kähler manifolds to locally symmetric spaces", *Inst. Hautes Études Sci. Publ. Math.* 69 (1989), 173–201. MR Zbl
- [Cheeger and Gromov 1986] J. Cheeger and M. Gromov, "Collapsing Riemannian manifolds while keeping their curvature bounded. I", *J. Differential Geom.* **23**:3 (1986), 309–346. MR Zbl
- [Chen and Zhang 2018] H. Chen and W. Zhang, "Kodaira dimensions of almost complex manifolds I", preprint, 2018. arXiv
- [Claudon et al. 2019] B. Claudon, A. Höring, and H.-Y. Lin, "The fundamental group of compact Kähler threefolds", *Geom. Topol.* **23**:7 (2019), 3233–3271. MR Zbl
- [Dorfmeister and Zhang 2009] J. Dorfmeister and W. Zhang, "The Kodaira dimension of Lefschetz fibrations", *Asian J. Math.* **13**:3 (2009), 341–357. MR Zbl
- [Eckmann 1987] B. Eckmann, "Poincaré duality groups of dimension two are surface groups", pp. 35–51 in *Combinatorial group theory and topology* (Alta, Utah, 1984), edited by S. M. Gersten and J. R. Stallings, Ann. of Math. Stud. **111**, Princeton Univ. Press, 1987. MR Zbl
- [Eilenberg 1949] S. Eilenberg, "On the problems of topology", Ann. of Math. (2) **50** (1949), 247–260. MR Zbl
- [Filipkiewicz 1983] R. Filipkiewicz, *Four-dimensional geometries*, Ph.D. thesis, University of Warwick, 1983, available at http://wrap.warwick.ac.uk/954/.
- [Geng 2016a] A. Geng, "5-dimensional geometries I: the general classification", preprint, 2016. arXiv
- [Geng 2016b] A. Geng, "5-dimensional geometries II: the non-fibered geometries", preprint, 2016. arXiv
- [Geng 2016c] A. Geng, "5-dimensional geometries III: the fibered geometries", preprint, 2016. arXiv
- [Gromov 1982] M. Gromov, "Volume and bounded cohomology", *Inst. Hautes Études Sci. Publ. Math.* 56 (1982), 5–99. MR Zbl
- [Gromov 1999] M. Gromov, *Metric structures for Riemannian and non-Riemannian spaces*, Progress in Mathematics **152**, Birkhäuser, Boston, MA, 1999. Translated from the French by S. M. Bates. MR Zbl
- [Gromov 2009] M. Gromov, "Singularities, expanders and topology of maps. I. Homology versus volume in the spaces of cycles", *Geom. Funct. Anal.* **19**:3 (2009), 743–841. MR Zbl
- [Hillman 2002] J. A. Hillman, *Four-manifolds, geometries and knots*, Geometry & Topology Monographs **5**, Geometry & Topology Publications, Coventry, 2002. MR Zbl
- [Hironaka 1964] H. Hironaka, "Resolution of singularities of an algebraic variety over a field of characteristic zero. I, II", *Ann. of Math.* (2) **79** (1964), 205–326. MR Zbl
- [Höring and Peternell 2016] A. Höring and T. Peternell, "Minimal models for Kähler threefolds", *Invent. Math.* **203**:1 (2016), 217–264. MR Zbl
- [Iitaka 1971] S. Iitaka, "On *D*-dimensions of algebraic varieties", *J. Math. Soc. Japan* 23 (1971), 356–373. MR Zbl
- [Johnson and Wall 1972] F. E. A. Johnson and C. T. C. Wall, "On groups satisfying Poincaré duality", *Ann. of Math.* (2) **96** (1972), 592–598. MR Zbl
- [Kollár 1995] J. Kollár, *Shafarevich maps and automorphic forms*, M. B. Porter Lectures, Princeton University Press, 1995. MR

- [Kollár and Mori 1998] J. Kollár and S. Mori, *Birational geometry of algebraic varieties*, Cambridge Tracts in Mathematics **134**, Cambridge University Press, 1998. Translated from Japanese by C. H. Clemens and A. Cort. MR Zbl
- [Kotschick and Löh 2009] D. Kotschick and C. Löh, "Fundamental classes not representable by products", *J. Lond. Math. Soc.* (2) **79**:3 (2009), 545–561. MR Zbl
- [Kotschick and Neofytidis 2013] D. Kotschick and C. Neofytidis, "On three-manifolds dominated by circle bundles", *Math. Z.* **274**:1-2 (2013), 21–32. MR Zbl
- [Lafont and Schmidt 2006] J.-F. Lafont and B. Schmidt, "Simplicial volume of closed locally symmetric spaces of non-compact type", *Acta Math.* **197**:1 (2006), 129–143. MR Zbl
- [LeBrun 1996] C. LeBrun, "Four-manifolds without Einstein metrics", *Math. Res. Lett.* **3**:2 (1996), 133–147. MR Zbl
- [LeBrun 1999] C. LeBrun, "Kodaira dimension and the Yamabe problem", *Comm. Anal. Geom.* 7:1 (1999), 133–156. MR Zbl
- [Li 2006] T.-J. Li, "Symplectic 4-manifolds with Kodaira dimension zero", *J. Differential Geom.* **74**:2 (2006), 321–352. MR Zbl
- [Li 2019] T.-J. Li, "Kodaira dimension in low dimensional topology", pp. 265–291 in *Tsinghua lectures in mathematics*, edited by L. Ji et al., Adv. Lect. Math. 45, Int. Press, Somerville, MA, 2019. MR Zbl
- [Li and Zhang 2011] T-J. Li and W. Zhang, "Additivity and relative Kodaira dimensions", pp. 103–135 in *Geometry and analysis*, vol. 18, edited by L. Ji, Adv. Lect. Math. **2**, Int. Press, Somerville, MA, 2011. MR Zbl
- [Lin 2017] H.-Y. Lin, "Algebraic approximations of compact Kähler threefolds", preprint, 2017. arXiv
- [McDuff and Salamon 1996] D. McDuff and D. Salamon, "A survey of symplectic 4-manifolds with $b^+ = 1$ ", *Turkish J. Math.* **20**:1 (1996), 47–60. MR Zbl
- [McDuff and Salamon 1998] D. McDuff and D. Salamon, *Introduction to symplectic topology*, 2nd ed., Oxford Mathematical Monographs, The Clarendon Press, Oxford University Press, New York, 1998. MR Zbl
- [Milnor and Thurston 1977] J. Milnor and W. Thurston, "Characteristic numbers of 3-manifolds", *Enseign. Math.* (2) **23**:3-4 (1977), 249–254. MR Zbl
- [Mori 1988] S. Mori, "Flip theorem and the existence of minimal models for 3-folds", *J. Amer. Math. Soc.* **1**:1 (1988), 117–253. MR Zbl
- [Neofytidis 2017] C. Neofytidis, "Degrees of self-maps of products", *Int. Math. Res. Not.* **2017**:22 (2017), 6977–6989. MR Zbl
- [Neofytidis 2018a] C. Neofytidis, "Fundamental groups of aspherical manifolds and maps of non-zero degree", *Groups Geom. Dyn.* **12**:2 (2018), 637–677. MR Zbl
- [Neofytidis 2018b] C. Neofytidis, "Ordering Thurston's geometries by maps of nonzero degree", *J. Topol. Anal.* **10**:4 (2018), 853–872. MR Zbl
- [Neofytidis 2020] C. Neofytidis, "Domination between different products and finiteness of associated semi-norms", *Publ. Mat.* 64:2 (2020), 653–660. MR Zbl
- [Paternain and Petean 2004] G. P. Paternain and J. Petean, "Entropy and collapsing of compact complex surfaces", *Proc. London Math. Soc.* (3) **89**:3 (2004), 763–786. MR Zbl
- [Rong 1992] Y. W. Rong, "Degree one maps between geometric 3-manifolds", *Trans. Amer. Math. Soc.* **332**:1 (1992), 411–436. MR Zbl

- [Răsdeaconu 2006] R. Răsdeaconu, "The Kodaira dimension of diffeomorphic Kähler threefolds", *Proc. Amer. Math. Soc.* **134**:12 (2006), 3543–3553. MR Zbl
- [Scott 1983] P. Scott, "The geometries of 3-manifolds", Bull. London Math. Soc. 15:5 (1983), 401–487. MR Zbl
- [Thom 1954] R. Thom, "Quelques propriétés globales des variétés différentiables", *Comment. Math. Helv.* **28** (1954), 17–86. MR Zbl
- [Thomas 1995] C. B. Thomas, "3-manifolds and PD(3)-groups", pp. 301–308 in Novikov conjectures, index theorems and rigidity, Vol. 2 (Oberwolfach, 1993), edited by S. Ferry et al., London Math. Soc. Lecture Note Ser. 227, Cambridge Univ. Press, 1995. MR
- [Thurston 1997] W. P. Thurston, *Three-dimensional geometry and topology. Vol.* 1, Princeton Mathematical Series **35**, Princeton University Press, 1997. Edited by Silvio Levy. MR Zbl
- [Voisin 2004] C. Voisin, "On the homotopy types of compact Kähler and complex projective manifolds", *Invent. Math.* **157**:2 (2004), 329–343. MR Zbl
- [Wall 1985] C. T. C. Wall, "Geometries and geometric structures in real dimension 4 and complex dimension 2", pp. 268–292 in *Geometry and topology* (College Park, MD, 1983/84), edited by J. Alexander and J. Harer, Lecture Notes in Math. **1167**, Springer, Berlin, 1985. MR Zbl
- [Wall 1986] C. T. C. Wall, "Geometric structures on compact complex analytic surfaces", *Topology* **25**:2 (1986), 119–153. MR Zbl
- [Wang 1991] S. C. Wang, "The existence of maps of nonzero degree between aspherical 3-manifolds", *Math. Z.* 208:1 (1991), 147–160. MR Zbl
- [Yano 1982] K. Yano, "Gromov invariant and S^1 -actions", J. Fac. Sci. Univ. Tokyo Sect. IA Math. **29**:3 (1982), 493–501. MR Zbl
- [Zhang 2017] W. Zhang, "Geometric structures, Gromov norm and Kodaira dimensions", *Adv. Math.* **308** (2017), 1–35. MR Zbl

Received January 25, 2021. Revised July 4, 2021.

CHRISTOFOROS NEOFYTIDIS DEPARTMENT OF MATHEMATICS OHIO STATE UNIVERSITY COLUMBUS, OH UNITED STATES

neofytidis.1@osu.edu

WEIYI ZHANG MATHEMATICS INSTITUTE UNIVERSITY OF WARWICK COVENTRY UNITED KINGDOM weiyi.zhang@warwick.ac.uk

PACIFIC JOURNAL OF MATHEMATICS

Founded in 1951 by E. F. Beckenbach (1906-1982) and F. Wolf (1904-1989)

msp.org/pjm

EDITORS

Don Blasius (Managing Editor) Department of Mathematics University of California Los Angeles, CA 90095-1555 blasius@math.ucla.edu

Paul Balmer Department of Mathematics University of California Los Angeles, CA 90095-1555 balmer@math.ucla.edu

Wee Teck Gan Mathematics Department National University of Singapore Singapore 119076 matgwt@nus.edu.sg

Jiang-Hua Lu Department of Mathematics The University of Hong Kong Pokfulam Rd., Hong Kong ihlu@maths.hku.hk

Paul Yang Department of Mathematics Princeton University Princeton NJ 08544-1000 yang@math.princeton.edu Vyjayanthi Chari Department of Mathematics University of California Riverside, CA 92521-0135 chari@math.ucr.edu

Robert Lipshitz Department of Mathematics University of Oregon Eugene, OR 97403 lipshitz@uoregon.edu

Sorin Popa Department of Mathematics University of California Los Angeles, CA 90095-1555 popa@math.ucla.edu

PRODUCTION

Silvio Levy, Scientific Editor, production@msp.org

See inside back cover or msp.org/pjm for submission instructions.

Matthias Aschenbrenner

Department of Mathematics

University of California

Los Angeles, CA 90095-1555

matthias@math.ucla.edu

Daryl Cooper

Department of Mathematics

University of California

Santa Barbara, CA 93106-3080

cooper@math.ucsb.edu

Kefeng Liu

Department of Mathematics

University of California

Los Angeles, CA 90095-1555

liu@math.ucla.edu

The subscription price for 2021 is US \$520/year for the electronic version, and \$705/year for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. The Pacific Journal of Mathematics is indexed by Mathematical Reviews, Zentralblatt MATH, PASCAL CNRS Index, Referativnyi Zhurnal, Current Mathematical Publications and Web of Knowledge (Science Citation Index).

The Pacific Journal of Mathematics (ISSN 1945-5844 electronic, 0030-8730 printed) at the University of California, c/o Department of Mathematics, 798 Evans Hall #3840, Berkeley, CA 94720-3840, is published twelve times a year. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

PJM peer review and production are managed by EditFLOW® from Mathematical Sciences Publishers.



nonprofit scientific publishing

http://msp.org/ © 2021 Mathematical Sciences Publishers

PACIFIC JOURNAL OF MATHEMATICS

Volume 315	No. 1	November 2021
	110.1	

On the Lévy constants of Sturmian continued fractions	1
YANN BUGEAUD, DONG HAN KIM and SEUL BEE LEE	
Every centroaffine Tchebychev hyperovaloid is ellipsoid	27
XIUXIU CHENG, ZEJUN HU and LUC VRANCKEN	
The index of a modular differential operator	45
WILLIAM DUKE	
Bessel quotients and Robin eigenvalues	75
Pedro Freitas	
On the asymptotic structure of steady Stokes and Navier–Stokes flows around a rotating two-dimensional body	89
TOSHIAKI HISHIDA and MADS KYED	
Ribbon cobordisms between lens spaces	111
MARIUS HUBER	
Variational principles and combinatorial <i>p</i> -th Yamabe flows on surfaces	129
CHUNYAN LI, AIJIN LIN and CHANG YANG	
An infinitesimal variant of the Guo–Jacquet trace formula, II HUAJIE LI	151
	209
Geometric structures, the Gromov order, Kodaira dimensions and simplicial volume	209
CHRISTOFOROS NEOFYTIDIS and WEIYI ZHANG	
Group invariant solutions of certain partial differential equations JAIME RIPOLL and FRIEDRICH TOMI	235