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Title: Comparison of Large Animal Models for Acute Ischemic Stroke: which model to use?

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1 Comparison of Large Animal Models for Acute Ischemic Stroke: which model to use?

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1 Abstract

2 Translation of acute ischemic stroke (AIS) research to the clinical setting remains limited over the last few decades with only one drug, recombinant tissue plasminogen activator, 3 4 successfully completing the path from experimental study to clinical practice. To improve the selection of experimental treatments before testing in clinical studies, the use of large 5 6 gyrencephalic animal models of AIS has been recommended. Currently, these models include, 7 among others, dogs, swine, sheep and non-human primates, that closely emulate aspects of the human setting of brain ischemia and reperfusion. Species-specific characteristics, such as the 8 cerebrovascular architecture or pathophysiology of thrombotic/ischemic processes, 9 10 significantly influence the suitability of a model to address specific research questions. In this article, we review key characteristics of the main large animal models used in translational 11 studies of AIS, regarding (i) anatomy and physiology of the cerebral vasculature, including 12 13 brain morphology, coagulation characteristics and immune function, (ii) ischemic stroke modelling, including vessel occlusion approaches, reproducibility of infarct size, procedural 14 15 complications and functional outcome assessment, and (iii) implementation aspects, including ethics, logistics and costs. This review specifically aims to facilitate the selection of the 16 appropriate large animal model for studies on AIS, based on specific research questions and 17 large animal model characteristics. 18

Non-standard Abbreviations and Acronyms 1

- 2 AIS Acute Ischemic Stroke
- CoW Circle of Willis 3
- ICA Internal Carotid Artery 4
- 5 MCA Middle Cerebral Artery
- 6
- 7
- 8
- For stroke Peer Peer alter use. 9

1 Introduction

Animal models of acute ischemic stroke (AIS) are instrumental to translate novel experimental therapies from the laboratory to the clinical setting. In 1999 and again in 2009, the Stroke Therapy Academic Industry Roundtable (STAIR) recommended the use of large gyrencephalic models as an important translational step together with rodent studies as a complement to clinical trials.^{1,2} AIS models have been developed in several species including dogs, swine, sheep and non-human primates (NHP). A selection between these models is relevant when translating basic science research to clinical studies.

Rodent models are invaluable for experimental stroke research due to the availability 9 of transgenic and immuno-compromised strains, ethical acceptance, and low costs. However, 10 with the exception of recombinant tissue plasminogen activator (rt-PA), to date no drugs have 11 been proven effective in AIS patients despite numerous successful drug studies in rodents.³ 12 This poor translatability has been linked to preclinical as well as clinical methodological/trial 13 design issues, e.g. sensitivity to detect modest effects and failure to mirror study design.^{4,5} 14 However, this could also, at least partially, be explained by anatomical differences between 15 the human and rodent brain with respect to size, morphology (gyrencephalic versus 16 lissencephalic), and gray/white matter ratio.⁶ Consequently, the STAIR consortium has 17 recommended the addition of a large gyrencephalic model as a critical extra step in the 18 translational pathway towards clinical application.^{1,2} 19

Large animal models enable application of the same clinical diagnostic imaging modalities and therapeutic devices as in humans. Use of the same scanners and scanning protocols as for human patients facilitates translation of research findings. Another advantage is the larger circulating blood volume, as compared to rodents, which allows for extensive and safe serial blood sampling (**Supplemental Material**) to study, for instance, kinetics of plasma biomarkers of ischemia or pharmacokinetics of novel therapeutics. Large animal models are

particularly valuable in areas of translational stroke research, investigating novel devices that 1 2 require a larger brain or larger diameter of cerebral vessels. For instance, a recent study in dogs showed that catheter-based focal brain cooling initiated prior to recanalization was safe 3 and reduced infarct size as compared to recanalization alone.^{7,8} Clearly, this type of catheter-4 based intervention could not have been performed in a rodent model. Similarly, responses to 5 currently employed clinical interventions such as mechanical thrombectomy should ideally be 6 studied in large animal models.⁹ Moreover, large animal models can be useful to explore 7 opportunities emerging from sophisticated imaging approaches in stroke¹⁰ and related fields.¹¹ 8

9 In order to improve the quality of pre-clinical research, the Planning Research and 10 Experimental Procedures on Animals Recommendations for Excellence (PREPARE) 11 guidelines were introduced in 2018 and point to the "assessment of the relevance of the 12 species to be used, its biology and suitability to answer the experimental questions with the 13 least suffering, and its welfare needs."¹²

In light of these considerations, the aim of this review is to guide AIS investigators in 14 15 choosing the appropriate large gyrencephalic animal model to address their specific research question. This review originated from our quest to set up our own large-animal model of AIS. 16 We wanted to address issues that were raised discussions with leading experts on large-animal 17 18 models of AIS about how to address the most relevant questions when establishing a largeanimal model of AIS. For this purpose, we reviewed the literature and compared important 19 features of dog, swine, sheep and NHP models. Cats also have a gyrenchephalic brain and 20 were among the first large animals being used in stroke research.¹³⁻¹⁵ Despite their pioneering 21 role, cat stroke models are nowadays less frequently used, potentially due to ethical 22 considerations and less demanding husbandry of similarly-sized (lissencephalic) rabbits. 23 Therefore, cat stroke models are not included in this review. 24

Here, we discuss *(i)* comparative anatomy and physiology, including cerebral vasculature, brain morphology, and coagulation characteristics and immune function, *(ii)* ischemic stroke modelling, including vessel occlusion approaches, reproducibility of infarct size, procedural complications and functional outcome assessment, and *(iii)* implementation aspects, including ethical aspects and practical aspects, such as logistics and costs. Taking this approach, this review aims to provide a rational and informed approach for investigators to select the most appropriate large animal model for their particular research.

- 8
- 9

i. Comparative anatomy and physiology

10 *Structure and function of the cerebral vasculature*

Lesion size and reproducibility of the ischemic injury are key determinants of a stroke model's translational value. Both factors largely depend on cerebrovascular anatomy and variability. The middle cerebral artery (MCA) provides the major proportion of blood volume to the brain, particularly to motor and sensory areas. It is the most common occlusion site in human AIS, making it the most important site to induce focal cerebral ischemia in animal models.

In humans, large artery occlusion mostly occurs in M1 (main stem) or M2 segments of the MCA.¹⁶ Proximal MCA occlusions in humans, dogs, swine, sheep, and NHP have all shown to affect the ipsilateral cortex and, when the occlusion is located proximal to the lenticulostriate arteries, the basal nuclei as well.¹⁷⁻²¹ The origin (terminal branch of the internal carotid artery [ICA]) and branching of the MCA are similar in humans, dogs and NHPs. In swine and sheep, the MCA branches from the circle of Willis (CoW) and these animals sometimes have a duplicate or even triplicate MCA.²²

The MCA diameter is generally smaller in animals as compared to humans. In humans, the diameter is 3.2 ± 0.3 mm,²³ whereas the reported external diameters are 1.5 ± 0.3

mm in dogs, 1.3 ± 0.3 mm in sheep, and 1.2 ± 0.1 mm in macaques (*Macaca mulatta*) (mean \pm 1 SD).²⁴ In swine, MCA diameter is 1.2 mm,²⁵ whereas in baboons the diameter is up to 2.0 mm 2 (personal communication GDZ). Vessel sizes are also strain- and age-dependent. The vessel 3 4 diameters are relevant for development of catheter-based methods using similar catheters as in the human setting. Nonetheless, the capacity to reach the MCA endovascularly also depends 5 on the anatomical configurations of the head-neck vasculature, namely the access to the CoW 6 and the existence of a physiological rete mirabile epidurale rostrale (RM). This is an 7 anatomical structure composed of a compact and complex network of anastomosing vessels, 8 interlaced with a venous plexus located in the cavernous sinus (Figure 1), and has been used 9 to model vascular malformations as seen in humans.²⁶ It is unclear whether the RM affects 10 distal blood pressure and flow during recanalization. 11

Figure 2 provides a schematic overview of the CoW, and proximal and distal arteries 12 of importance for each model. Humans, dogs and NHP do not have a physiological RM. 13 Swine and sheep possess a RM located proximal to the intracranial ICA. The RM supplies a 14 15 short ICA, which continues into the CoW. In sheep, the extracranial part of the ICA is obliterated after birth, and the RM is then fed by the maxillary arteries. In swine, the RM is 16 fed by the ascending pharyngeal artery. The function of the RM is not fully understood, 17 however, it effectively prevents the occurrence of thromboembolic stroke.²⁷ It also poses a 18 limitation to the use of intravascular techniques for induction of focal ischemia in these 19 species, as most catheters cannot pass the RM.²⁷ Catheter-based occlusions are feasible in dog 20 and NHP. Moreover, the size of their brain vasculature allows for the use of microcatheters, 21 stent-retrievers or coils used in routine (human) interventional radiology procedures. 22

The human MCA can react to stimuli such as hypercapnia by vasodilation,²³ and it is known that cerebral vasoregulation is impaired in stroke.^{28,29} In baboons, the arterial supply and regional flow characteristics have been studied in the setting of normoxia and ischemia.^{30,31} However, while vascular reactivity of the coronary circulation following
ischemia-reperfusion has been studied extensively in swine and dogs,^{32,33} studies on
cerebrovascular reactivity in both species are scarce. In swine, cerebral traumatic injury was
shown to influence vascular reactivity to acetylcholine and hypocapnia. This change in
reactivity depends on injury size.³⁴ Knowledge of the vascular response to ischemia in the
various large animal models will add to the interpretation of research findings.

7

8 Brain structure and gray and white matter

The translational value of a stroke model also depends on the respective species' brain 9 morphology, weight, and complexity. Humans, dogs, swine, sheep and NHPs are all 10 gyrencephalic species and have a higher percentage of white matter compared to 11 lissencephalic animals such as rodents. The percentage of white matter also increases with 12 brain size.³⁵ Gray and white matter have different metabolic demands as their vulnerability to 13 ischemia and collateral blood supply differ.^{36,37} Literature indicates intra-species variations in 14 15 white matter percentages, but this depends on whether the white matter is given as a percentage of the cortex or of the total brain. Percentages of white matter in the cortex of 16 large animals (swine 28.4%; sheep 27.7%; NHP 27.7% (Macaca Mulatta))³⁸ are closer to 17 humans (40-45%) than that of rodents (10-12%).⁶ Apart from susceptibility to ischemia, 18 different percentages and organization of white matter are relevant when studying brain 19 connectivity (e.g. by MRI diffusion tensor imaging). Compositional differences between non-20 human primates (e.g. Papio sp, Macaca sp) and rodents (e.g. locations and distributions of 21 organized white matter bundles in subcortex and basal nuclei) support the use of the former 22 for human-relevant focal ischemia studies. This may (partially) explain failures of rodent 23 interventional studies to translate to successful human treatments.³⁹ For instance, subcortical 24 white matter typically represents a larger proportion of tissue at risk following MCA 25

occlusion in larger primates than in rodents. In fact, in all large-animals described in this
review, white matter is organized as the internal capsule, but in rats and mice many small
fiber tracts are scattered throughout the basal ganglia gray matter.

4

5 *Coagulation and immune function*

In addition to ischemia as a result of the primary thromboembolic occlusion, AIS is known to 6 7 induce thrombo-inflammation, an interaction between platelets and inflammatory cells, that can contribute to infarct maturation and growth.⁴⁰ Moreover, stroke triggers substantial central 8 and peripheral immune reactions.⁴¹ Therefore, it is important to consider the significant 9 10 differences in the coagulation and immune function in the various large animal models as compared to humans. It is not clear whether these differences, e.g. shorter PT/PTT in dogs, 11 have a direct effect on infarct size. However, they are particularly relevant when coagulation 12 13 values and inflammation characteristics are measured as outcomes. A detailed description of relevant coagulation and immune system characteristics of dog, swine, sheep and NHP can be 14 15 found in Supplemental Material and Supplementary Table I. Importantly, surgical procedures for the induction of stroke will influence and contribute to thrombo-inflammation, 16 making sham animals essential for assessing coagulation and inflammatory responses 17 triggered by the intervention. 18

19

20

ii. Ischemic stroke modeling

MCA occlusion (MCAO) in large animal models can either be performed endovascularly using a catheter-based approach, or externally using a neurosurgical approach, depending on the chosen species. **Table 1** summarizes the approaches for AIS models in dogs, swine, sheep and NHP as reported in previous studies. A more complete listing of NHP models, both in awake and anesthetized animals, is given in **Supplementary Table IV**. Neurosurgical and

endovascular methods can be used for permanent and transient MCAO. In transient occlusion
models, occlusion times generally vary between one and four hours, similar to occlusion
durations in AIS patients receiving reperfusion treatments. Duration of reperfusion is an
important parameter to take into account. This is determined by the research question, for
example acute experiments for mechanistic studies or long-term follow-up studies when
assessing functional outcome.

7

8 External occlusion

External occlusion is achieved by specific neurosurgical approaches and can be used for both 9 10 permanent (i.e. ligation, external compression or electrocoagulation) or transient (i.e. clipping, 11 external compression or arterial thrombosis) occlusions. The use of removable microvascular clips allows for recanalization of the artery at a desired occlusion site and at a well-controlled 12 point in time. Arterial thrombosis can be induced by arterial crush injury, chemical injury, or 13 photothrombosis, which all tend to lead to platelet-rich occlusions, and recanalization can be 14 achieved by thrombolysis.⁴²⁻⁴⁵ Alternatively, severe vasoconstrictors such as endothelin-1 can 15 be introduced intra-parenchymally to induce ischemia.⁴⁶ These options are not well-controlled 16 in terms of the timepoint of reperfusion. 17

18 Of note, a craniotomy/craniectomy can be used for direct measurements on the brain tissue, including tissue oxygenation, microvascular function and flow, and functional 19 monitoring using electroencephalography. Although the neurosurgical approach has benefits, 20 21 including direct visualization of brain vasculature, it has also been associated with untoward effects that may influence outcome. Those comprise accidental brain tissue damage, 22 23 hemorrhage, and altered intracranial pressure due to removal of the overlying bone, dural resection and loss of cerebrospinal fluid. The exposure of the MCA may require enucleation 24 in some approaches, as performed in an AIS model in baboons (Papio species), using an 25

external balloon occlusion.^{47,48} Although neurological evaluation has been extensively
performed in this model, loss of in-depth vision should be taken into consideration when
designing behavioral assessment in follow-up experiments.

4

5 Endovascular occlusion

Endovascular models have been used for both permanent and transient MCAO. This approach
is only possible in dogs and NHP, due to the RM in swine and sheep.

Different approaches have been developed and are used to induce endovascular 8 MCAO in dogs and NHP, for example using thrombo-emboli, silicone plugs, coils, balloon 9 catheters, nylon threads or micro-beads.^{18,49-54} All of these are used for recanalization in AIS 10 models, although when using micro-beads, there are limitations regarding specific occlusion 11 of the MCA and recanalization. Of these methods, the thrombo-embolic occlusion most 12 closely mimicks human stroke pathogenesis. In this type of model, an externally generated 13 thrombus is injected using a guiding catheter selectively positioned in the targeted 14 vasculature. Although this method closely emulates the pathogenesis of a large proportion of 15 strokes in humans, it is less controllable than external occlusion and results in variable 16 17 occlusion patterns across experiments. Thrombi can be created using autologous blood under 18 static or flow conditions, which has a significant influence on thrombus composition and mechanical characteristics.^{50,55,56} To circumvent the RM in swine, an endovascular model has 19 been developed by injecting thrombin proximal to the RM.⁵³ This approach occludes most of 20 the downstream vasculature causing massive strokes, but is less controllable than a targeted 21 occlusion in dogs and NHP. 22

In order to study mechanical thrombectomy, swine extracranial arteries are often used for endovascular thromboembolic occlusion.^{8,57,58} These models do not induce ischemic stroke, but are suitable for studying the success rate and associated endovascular damage of

recanalization strategies, and can effectively replicate the tortuosity of human brain-supplying
 vessels. Similar studies have been performed in dogs.⁵⁸

3

4 Reproducibility of infarct size and procedural complication rate

Large animal studies, particularly for NHPs, require well-trained multidisciplinary research 5 teams and specialized animal facilities for housing and for surgery.⁵⁹ Both from an ethical as 6 well as an economics point of view, the number of studied animals should always be 7 minimized by reducing variation between animals and standardizing research procedures. For 8 these reasons, reproducibility of infarct size and location should be taken into account when 9 10 deciding which animal model to use. In general, thromboembolic endovascular models inherently have a more variable occlusion site due to varying vascular anatomy. Additionally, 11 clot fragmentation can cause secondary lesions, further adding to the variability of infarct size 12 and location. External occlusion models tend to result in highly reproducible infarcts.^{60,61} 13 However, some species including dogs exhibit an extensive collateral circulation via maxilla-14 15 carotid and meningocerebral anastomoses, and a large draining cerebral vein that can reverse flow, which reduces reproducibility of infarcts and thus increases required sample sizes.⁶² 16 Infarct size in endovascular NHP models can also be highly variable. 17

Periprocedural complications, e.g. hemorrhages, also increase the number of animals required. Loss due to complications in the surgical approach in swine and sheep is typically 5 to 10%, including follow-up drop-outs due to uncal herniation as a result of edema, or epilepsy. Estimated complication rates for endovascular models in dogs tend to be higher,⁵¹ mostly due to perforation of the highly tortuous arteries encountered for access to the MCA. However, complication rates for all species can significantly differ depending on, for example, the model of MCAO, occlusion duration, or center expertise.

1 Functional testing

Testing of functional outcome is not as widely applied in large animal models as in rodent models, in part due to study constraints (such as the surgical approach) and ethical considerations.²⁰ While behavioral assessments only require a baseline and follow-up measurement, assessment of cognitive function following stroke in large animals generally requires pre-training of the animals.^{21,63,64} Given the gyrencephalic nature, large brain size and proportion of white matter, such tests can provide a closer model of functional outcome in humans as compared to rodent models.

Different neurological assessments have been described for different species. In dogs, 9 various neurological scores are used to assess a combination of the following features: 10 consciousness, motor function, sensory function, head position, gaze, hemianopia and 11 circling.^{18,51,65} A study in sheep added facial paralysis and ataxia outcomes.⁶⁰ Sensory 12 function is difficult to test in sheep, as they habituate quickly to nociceptive stimuli.⁶⁰ In 13 addition to the functional outcome measures mentioned above, swine AIS models also 14 focused on appetite, vocalization⁶⁶, and standardized qualitative gait assessments using video 15 recordings.^{19,67} Analyzed parameters in gait assessment include swing time, stance time, step 16 length, step velocity and hoof height.⁶⁷ Gait assessment methods developed in swine are less 17 subjective and available for comparison. For NHP models, the Non-Human Primate Stroke 18 Scale (NHPSS) was developed in order to standardize functional testing in an experimental 19 stroke setting based upon similar experience in canine studies.⁶⁸ This scale is similar to the 20 National Institutes of Health Stroke Scale (NIHSS) for humans. It studies the level of 21 awareness, the ability to self-care, tone and posture, distal strength and coordination. 22 Furthermore, cognitive tests such as the 6-tube search task are also used in NHP stroke 23 models,²¹ but not implemented in baboons. Although cognitive tests are also available for 24 $dogs^{69}$, swine⁷⁰, and sheep^{71,72} they are not yet widely applied in AIS models. 25

Various functional outcome assessment scales are available. However, following the
 NHPSS, a consensus on standardized and translatable scales for dogs, swine and sheep should
 be introduced to improve comparability between large animal stroke studies and translation to
 clinic.

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iii. Implementation aspects

7 *Ethical and societal considerations*

In addition to anatomical and scientific aspects, in time some concerns over the management 8 9 of animals both environmental and during and after experiments have come to influence the 10 choice of specific large animal models. In the last two decades, there have been multi-level discussions about how to manage potential issues, however, in general, their solution has been 11 a local and/or national affair. Legislation or local rules can restrict the use of specific species 12 13 based upon experiment requirements, local housing and care capabilities, expertise, former experience, and other features felt to be important to the welfare of the animal (and 14 15 researcher). In some instances national requirements/rules may only allow certain experiments when those studies are not feasible in other animals. For example, de novo 16 17 setting up of dog and NHP biomedical research facilities is highly restricted in Europe.

18

19 Practical aspects

Another factor in determining the choice of the large animal model for AIS studies relates to the expertise available in the research center, as setting up a new animal model without existing infrastructure requires considerably more time and effort than rodent models and may carry considerable risk for the animal. For housing, dogs must have a courtyard for outside running and NHP should be able to run, climb and jump. Aside from this standard care, performing transcranial surgery or endovascular occlusions requires experience in neurosurgery or neuro-interventions, respectively. This, together with experience in
 anesthesia and analgesia for the specific species, will reduce complication rates considerably
 and reduce the number of animals needed for setting up and optimizing the model.

Moreover, differences in costs should be considered and can vary per study site. NHP models are most similar to human patients but are also most expensive to acquire. Estimated costs for the four species are given in **Supplementary Table III**, but depend strongly on strain, age and comorbidity. Housing costs also vary per location, but tend to be higher for dog and NHP.

9

10 Selecting the most appropriate large-animal AIS model

The suitability of an animal model to answer a specific research question depends on the strengths of the model (over other available models) and on the associated practical challenges (**Table 2**). Therefore, different research settings (line of investigation, availability of dedicated facilities or specific expertise) might favor a different large-animal model. In other words, the most appropriate animal model for researcher A might not be the same as for researcher B. We have designed a flowchart (**Figure 3**) to assist in choosing an appropriate large animal model based on research questions and animal model characteristics.

18 The first aspect to be taken into account is the pathophysiological or therapeutic entity (perfusion studies, coagulation/thrombosis, (neuro-)immunology, neuroprotection 19 or recanalization/thrombolysis) to be studied, and the main aim of the study (descriptive/proof of 20 21 concept or to confirm robust results obtained in other animal models). Although thrombolysis in swine is closer to humans, and dogs and NHP are the most recognized models for 22 23 confirmatory preclinical studies before moving into the clinical setting, there is no goldstandard model to study these topics. More elements have to be considered to properly select 24 25 the animal model that suits your research and infrastructure.

The second and probably most important determinants are cerebrovascular anatomy and physiology. Dogs and NHP models are the first choice when using endovascular and autologous thromboembolism to induce AIS. However, this requires a skilled experimenter as ICA tortuosity can complicate the endovascular approach and increases the peri-procedural complications due to the risk of perforations and intracerebral hemorrhage. If AIS is not a prerequisite to study endovascular techniques, then extracerebral vessels, particularly in swine, are an excellent alternative.

When direct endovascular access to the brain is not an essential condition, the surgical 8 AIS models in sheep and swine should be considered as they have lower associated costs, less 9 10 housing requirements and less societal resistance. Moreover, the transcranial approach allows 11 for precise control of occlusion site and occlusion duration. This enhances reproducibility of infarct size and location, and reduces the number of animals needed to achieve sufficient 12 13 statistical power. The accurate timing of recanalization is especially useful when studying reperfusion. Trepanation also enables direct on-tissue measurements of the infarct zone, e.g. 14 15 tissue oxygenation, microvascular function and flow, and functional monitoring using electroencephalography. Nonetheless, the different variants of the craniotomy to expose the 16 17 MCA demand experienced staff. We recommend involving neurosurgeons and veterinarians 18 to perform or assist in the surgery and manage or assist in anesthesia and post-operative care.

19

20 Conclusion

The decision of which animal model to use depends on the research question and available expertise and infrastructure. The dog and NHP model are suitable for endovascular approaches but their availability is limited, mainly due to ethical considerations and societal aspects. While the NHP model is considered the best approximation of the human situation, it requires highly specialized expertise and can be very costly. For the external surgical

approach, swine and sheep models are very suitable as they have lower associated costs, are
 easily available, and lead to less societal resistance.

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5

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14

15 Disclosures

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Supplemental Material 8

Online Tables I–IV 9

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1 Figure Legends

Figure 1. Antero-posterior (panel A) and lateral (panel B) view of 3-D angiography showing *rete mirabile epidurale rostrale* (RM), middle cerebral arteries (MCA) and ascending
pharyngeal arteries (APA) in swine.

Figure 2. Comparative overview of circle of Willis (CoW) in humans, dogs, swine, sheep 5 and NHP. In men, the CoW is composed of the left and right anterior cerebral artery (ACA), 6 7 the anterior communicating artery (ACoA), left and right internal carotid arteries (ICA), left and right posterior cerebral arteries (PCA), as well as left and right posterior communicating 8 9 arteries (PCoA). The CoW in dogs and NHP is relatively similar to humans with the exception of an absent ACoA. Instead, both ACAs merge into a single median ACA, which 10 then divides into right and left branches. This anatomical variation also exists in human 11 12 anatomy and is known as 'azygos ACA'. As a variation, swine and sheep can have a fine plexiform network of vessels between the two ACAs, instead of one complete ACoA. The 13 PCA branches off the PCoA in swine and sheep whereas it branches off the basilar artery in 14 15 humans. The anterior cerebellar artery in dogs and sheep is a branch of the PCA, whereas a similar artery arises from the basilar artery in primates and humans, namely the anterior 16 inferior cerebellar artery. Anatomical variations in the CoW exist in both humans and animals 17 and an incomplete circle is associated with a reduction of the compensatory ability and a 18 higher risk of stroke. 19

Figure 3. Flowchart describing the most relevant aspects to take into account when choosing a large animal model of AIS. For perfusion studies requiring direct visualization of the tissue, we advise craniectomy and consequently an external/surgical approach rather than an endovascular approach. However, in general, the choice between external/surgical or endovascular should be based on the specific expertise of the research center. Moreover, when infrastructure, funding and ethical approval are available for NHP models, we 1 recommend their use due to the anatomical and physiological similarities to humans. 2 However, for proof-of-concept, descriptive, or non-stroke EVT studies, we recommend using sheep and swine models due to wider availability, less demanding housing requirements, and 3 4 lower associated costs compared to dog and NHP models. When studying EVT in a thromboembolic stroke model, dogs and NHP are the only options due to the rete mirabile in 5 .me, 6 swine and sheep. CV indicates cerebrovascular; EVT, endovascular treatment; and NHP, 7

1 Tables

2 Table 1. Feasiblity and implementation of occlusion techniques in large animal models of

3 acute ischemic stroke.

Occlusion technique	Dog	Swine	Sheep	NHP	
Surgical					
Clipping	✓ ⁸⁹	√ ^{66, 90, 91}	\checkmark^{20}	✓ ⁹²⁻⁹⁴	
Electrocoagulation	✓ ⁸⁹	$\sqrt{61,90}$	$\sqrt{20, 60}$	$\sqrt{21,95}$	
Ligation	\checkmark	\checkmark	\checkmark	\checkmark^{96}	
Chemical thrombosis	\checkmark	\checkmark^{43}	\checkmark	$\checkmark^{44, 45}$	
Endothelin-1 injection	\checkmark	\checkmark^{46}	\checkmark	\checkmark^{88}	
Endovascular					
Thromboembolic	✓ ^{50, 97, 98}	-	-	√ ⁹⁹	
Silicone plugs	$\checkmark^{18, 100}$	-	-	\checkmark	
Endovascular coils	\checkmark^{51}	-	-	$\checkmark^{64, \ 101}$	
Balloon occlusion	\checkmark	-	-	$\sqrt{52, 102}$	
Nylon threads	\checkmark	-	-	$\checkmark^{49, 103}$	
Microbeads	\checkmark	-	-	$\checkmark^{54, 104}$	
Thrombin injection	\checkmark	\checkmark^{53}	\checkmark	\checkmark	

⁴

⁵ The feasibility of performing each occlusion technique in each of the different animal models 6 is indicated with √. References are added as an example in those models where the technique 7 has been implemented and reported, but we do not give a complete overview of the available 8 literature. A hyphen (-) indicates lack of feasibility of the occlusion technique due to the 9 presence of rete mirabile. NHP indicates non-human primate.

Table 2. Strengths, limitations and challenges of large animal stroke models.

Animal model	Dog	Swine	Sheep	NHP		
Anatomy and physiology						
Cerebrovascular anatomy						
External approach	+ +	+	+	+ +		
Endovascular approach	+/-			+ +		
Brain structure	+	+	+	+ +		
Coagulation	+	+	+ +	+		
Modeling techniques						
External approach*	+	+	+	+		
Endovascular approach	+			++		
Reproducible infarct size						
External approach	+	+	+	+		
Endovascular approach	-			-		
Procedural complications						
External approach	+	+	+	+		
Endovascular approach	-			+		
Functional testing	+	+	+	+		
Implementation aspects						
Housing	-	+	+	-		
Costs	+	+ +	+ +	-		
Expertise and infrastructure [†]						

2

* The external approach can be achieved transorbitally or transcranially. For NHP, the
preferred external approach is transorbital, as it involves less postoperative care. † Expertise
and infrastructure are not scored as they differ per research facility. They can be scored by
each center individually as it should be taken into account when deciding which animal
model to use. + + = very beneficial. + = beneficial. +/- = slightly challenging. - = challenging.
- = very challenging / not possible. NHP indicates non-human primates.













Large-animal Models for Acute Ischemic Stroke

