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## Novel Enhancement of Energy Management in Fuel Cell Hybrid Electric Vehicle by an Advanced Dynamic Model Predictive Control

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#### Abstract

In this paper, an Advanced Dynamic Model Predictive Control (AMPC) based on a Nonlinear Model Predictive Control (NMPC) framework with a multi-objective cost function driven by dynamic weights is proposed to improve the energy performance of fuel cell hybrid electric vehicles whilst prolonging their component lifetime. By the use of dynamic weights, the cost function is effectively formulated as the combination of fuel consumption, rate of change of fuel cell power, battery power, the fuel cell efficiency, state of charge of the battery, and their temperatures. In order to enhance the adaptability of the AMPC, a Fuzzy Cognitive Map (FCM) is then newly designed to regulate online the dynamic weights to adjust the importance of each cost component according to the conditions prevailing during driving. A comparative study between the proposed AMPC, a constant weight based NMPC and a conventional NMPC having cost function with fewer objectives has been carried out by means of simulation using a FCHEV model from the simulation tool ADVISOR to illustrate the efficacy of the proposed AMPC.

*Keywords:* Fuel Cell Hybrid Electric Vehicle, Energy Management System, Simulink design, Nonlinear Model Predictive Control, Fuzzy Cognitive Map, Fuel cell degradation

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#### 1. Introduction

With rising concern over rising global temperature and pollution caused by the use of carbon-based fuels, as well as the depletion of natural fuel reserves at an alarming rate, the world is transitioning toward renewable, green fuels for energy. One of the potential alternatives is hydrogen. Hydrogen is an a ubiquitous element in the 10 universe that may be produced on Earth by electrolyzing 11 When hydrogen is combusted (oxidised), the water. 12 product is water, which is environmentally safe. Many 13 studies are being carried out in the field of hydrogen 14 fuel cells as a transportation power source, with great 15 emphasis to Proton Exchange Membrane Fuel Cells 16 (PEMFC) [1]. PEMFC is the most commonly used fuel 17 cell in the automotive sector due to its advantages such 18 as usage of solid electrolyte, lower operating temperature, 19 faster start-up, and higher efficiency [2, 3]. Even though 20 fuel cells have many advantages, they are not used alone 21 in automobile applications due to the slow response to 22 power demands. The power delivery delay is attributed 23 to the slow dynamics of mechanical valves, pumps present 24 in the hydrogen and oxygen supply line [4]. Fuel cells also 25 cannot absorb energy generated during vehicle braking. 26 52 Thus, secondary sources such as batteries and/or ultra-27 53 capacitors are used in conjunction with fuel cells in order 28

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to provide a large amount of power in a short span and absorb the regenerative braking energy [4].

Fuel cell Hybrid Electric Vehicles (FCHEV) are electric vehicles having hybrid power sources, fuel cell and battery. Having hybrid power sources helps to operate the vehicle effectively under different conditions by splitting power between the fuel cell and battery so that both can operate in their high-efficiency regions [5]. This decision of power split is taken by a so-called Energy Management System (EMS). In FCHEVs, the key to success is that an EMS needs to take care of the power split to deal with different decisive factors such as minimization of fuel consumption, braking energy recuperation, efficiency, and safety of the various vehicle sub-systems.

State-of-the-art literature shows that EMS for FCHEV can be broadly classified into two types - rule-based management and optimization-based management [6]. In rule-based management, the controller splits the demanded power between the power sources according to predefined rules created by experts. Deterministic rulebased control techniques such as Thermostat control [7], Power Following control [8], Finite State Machine Control [9] fall under this category. Due to the simple design and low computational demand, these energy management schemes are widely used in commercial applications. However, these controllers perform poorly in real-world

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#### Nomenclature

$ \begin{pmatrix} \frac{dP_{fc\_FCM}}{dt} \end{pmatrix}_{max/min} \text{ Limits of rate of fuel cell power given by FCM in AMPC} \\ \alpha_i & \text{Coefficient for the expression of } \dot{m}_{H_2}hot (i=14) \\ \beta_i & \text{Coefficient for the expression of } h_{rad} (i=1,2,3) \\ \dot{m}_{air} & \text{Mass flow rate of air across modules of battery} \\ \dot{m}_{cabin} & \text{Mass flow rate of air in cabin} \\ \dot{m}_{H_2hot} & \text{Mass flow rate of fuel in hot conditions} \\ \eta_{cabin} & \text{Efficiency of cabin heat transfer} \\ \gamma_i & \text{Coefficient for the expression of } v_{htx} (i=1,2,3) \\ \end{cases} $	$LHV$ Lower Heating Value of fuel $m_v$ Mass of vehicle $m_{fc}$ Mass of fuel cell $m_{module}$ Mass of each module of battery $n_{module}$ Number of modules in battery $P_{demand}$ Power demanded by the vehicle $P_{fc}^{command}$ Fuel cell power command $P_{fc}^{prev}$ Previous fuel cell power $r$ Radius of the wheel
$ \theta \qquad \text{Angle of elevation} \\ \theta_{FCM} \qquad \text{Bias of threshold function in FCM} $	$T_{air}$ Temperature of air surrounding the battery $T_{amb}$ Ambient temperature
<ul> <li>A Frontal area of vehicle</li> <li>a Acceleration of the vehicle</li> <li>A<sub>i</sub> Input concepts of Fuzzy Cognitive Map (i=19)</li> </ul>	$T_{b\_ref}$ Battery reference temperature $T_{fc\_max}$ Maximum fuel cell temperature
$A_{frontal}$ Frontal area of the radiator $C_d$ Drag Coefficient $c_{rolling}$ Rolling friction coefficient	$t_{fc\_min\_off}$ Winnihum fuel cell on time $t_{fc\_min\_on}$ Minimum fuel cell on time $T_{fc\_ref}$ Fuel cell reference temperature $t_{f}$ Temperature correction factor for $\dot{m}_{H\_eff}$
$Cp_{air}$ Heat capacity of air $Cp_{fc}$ Heat capacity of fuel cell $Cp_{module}$ Heat capacity of module of battery	$u_{working\_min}$ Minimum fuel cell working power v Velocity of the vehicle $v_{htx}$ Air velocity in the radiator
$g$ Acceleration due to gravity $h_{rad}$ Heat transfer coefficient of radiator	$W_i$ Weights of NMPC cost function (i=17)TSampling time interval for the controller

driving situations since the rules are dependent on the 71 57 designer's expertise and are created for a limited number 72 58 of driving scenarios. Fuzzy rule-based control strategies 73 59 [10, 11] are an improvement compared to deterministic 74 60 rule-based control strategies due to the decision making 75 61 mechanism, which mimics the way of human thinking 76 62 to control the power split. Nevertheless, fuzzy-based 77 63 approaches require more design effort and only adapt to a 78 64 limited range of system uncertainties. Hence, this kind of 79 65 energy management is not robust to unseen disturbances. 80 66 81 67 Meanwhile, optimization-based management is used 82 68 where the power split is done by minimizing an objective <sup>83</sup> 69 function. In [12], a comparative study between rule-based <sup>84</sup> 70

and optimization-based energy management systems for heavy duty fuel cell vehicles has been carried out by means of simulation to confirm the superior performance of optimization-based EMS. Optimization-based EMS is further classified into two types: online EMS and offline EMS [5]. Offline optimization techniques such as Dynamic programming [13–16], Genetic Algorithm [17] require information about the complete trip and external disturbances to derive a globally optimal solution which can be used as reference of power split during the vehicle operation [5]. Since driving conditions are normally unknown and these techniques require significant computational effort, their direct implementation is impractical; thus, online-based EMS is a preferable option. With

online EMS methods, an objective function is optimized<sub>142</sub> 85 in real-time to obtain the control input in-situ without<sub>143</sub> 86 the full drive cycle knowledge. Such optimization provides144 87 a sub optimal solution by predicting the system states145 88 in the near future, such as Model Predictive Control146 89 [18, 19] or by considering future system conditions in147 90 evaluating the current power split. Equivalent Con-148 91 sumption Minimization Strategy (ECMS) [20–22] and 149 92 Pontryagin's Minimum Principle (PMP) [23] comes under 150 93 latter. PMP provides an optimal solution by minimizing<sub>151</sub> 94 a Hamiltonian. Although PMP is capable of providing so-152 95 lutions comparable to Dynamic Programming, it requires153 96 tuning of co-state in the Hamiltonian for different driving154 97 conditions to obtain an optimal solution. ECMS derives<sub>155</sub> 98 the power split between various power sources at each<sub>156</sub> 99 instant by optimising an equivalent energy consumption<sub>157</sub> 100 of the vehicle using equivalent factors. Similar to PMP,158 101 to enhance the optimal operation of ECMS requires159 102 tuning the equivalent factor for different operating modes,160 103 which is difficult unless information about the driving<sub>161</sub> 104 condition is available. Meanwhile, MPC is a receding<sub>162</sub> 105 horizon control method that optimizes the system's future<sub>163</sub> 106 state by using predictions from the system's dynamic<sub>164</sub> 107 Only the first  $control_{165}$ model and control variables. 108 variable obtained from the optimal control policy is166 109 then utilized in the current step, while the remainder 167 110 is discarded. The prediction horizon is shifted one step<sub>168</sub> 111 forward, and the process is repeated for the next step.169 112 Due to the ability to deal with multiple objectives, MPC<sub>170</sub> 113 is known as a feasible solution for process control [24]<sub>171</sub> 114 and energy management for hybrid electric vehicles [25–27]<sub>172</sub> 115 116 173

MPC techniques employed for EMS application can<sub>174</sub> 117 be classified as linear and nonlinear. Different to lin-175 118 ear MPC which uses linearized equations of the plant, 176 119 nonlinear MPC (NMPC) offers better performance using177 120 nonlinear models which can capture the dynamics of 121 the plant effectively. In [28], a recurrent neural network<sup>178</sup> 122 is used as a fuel cell prediction model to construct the<sup>179</sup> 123 NMPC-based energy management for FCHEVs. Incorpo-<sup>180</sup> 124 rating power source degradation into the prediction model<sup>181</sup> 125 is critical for developing health-conscious EMS. Because<sup>182</sup> 126 of its ability to handle non-linear prediction models,<sup>183</sup> 127 NMPC can efficiently handle power source deterioration<sup>184</sup> 128 models. In [29] a NMPC with highly nonlinear battery<sup>185</sup> 129 degradation model was employed in the EMS of a hybrid<sup>186</sup> 130 electric vehicle. The health conscious EMS improved the<sup>187</sup> 131 fuel consumption and battery life compared to the EMS<sup>188</sup> 132 not considering the degradation of battery. In [30], the<sup>189</sup> 133 authors have employed degradation models of the fuel<sup>190</sup> 134 cell and battery in the NMPC based EMS for FCHEV.<sup>191</sup> 135 The results show that considering the health of the power<sup>192</sup> 136 sources in EMS can lead to lower operating costs. NMPC<sup>193</sup> 137 also permits the use of nonlinear cost functions in the194 138 optimization, allowing the use of nonlinear economic stage<sup>195</sup> 139 cost incurred in the plant, multiple objective oriented196 140 minimizing function as cost function [31]. In [30, 32] the197 141

monetary cost associated with the operation of FCHEV is taken as the cost function to be minimized in NMPC. The cost function includes the cost of hydrogen, cost incurred due to fuel cell and battery degradation. In another study [33], a multi objective cost function, dealing with fuel cell power tracking, battery state of charge set point tracking and fuel cell power regulation is proposed to reduce fuel cell power fluctuation and minimal fuel consumption via state of charge of the battery tracking.

To improve the prediction of NMPC, velocity predictors can be used in conjunction with NMPC. In [33], an online learning Markov speed predictor is used in conjunction with an MPC based on a multi objective cost function. The predicted velocities from the online learning Markov predictor is then used to create a state of charge reference. The velocity predictors are utilised in recent literature to characterise future driving conditions based on expected velocity and acceleration. Then the classification is used to choose the mode of operation of EMS. In [34], the online driving condition is classified using a probabilistic driving cycle classification approach based on three driving conditions. By using data fusion approach, the probability distribution is used to calculate online the parameters of the three offline tuned fuzzy logic controllers which drive the decision-making of the EMS. Utilising the similar concept, a NMPC-based EMS with an adaptive cost function is proposed [35, 36]. The cost function's weights are selected online from predefined sets of weights generated for various driving conditions. To identify the online driving condition, a Markov pattern recognizer is utilised.

However, the above literature study identified limitations in the literatures and opportunities for improvement in NMPC-based EMS for FCHEV, which are listed below:

- 1. The need of complex vehicle model, sub-system model or artificial intelligence-based black-box models to enable the optimisation require significant time and effort to develop. In addition, training and/or parametrising these models for diverse working conditions, especially for life prediction, necessitates the collection of energy system data for various conditions, which is time consuming and laborious [28, 29].
- 2. Using monetary cost in the cost function requires extra effort in setting the weights of cost components that cannot be assigned comparable monetary cost, such as set point tracking of battery state of charge, as seen in [30, 32].
- 3. The temperature conditions of the fuel cell and battery are not taken into account in the EMS decision ([33, 35, 36]). Maintaining the temperatures of the fuel cell and battery is critical for optimal performance with minimal deterioration [30].
- 4. The identification of driving cycles and/or modes can occasionally be incorrect, potentially causing poor

- <sup>198</sup> performance of EMS. Switching the EMS modes or<sub>252</sub>
- regulating the EMS cost functions based on driving
- pattern recognition on a frequent basis might impair<sup>253</sup>
- the EMS's efficiency [7, 34, 35].

- Different from [28], only a simple plant model of the<sub>261</sub>
   fuel cell is required for the design of AMPC. Here,<sub>262</sub>
   the AMPC will consider the state of charge of the<sub>263</sub>
   battery, temperatures of fuel cell and battery, power<sub>264</sub>
   demand as the measurement inputs to derive the op-<sub>265</sub>
   timal power command of the fuel cell and battery.
- 2. Different from [30, 32, 33], the AMPC cost function  $_{\scriptscriptstyle 267}$ 213 is designed to address all aspects of energy manage- $_{\scriptscriptstyle 268}$ 214 ment in FCHEVs using dynamic weights. The goal<sub>269</sub> 215 is to minimise the fuel consumption and maintain the 216 battery state-of-charge, but also to prevent the power<sup>270</sup> 217 sources' deterioration due to a variety of factors. In<sup>271</sup> 218 addition, objectives associated with the optimal tem-272 219 perature operating regions of the power sources are<sup>273</sup> 220 integrated in this design via activation functions. 274 221
- 3. Different from existing studies on enhancing the<sup>275</sup> adaptability of NMPC [35, 36], a novel continuous<sup>276</sup> weight regulation method is proposed to regulate online the AMPC cost function weights by considering only the vehicle instantaneous states and power demand.

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- (a) The cost components are normalised according<sub>278</sub> to their ranges to minimise the design and cali-<sub>279</sub> bration efforts.
- (b) A fuzzy cognitive map architecture is designed<sup>281</sup> based on the dynamic relationship between the<sup>282</sup> AMPC cost function weights and vehicle states, allowing for easy calibration and transparency in the relationship between the states and the<sup>283</sup> weights.
  - (c) Therefore the AMPC cost function weights can be directly regulated based on an instant update of vehicle states without the need of driving con-285 dition recognition.
- 4. A comparative study between the AMPC and a typical NMPC has been carried out by means of simulations under different driving scenarios to confirm the
  superiority of the proposed approach.

The rest of the paper is organized as follows: the FCHEV<sub>290</sub> architecture and its dynamic model are introduced in Sec-<sub>291</sub> tion 2; the design of NMPC framework, cost function, con-<sub>292</sub> straints and the FCM for the AMPC is presented in Sec-<sub>293</sub> tion 3 while the simulation study on the AMPC using the<sub>294</sub> FCHEV model is presented in Section 4; the conclusions<sub>295</sub> and future work are finally given in Section 5. 296

## 2. System Architecture and Dynamics

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The architecture of the studied FCHEV is depicted in Figure 1a. A unidirectional DC/DC booster is employed to ensure voltage matching between the fuel cell and the battery [4]. The motor takes in power from the battery and fuel cell through an inverter. The torque generated from the motor is then transferred to the wheels via the drivetrain. Here, the parallel architecture of the power sources enables the following modes:

- 1. Traction using both the fuel cell and battery
- 2. Traction using only the fuel cell. Once necessary, the battery can be charged in this case using redundant power generated by the fuel cell
- 3. Traction using only the battery
- 4. Braking with/without regeneration. The braking energy can be utilised to charge the battery under its constraints, such as SoC, temperature and maximum charge current.

The selection of above modes as well as decision on power distribution between the fuel cell and battery are derived by the EMS based on the measured vehicle states. Without loss of generality, only longitudinal vehicle dynamics are considered in this study. Based on [5], the vehicle traction torque and angular speed of wheels can be calculated using Equation 1.

$$T_v = (m_v g c_{rolling} cos(\theta) + 0.5 \rho A C_d v^2 + m_v a + m_v g sin(\theta)) r$$
  

$$\omega_v = \frac{v}{r}$$
(1)

The gearbox in the drivetrain uses a single gear ratio (gr) to amplify the torque produced by the motor. The relationship between wheel torque and speed to the input torque and speed to the gear box considering efficiency  $(\eta_{gb})$  is as shown in Equation 2

$$\begin{aligned}
\omega_{gb} &= (gr)\omega_v \\
T_{gb} &= \begin{cases} \frac{T_v}{(gr)\eta_{gb}} & T_v \ge 0 \\
\frac{\eta_{gb}T_v}{gr} & T_v < 0 \end{cases} 
\end{aligned} \tag{2}$$

The connection between gear box and motor is assumed to be ideal. Therefore the motor speed  $(\omega_m)$  and torque  $(T_m)$  is equal to  $\omega_{gb}$  and  $T_{gb}$  respectively.

## 2.1. Fuel Cell

A fuel cell is a type of electrochemical device that transforms chemical energy into electrical energy. During the operation of a PEMFC system in FCHEV, hydrogen (from pressurized storage) oxidizes in the anode releasing electrons and protons. Protons are allowed to flow through the solid membrane, but electrons are not permitted and must travel through an external circuit [3]. The protons from the anode reaches the cathode and reacts with oxygen (from atmosphere, pressurized by compressor) to produce



Figure 1: (a) Power Flow and signal flow in FCHEV under different conditions (b) Process flow diagram of the Fuel Cell system [38]

water. The electrical power generated by the fuel cell sys-323
tem is used to power the vehicle. The water produced in324
the chemical reaction is returned to a reservoir. The heat325
generated during the chemical process is removed from the326
fuel cell by using a cooling system as shown in Figure 1b.

Here, the deionized water from the reservoir is used as the<sup>327</sup> coolant to maintain the temperature of the fuel cell and<sub>328</sub> humidity in the membrane (the heat is dissipated via the<sub>329</sub> cabin and radiator).

The rate of hydrogen consumed by the fuel cell can be 306 represented as a polynomial function of net fuel cell power.<sup>331</sup> 307 The coefficients of the polynomial approximating the rate<sup>332</sup> 308 of hydrogen consumption in Equation 3  $(\alpha_1, \alpha_2, \alpha_3, \alpha_4)^{333}$ 309 can be derived from the measurements of rate of hydro-<sup>334</sup> 310 gen consumption during fuel cell operation. Because the<sup>335</sup> 311 measurements for the rate of hydrogen consumption are<sup>336</sup> 312 conducted at high temperatures, a correction factor is fur-<sup>337</sup> 313 ther applied to account for higher fuel consumption at<sup>338</sup> 314 lower temperatures [37]. The expression for temperature-<sup>339</sup> 315 corrected fuel consumption rate  $(\dot{m}_{H_2})$  is therefore given<sup>340</sup> 316 in Equation 3. 317

$$\dot{m}_{H_{2}hot} = \alpha_{1}P_{fc}^{3} + \alpha_{2}P_{fc}^{2} + \alpha_{3}P_{fc} + \alpha_{4} \qquad {}_{341}$$

$$tf = 1 + 0.1((T_{fc\_max} - T_{fc})/(T_{fc\_max} - T_{amb}))^{0.65}$$

$$\dot{m}_{H_{2}} = \dot{m}_{H_{2}hot}tf \qquad (3)^{342}$$

The thermal model of the fuel cell system is modelled<sup>343</sup> under the assumption that the fuel cell temperature and coolant temperature are the same and denoted by  $T_{fc}$  and radiator heat transfer  $Q_{radiator}$  happens only when fuel

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cell temperature exceeds its cutoff temperature. The heat generated by the fuel cell and the heat transfer between coolant and surrounding are then described as in Equation 4.

$$Q_{generated} = \dot{m}_{H_2}LHV - P_{fc}$$

$$Q_{cabin} = \eta_{cabin}\dot{m}_{cabin}Cp_{air}(T_{fc} - T_{amb})$$

$$Q_{radiator} = h_{rad}A_{frontal}(T_{fc} - T_{amb}), if T_{fc} > T_{fc\_cutoff}$$

$$Q_{coolant} = Q_{cabin} + Q_{radiator}$$
(4)

The heat transfer coefficient  $h_{rad}$  can be calculated from air velocity in the radiator  $v_{htx}$  using an empirical model as presented in Equation 5 ([39]). Here, the air velocity in the radiator can be represented as a function of vehicle velocity. Meanwhile, the heat transfer model coefficients  $(\beta_1, \beta_2, \beta_3)$  can be derived from the data obtained through heat transfer measurements between the radiator and air [40] and the air velocity model coefficients  $(\gamma_1, \gamma_2, \gamma_3)$  can be derived from the data obtained from wind tunnel tests [41].

$$h_{rad} = \beta_1 v_{htx}^2 + \beta_2 v_{htx} + \beta_3$$
$$v_{htx} = \begin{cases} (\gamma_1 v^{\gamma_2} + \gamma_3) v & if \ v > 1 \text{ m/s} \\ 5.073 v & else \end{cases}$$
(5)

Using Equation 4, Equation 5, the dynamic equation of Fuel Cell temperature  $T_{fc}$  is obtained. The fuel cell tem-



Figure 2: Efficiency of Fuel Cell as a function of Output power [37]<sup>300</sup><sub>390</sub>

<sup>44</sup> perature can be computed using Equation 6.

$$\dot{T}_{fc} = \frac{Q_{generated} - Q_{coolant}}{m_{fc}Cp_{fc}} \tag{6}_{39}$$

The efficiency of the fuel cell is calculated as the ratio of net output power to the available power in the fuel. Using<sub>396</sub> the mass flow rate of hydrogen in Equation 3, the efficiency of the fuel cell is calculated in Equation 7.

$$\eta = \frac{P_{fc}}{\dot{m}_{H_2} L H V} \tag{7}$$

Figure 2 then demonstrates an efficiency curve of a 351 PEMFC system with maximum power output of 50kW as 352 a function of the output power [37]. As seen in this figure, 353 around half of the power generated in a fuel cell could be 354 lost. This loss mainly comes from the heat generated in the 355 fuel cell due to reactions and ohmic loss in the fuel cell. In 356 addition, a small fraction of the energy generated is used<sub>397</sub> 357 to run auxiliary systems (such as air compressor, coolant<sub>398</sub> 358 pump, and fans) to provide suitable operating conditions 359 for the fuel cell [38]. Therefore, it is important for the<sub>399</sub> 360 EMS to consider the efficiency of fuel cell in its decisions 361 to maximise the fuel cell efficiency. 362 400

<sup>363</sup> Next, transient dynamics of the fuel cell during start- $_{401}$ <sup>364</sup> up or power changing phase is considered. To represent <sup>365</sup> the slow response of the fuel cell in these scenarios, a low<sub>402</sub> <sup>366</sup> pass filter with time constant  $t_s$  is included in the fuel cell <sup>367</sup> model. The fuel cell output power can be then represented <sup>368</sup> by Equation 8.

$$P_{fc} = P_{fc}^{command} \frac{T}{t_s + T} + P_{fc}^{prev} \frac{t_s}{t_s + T} \qquad (8)_{407}^{406}$$

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Another important issue when using fuel cells is degra-409 dation, since it affects the operating lifetime. An efficient410 management of fuel cell operation can increase the life of411

the fuel cell, enabling the minimisation of its life-cycle cost. The operational factors that contribute to the degradation of FC are primarily divided into four categories [30],[42] :

- 1. Frequent start/stop: Large number of start/stop of fuel cell results in inadequate reactants inside the membrane electrode assembly resulting in starvation of reactants.
- 2. Low power operation: Operating at power lower than 20% of rated power leads to formation of surface oxides.
- 3. High power operation: At higher power (higher than 80%) [32], heat generation is large, leading to high temperatures in fuel cell. Higher temperatures can create thermal stress, accelerate reactions and reduce humidity in the membrane thus reducing ion conduction in membrane.
- 4. **Transient loading:** Continuous change in operating point of fuel cell can lead to reactant starvation which causes carbon electrodes to oxidize.

The total deterioration in the voltage of fuel cell can be determined using Equation 9 and Table 1, assuming that each factor's contribution to degradation is independent of each other [43].

$$V_{degrade} = \gamma_{low} t_{low} + \gamma_{high} t_{high} + \gamma_{transient} \Sigma \left| \frac{dP_{fc}}{dt} \right|$$
(9)  
+  $\gamma_{cycle} n_{cycle}$ 

The fuel cell's state of health is used to calculate its life-

Table 1: Degradation Rate for PEMFC (per cell) [42]

Condition	Degradation Rate
Low power Operation $(\gamma_{low})$	$10.17\mu\mathrm{V/h}$
High Power Operation $(\gamma_{high})$	$11.74\mu\mathrm{V/h}$
Transient Loading $(\gamma_{transient})$	$0.0441\mu\mathrm{V/kW}$
Start/Stop $(\gamma_{cycle})$	$23.91\mu\mathrm{V/cycle}$

time as follows:

$$SOH = 1 - \frac{V_{degrade}}{V_{maxdrop}} \tag{10}$$

where,  $V_{maxdrop}$  is the maximum fuel cell voltage drop at the end of life of fuel cell [22].

#### 2.2. Battery

Lithium-ion based batteries are widely employed as energy storage systems in FCHEV because of their longer life cycle [44]. Because of its easy and realistic depiction of data, the internal resistance model is employed for lithium-ion battery simulation [3]. As illustrated in Figure 3, the internal resistance model of the battery consists of an ideal cell with open-circuit voltage Voc and internal resistance Rint in series with the cell. The internal resistance of the battery varies depending on whether it is

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Figure 3: Internal resistance model of battery

<sup>412</sup> charging or discharging [3]. The battery's output power<sup>448</sup> <sup>413</sup>  $(P_b)$ , terminal voltage  $(V_b)$  and current  $(i_b)$  can be related<sup>449</sup> <sup>414</sup> by Equation 11. <sup>450</sup>

$$P_b = V_{oc}i_b - R_{int}i_b^2$$

$$i_b = \frac{V_{oc} - \sqrt{V_{oc}^2 - 4P_b R_{int}}}{2R_{int}}$$
(11)

$$V_b = V_{oc} - R_{int} i_b \tag{11}$$

$$V_b = \frac{V_{oc} + \sqrt{V_{oc}^2 - 4P_b R_{int}}}{2}$$
<sup>454</sup>
<sup>454</sup>
<sup>455</sup>

The amount of energy stored in the battery is quantified<sup>456</sup> 416 by calculating the amount of charge left in the battery for<sup>457</sup> 417 usage. The amount of available charge to the maximum<sup>458</sup> 418 charge capacity of the battery is referred to as the State<sup>459</sup> 419 of Charge (SoC) of the battery [45]. The Coulombic Ef-460 420 ficiency  $(C_{eff})$  is used to quantify the effect of charging 421 loss. The dynamic equation for the state of charge of the 422 battery during charging and discharging is given by Equa-423 tion 12 [45]. 424

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$$soc = \begin{cases} -\frac{i_b}{C_{Ah}} & i_b \ge 0 \\ -\frac{c_{eff}i_b}{C_{Ah}} & i_b < 0 \end{cases}$$
(12)<sub>464</sub>

where  $C_{Ah}$  is the maximum capacity of the battery. The equations of Open Circuit Voltage  $(V_{oc})$ , Internal Resistance of the battery  $(R_{int})$  for charging and discharging condition, Coulombic efficiency  $(C_{eff})$  and Maximum capacity of the battery  $(C_{Ah})$  as a function of SoC and battery temperature  $T_b$  are provided in Appendix A.

The thermal performance of the battery with  $air^{472}$ 433 cooling can be represented by a lumped capacity model<sup>473</sup> 434 [46]. The heat generated by Joule heating and Coulom-474 435 bic inefficiency  $Q_{b\_gen}$  is absorbed by the air through<sup>475</sup> 436 convection. For temperatures below cutoff temperature,<sup>476</sup> 437 surrounding air gets heated, and fresh air gets replenished.<sup>477</sup> 438 When the temperature rises above the cutoff point, a<sup>478</sup> 439 fan turns on. Due to forced convection, it is assumed<sub>479</sub> 440 that 50% of heat absorbed by air is convected away while<sub>480</sub> 441

<sup>442</sup> 50% of heat absorbed results in heating of air [46]. The <sup>443</sup> heat absorbed by the air is denoted by  $Q_{b\_air}$ . After <sup>444</sup> air cooling, the net heat generated is as illustrated in <sup>445</sup> Equation 13.

$$Q_{b\_gen} = \begin{cases} i_b^2 R_{int} - V_b i_b (1 - C_{eff}) & , if \ i_b < 0 \\ i_b^2 R_{int} & , else \end{cases}$$

$$Q_{b\_air} = \begin{cases} \frac{T_b - T_{air}}{R_{on}}, if \ T_b \ge T_{b\_cutoff} \\ \frac{T_b - T_{amb}}{R_{off}}, if \ T_b < T_{b\_cutoff} \end{cases}$$

$$T_{air} = T_{amb} + \frac{0.5Q_{b\_air}}{\dot{m}_{air}Cp_{air}}, if \ T_b \ge T_{b\_cutoff}$$

$$q_b = \frac{Q_{b\_gen} - Q_{b\_air}}{n_{module}}$$

$$(13)$$

where,  $T_b$  is the temperature of the battery and SoC is the State of Charge of the battery.  $R_{on}$ ,  $R_{off}$  are the effective thermal resistance when airflow is present and absent respectively. The rate of change in battery temperature is calculated using Equation 14.

$$\dot{T}_b = \frac{q_b}{m_{module}Cp_{module}} \tag{14}$$

#### 2.3. Electric Motor

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The electric motor is an important component of the FCHEV. The machine converts electrical energy into mechanical energy to be provided to the wheels and vice versa. In this paper, an AC induction motor and an inverter are employed. Curve fitted equations for maximum torque and electrical power considering the efficiency of the inverter are provided in Appendix B

# 3. Advanced NMPC Design Formulation for Energy Management

The fundamental goal of an Energy Management System is to coordinate the fuel converter and energy storage systems to supply the motor with the required power. However, an EMS's strength resides in achieving the primary purpose while lowering the cost of vehicle operation. The cost of operation can be reduced by lowering fuel usage and extending the vehicle's life. FCHEV's operating costs can be reduced by

- 1. Minimizing Fuel Consumption  $(m_{H_2})$ .
- 2. Minimizing Battery Energy Consumption  $(\int P_b dt)$ .
- 3. Operating Fuel Cell at its efficient point  $(\eta)$ .
- 4. Maintaining Fuel Cell temperature  $T_{fc}$  in the optimum range.
- 5. Operating the battery at or near its nominal State of Charge to prevent deep discharge and ensuring sufficient capacity is available for regeneration power.
- 6. Maintaining Battery Temperature  $T_b$  in the optimum range.

481 7. Minimizing the degradation of the fuel cell by avoiding<sub>527</sub>
482 situations that accelerate the degradation as seen in<sub>528</sub>
483 Table 1.

The first three aims are concerned with reducing fuel con-<sup>529</sup> 484 sumption, while the last four objectives are concerned with 530 485 extending the life of FCHEV. The fuel cell and battery<sub>531</sub> 486 dynamics and the thermal dynamics involved are highly<sub>532</sub> 487 nonlinear and cannot be retained by utilizing linear time-533 488 varying MPC. To deal with this design challenge, the Ad-534 489 vanced Dynamic Model Predictive Control (AMPC) based 490 on the NMPC framework is introduced in this section. 491

#### 492 3.1. NMPC Formulation

<sup>493</sup> Nonlinear Model Predictive Control (NPMC) is an<sup>535</sup>
<sup>494</sup> optimization-based feedback control technique. The cost<sup>536</sup>
<sup>495</sup> function is optimized for a finite time horizon in the fu<sup>496</sup> ture, known as the prediction horizon. The future states<sub>537</sub>
<sup>497</sup> are predicted using current state measurements and a dy<sup>498</sup> namic model of the system [31]. For Energy Management<sub>538</sub>
<sup>499</sup> problem in FCHEV, the control problem is formulated as

500 
$$\min_{\substack{u(k), u(k+1)...u(k+N-1)}} J(k)$$
(15)<sup>539</sup>

501 where,

<sup>502</sup> 
$$J(k) = \sum_{i=0}^{N-1} \{ C_{stage}(x(i|k), u(i|k), u_m(i|k)) \} + C_{terminal}(x^{54}(N|k))$$
(16)<sub>542</sub>

503 Such that,

506

504 
$$x(k+1) = g(x(k), u(k), u_m(k)), x \in \mathbb{X}, \ u \in \mathbb{U} \ (17)$$
543

$$h(x(k), u(k), u_m(k)) \le 0$$

$$m(x(k), u(k), u_m(k)) = 0$$
(18)
(19)544

545 The cost component  $C_{stage}$  represents the stage  $cost_{546}$ 507 to be minimized inside the prediction horizon.  $\mathrm{The}_{547}$ 508 terminal cost  $C_{terminal}$  is added to the total cost function<sub>548</sub> 509 Terminal<sub>549</sub> J(k) at the end of the prediction horizon. 510 cost is used in the formulation of cost function  $to_{550}$ 511 stabilize the optimization problem [31]. Manipulated<sub>551</sub> 512 or control variable is chosen as the Fuel cell  $power_{552}$ 513  $u(k) = P_{fc}(k)$ . The state vector for the optimal control<sub>553</sub> 514 problem is defined as  $x(k) = [soc(k), T_{fc}(k), T_b(k)]_{.554}$ 515 Exogenous input vector contains information re-555 516 for solving the optimization problem<sub>556</sub> auired 517  $u_m(k) = [P_{demand}(k), f_{c_on}(k-1), v(k-1), P_{fc}(k-1)]$  557 518 519 558

The state updation rule in discrete form  $x(k + 1) =_{559}$  $g(x(k), u(k), u_m(k))$  is obtained by integrating the contin-<sub>560</sub> uous state space equation  $\dot{x} = f(x(k), u(k), u_m(k))$ . The<sub>561</sub> continuous state space equations can be constructed from<sub>562</sub> the dynamic equations of State of Charge (Equation 12), Fuel cell temperature (Equation 6), Battery temperature (Equation 14).  $m(x(k), u(k), u_m(k)), h(x(k), u(k), u_m(k))_{563}$  are respectively the equality and inequality constrains to be followed during optimization.

#### 3.2. Cost formulation for AMPC

To address all the objectives described above, a cost function comprising multiple objectives is formulated. The prediction horizon stage cost  $C_{stage}$  is constructed based on the seven objectives defined above. Equation 20 depicts the components of  $C_{stage}$ 

$$C_{stage}(k) = C_{m_{H_2}}(k) + C_{P\_batt}(k) + C_{fc\_rate}(k) + C_{fc\_rate}(k) + C_{fc\_eff}(k) + C_{soc}(k) + C_{T_{fc}}(k) + C_{T_b}(k)$$
(20)

where,

(

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$$C_{m_{H_2}} = W_1 (\frac{\dot{m}_{H_2}}{\dot{m}_{H_2 max}})^2 \tag{21}$$

$$C_{P\_batt} = W_2 \frac{P_b}{P_{b\_max}}$$
(22)

$$C_{fc\_rate} = W_3 \left(\frac{P_{fc}(k) - P_{fc}(k-1)}{\dot{P}_{fc\_max}T}\right)^2$$
(23)

$$C_{fc\_eff} = \begin{cases} 0 & , if \ P_{fc} = 0 \\ W_4(\frac{\eta - \eta_{max}}{\eta_{max}})^2 & , else \end{cases}$$
(24)

$$C_{soc} = W_5 \left(\frac{2(soc - soc_{nom})}{soc_{max} - soc_{min}}\right)^2 \tag{25}$$

$$C_{T_{fc}} = \begin{cases} W_6 (\frac{T_{fc} - T_{fc\_ref}}{T_{fc\_max} - T_{fc\_ref}})^2 & , if \ T_{fc} > T_{fc\_ref} \\ 0 & , else \end{cases}$$
(26)

$$C_{T_{b}} = \begin{cases} W_{7}(\frac{T_{b} - T_{b\_ref}}{T_{b\_max} - T_{b\_ref}})^{2} & , if \ T_{b} > T_{b\_ref} \\ 0 & , else \end{cases}$$
(27)

here, all the cost factors are written in their normalised forms to minimise the calibration efforts. Particularly,  $C_{m_{H_2}}$  relates to the minimization of hydrogen mass flow rate;  $C_{P,batt}$  is designed to minimize battery power at each step; the rate of change of fuel cell power is minimized using  $C_{fc\_rate}$ ;  $C_{fc\_eff}$  encourages the fuel cell to operate near the maximum efficiency region and it is active only when the fuel cell is on;  $C_{soc}$  is utilized to keep SoC close to its nominal value (the average of  $soc_{max}$  and  $soc_{min}$ );  $C_{T_{f_c}}$  and  $C_{T_b}$  are designed to penalized the controller when the temperature crosses their respective reference temperatures. The weights  $W_1 - W_7$  are tuned to get the best performance of the EMS. The weights indicate the relative significance of each of the above mentioned cost components. Next, a terminal cost  $C_{terminal}(N)$  with weight  $w_{terminal}$  is used in the optimization to enforce the set point tracking of soc (Equation 25),  $T_{fc}$  (Equation 26) and  $T_b$  (Equation 27). The formulation of terminal cost is shown in Equation 28.

$$C_{terminal}(N) = w_{terminal}(C_{soc}(N) + C_{T_{fc}}(N) + C_{T_b}(N))$$
(28)

#### 3.3. Constraints 564

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The physical constraints of the fuel cell and battery are<sup>611</sup> 565 expressed as equality and inequality equations used dur-566 ing cost function optimization. The drivability of the ve-567 hicle must be maintained at all times. First, the power<sup>614</sup> 568 demanded by the vehicle must be satisfied by the power  $^{\scriptscriptstyle 615}$ 569 616 sources: 570 617

$$P_{total} = P_{motor} + P_{accessory} = P_{fc} + P_b \qquad (29)^{618}$$

Next, the fuel cell power is limited by maximum allowable<sup>620</sup> 572 power limit. Since the fuel cell cannot absorb energy, its621 573 minimum power is set as zero. The temperature of the622 574 fuel cell must not exceed the maximum limit. Meanwhile,623 575 the rate of change of fuel cell power is limited to reduce<sup>624</sup> 576 deterioration due to transient loading [43]: 577 625

578 
$$P_{fc\_min} \le P_{fc} \le P_{fc\_max}$$
 (30)<sub>627</sub>

$$(\frac{dP_{fc}}{dt})_{min} \le \frac{dP_{fc}}{dt} \le (\frac{dP_{fc}}{dt})_{max} \qquad (31)_{629}^{628}$$

$$T_{fc\_min} \le T_{fc} \le T_{fc\_max} \tag{32}_{630}$$

To reduce frequent switching of the fuel cell, a minimum<sup>631</sup> 581 switch off/on duration for the fuel cell is used in the  $\mathrm{EMS}^{632}$ 582 [2]. The fuel cell must maintain power above a particular  $^{\scriptscriptstyle 633}$ 583 threshold value, called  $u_{working\_min}$  if the time period be-584 tween turning on the fuel cell and turning it off  $(\Delta t_{on})$  is <sup>635</sup> 585 less than the minimum fuel cell on duration  $(t_{fc\_min\_on})$ 586 and the fuel cell must remain off, if the time it has been  $off_{636}$ 587 from the preceding on  $(\Delta t_{off})$  is less than the minimum 588 fuel cell off duration  $(t_{fc\_min\_off})$ . 589

590 
$$P_{fc} \ge u_{working_min}$$
 if  $\Delta t_{on} \le t_{fc_min_on}$  (33)638

<sup>591</sup> 
$$P_{fc} = 0$$
 if  $\Delta t_{off} \le t_{fc\_min\_off}$  (34)<sup>639</sup>

Finally, the battery's state of charge, output power, ter-592 minal voltage, and temperature must remain within their<sub>641</sub> 593 bounded limits to operate the battery safely and effi-594 ciently: 595 642

$$soc_{min} < soc < soc_{max}$$
(35)...

$$P_{b\_min} \le P_b \le P_{b\_max} \tag{36}_{64}$$

$$V_{b\_min} \le V_b \le V_{b\_max} \tag{37}$$

$$T_{b\_min} \le T_b \le T_{b\_max} \tag{38}$$

The dynamic weight regulation scheme for AMPC based 600 on Fuzzy Cognitive Map (FCM) is described in the follow-601 ing subsection. It is used to regulate the weights of the 602 NMPC cost functions  $W_1 - W_7$  according to the instanta-603 neous vehicle states. 604

#### 3.4. Fuzzy Cognitive Map for AMPC 605

Fuzzy Cognitive Maps (FCMs) are directed graph-based 606 Fuzzy inference systems [47]. They consist of *concepts* 607 (nodes) and weights associated with each edge connect-608 ing the nodes. The weight between *i*th concept and *j*th 609

concept  $w_{ij} \in [-1, 1]$  specifies the causal relationship between them. A positive weight represents a proportional relationship between the concepts, while a negative weight signifies the *inverse relationship*. An example Fuzzy Cognitive Map is shown in Figure 4. Fuzzy Cognitive Maps are preferred over the traditional rule-based fuzzy logic because of their numerical inference based method. The relationship between input and output can be understood intuitively [48] and tuning of fuzzy inference system are easier compared to conventional fuzzy logic. In addition, if the number of inputs increases, the number of rules in traditional fuzzy logic increases many folds, whereas, in FCM, an increase in concepts leads to a slight increase in the number of edge weights. Fuzzy Cognitive Map also has the capability of assigning hidden causality between concepts [49]. Due to the ease of building and tuning FCMs, they are suitable for modelling of physical system [50], functioning as controller [51] and especially functioning as decision making tool [52]. A FCM inference is made in three steps

1. Concept Updation Rule: At each time step, concept values  $(A_i)$  are either obtained as input (activated concepts)[49] or as previous concept values. These concepts are updated by adding weighted sum of incoming concepts to its predecessor value as seen in Equation 39.

$$A_i^{k+1} = f(A_i^k + \sum_{j=1}^N w_{ij} A_j^k)$$
(39)

2. Threshold: To avoid the value of concepts exceeding the limit of [0,1], a threshold function is used. For our requirement, sigmoid function as defined in Equation 40 is used.

$$f(x) = \frac{1}{1 + e^{-c(x + \theta_{FCM})}}$$
(40)

3. Iteration: The above two step are repeated until the difference in concept value at iteration k and k+1 is lower than  $\delta$ ,  $A_i^{k+1} - A_i^k \leq \delta$ , where  $\delta$  is a small positive value.



Figure 4: An example of Fuzzy Cognitive Map

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 Table 2: Definition of Concepts

Concept	Definition
$A_1(soc)$	$\frac{2(soc-soc_{nom})}{soc_{max}=soc_{max}}$ , Normalized state of charge of the battery.
$A_2(T_{fc})$	$\frac{T_{f_c} - T_{f_c,ref}}{T_{f_c,max} - T_{f_c,ref}} \text{ if } T_{f_c} > T_{f_c,ref} \text{ , Normalized fuel cell temperature.}$
$A_3(T_b)$	$\frac{T_b - T_{b\_ref}}{T_{b\_max} - T_{b\_ref}}$ if $T_b > T_{b\_ref}$ , Normalized battery temperature.
$A_4(t_{hot})$	$\frac{t(T_{fc}>T_{fc\_cutoff})}{t(T_{fc}>T_{fc\_ref})},$ Normalized duration for which $T_{fc}>T_{fc\_cutoff}.$
$A_5(V_{transient})$	$\frac{w_{degrade}\Sigma \frac{dr_{fc}}{dt} \gamma_{transient}}{V_{maxdrop}}$ , Ratio of degradation due to transient to max degradation.
$A_6(V_{low})$	$\frac{w_{degrade}t(P_{fc}<0.2P_{fc\_max})\cdot\gamma_{low}}{V_{maxdrop}}$ , Ratio of degradation due to low power to max degradation.
$A_7(V_{high})$	$\frac{w_{degrade}t(P_{fc}>0.8P_{fc.max})\gamma_{high}}{V_{maxdron}}$ , Ratio of degradation due to high power to max degradation.
$A_8(V_{cycle})$	$\frac{w_{degrade}n_{cycle}\gamma_{cycle}}{V_{maxdron}}$ , Ratio of degradation due to frequent start/stop to max degradation.
$A_9(V_{degrade})$	Increment in component $A_5$ in the last 60 seconds.
$A_{10}(W_1)$	Weight corresponding to fuel consumption rate.
$A_{11}(W_2)$	Weight corresponding to Battery Power.
$A_{12}(W_3)$	Weight corresponding to rate of change of fuel cell power.
$A_{13}(W_4)$	Weight corresponding to efficiency of fuel cell.
$A_{14}(W_5)$	Weight corresponding to state of charge of battery.
$A_{15}(W_6)$	Weight corresponding to Fuel Cell Temperature.
$A_{16}(W_7)$	Weight corresponding to Battery Temperature.
$A_{17}(W_8)$	Maximum rate of change of fuel cell power. $\left(\frac{dP_{fc,FCM}}{dt}\right)_{max} = (1 - W_8)\left(\frac{dP_{fc}}{dt}\right)_{max}$
$A_{18}(W_9)$	Minimum rate of change of fuel cell power. $\left(\frac{dP_{fc\_FCM}}{dt}\right)_{min} = (1 - W_9)\left(\frac{dP_{fc}}{dt}\right)_{min}$

In this work, a FCM is newly designed for the AMPC<sub>676</sub> by proposing causality between different concepts based<sub>677</sub> on prior relevant knowledge to update the cost function<sub>678</sub> weights,  $W_i$ . The concepts are divided into two categories<sub>679</sub> - input concepts and output concepts. The input concepts

are updated at each time step by measurements, while<sup>680</sup> 651 the output concepts are updated by inference process681 652 as described above. The FCM architecture created is<sup>682</sup> 653 shown in Figure 5. Table 2 shows the definition of all the<sup>683</sup> 654 concepts involved in the FCM design. To ensure correct<sup>684</sup> 655 causality and relative importance of causality weights, the<sup>685</sup> 656 input values are normalised and used as input concepts.686 657 The concepts  $A_1 - A_4$  are constructed from the states<sup>687</sup> 658 of the vehicle. Once activated, the fuel cell degradation<sup>688</sup> 659 concepts  $A_5 - A_8$  are designed to remain active and<sup>689</sup> 660 non-decreasing (Table 2). This enables the determination<sup>690</sup> 661 of the cost function weights while taking into account the 662 history of fuel cell's deterioration. A factor,  $w_{degrade}$  is 663 multiplied to the degradation input concept to make the 664 values comparable to other input concepts. The input 665 concept  $A_9$  is used to regulate the rate of change of fuel<sup>694</sup> 666 cell power by evaluating the recent history of  $transients_{695}$ 667 (in the last 60 seconds). The output concepts  $W_8, W_9$  are<sub>696</sub> 668

obtained from  $A_9$  and they are used to regulate the limits<sub>697</sub> of rate of change of fuel cell power as shown in Table 2.<sub>698</sub> The updated limits of rate of change of fuel cell specified<sub>699</sub> by the FCM  $\left(\frac{dP_{fc,FCM}}{dt}\right)_{min}, \frac{dP_{fc,FCM}}{dt})_{max}$  will be applied<sub>700</sub> in Equation 31.

 $_{675}$  The vital aspect of building FCM is to define the  $_{703}$ 

674

weights between concepts. The connections from input concepts  $A_i$ , i={1..9} to output concepts  $W_j$ , j={1..9} are created based on the influences which can be described as below

- $W_1$  is the weight associated with the mass flow rate of fuel.  $W_1$  is increased when the fuel consumption requirement is less. It occurs when the SoC  $(A_1)$  is high or the fuel cell temperature  $(A_2)$  is high, fuel cell degradation due to high power operation  $(A_7)$  is high. When there is a need for fuel cell power,  $W_1$  is reduced. When battery temperature  $(A_3)$  rises, fuel cell power is expected to increase to decrease the battery load. When degradation related to low power operation  $(A_6)$  increases,  $W_1$  is lowered to increase fuel cell power.
- Weight  $W_2$  is increased when the temperature of battery  $(A_3)$  or fuel cell degradation due to low power operation  $(A_6)$  increases. When SoC  $(A_1)$  is lower than nominal value,  $W_2$  is increased to promote charging.
- Weight  $W_3$  is increased to reduce the rate of change of power. The concept  $A_5$  corresponding to degradation due to transient loading has the most significant impact on  $W_3$ . An increase in fuel cell  $(A_2)$  and battery  $(A_3)$  temperature allows for relaxation in  $W_3$  to increase or decrease freely.
- Weight  $W_4$  corresponding to fuel cell efficiency is increased when SoC  $(A_1)$  is low or battery temperature  $(A_3)$  is high, and degradation is high

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$	$A_7$	$A_8$	$A_9$
$W_1$	0.1	0.5	-0.5	0.5	0.75	-0.5	0.25	1	0
$W_2$	-0.05	-0.5	0.5	-0.25	-0.75	0.5	0	-0.5	0
$W_3$	0.1	-0.1875	-0.125	-0.75	1	-0.5	0.25	0	0
$W_4$	-0.1	-0.25	0.25	-0.5	0.5	1	-0.25	0	0
$W_5$	$0.1 sign(A_1)$	-0.25	0.5	-0.5	-0.5	1	-0.25	0	0
$W_6$	0	0.375	-0.25	1	0.5	0	0.25	0	0
$W_7$	0	0.25	0.5	-0.1	0	0.375	-0.25	0.5	0
$W_8$	0	0	0	0	0	0	0	0	0.25
$W_9$	0	0	0	0	0	0	0	0	0.25

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Table 3: Weight Matrix from input concept  $A_i$  to output concept  $W_i$ 



Figure 5: Fuzzy Cognitive Map used for NMPC weight updation

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 $(A_5, A_6, A_7, A_8, A_9)$ . Operating fuel cell at higher ef-735 ficiency can lead to reduction in degradation [42]. 736 737

• Weight given to SoC  $(W_5)$  increases when SoC<sub>738</sub> 706  $(A_1)$  deviates from nominal value , temperature of 739 707 battery rises  $(A_3)$  and decreases when fuel cell<sub>740</sub> 708 needs to be taken care of. The connection weight<sub>741</sub> 709

 $w_{15} = 0.1 sign(A_1)$  is chosen because when soc >  $soc_{nom}, A_1 > 0$ , thus  $w_{15}$  is positive, increasing  $W_5$ . Similarly when  $soc < soc_{nom}, A_1 < 0$  and  $w_{15} < 0$ . But the weighted contribution  $w_{15}A_1$  is positive thus increasing  $W_5$ .

Table 3 shows the causality weight between input concepts and output concepts. This matrix depicts the relationship and the strength of the input on the output concepts. The causality weights are initially tuned based on the incoming concepts  $A_i$  and their importance to the output concept  $W_j$ . This corresponds to the tuning of causality weights row-wise in Table 3. Then, column-wise tuning of causality weights is done in order to decide the order and weightage of cost function weights for different input concepts. Causality weights between  $W_i$  are used to reinforce the importance of the cost function weight compared to other cost function weights. For example, cost function weight  $W_1$  (fuel consumption) is reinforced by cost function weights  $W_3$  (rate of change of fuel cell power),  $W_4$ (fuel cell efficiency),  $W_5$  (SoC),  $W_6$  (fuel cell temperature) by having a positive causality weight, while opposed by cost function weight  $W_7$  (battery temperature) by having a negative causality weight. Table 4 shows the impacts between the output concepts.

Table 4: Weight Matrix from output concept  $W_i$  (horizontal) to output concept  $W_i$  (vertical)

	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$
$W_1$	0	0	0.5	0.1	0.1	0.1	-0.05
$W_2$	-1	0	0	-0.75	0.05	0	0
$W_3$	0.5	0	0	0.1	-0.1	0.2	0.1
$W_4$	0.1	0	0	0	0	-0.1	0.2
$W_5$	0.3	0	0.2	0.1	0	0	0.05

Using the causality weight matrix, the FCM can provide cost function weights to the NMPC for various combinations of input concepts, thereby covering all driving conditions. Unlike the driving pattern recognizer and fixed cost function weights for each driving mode proposed in [35] the proposed continuous weight regulation method using Fuzzy Cognitive Map is more agile to various driving conditions.

#### 742 4. Simulation results

780 In order to evaluate the effectiveness of the designed 743 AMPC, a comparative study between the APMC and 744 other two typical MPC strategies, denoted by MPC-1 and 745 MPC-2, has been carried out by means of simulation. The  $^{784}$ 746 MPC-1 is a simplified version of the NMPC developed  $\frac{1}{785}$ 747 above with fewer components/objectives of the cost func-748 tion and fixed weights, while the MPC-2 is the NMPC  $_{\rm ^{786}}$ 749 developed in the above section with fixed weights (to be 750 presented later). To support this comparative study, an 751 SUV FCHEV model is created within MATLAB/Simulink<sup>787</sup> 752 environment using ADVISOR (ADvanced Vehicle Simula-<sup>788</sup> 753 789 tOR) [37]. 754 790

#### 755 4.1. Simulation environment setup

The created FCHEV model is setup to run in conjunc-<sup>792</sup> 756 tion with the comparative energy management strategies  $^{^{793}}$ 757 as depicted in Figure 6. In the model, the EMS provides  $^{794}$ 758 the optimal fuel cell power command to fuel cell and the  $^{^{795}}$ 759 battery power is calculated by subtracting the fuel  $\operatorname{cell}^{^{796}}$ 760 power from total power demand via the power bus block. 761 The default thermal system of the fuel cell model (ini-762 tialised by ADVISOR) is modified by incorporating the 763 thermal model described through Equation 4, Equation 5, 764 Equation 6. Table 5 shows the parameters of components 765 of the SUV used in the simulation. The coefficients of 766 mass flow rate of hydrogen (Equation 3) and heat trans-767 fer coefficient in the radiator (Equation 5) are obtained by 768 using the lookup table data available from ADVISOR and 769 the curve fitting method. The derived coefficients are then 770 shown in Table 6. 771

The simulations are then carried out with a sampling period (T) of 1s, the initial SoC as 0.6, and the ambient temperature at 20 °C. The EMS evaluation is conducted<sup>797</sup> on two different trips - trip-1 and trip-2. Trip-1 is based<sub>798</sub> on two consecutive occurrences of UDDS cycle with a top<sub>799</sub> speed of 25.35 m/s and maximum acceleration and decel<sub>-800</sub> eration of  $1.48 \text{ m/s}^2$  and  $-1.48 \text{ m/s}^2$  respectively whereas,<sup>801</sup> trip-2 is based on two consecutive occurrences of LA92 cycle with a top speed of 30.04 m/s and maximum acceleration and deceleration of  $3.08 \text{ m/s}^2$  and  $-3.93 \text{ m/s}^2$  respectively [53]. To examine the fuel cell degradation in each trip, the fuel cell state of health is assumed to be 100 % at the start of the journey. The total energy supplied to the vehicle is defined as

$$E_{veh} = \int \dot{m}_{H_2} LHV dt - \Delta socV_{oc\_mean} C_{Ah\_nom} n_p n_s \quad (41)$$

Here, the first term corresponds to the total chemical energy given to the fuel cell while the second term is the total electrical energy taken out from the battery, represented by the difference between the battery energy levels at the beginning and at the end of the trip. To understand the thermal conditions of the power sources, the mean deviation of fuel cell and battery temperatures from their reference temperature is calculated. This mean value is calculated from the first time the fuel cell, battery exceeded its respective reference temperatures.

Table 6: Coefficients of Fuel Cell equations

Equations	Coefficients
$\dot{m}_{H_2}$	$\alpha_1 = 3.19 \times 10^{-15}$
	$\alpha_2 = -1.27 \times 10^{-10}$
	$\alpha_3 = 1.45 \times 10^{-5}, \alpha_4 = 0.016$
$h_{rad}$	$\beta_1 = -5.27, \beta_2 = 112.08$
	$\beta_3 = 134.93$
$v_{htx}$	$\gamma_1 = 4.07, \gamma_2 = -1.56$
	$\gamma_3 = 0.15$

#### 4.2. Setting of comparative energy management strategies

The system parameters and the constraints used for all the three EMSs are the same and provided in Table 7 and Table 8 respectively.  $\Delta soc_{cutoff}$  is the difference between the SoC at which the fuel cell is turned off and

Table 5: Configuration of Fuel Cell Hybrid Electric Vehicle from ADVISOR

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Component	Specification	Component	Specification
Vehicle	Total mass $(m_v)$ - 1843 kg	Fuel cell	Mass of fuel cell $(m_{fc})$ - 125 kg
	$c_{rolling}$ - 0.009		Heat capacity of fuel cell $(Cp_{fc})$ - 500 J/kgK
	Gear ratio $(gr) - 10.549$		Cabin heating efficiency $(\eta_{cabin})$ - 0.7
	Accessory $(P_{accessory})$ - 700 W		Mass flow rate of air in cabin $\dot{m}_{cabin}$ - 0.07 kg/s
	Transmission - one speed	Battery	Nominal capacity $(C_{Ah\_nom})$ - 6Ah
Motor	Type - Induction motor		No. of series connection $(n_s)$ - 27
	Maximum power - $107 \mathrm{kW}$		No. of parallel connection $(n_p)$ - 2
	Peak efficiency - 0.94		Eff. thermal resistance - on $(R_{on})$ - 1.12 W/K
Fuel cell	Max. power - $55 \mathrm{kW}$		Eff. thermal resistance - off $(R_{off})$ - 7.81 W/K
	Peak efficiency $(\eta_{max})$ - 0.55		Heat capacity of module $(Cp_{module})$ - 795 J/kgK
	LHV of $H_2$ - 120 000 J/g		Mass of module $(m_{module})$ - 1.1347 kg
	Time constant $(t_s)$ - 1.5 s		Mass flow rate across module $(\dot{m}_{air})$ - 0.0058 kg/s
	Frontal area of radiator $(A_{rad}) - 0.2 \mathrm{m}^2$		



Figure 6: Simulink design of SUV FCHEV model integrated comparative EMSs

Table 7: Parameters of EMSs used in the simulation.

Parameter	Value
$\Delta soc_{cutoff}$	0.05
$soc_{nom}$	0.6
$P_{b\_max}$	$75\mathrm{kW}$
$\dot{m}_{H_2max}$	$0.8208\mathrm{g/s}$
$u_{working\_min}$	$2500\mathrm{W}$
$\theta_{FCM}$	-1.2
$w_{degrade}$	1000
$w_{terminal}$	2
$t_{fc\_min\_off}$	$90\mathrm{s}$
$t_{fc\_min\_on}$	$60\mathrm{s}$
$T_{fc\_cutoff}$	$70^{\circ}\mathrm{C}$
$T_{fc\_ref}$	$60^{\circ}\mathrm{C}$
$T_{b-cutoff}$	$35^{\circ}\mathrm{C}$
$T_{b\_ref}$	$33^{\circ}\mathrm{C}$
$V_{maxdrop}$	$0.2\mathrm{V}$

the nominal value of SoC. This parameter is used as the 802 final measure to prevent overcharging of the battery by 803 turning off the fuel cell and running the vehicle in pure 804 battery mode. The control and prediction horizons are 805 both set to N=4. Due to the number of variables and 806 cost components involved in the optimization process. 807 the small prediction horizon is selected to reduce the 808 computational effort. The control horizon is taken to be 809 the same as the prediction horizon to provide freedom to 810 the optimizer to properly choose control commands at<sup>826</sup> 811 each step. 827 812 828

While the AMPC is constructed as presented in<sup>829</sup> 814 Section 3, the MPC-1 has a simplistic cost function<sup>830</sup> 815 with  $C_{stage}(k) = C_{m_{H_2}} + C_{P\_batt} + C_{soc}$  and no terminal<sup>831</sup> 816 Meanwhile, the MPC-2 uses the proposed cost<sup>832</sup>  $\cos t$ . 817 function (Equation 21 - Equation 27) with constant<sup>833</sup> 818 weights. Table 9 shows the weight selection for the cost 819 components of the MPC-1 and MPC-2. For the MPC-1834 820 and MPC-2, these weight values are calibrated for trip-1835 821 and then re-used for trip 2. The weights of cost function<sup>836</sup> 822

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Table 8: Upper and lower limits of operating variables

Variable	Minimum	Maximum
$P_{fc}$	$0\mathrm{W}$	$50000\mathrm{W}$
$\dot{P}_{fc}$	$-3000\mathrm{W/s}$	$3000\mathrm{W/s}$
$T_{fc}$	$20^{\circ}\mathrm{C}$	$80^{\circ}\mathrm{C}$
soc	0.4	0.8
$V_b$	$162\mathrm{V}$	$315.9\mathrm{V}$
$T_b$	$20^{\circ}\mathrm{C}$	$50^{\circ}\mathrm{C}$

for the AMPC are automatically regulated online by the 823 FCM (see Subsection 3.4). 824

Table 9: Weights of cost components for MPC-1 and MPC-2 during simulation

Weight	MPC-1	MPC-2
$W_1$	1	1
$W_2$	0.333	0.2737
$W_3$	0	0.5826
$W_4$	0	1.2
$W_5$	1.3333	1.8
$W_6$	0	0.7227
$W_7$	0	1.5

#### 4.3. Results and discussions

The comparative simulation results and the AMPC weight profile according to trip-1 are shown in Figure 7, Figure 8 and Figure 9. The same for trip-2 are then shown in Figure 10, Figure 11 and Figure 12. The upper and lower limits, reference values and cut-off values set for the fuel cell and battery can be seen in Figure 7, Figure 8, Figure 10 and Figure 11. The performance of EMSs for trip-1 and trip-2 are discussed in the upcoming sections.

#### 4.3.1. Comparison of EMSs performance in trip-1

In trip-1, the three EMSs met the total power demand to the energy sources, as seen in the total power plot



Figure 7: Trip 1 simulation result - a) Vehicle speed, b) Total power requested and provided by EMSs, Comparison of c) Fuel cell power, d) Fuel cell power rate and e) Battery power under different EMSs



Figure 8: Trip 1 simulation result - Comparison of a) State of Charge of battery, b) Fuel consumed, c) Efficiency of fuel cell, d) Fuel cell temperature and e) Battery temperature under different EMSs



Figure 9: Trip 1 simulation result: AMPC weights regulated by FCM

Figure 7b. The performance of the MPC-1 in trip-1 is first<sup>866</sup> 837 analyzed. As seen in Figure 8a,d,e, the SoC of the battery<sup>867</sup> 838 is kept near the initial value throughout trip-1, and theses 839 fuel cell and battery temperatures gradually increase. It<sub>869</sub> 840 is due to the fact that the fuel cell's average power of 6.57870 841 kW (Figure 7c) provides nearly continuous power while871 842 the average power demand of trip-1 is 6.22 kW. As as72 843 result, the net battery power is low, resulting in a low SoC<sub>873</sub> 844 deviation and a moderate battery temperature rise. How-874 845 ever, due to the fuel cell's continuous low-power operationa75 846 in trip-1, the temperature of the fuel cell has increased<sup>876</sup> 847 (Figure 8d), the efficiency has reduced (Figure 8c), and<sup>877</sup> 848 the fuel consumption has increased (Figure 8b). In these 849 case of MPC-1, high-frequency oscillation is observed inara 850 the system response, as shown in a zoom-in of Figure 7d.880 851 The MPC-1's poor performance could be related to\*\*1 852 using a small number of cost components that do not<sub>882</sub> 853 account for fuel cell efficiency, operating temperature, or883 854 deterioration and instead focus on fuel consumption and<sup>884</sup> 855 battery power. 885 856 886 857

As observed in Figure 7c,e, the second EMS, MPC-887 858 2, used the battery significantly in trip-1, reducing the 859 reliance on fuel cell. The SoC of the battery fluctuated<sup>389</sup> 860 from minimum to the SoC cutoff value ( $\Delta soc_{cutoff}$ ), at<sup>890</sup> 861 which point the fuel cell is switched off. The battery<sup>891</sup> 862 temperature increased as a result of increased usage.892 863 The surges in battery temperatures at t = 200 s and<sup>893</sup> 864 1550 s (Figure 8e) are caused by surges in battery power894 865

provided to the vehicle (Figure 7e). All of these trends are caused by the on/off supply of fuel cell power. When the power demand is high and the fuel cell is off, the battery has to provide a large amount of power as seen in times t = 200 s and 1550 s. The on/off supply of fuel cell power also had impact on the fuel cell performance as well. Throughout the journey, it was observed that the fuel cell temperature has increased and decreased unlike the case of the MPC-1. When the fuel cell is off, the cooling system can effectively cool the fuel cell due to the lack of heat generation. A drop of 20 °C is observed between time t = 850 s and t = 1600 s (Figure 8d). The MPC-2 has operated the fuel cell in the best efficient region as seen in Figure 8b. Subsequently, it has helped in minimising the fuel consumption (see Figure 8). The power transient during fuel cell operation is considerably reduced when compared to the MPC-1. The enhanced performance of MPC-2 compared to MPC-1 can be attributed to the cost function formulation, which includes various objectives for the fuel cell's  $(C_{fc\_rate}, C_{fc\_eff}, C_{T\_fc})$  and battery's  $(C_{T_{-b}})$  welfare are provided. The design of  $C_{fc_{-eff}}$ discourages switching on and favours switching off of the fuel cell, which aids the optimizer in determining when to turn on/off the fuel cell as needed.

The proposed EMS, AMPC, operated similarly to MPC-2, but the AMPC provided more fuel cell power and thus could support the battery. This is evidenced by the rapid increase in SoC at times t = 650 - 850 s and t

= 1600 - 1700 s in Figure 8a. By operating in a higher 952 895 power region, the fuel cell charges the battery faster and 953 896 shuts down earlier than the MPC-2. The duration of 954 897 fuel cell off has increased by 23% in the case of AMPC<sub>955</sub> 898 compared to MPC-2 while meeting power demand, as<sub>956</sub> 899 shown in Figure 7c. As seen in Table 10, this allowed for<sub>957</sub> 900 further reductions in fuel cell temperature as well as a<sup>958</sup> 901 shorter duration of low power fuel cell operation. 959 902 960 903

The AMPC uses the dynamic weights obtained from 961 904 FCM to compute the optimal control decision. The<sub>962</sub> 905 output concepts  $W_1 - W_7$ , and the factors  $W_8$  and  $W_{9,963}$ 906 influence the optimizer's decisions by modifying the<sub>964</sub> 907 weights of the cost function and the domain of the control<sub>965</sub> 908 variable (fuel cell power), respectively in order to enhance<sub>966</sub> 909 the performance of NMPC in the AMPC architecture.967 910 The magnitude and distribution of the weights in Figure 9968 911 show that the priority and significance of the priority vary.969 912 970 913

The weights  $W_1 - W_7$  are normalized by dividing<sup>971</sup> 914 by weight  $W_1$  as shown in Figure 9. From the start of the 972 915 journey until t = 300 s, the SoC dropped to a minimum<sub>973</sub> 916 value, and in response to the drop, the weights  $W_2, W_4, W_{5^{974}}$ 917 grew while the weights  $W_1, W_3$  decreased, as designed<sub>975</sub> 918 in column  $A_1$  of Table 3. The fuel cell efficiency  $(W_4)_{976}$ 919 was given more importance than fuel consumption  $(W_1)$ 920 and transients  $(W_3)$ , encouraging the fuel cell to perform<sup>977</sup> 921 more efficiently and supply power for both traction and 978 922 charging the battery. The weights  $W_6, W_7$  are increased<sup>979</sup> 923 compared to  $W_1$ , in reality, they remained constant as<sub>980</sub> 924 there was no input concept activation for  $W_6, W_7$  between<sub>981</sub> 925 t = 0 and 300 s. At t = 300 s, when the fuel cell was<sub>982</sub> 926 turned on, the input concept  $A_8$  was activated. The fuel<sub>983</sub> 927 cell power transients during start-up activated the input<sub>984</sub> 928 concept  $A_5$ . The activation of concept  $A_5, A_8$  increased<sup>985</sup> 929 weight  $W_1$  and reduced all other weights relative to<sub>986</sub> 930  $W_1$ , creating a sudden drop in weights. The weights<sup>987</sup> 931  $W_2, W_4, W_5, W_7$  dropped relative to  $W_1$  when SoC grew<sub>988</sub> 932 from t = 300 s due to charging till t = 400 s. At  $t = 400_{989}$ 933 s, the input concept  $A_3$  related to battery temperature<sup>990</sup> 934 was triggered as the temperature crossed the reference<sub>991</sub> 935 The weights increased slightly as the battery<sub>992</sub> value. 936 temperature increased slowly. Between time  $t = 400 - 950_{993}$ 937 s, the SoC reached the nominal value and crossed it, while<sub>994</sub> 938 the temperature stayed constant. As a result,  $W_1$  has<sup>995</sup> 939 increased further, and all other weights have decreased<sub>996</sub> 940 and below  $W_1$ , demonstrating that once the battery's<sub>997</sub> 941 SoC is sufficient to match the vehicle's demand, reducing<sub>998</sub> 942 fuel consumption becomes a higher priority. From  $t =_{999}$ 943 950 to 1550 s, the weights  $W_2, W_4, W_5, W_7$  grew at a largeou 944 rate, while  $W_6$  increased at a smaller rate in response to<sup>001</sup> 945 diminishing SoC and reaches a peak. When the fuel  $cell_{002}$ 946 turns on the second time at t = 1550 s, it caused a drop<sub>003</sub> 947 in weights for the reasons stated above. Between  $t = 1550_{004}$ 948 and 1700 s, the battery temperature reached a new peak<sub>1005</sub> 949 prompting the FCM to increase the weights. At time too 950 = 1700 s, the concept  $A_2$  was activated as the fuel cell<sub>007</sub> 951

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temperature crossed the reference value. The activation of  $A_2$  increased weights  $W_1, W_6, W_7$  and decreased weights  $W_2, W_3, W_4, W_5$ , allowing the fuel cell to operate at lower power for the battery to take over, as designed in the column  $A_2$  in Table 3. The highest drop was observed in weights  $W_2, W_3, W_4, W_5$ , when the causal weights are of opposite signs. During the period t = 2000 - 2250 s, when both fuel cell and battery temperatures were decreasing, the weights stabilised and began to reverse trend, and from t = 2250 s, since the SoC was near to the nominal value, the weights are adopted such that the function of the fuel cell is reduced and that of the battery is increased.

Depending on the fuel cell transient, the factors  $W_8, W_9$ reduce the rate of change of the fuel cell power limit. When the fuel cell is turned off, the transients are zero, hence the limits remain unchanged. However, when the fuel cell is turned on or off, there is a large transient in fuel cell power, and the limits of fuel cell power transients are reduced as seen in Figure 7d. If no transients exist in the fuel cell power during operation, the factors are not updated, and the limits remain unchanged. Otherwise, if there have been transients in the past one minute, the factors are updated, further lowering the limits, as seen in Figure 7d near the time t = 1650 s.

#### 4.3.2. Comparison of EMSs performance in trip-2

The trip-2 is used to study the performance of EMS in previously unseen harsher accelerations and decelerations as all three EMSs are tuned for trip-1. Trip-1 has less power demand than trip-2 due to lower accelerations and decelerations and shorter peak velocity durations, as shown in Figure 7a, b and Figure 10a, b. In trip-2, from the total power plot Figure 10b, it can be observed there are some deviation in braking power required and braking power provided by all three EMSs. It is because the braking power is more than the battery's capacity to absorb the regenerative power and the capacity of mechanical brakes, resulting in a slight deviation in velocity achieved and requested (Table 10). One observation regarding the battery temperatures in trip-2 is that they are larger than in trip-1 for all three EMSs. It is due to the trip-2's consistent high power demand. When a sudden peak vehicle power demand arises, the fuel cell may not be able to scale the power to the required value due to constrains in rate of change of power. In such cases, the battery power requirement may reach the limits of the battery. The power required is high in trip-2 near the time instances t = 300 s, 850 s (Figure 10b), and the fuel cell was unable to provide the required power (Figure 10c), so the battery power reached its maximum limit, and the battery temperature also took a large jump, as shown in the battery power and battery temperature plots in Figure 10e and Figure 11e respectively.

At the start of trip-2, the MPC-1 was focused on limiting fuel consumption and battery usage. It can be



Figure 10: Trip 2 simulation result - a) Vehicle speed, b) Total power requested and provided by EMSs, Comparison of c) Fuel cell power, d) Fuel cell power rate and e) Battery power under different EMSs



Figure 11: Trip 2 simulation result - Comparison of a) State of Charge of battery, b) Fuel consumed, c) Efficiency of fuel cell, d) Fuel cell temperature and e) Battery temperature under different EMSs



Figure 12: Trip 2 simulation result: AMPC weights regulated by FCM

inferred from the low power operation of the fuel cello37 1008 (Figure 10c) and lower battery power (Figure 10e) from 038 1009 the start of the trip until time t = 350 s. When theo39 1010 SoC dropped to a minimum at time t = 600 s, the fuelo40 1011 cell tried to enhance the battery SoC (Figure 11a), as<sub>041</sub> 1012 evidenced by the rapid increase in fuel cell power in theo42 1013 Figure 10c. Minimizing battery power resulted in lower1043 1014 battery temperatures at first, but by t = 1500 s, all three<sub>044</sub> 1015 EMSs had the same battery temperatures. This can be045 1016 explained by the MPC-1's increased battery usage, aso46 1017 considerable variations in SoC can be seen near time to47 1018 = 1500 s. As indicated in the total power and fuel cello<sub>48</sub> 1019 power plot in Figure 10, the MPC-1 frequently turned<sub>049</sub> 1020 off the fuel cell as the vehicle decelerated. This resultedo50 1021 in large transients in fuel cell power, as shown in the051 1022 Figure 10d. It should be noticed that the duration of 052 1023 each fuel cell switch off is 90 s, which is the minimum<sub>053</sub> 1024 switch off duration  $\Delta t_{off}$ . Except at t = 200 s, the fuelos4 1025 cell was turned on to charge the battery and provide theoss 1026 required traction. At t = 200 s, the fuel cell was turned<sub>056</sub> 1027 on to reduce battery power until t = 350 s. The rise in<sub>057</sub> 1028 fuel cell temperature is limited by low power output and<sup>058</sup> 1029 frequent switch off (Figure 10d). Nonetheless, in trip-24059 1030 the increased frequency of start/stop events and strongood 1031 transients in fuel cell power (Figure 10d) are the primary<sub>1061</sub> 1032 reason for the fuel cell's poor health, with a SoH drop of 062 1033 0.12% by the end of the trip. 1063 1034

SoC plot Figure 11a and the fuel cell power plot Figure 10c that the SoC of the battery and the response of the fuel cell are comparable to the MPC-1 after t = 500 s, however, the number of on/off operation and transients during operation of fuel cell is smaller. Because the fuel cell power output is similar to MPC-1, the fuel cell temperature after t = 500 s is likewise similar. The MPC-2 and MPC-1 responses are comparable because, after switching off the fuel cell at t = 500 s due to braking, the SoC in both the EMSs declined from 0.45 at t = 500s to 0.4 at t = 600 s (Figure 11a). Since the EMS must maintain SoC while simultaneously taking into account the aims and constraints of power sources, the response of the EMSs, i.e., fuel cell power, is similar but differs depending on the objectives of each EMS. One of the goals of MPC-1 is to reduce fuel consumption and hence lower power production, while MPC-2's goal is to run the fuel cell at higher efficiency with less transients. These varied EMS objectives yield diverse responses, which can be seen in the fuel cell power (Figure 10c), power transient (Figure 10d), and efficiency plots (Figure 11c) for example, from t = 600 s to t = 950 s. The transients during switch off are high due to the sudden availability of braking power at times t = 500, 950, 1150, 1650, and1950 s, while the transients during start-up are high at times t = 375, 1050, 1250, and 2000 s. The fuel cell is switched on faster to provide traction and charge the battery faster as SoC is low in those instances. Transients during operation are also observed between t = 1750 -

1036 In the instance of MPC-2, it can be seen from theorem

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1950 s (Figure 10d).

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1067 The AMPC maintained the SoC near to the nomi-107 1068 nal value better than MPC-1 and MPC-2 (Figure 11a)1108 1069 The AMPC achieved this by a) careful computation of 1091070 fuel cell switch off instant and duration; b) operating<sup>110</sup> 1071 the fuel cell at higher efficiency (Figure 11). We can seem 1072 that in some instances (near the times t = 500 s and  $t_{112}$ 1073 = 1150 s) where there are large decelerations, the fuel<sub>113</sub> 1074 cell was shut down by the MPC-1 and MPC-2 due to114 1075 high regenerative braking power from the motor, whereas<sub>115</sub> 1076 instead of switching off, the AMPC reduced the fuel cell<sub>116</sub> 1077 power, as seen in Figure 10c. The AMPC sent the energy<sub>117</sub> 1078 from fuel cell to the battery, resulting in less capacity to<sup>118</sup> 1079 accommodate the regenerative energy. Nonetheless, therein 1080 are two benefits to doing this: a) reducing the number of 120 1081 switching to improve the fuel cell lifespan; b) in the event<sub>121</sub> 1082 of a sudden massive acceleration after the deceleration<sup>1122</sup> 1083 as seen in these instances, the fuel cell supplies power1123 1084 to reduce the load on the battery and hence improve its<sub>124</sub> 1085 lifetime, as opposed to the MPC-1 and MPC-2. In trip-2<sub>1125</sub> 1086 compared to the MPC-1 and MPC-2, the lowest number 126 1087 of fuel cell on/off operations was achieved by AMPG<sub>127</sub> 1088 The AMPC had lower transients during<sub>128</sub> (Table 10). 1089 fuel cell start-up than MPC-1 and MPC-2 because, the129 1090 battery's SoC is sufficient to provide power until the fuel130 1091 cell power can increase at a moderate rate. Because of the131 1092 high power generation in the fuel cell and the low number 132 1093 of switch offs, the fuel cell temperature rose quickly in133 1094 time t = 500 - 950 s (Figure 11d). Nonetheless, the fuel<sub>134</sub> 1095 cell temperature becomes comparable to other EMSs fuel135 1096 cell temperatures due to radiator cooling in the fuel cell. 1136 1097 1137 1098 Figure 12 shows the weights regulated by the fuzzy138

1099 cognitive map in the AMPC for trip-2. Due to increased 1100 battery temperature in trip-2, the weights  $W_2 - W_7$  are 1101 1.5 times larger than trip-1 with weight  $W_1$  normalized to<sup>1139</sup> 1102 1140 1. 1103 1141 1104

The trend observed in Figure 9 at the start of trip-1, during minimum SoC, start-up of fuel cell, and activation of concept  $A_3$ , is also exhibited at the start of trip-2 and at times t = 300 s, t = 350 s, and t = 425s respectively (Figure 12). As the battery temperature grew gradually owing to charging between times t =500 - 850 s, the weight  $W_7$  climbed while the weight  $W_6$ declined, as determined from causal weights in column  $A_3$  of Table 3. As the SoC reached nominal value, the weights declined from t = 800 - 850 s, and the concept  $A_2$  was activated at time t = 825 s. Due to a significant rise in battery temperature due to huge battery power, the weights  $W_2, W_4W_5, W_6, W_7$  increased whereas  $W_1, W_3$ decreased at t = 850 s. Weights  $W_2, W_4, W_5$  drop as fuel cell temperature increased, whereas  $W_6$  increased till t = 900 s. Weights increased from t = 950 s to t = 1125 s due to the increased battery temperature and reduced SoC. The weight decreased when the fuel cell was turned on for the second time for the reasons explained in the case of fuel cell start-up in trip-1. The weights decreased in the intervals t = 1125 - 1550 s, t = 1775 - 1950, and t = 2075 s– until the end of trip -2 as the fuel cell temperature rose, whereas the weights increased in the intervals t = 1550 – 1775 s and t = 1950 - 2075 s as the fuel cell temperature decreased and SoC deviated from the nominal value. It is observed that the magnitude of the weights decreases at each peak. It is due to the degradation concept associated with fuel cell start/stop cycles  $A_8$ , which causes the weight  $W_1$  to grow as the number of start/stop cycles increases. This is done to give fuel consumption greater importance and limit the number of switching on when the fuel cell is off. Due to the logic employed in the FCM, the SoC was well maintained near nominal value in trip-2 with fewer fuel cell switch on/off cycles.

#### 4.3.3. Overall performance of EMSs

The simulation results of all three EMS are summarized in the Table 10. In the case of AMPC, the average improvement in hydrogen consumption in the two journeys

Parameters		Trip-1			Trip-2	
	MPC-1	MPC-2	AMPC	MPC-1	MPC-2	AMPC
RMS Error in velocity (m/s)	0	0	0	0.0046	0.0046	0.0046
Total Fuel Consumed (g)	309.27	287.22	279.73	307.03	303.75	300.78
Total Energy given to the vehicle (MJ)	36.57	34.26	33.77	38.80	38.47	38.25
Final SoC-Initial SoC	0.0354	0.0137	-0.0135	-0.1275	-0.1313	-0.1406
Average Efficiency (%)	48.43	51.95	51.91	51.76	53.25	53.44
State of health of Fuel Cell (%)	99.98	99.97	99.97	99.88	99.91	99.94
Mean deviation from $T_{fcref}({}^{0}C)$	4.95	-1.23	-3.84	3.83	4.90	5.65
Mean deviation from $T_{bref}(^{0}C)$	2.75	3.81	3.85	10.14	10.68	10.90
Low Power Duration (s)	2739	1132	755	666	483	480
High Power Duration (s)	0	0	19	3	12	2
Number of Start/Stops	1	2	2	8	6	4

Table 10: Comparison of numerical results of MPC-1, MPC-2 and AMPC

compared to MPC-1 and MPC-2 was 6.131% and 1.865%1143 respectively. Due to the increased power requirement in<sub>198</sub> 1144 trip-2, the total energy amounts provided to the vehicle 1145 in the three cases are similar. If the driving conditions of 1146 trip2 are extrapolated for 100 hours, the improvement in1199 1147 SoH of fuel cell in the case of AMPC compared to MPC-1148 1 and MPC-2 is 11.7% and 5.5% respectively. Because<sup>200</sup> 1149 the fuel cell is the most expensive component in the car<sup>1201</sup> 1150 improving its SoH is beneficial for extracting utility over<sup>202</sup> 1151 a longer period of time. The drop in mean deviation of<sup>203</sup> 1152 fuel cell temperature for the MPC-1 and MPC-2 compared<sup>204</sup> 1153 to AMPC is due to the high frequency of fuel cell turn 1154 off. This is offset by the higher SoH of the fuel cell un-<sup>1205</sup> 1155 der AMPC strategy. For trip-1, the drop of 8.8 °C in fuel 1156 cell temperature in AMPC compared to MPC-1 is signifi-1157 cant because a lower operating temperature of a fuel  $\operatorname{cell}^{1207}$ 1158 can minimize thermal stress and hence work for a longer208 1159 period of time. In both the trips, the fuel cell life is ex-1160 tended compared to the other two EMS by minimizing the 1161 depletion of SoH of fuel cell and minimizing the fuel cell 1162 temperature. 1163

#### <sup>1164</sup> 5. Conclusion and future work

In this paper, an Advanced Model Predictive Control 1165 is proposed for optimal energy management in FCHEVs. 1166 The AMPC employs a novel dynamic multi-objective cost 1167 function that considers fuel consumption, operational<sup>211</sup> 1168 efficiency, fuel cell health, battery state of charge, and<sup>212</sup> 1169 lastly fuel cell and battery temperatures in order to 1170 prolong the lifetime of components and lower the overall 1171 running cost of FCHEV. A Fuzzy Cognitive Map is 1172 constructed for AMPC to continuously regulate the cost 1173 function weights to optimise the energy management<sup>213</sup> 1174 performance. The proposed controller has been compared 1175 with two conventional constant weighted NMPC based 1176 EMSs by numerical simulation. The simulation results 1177 show that the AMPC offers the best performance by 1178 reducing fuel consumption, total energy given to the 1179 vehicle, and improving the lifetime of fuel cells by con-1180 trolling the number of fuel cell on/off, efficient operation 1181 and lower fuel cell operating temperature compared to 1182 MPC-1 and MPC-2. It is due to the adaptive nature 1183 of dynamic weights of NMPC regulated by the FCM. 1184 Another key benefit of AMPC is that it requires little 1185 effort for calibrating the EMS due to the generalized 1186 and easily comprehensible causality between the states 1187 of the vehicle (input concepts) and NMPC cost function 1188 weights (output concepts) enabled by the proposed FCM 1189 architecture. 1190

<sup>1192</sup> Future work will be carried out with an updation of <sup>1193</sup> causality weight matrix of FCM using artificial in-<sup>1194</sup> telligence - based learning techniques to improve the <sup>1195</sup> adaptability as well as robustness of the AMPC. In <sup>1196</sup> another research direction, the auxiliary power in the fuel

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cell and battery system will be analysed for inclusion in the AMPC.

#### Appendix A. Battery Equations

Equations of Coulombic Efficiency  $C_{eff}$  and Maximum Capacity of the battery  $C_{Ah}$ , Open circuit Voltage  $V_{oc}$ , Charging resistance  $r_{chg}$ , Discharging resistance  $r_{dis}$  are obtained by fitting the battery lookup table data available in ADVISOR.

$$C_{eff} = \delta_1 T_b^2 + \delta_2 T_b + \delta_3 \tag{A.1}$$

$$C_{Ah} = \zeta_1 T_b^2 + \zeta_2 T_b + \zeta_3 \tag{A.2}$$

$$V_{oc} = \alpha_1 + \alpha_2 T_b + \alpha_3 soc + \alpha_4 T_b^2 + \alpha_5 T_b soc + \alpha_6 soc^2 + \alpha_7 T_b^2 soc + \alpha_8 T_b soc^2 + \alpha_9 soc^3 + \alpha_{10} T_b^2 soc^2 + \alpha_{11} T_b soc^3 + \alpha_{12} soc^4 + \alpha_{13} soc^5 + \alpha_{14} soc^4 T_b + \alpha_{15} soc^3 T_b^2$$
(A.3)

$$r_{chg} = \beta_1 + \beta_2 T_b + \beta_3 soc + \beta_4 T_b^2 + \beta_5 T_b soc + \beta_6 soc^2 + \beta_7 T_b^2 soc + \beta_8 T_b soc^2 + \beta_9 soc^3 + \beta_{10} T_b^2 soc^2 + \beta_{11} T_b soc^3 + \beta_{12} soc^4 + \beta_{13} T_b^2 soc^3 + \beta_{14} T_b soc^4 + \beta_{15} soc^5$$
(A.4)

$$r_{dis} = \gamma_{1} + \gamma_{2}T_{b} + \gamma_{3}soc + \gamma_{4}T_{b}^{2} + \gamma_{5}T_{b}soc + \gamma_{6}soc^{2} + \gamma_{7}T_{b}^{2}soc + \gamma_{8}T_{b}soc^{2} + \gamma_{9}soc^{3} + \gamma_{10}T_{b}^{2}soc^{2} + \gamma_{11}T_{b}soc^{3} + \gamma_{12}soc^{4} + \gamma_{13}T_{b}^{2}soc^{3} + \gamma_{14}T_{b}soc^{4} + \gamma_{15}soc^{5}$$
(A.5)

Table Appendix A.1: Coefficients of equations for battery

Eqs	Coefficients
$V_{oc}$	$\alpha_1 = 10.31, \alpha_2 = -0.05814, \alpha_3 = 2.043$
	$\alpha_4 = 0.0008699, \alpha_5 = 0.3595, \alpha_6 = -9.587$
	$\alpha_7 = -0.004332, \alpha_8 = -0.8736, \alpha_9 = 26.31$
	$\alpha_{10} = 0.007505,  \alpha_{11} = 0.8867,  \alpha_{12} = -28.31$
	$\alpha_{13} = 10.93, \alpha_{14} = -0.3132, \alpha_{15} = -0.004072$
$r_{chg}$	$\beta_1 = 0.06283, \beta_2 = -0.001489, \beta_3 = -0.128$
	$\beta_4 = 1.77 \times 10^{-5}, \beta_5 = -0.00924, \beta_6 = 0.6212$
	$\beta_7 = 0.0002199, \beta_8 = 0.02236, \beta_9 = -1.571$
	$\beta_{10} = -0.0005006,  \beta_{11} = -0.01379,  \beta_{12} = 1.712$
	$\beta_{13} = 0.0002911, \beta_{14} = 0.0003481, \beta_{15} = -0.6516$
$r_{dis}$	$\gamma_1 = 0.1311, \gamma_2 = 0.006381, \gamma_3 = -0.8978$
	$\gamma_4 = -0.0001372, \gamma_5 = -0.07916, \gamma_6 = 4.631$
	$\gamma_7 = 0.00135, \gamma_8 = 0.2015, \gamma_9 = -11.3$
	$\gamma_{10} = -0.002685,  \gamma_{11} = -0.1869,  \gamma_{12} = 12.24$
	$\gamma_{13} = 0.001516, \gamma_{14} = 0.05568, \gamma_{15} = -4.749$
$C_{eff}$	$\delta_1 = -1.841 \times 10^{-5}, \delta_2 = 0.00134,  \delta_3 = 0.968$
$C_{Ah}$	$\zeta_1 = -0.000727, \zeta_2 = 0.06563,  \zeta_3 = 5.943$

#### Appendix B. Motor Equations 1214

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The maximum torque and power required by the mo<sub>1263</sub> tor are obtained by fitting the motor lookup table data<sup>264</sup> 1265 available in ADVISOR. 1217 1266

$$T_{max} = \epsilon_1 \omega_m^6 + \epsilon_2 \omega_m^5 + \epsilon_3 \omega_m^4 + \epsilon_4 \omega_m^3 + \epsilon_5 \omega_m^2 + \epsilon_6 \omega_m + \epsilon_{\overline{1268}}^{1267}$$
(B.1)269
(B.1)269

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$$P_{motor} = \rho_1 + \rho_2 \omega_m + \rho_3 \omega_m^2 + \rho_4 \omega_m T_m + \rho_5 T_m^2 + \rho_6 \omega_m T_{\frac{1273}{1273}}^{277} + \rho_7 \omega_m^2 T_m^2 + \rho_8 T_m^4 + \rho_9 \omega_m T_m^4$$

 $(B.2)_{_{1276}}^{1275}$ 

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Table Appendix B.1: Coefficients of equations for electric motor 1278 1279

Eqs	Coefficients	1280
$T_{max}$	$\epsilon_1 = 15.19, \epsilon_2 = -8.746, \epsilon_3 = -66.71, \epsilon_4 = 47.9$	$\overline{96}_{1282}^{1281}$
	$\epsilon_5 = 78.79, \epsilon_6 = -124.1, \epsilon_7 = 155.1$	1283
$P_{motor}$	$\rho_1 = 2832, \rho_2 = -15.03, \rho_3 = 0.01907, \rho_4 = 1$	1284
	$\rho_5 = -0.1376, \rho_6 = 0.001626, \rho_7 = 3.204 \times 10^{-5}$	-71285
	$a = 2.258 \times 10^{-6} a = -1.402 \times 10^{-8}$	1286
	$\rho_8 = 2.538 \times 10^{-4}, \rho_9 = -1.405 \times 10^{-4}$	1287

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