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Photocatalytic glucose-appended bio-compatible Ir(III) anticancer complexes

Received 00th January 20xx, Accepted 00th January 20xx Zilin Zhu,^a Li Wei,^a Yidan Lai,^b Oliver W. L. Carter,^c Samya Banerjee,^d Peter J. Sadler^{*c} and Huaiyi Huang^{*a}

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Rationally-designed glucose-appended Ir(III) photo-catalysts $([Ir(N,C)_2(N,N-Glc)]^+$, Ir1-Ir3) show visible light-induced catalytic NAD(P)H oxidation in aqueous solution. The highly *in vivo* biocompatible complex, Ir3, shows lysosome and mitochondria targeting necro-apoptotic photo-cytotoxicity against various cancer cell lines and multicellular spheroids, while remaining non-toxic in the dark.

Metal-based catalytic anti-cancer drugs are evolving as a new strategy for cancer treatment.^[1,2] Advantages of this approach are (i) minimization of drug concentrations to reduce toxicity and off-target side effects, and (ii) novel mechanisms of action to overcome drug resistance, which is becoming a problem for Pt(II) chemotherapeutics.^[1,2] Catalytic Rh(III), Ir(III) and Os(II) organometallic complexes can alter the intracellular redox and balance,^[1,2] example, metabolic for modifying bv pyruvate/lactate or NADH/NAD+ (reduced and oxidized nicotinamide adenine dinucleotide) ratios within cancer cells.^{[1-} ^{5]} The introduction of photoactivated catalysts offers the possibility of increasing selectivity for cancer cells versus normal tissues with spatially-directed light irradiation. Hence the concept of photo-catalytic cancer drugs is attractive.^[1,2]

There appear to be only two literature reports to date on the design of such agents,^[6,7] both Ir(III) photo-catalysts chosen for their rich photochemistry. Although the reported Ir(III) catalysts show good photo-induced intracellular NADH oxidation and anticancer activity, their poor aqueous solubility limited progress with drug development. Hence, a new generation of

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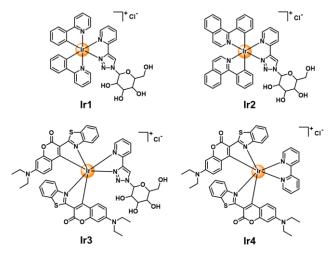
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Ir(III) photo-catalysts with improved aqueous solubility is needed.

Here we have addressed this challenge by suitable tuning of the ligands. Glucose has been appended to an *N*,*N'*-donor bidentate ligand. D-Glucose (Glc) is essential for energy production in cells, and earlier reports indicate that a pendant glucose can augment the aqueous solubility of metal complexes.^[8] Pendant glucose may also help increase the uptake of drug molecules into cancer cells due to the overexpression of glucose transporters (GLUTs) on cancer cell surfaces.^[9] Moreover, ¹⁸F-labeled 2-fluoro-2-deoxy-D-glucose (¹⁸F-FDG) is commonly used in positron emission tomography/computed tomography (PET-CT) imaging to locate the exact localization of tumours.^[10]

Here, we have designed and synthesized three novel D-glucose-appended cyclometalated Ir(III) complexes (Ir1-Ir3, Scheme 1) containing the *N*,*N*-Glc ligand and various *N*,*C*-ligands to optimize their photochemical properties, and explored the potency of their photo-catalytic anticancer activity. Complexes Ir1-Ir3 were synthesized following the routes described in the ESI⁺, purified



Scheme 1 Structures of the glucose-appended Ir(III) photo-catalysts (Ir1-Ir3) and non-glycosylated complex Ir4.

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2.0 H₂O-Abs -CH₂Cl₂-Abs H₂O-Abs -CH,CI,-Abs ····· CH2CI2-E H₂O-Emi ••••• CH₂Cl₂-Emi eooo (n.e) Absorbance ntensity lr1 lr2 500 Wa elength (nm) Wavelength (nm) 2.0 H_O-Abs CH_CI_-Abs H₂O-Abs CH₂Cl₂-Abs 1.6 -Emi CH₂Cl₂-Emi H₂O-Emi ····· CH₂Cl₂-Emi н,с Intensity (a.u) Absorbance 1.2 2000 0.8 000 lr3 Ir4 gth (nm)

Fig. 1 UV-vis and emission spectra of Ir1-Ir4 in H₂O and CH₂Cl₂ at room temperature (Ir1: λ_{ex} = 405 nm, Ir2: λ_{ex} = 458 nm and Ir3/Ir4: λ_{ex} = 488 nm, 10 μ M).

by column chromatography, and characterized by ¹H NMR, ¹H-¹H COSY, ¹³C NMR, HRMS and HPLC (Fig. S1-S11, ESI⁺), which confirmed the anticipated structures. The NMR spectra are complicated by the presence of diastereomers for complexes **Ir1-Ir3** (chiral metal and chiral glucose). The non-glycosylated control complex **Ir4**, [Ir(CO6)₂bpy]Cl (Scheme 1) was also synthesized and characterized (Fig. S12, ESI⁺) for comparison.^[7]

The aqueous solubilities of Ir1-Ir4 were determined (Table S1, ESI). The solubility of Ir3 was ca. 5× higher than the related nonglycosylated complex Ir4. This indicates that the attachment of the pendant glucose affords reasonable aqueous solubility. The absorption bands of the complexes in various solvents were in the range of 300-550 nm (Fig. 1, Fig. S13, ESI⁺). These bands can be assigned as a mixture of ILCT (intra-ligand charge transfer) and MLCT (metal-to-ligand charge transfer).^[11] Interestingly, introduction of the coumarin chromophore in Ir3 resulted in bands with a significantly higher molar extinction coefficient than for Ir1-Ir2 in the visible light range (Fig. 1, Fig. S13, ESI⁺). In particular, the band at ca. 480 nm is suitable for achieving visible light-induced anticancer activity. Ir1-Ir3 exhibited green to red emission with quantum yields (excitation at 465 nm) ranging from 0.004 to 0.453 in aerated and deaerated H_2O and CH_3CN (Table S2, ESI⁺). Interestingly, the complexes showed much higher emission quantum yields in de-aerated H₂O or CH₂Cl₂ than in aerated solutions (Fig. S14, ESI), indicating possible interactions between the excited state complexes and O2. Such interactions might generate reactive oxygen species (ROS), which are known to damage cancer cells via oxidative stress.^[12] Importantly, the complexes exhibited better photo-stability compared to the clinically-used photosensitizer Chlorin e6 (Ce6) (Fig. 2a, Fig. S15, ESI⁺). The photo-stability of Ir3 was slightly lower than Ir1-Ir2, however, the extremely high light absorption efficiency of Ir3 is essential to achieve effective in-cell photocatalysis.

Molecular lipophilicity, which plays an important role in cellular drug uptake, was determined by measuring the distribution coefficient (log P) in octanol-water mixtures. The

log P values for **Ir1-Ir4** were determined to be 0.18, 0.31, 0.32 and 1.34, respectively (Fig. 2b and Table S3, ESI⁺), indicating the higher hydrophilicity of the glucose-appended complex **Ir3** when compared with the non-glycosylated complex **Ir4**. These data also indicate that **Ir2** and **Ir3** are more lipophilic than **Ir1** and thus might penetrate cancer cell lipid bilayer membranes more easily.

The singlet oxygen generation (${}^{1}O_{2}$) quantum yields (Φ_{Δ}) of **Ir1-Ir3** (A_{465nm} = 0.1) were determined in aqueous solution using 9,10anthracenediylbis-(methylene)dimalonic acid (ABDA) as the ${}^{1}O_{2}$ probe (Fig. S16-S17, ESI†). The absorbance of ABDA dramatically decreased upon 465 nm light irradiation in the presence of **Ir1-Ir3** (Fig. S16, ESI†), implying the efficient ${}^{1}O_{2}$ generation ability of Ir(III) complexes. The quantum yields were 0.06 for **Ir1**, 0.39 for **Ir2** and 0.23 for **Ir3** with [Ru(bpy)₃]Cl₂ as the standard (Φ_{Δ} = 0.18 in H₂O) (Fig. 2c, Table S4, ESI†). These Φ_{Δ} values suggest that these complexes could act as photosensitizers for PDT applications. Interestingly, **Ir3** also induced effective ${}^{1}O_{2}$ generation even on 525 nm green light irradiation (Φ_{Δ} = XXX), while the control complex, [Ru(bpy)₃]Cl₂ was weak in generating ${}^{1}O_{2}$ under green light (Fig. S18, ESI†).

NADH and NADPH are important coenzymes in living cells which also participate in the maintenance of the intracellular redox balance.^[6,7] Recently, we reported intracellular NAD(P)H photooxidation by Ir(III)-based anticancer agents, which in turn generated H₂O₂ as the intracellular ROS. The in-cell NAD(P)H photo-oxidation disrupted the redox homeostasis and ultimately induced oxidative stress-related cell death.^[6,7] Three Ir(III) complexes (**Ir1-Ir2**, 5 μ M; **Ir3**, 0.5 μ M) reported here were tested as photo-catalysts for NAD(P)H oxidation under 465 nm light irradiation by monitoring the

change of characteristic absorbance peak of NADH and NADPH at 339 nm (Fig. S19, ESI⁺). The highest measured NADH/NADPH catalytic turnover numbers (TON) were 207.1/203.3, with turnover frequencies (TOF) of 414.2/406.6 for Ir3 (Fig. 2d and Table S5, ESI⁺), indicating that Ir3 is 4× and 1.5× more potent as a photo-catalyst for NAD(P)H oxidation compared to the reported complexes

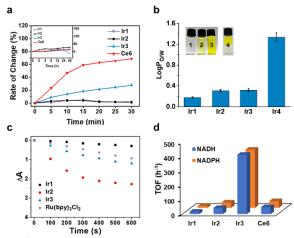


Fig. 2 (a) Study of the photo-stability of **Ir1-Ir3** and Chlorin e6 under light exposure of various times. Inset: Study of the dark-stability in 48 h. [change in the λ_{max} was monitored at 300 nm (for **Ir1/Ir2**), 484 nm (for **Ir3**) and 402 nm (for Ce6 respectively] (b) Octanol/water partition coefficients of **Ir1-Ir4**. Inset: Image of distribution of **Ir1-Ir4**. (c) Comparison of ¹O₂ generation for **Ir1-Ir3** and standard [Ru(bpy)₃]Cl₂ in aqueous solution by determining the time dependent change in the absorbance of ABDA. (d) Turnover frequency of **Ir1-Ir3** for NAD(P)H (160 μ M) photo-oxidation after 30 min irradiation.

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Table 1. IC_{50} values ($\mu M)$ and phototoxic indices (PI) for Ir1-Ir4, 5-ALA and cisplatin against HeLa cells.

Complex	Dark ^a	Light ^b	PI	
lr1	>100	$\textbf{11.7}\pm\textbf{0.3}$	>8.5	
lr2	>100	$\textbf{1.2}\pm\textbf{0.04}$	>83.3	
lr3	$\textbf{26.7} \pm \textbf{0.7}$	$\textbf{0.08} \pm \textbf{0.003}$	333.8	
lr4	$\textbf{0.7}\pm\textbf{0.03}$	$\textbf{0.2}\pm\textbf{0.01}$	3.5	
5-ALA	>1000	$\textbf{215.8} \pm \textbf{3.5}$	>4.6	
Cisplatin	$\textbf{18.7} \pm \textbf{1.1}$	$\textbf{17.9}\pm\textbf{0.9}$	1.0	

^a48 h drug exposure in the dark at 37 °C, 5% CO₂ in the dark. ^b16 h drug exposure, followed by light irradiation (465 nm, 11.7 J/cm²) and then 32 h incubation at 37 °C, 5% CO₂ in the dark. ^cPI = IC_{50(Dark)}/IC_{50(light)}. 5-ALA = 5-aminolevulinic acid.

[Ir(ttpy)(pq)CI]PF₆ (ttpy = 4' -(p-tolyl)-2,2' :6' ,2' -terpyridine; pq= 3-phenylisoquinoline)^[6] and [Ir(CO6)₂(bpy(CH₂N(CH₃)₃)₂](PF₆)₃ (CO6 = coumarin 6 and bpyCH₂N(CH₃)₃ = 4,4'-bis(N,N,N-trimethylmethanaminium)-2,2'-biyridine)^[7], respectively. On the contrary, the complexes did not induce any evident NAD(P)H oxidation in the dark, indicating the necessity of light. Interestingly, **Ir3** exhibited higher catalytic efficiency than Chlorin e6 and achieved the maximum TON within a very short period of light irradiation, sharply differing from **Ir1** and **Ir2** (Fig. S20, ESI⁺). The photo-oxidation of NAD(P)H was associated with the generation of H₂O₂, as detected by an H₂O₂ test paper (Fig. S21, ESI⁺), indicating the involvement of O₂ in the process of photo-catalysis. The above observation indicates that O₂ is most likely converted to H₂O₂ via the superoxide radical anion O₂^{-.[6]}

Since complexes **Ir1-Ir3** exhibited both photo-induced ${}^{1}O_{2}$ production and NAD(P)H oxidative activity, we further explored their photo-cytotoxicity against three cancer cell lines (HeLa cervical, A549 lung and B16 melanoma). Clinically used chemotherapeutic drug cisplatin and photosensitizer pro-drug 5-ALA were used as positive controls, and cells incubated with the complexes in the dark alone were used as negative controls. After 16 h drug incubation time, followed by 5 min irradiation (465 nm light, irradiance 11.7 J/cm²) without replacing the medium and a further 32 h recovery, the photo-toxicity of the complexes was measured. The dark-treated cells were incubated with compounds for 48 h. The complexes exhibited remarkable photo-toxicity (Table 1, Table S6, ESI⁺) with high photo-toxicity indices (PI = IC_{50(Dark})/ IC_{50(light})).

Importantly, **Ir3** gave a PI = 333.8, ca. 73× higher than the clinical photosensitizer 5-aminolevulinic acid (5-ALA) against HeLa cells. Cisplatin is not a photosensitizer and did not give rise to any significant increase in toxicity after light irradiation (Table 1 and Table S6, ESI†). Interestingly, complex **Ir3** also exhibited nanomolar dark and phototoxicity in B16 melanoma cells, with a $IC_{50(light)}$ value of *ca*. 2.0 nM. We also compared the cytotoxicity of **Ir1-Ir3** with the non-glycosylated analogue (**Ir4**) (Table 1). From Table 1, it is clear that the addition of the N,N-Glc ligand decreases the dark toxicity and also augments the photo-cytotoxicity of the complexes. The mechanism of photo-cytotoxicity requires further investigation and HeLa cells were selected for studies of the photo-therapeutic mechanism. ^[13]

Cellular uptake can influence drug activity.^[6,7] To investigate the uptake profile of complexes **Ir1-Ir3** in HeLa cells, intracellular

complex-based emission intensity was monitored at various time

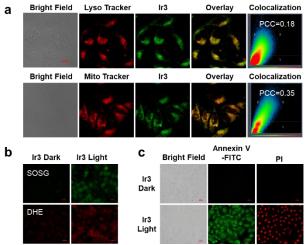


Fig. 3 (a) Confocal imaging showing the co-localization of **Ir3** with either LTDR or MTR in living HeLa cells incubated with **Ir3** (10 μ M). $\lambda_{ex} = 488$ nm, $\lambda_{em} = 580 \pm 40$ nm. Scale bar: 20 μ m. (b) Light induced ROS generation in HeLa cells by **Ir3** using DHE and SOSG as the O₂⁻⁺ and ¹O₂ probes, respectively. Scale bars: 20 μ m. (c) Detection of cell death mechanism of **Ir3** by annexin V-FITC/PI assay. Scale bars: 20 μ m.

points using flow cytometry. As shown in Fig. S22 (ESI⁺), the complexes showed a time dependent intracellular uptake profile. Subsequent co-localization imaging with commercial dyes Mito Tracker Red (MTR) and Lyso Tracker Deep Red (LTDR) indicated that **Ir1** and **Ir2** mainly localizes in lysosomes, whereas **Ir3** is located in both lysosomes and mitochondria (Fig. 3a and Fig. S23, ESI⁺). Such non-nuclear localization of the complexes might be helpful for overcoming drug resistance. In contrast, NER (nucleotide excision repair) is one of the factors responsible for cisplatin resistance.^[14]

To confirm that photo-triggered cell death is induced by intracellular ROS production via both the type I (ROS) and type II ($^{1}O_2$) mechanisms,^[7] intracellular ROS generation was monitored by Singlet Oxygen Sensor Green (SOSG) and dihydroethidium (DHE) staining assays.^[15] The photo-induced intracellular $^{1}O_2$ generation by **Ir2** and **Ir3** was evident from the intracellular green fluorescence of SOSG (Fig. 3b, and Fig. S24, ESI⁺). In addition, notable DHE fluorescence was also detected in HeLa cells upon light exposure, indicating generation of superoxide radicals (Fig. S25, ESI⁺). These observations correlate well with the observed aqueous solution behavior described above.

The photo-triggered intracellular ROS generation resulted in mitochondrial depolarization, as was evident from the enhancement and decrease of intracellular green and red fluorescence, respectively, in the JC-1 assay with **Ir2** and **Ir3** where carbonyl cyanide m-chlorophenyl hydrazone (CCCP) was used as the positive control (Fig. S26, ESI⁺). Notably, no such change in the mitochondrial membrane potential was observed in the dark in presence of **Ir1-Ir3**. Blue 465 nm light induced intracellular ROS generation, and mitochondrial depolarization by **Ir2** and **Ir3** ultimately caused necro-apoptotic cancer cell death as was evident from the Annexin V-FITC/Propidium lodide assay (Fig. 3c, Fig. S27, ESI⁺). The cell death mechanism was also quantitatively analyzed by Annexin V-FITC/PI assay using flow cytometry (Fig. S28, ESI⁺). **Ir3** (5 μ M) in the dark did not induce any significant cell death, but was found to induce ca. 15% early apoptotic and ca. 9% necro-apoptotic cell death on light exposure.

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The photo-cytotoxicity of **Ir3** was evaluated against 3D multicellular spheroids (MCTSs), which have a hypoxic core, in view of the favorable 2D *in*

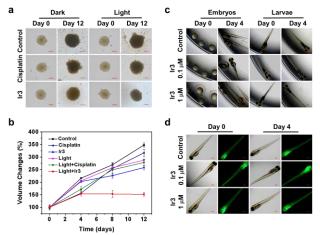


Fig. 4 (a) Representative microscopy images of multicellular HeLa spheroids showing growth inhibition no treatment (only culture medium, control), cisplatin (50 μ M) or complex **Ir3** (5 μ M) treatment, with or without light irradiation. (b) Volume change curves of MCTSs after various treatments over 12 days. The error bars denote the standard deviation of three parallel MCTSs. (c) Development and survival assay in wild-type zebrafish embryos and larvae after treatment with **Ir3** for 4 days, indicating the *in vivo* biocompatibility of **Ir3**. (d) Blood vessel morphology in *Tg(flk1:EGFP)*⁵⁸⁴³ zebrafish larvae after treatment with **Ir3** for 4 days. Scale bars: 200 μ m.

vitro photo-activated anticancer profile of this complex. Recently, MCTSs have emerged as useful mimics of solid tumors with complicated microenvironments.^[16] Here, we utilized MCTSs of diameter ca. 400 µm. It is challenging to deliver the drug into the core of the MCTSs as the drug has to pass through many layers of cells. As shown in Fig. S29 (ESI⁺), by Z-stack scanning, the core of **Ir3** (5 µM)-treated MCTSs is illuminated after 24 h of incubation, implying that **Ir3** can efficiently penetrate MCTSs. Moreover, as shown in Fig. 4a, 4b and Fig. S30 (ESI⁺), **Ir3** on light irradiation significantly inhibited the growth of MCTSs compared to the untreated control. In the dark, **Ir3** slightly suppressed the growth of MCTSs. Moreover, **Ir3** (5 µM) on light irradiation gave rise to higher antitumor activity than cisplatin (50 µM) in the dark.

Zebrafish have attracted significant attention as a vertebrate model to test drug toxicity in vivo.^[17] We evaluated the toxicity of Ir3 by exposing zebrafish embryos and larvae to various concentrations of aqueous Ir3 in the dark. Remarkably, the survival rate of embryos and larvae was ca. 100% even after four days of exposure to 1 μ M (500× light IC₅₀ in B16 cells) of Ir3 (Fig. 4c and Fig. S31, ESI⁺), revealing the high in vivo biocompatibility of Ir3. To investigate the effect of Ir3 on blood vessels, we used the Tg(flk1:EGFP)^{s843} zebrafish model^[18], which has green fluorescence labelled blood vessels. As shown in Fig. 4d, Ir3 (1 µM) did not cause any substantial damage to blood vessels, again indicating its in vivo biocompatibility. Overall, these results indicate that Ir3 without any light exposure can remain dormant in vivo, allowing activation at the target site upon light exposure. These observations suggest that Ir3 has appropriate in vivo biosafety and the potential to overcome the side effects of cisplatin.

In summary, we have described three novel Ir(III) photocatalysts (Ir1-Ir3) which are active as anticancer agents when irradiated by visible light. Attachment of the pendant glucose increased the aqueous solubility of the complexes. The complexes showed efficient NAD(P)H photo-oxidation under blue light. For blue light induced NAD(P)H photo-oxidation, the TON and TOF of Ir3 were ca. 4× times higher than for previously reported Ir(III) complexes.^[6,7] Hence ligand tuning has a significant role in controlling the catalytic activity of this class of Ir(III) complexes. The complexes show mitochondria/lysosome targeting, with necro-apoptotic photo- cytotoxicity at submicromolar concentrations via ROS generation and NAD(P)H oxidation. Interestingly, Ir3 is highly biocompatible in the dark, as was evident from the *in vivo* zebrafish studies. The complex has the potential to minimize side effects, and with its novel mechanism of action, to overcome the problem of resistance which can develop for cisplatin. Since hypoxia contributes to cancer drug resistance, Ir3 is expected to provide a platform of drug development to treat hypoxic tumours as this complex also effectively inhibited 3D multicellular spheroid growth. The detailed anticancer mechanism under hypoxia will be the subject of our future research.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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