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Auditory cortical connectivity in humans

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Abstract

To understand auditory cortical processing, the effective connectivity between 15 auditory cortical regions and 360 cortical regions was measured in 171 Human Connectome Project participants, and complemented with functional connectivity and diffusion tractography.

1. A hierarchy of auditory cortical processing was identified from Core regions (including A1) to Belt regions LBelt, MBelt and 52; then to PBelt; and then to HCP A4.
2. A4 has connectivity to anterior temporal lobe TA2, and to HCP A5 which connects to dorsal-bank superior temporal sulcus (STS) regions STGa, STSda, and STSdp. These STS regions also receive visual inputs about moving faces and objects, which are combined with auditory information to help implement multimodal object identification, such as who is speaking, and what is being said. Consistent with this being a ‘what’ ventral auditory stream, these STS regions then have effective connectivity to TPOJ1, STV, PSL, TGv, TGd and PGi, which are language-related semantic regions connecting to Broca’s area, especially BA45.
3. A4 and A5 also have effective connectivity to MT and MST which connect to superior parietal regions forming a dorsal auditory ‘where’ stream involved in actions in space. Connections of PBelt, A4 and A5 with BA44 may form a language-related dorsal stream.

Introduction

To understand auditory cortical processing involved in hearing, speech and language, it is important to understand the connectivity of human auditory cortical regions. The aim of the present investigation is to advance understanding of the connections and connectivity of the human cortical auditory pathways.

To do this, we measured with Human Connectome Project (HCP) data (Glasser et al. 2016b) the direct connections between brain regions using diffusion tractography; the functional connectivity between brain regions using the correlation between the BOLD signals in resting state fMRI which provides evidence about the strength of interactions; and the effective connectivity which provides evidence about the strength and direction of the causal connectivity between pairs of hundreds of brain regions with a new Hopf algorithm (Rolls et al. 2022f). These measures were made between the 360 cortical regions in the HCP multimodal parcellation atlas (HCP-MMP) (Glasser et al. 2016a). The HCP-MMP atlas provides the most detailed parcellation of the human cortical areas that we know, in that its 360 regions are defined using a combination of structural measures (cortical thickness and cortical myelin content), functional connectivity, and task-related fMRI (Glasser *et al.* 2016a). This parcellation is the parcellation of choice for the cerebral cortex because it is based on multimodal information (Glasser *et al.* 2016a) with the definition and boundaries set out in their Glasser_2016_SuppNeuroanatomy.pdf, and it is being used as the basis for many new investigations of brain function and connectivity, which can all be cast in the same framework (Colclough et al. 2017; Van Essen and Glasser 2018; Sulpizio et al. 2020; Yokoyama et al. 2021; Rolls et al. 2022e; Rolls et al. 2022g, 2022f). This approach provides better categorization of cortical areas than does for example functional connectivity alone (Power et al. 2011). A summary of the boundaries, tractography, functional connectivity and task-related activations of visual cortical areas using the HCP-MMP atlas is available elsewhere (Glasser *et al.* 2016a; Baker et al. 2018a; Baker et al. 2018b), but the effective connectivity, tractography, and functional connectivity analyses described here are new, and further are presented in quantitative form using connectivity matrices for all 360 cortical areas.

Previous understanding of cortical auditory information pathways has been founded on research in non-human primates supplemented by activation and functional connectivity studies in humans (Morel et al. 1993; Rauschecker et al. 1995; Rauschecker 1998b, 1998a; Romanski et al. 1999; Kaas and Hackett 2000; Rauschecker and Tian 2000; Poremba et al. 2003; Rauschecker and Scott 2009; DeWitt and Rauschecker 2012; Rauschecker 2012; Ahveninen et al. 2013; DeWitt and Rauschecker 2013; Kravitz et al. 2013; Fukushima et al. 2014; Kikuchi et al. 2014; Moerel et al. 2014; Scott et al. 2014; Bornkessel-Schlesewsky et al. 2015a; Karabanov et al. 2015; Munoz-Lopez et al. 2015; Petkov et al. 2015; DeWitt and Rauschecker 2016; Glasser *et al.* 2016a; Leaver and Rauschecker 2016; Scott and Mishkin 2016; Erickson et al. 2017; Scott et al. 2017; Rauschecker 2018b, 2018a; Van Essen and Glasser 2018; Corcoles-Parada et al. 2019; van der Heijden et al. 2019; Archakov et al. 2020). One perspective, with its foundations in research on macaques, is that a ventral auditory pathway is involved in the decoding of spectrally complex sounds (“auditory objects”), which includes the decoding of speech sounds (“speech perception”) and their ultimate linking to meaning in humans. An auditory dorsal pathway is involved in sensorimotor integration and control (Rauschecker 2011), and in humans plays a role in speech production as well as categorization of phonemes during speech processing (Rauschecker 2012). In more detail, in both humans and nonhuman primates, the auditory cortex is described as having core (corresponding to primary and primary-like auditory cortex), then belt (which surrounds the core), and then parabelt fields, all of which contain several subfields (Rauschecker 2015). Neurons in the core show responses with narrow tuning to tone frequency; belt neurons respond best to band-passed noise of a specific frequency and bandwidth; and parabelt neurons respond to increasingly complex sounds. The belt areas give rise to two major pathways, one that is anteroventrally directed

and projects to ventrolateral prefrontal cortex and another that is posterodorsally directed and projects to dorsolateral prefrontal cortex. The ventral stream underlies auditory pattern and object recognition, including the decoding of speech sounds at the level of phonemes, words, and short phrases (Obleser et al. 2006). The dorsal stream is involved in the processing of auditory space and motion and is generally considered an audiomotor pathway for sensorimotor integration and control (Rauschecker 2011). As such, it is involved in functions of sentence processing, silent speech, and processing of musical sequences. Inferior parietal and premotor cortices are all part of this dorsal stream network (Rauschecker 2015). This perspective therefore is that the organization of the auditory cortical system resembles that of the visual cortical system in that it consists of two major pathways that fulfil two fundamentally different tasks in higher sensory and cognitive processing. As in vision, the debate whether there might be more than two processing streams began right after the initial discovery (Kaas and Hackett 1999; Belin and Zatorre 2000; Romanski et al. 2000). This discussion has been particularly intense in the discussion of language pathways, where debate about the origin of language is often ideologically fraught. While the existence of a direct projection from auditory to prefrontal regions along the auditory dorsal pathway is unquestionable in humans (the “arcuate” fasciculus (Geschwind 1970), evidence for its existence is somewhat sparser in monkeys, but still clearly apparent from anatomical tracer studies in physiologically identified cortical regions (Romanski et al., 1999) as well as high-resolution imaging (Frey et al. 2008). This quantitative difference has led some authors to suggest (on the basis of imaging data) that the origin of language may depend on the existence of a direct dorsal pathway (Rilling et al. 2011; Skeide and Friederici 2016), a claim that is no longer sustainable (Bornkessel-Schlesewsky et al. 2015b; Balezeau et al. 2020). Thus the human data are entirely consistent with the monkey data (Romanski *et al.* 1999; Petrides and Pandya 2002, 2009; Frey et al. 2014) in demonstrating the distinct connectivity of the pars opercularis (area 44) versus the pars triangularis (area 45) that together constitute Broca’s region (Friederici 2002; Amunts et al. 2010; Amunts and Zilles 2012; Friederici et al. 2017). More recent revelations about the dorsal stream show that it has presumably evolved as a substrate for sensorimotor processing, connecting sensory and motor cortical systems with each other and the basal ganglia (Rauschecker 2018a; Archakov *et al.* 2020), thus permitting the learning of sequences and the origin of both language and music (Rauschecker 2018b).

The present research goes beyond this previous research by estimating causal connectivity between 15 auditory cortical regions in the human brain with a multimodal atlas with 360 cortical areas. Strengths of this investigation are that it utilised this HCP-MMP atlas (Glasser *et al.* 2016a); HCP data from the same set of 171 participants imaged at 7T (Glasser *et al.* 2016b) in whom we could calculate the connections, functional connectivity, and effective connectivity; and that it utilised a method for effective connectivity measurement between all 360 cortical regions investigated here. The Hopf effective connectivity algorithm is important for helping to understand the operation of the computational system, for it is calculated using time delays in the signals between 360 or more cortical regions (Rolls *et al.* 2022g, 2022f), and the use of time is an important component in the approach to causality (Rolls 2020, 2021b, 2021a). To facilitate comparison between different cortical systems and processing streams, the approach used here is similar to that used in investigations of other cortical regions (Huang et al. 2021; Ma et al. 2022; Rolls et al. 2022c, 2022a, 2022b, 2022e, 2022d; Rolls *et al.* 2022g, 2022f; Rolls et al. 2022h), with overviews of how these connectivity analyses provide a connectivity framework helpful for understanding brain computations provided by Rolls (2023b). We hope that future research using the same brain atlas (Glasser *et al.* 2016a; Huang et al. 2022) will benefit from the human auditory cortical connectome described here.

Methods

Participants and data acquisition

Multiband 7T resting state functional magnetic resonance images (rs-fMRI) of 184 individuals were obtained from the publicly available S1200 release (last updated: April 2018) of the Human Connectome Project (HCP) (Van Essen et al. 2013), with data available for all the analyses including the tractography available in 171 participants (see below). Individual written informed content was obtained from each participant, and the scanning protocol was approved by the Institutional Review Board of Washington University in St. Louis, MO, USA (IRB #201204036).

Multimodal imaging was performed in a Siemens Magnetom 7T housed at the Center for Magnetic Resonance (CMRR) at the University of Minnesota in Minneapolis. For each participant, a total of four sessions of rs-fMRI were acquired, with oblique axial acquisitions alternated between phase encoding in a posterior-to-anterior (PA) direction in sessions 1 and 3, and an anterior-to-posterior (AP) phase encoding direction in sessions 2 and 4. Specifically, each rs-fMRI session was acquired using a multiband gradient-echo EPI imaging sequence. The following parameters were used: TR = 1000ms, TE = 22.2 ms, flip angle = 45°, field of view = 208 × 208, matrix = 130 × 130, 85 slices, voxel size = 1.6 × 1.6 × 1.6 mm³, multiband factor = 5. The total scanning time for the rs-fMRI protocol was approximately 16 min with 900 volumes. Further details of the 7T rs-fMRI acquisition protocols are given in the HCP reference manual (https://humanconnectome.org/storage/app/media/documentation/s1200/HCP_S1200_Release_Reference_Manual.pdf).

The current investigation was designed to complement investigations of effective and functional connectivity and diffusion tractography of the hippocampus (Huang *et al.* 2021; Ma *et al.* 2022; Rolls *et al.* 2022f), posterior cingulate cortex (Rolls *et al.* 2022h), orbitofrontal and anterior cingulate cortices (Rolls *et al.* 2022g), visual pathways (Rolls *et al.* 2022b), language regions (Rolls *et al.* 2022e), parietal cortex (Rolls *et al.* 2022d), and prefrontal and somatosensory cortex (Rolls *et al.* 2022a), and so the same 171 participants with data for the first session of rs-fMRI at 7T were used for the analyses described here (age 22-36 years, 66 males).

Data Preprocessing

The preprocessing was performed by the HCP as described in Glasser et al. (2013), based on the updated 7T data pipeline (v3.21.0, <https://github.com/Washington-University/HCPpipelines>), including gradient distortion correction, head motion correction, image distortion correction, spatial transformation to the Montreal Neurological Institute space using one step spline resampling from the original functional images followed by intensity normalization. In addition, the HCP took an approach using ICA (FSL's MELODIC) combined with a more automated component classifier referred to as FIX (FMRIB's ICA-based X-noisifier) to remove non-neural spatiotemporal artefact (Smith et al. 2013; Griffanti et al. 2014; Salimi-Khorshidi et al. 2014). This step also used 24 confound timeseries derived from the motion estimation (6 rigid-body parameter timeseries, their backwards-looking temporal derivatives, plus all 12 resulting regressors squared (Satterthwaite et al. 2013) to minimise noise in the data. The preprocessing performed by the HCP also included boundary-based registration between EPI and T1w images, and brain masking based on FreeSurfer segmentation. The 'minimally preprocessed' rsfMRI data provided by the HCP 1200 release (rfMRI*hp2000_clean.dtseries) was used in this investigation. The preprocessed data is in the HCP grayordinates standard space and is made available in a surface-based CIFTI file for each participant. With the MATLAB script (cifti toolbox: <https://github.com/Washington-University/cifti-matlab>), we extracted and averaged the cleaned timeseries of all the grayordinates in each region of the HCP-MMP 1.0 atlas (Glasser *et al.* 2016a), which is a group-based parcellation defined in the HCP grayordinate standard space having 180 cortical regions per hemisphere, and is a surface-based atlas provided in CIFTI format. The timeseries were detrended, and temporally filtered with a second order Butterworth filter set to 0.008 – 0.08 Hz.

Brain Atlas and Region Selection

To construct the effective connectivity for the regions of interest in this investigation with other parts of the human brain, we utilised the 7T resting state fMRI data the HCP, and parcellated this with the surface based HCP-MMP atlas which has 360 cortical regions (Glasser *et al.* 2016a). We were able to use the same 171 participants for whom we also had performed diffusion tractography, as described in detail (Huang *et al.* 2021). The brain regions in this atlas (Glasser *et al.* 2016a) are shown in Figs. 1 and S1, and a list of the cortical regions in this atlas is provided in Table S1 in the reordered form used in the extended volumetric HCPex atlas (Huang *et al.* 2022).

The auditory cortical regions selected for connectivity analysis here were as follows, in the HCP-MMP division indicated as listed in Table S-1, and as illustrated in Figs. 1 and S1. Early Auditory division: Area 52, A1 Primary Auditory Cortex, LBelt Lateral Belt Complex, MBelt Medial Belt Complex, PBelt ParaBelt Complex, PFcm (which is part of the parietal cortex), and RI RetroInsular Area. Auditory Association division: A4 Auditory 4 Complex, A5 Auditory 5 Complex, TA2, STGa, STSda Area STS dorsal anterior, STSdp Area STS dorsal posterior, STSva Area STS ventral anterior, and STSvp Area STS ventral posterior. It is noted that the HCP-MMP atlas sometimes uses dorsal vs ventral as descriptors following nomenclature in non-human primates, and that these correspond to superior and inferior in humans. For those becoming familiar with the HCP-MMP atlas, in the name of a brain region typically a=anterior, p=posterior, d=dorsal (i.e. superior in the human brain), v=ventral (i.e. inferior in the human brain), m=medial, l or L=lateral, T=temporal, P=parietal, and V=visual. It must also be noted that some of the names used in the HCP-MMP atlas utilise the name of the corresponding region in macaques, but in humans the cortical region may not be topologically in the same place (e.g sulcus) as in macaques.

The parcellation of the auditory cortical divisions in the HCP-MMP atlas and the evidence for the boundaries of the different regions is provided by Glasser *et al.* (2016a) in their Supplementary Material file Glasser_2016_SuppNeuroanatomy.pdf.

Measurement of effective connectivity

Effective connectivity measures the effect of one brain region on another, and utilizes differences detected at different times in the signals in each connected pair of brain regions to infer effects of one brain region on another. One such approach is dynamic causal modelling, but it applies most easily to activation studies, and is typically limited to measuring the effective connectivity between just a few brain areas (Friston 2009; Valdes-Sosa *et al.* 2011; Bajaj *et al.* 2016), though there have been moves to extend it to resting state studies and more brain areas (Frassle *et al.* 2017; Razi *et al.* 2017). The method used here (see Rolls *et al.* 2022f, 2022g) was developed from a Hopf algorithm to enable measurement of effective connectivity between many brain areas, described by Deco *et al.* (2019). A principle is that the functional connectivity is measured at time t and time $t + \tau$, where τ is typically 2 s to take into account the time within which a change in the BOLD signal can occur, and that τ should be short to capture causality, and then the effective connectivity model is trained by error correction until it can generate the functional connectivity matrices at time t and time $t + \tau$. Further details of the algorithm, and the development that enabled it to measure the effective connectivity in each direction, are described next and in more detail in the Supplementary Material.

To infer the effective connectivity, we use a whole-brain model that allows us to simulate the BOLD activity across all brain regions and time. We use the so-called Hopf computational model, which integrates the dynamics of Stuart-Landau oscillators, expressing the activity of each brain region, by the underlying anatomical connectivity (Deco *et al.* 2017b). As mentioned above, we include in the model 360 cortical brain areas (Huang *et al.* 2022). The local dynamics of each brain area (node) is given by Stuart-Landau oscillators which expresses the normal form of a supercritical Hopf bifurcation, describing the transition from noisy to oscillatory dynamics (Kuznetsov 2013). During the last years,

numerous studies were able to show how the Hopf whole-brain model successfully simulates empirical electrophysiology (Freyer et al. 2011; Freyer et al. 2012), MEG (Deco et al. 2017a) and fMRI (Kringelbach et al. 2015; Deco *et al.* 2017b; Kringelbach and Deco 2020).

The Hopf whole-brain model can be expressed mathematically as follows:

$$\frac{dx_i}{dt} = \overbrace{[a_i - x_i^2 - y_i^2]x_i - \omega_i y_i}^{\text{Local Dynamics}} + \overbrace{G \sum_{j=1}^N C_{ij} (x_j - x_i)}^{\text{Coupling}} + \overbrace{\beta \eta_i(t)}^{\text{Gaussian Noise}} \quad (1)$$

$$\frac{dy_i}{dt} = [a_i - x_i^2 - y_i^2]y_i + \omega_i x_i + G \sum_{j=1}^N C_{ij} (y_j - y_i) + \beta \eta_i(t) \quad (2)$$

Equations 1 and 2 describe the coupling of Stuart-Landau oscillators through an effective connectivity matrix C . The $x_i(t)$ term represents the simulated BOLD signal data of brain area i . The values of $y_i(t)$ are relevant to the dynamics of the system but are not part of the information read out from the system. In these equations, $\eta_i(t)$ provides additive Gaussian noise with standard deviation β . The Stuart-Landau oscillators for each brain area i express a Hopf normal form that has a supercritical bifurcation at $a_i=0$, so that if $a_i>0$ the system has a stable limit cycle with frequency $f_i=\omega_i/2\pi$ (where ω_i is the angular velocity); and when $a_i<0$ the system has a stable fixed point representing a low activity noisy state. The intrinsic frequency f_i of each Stuart-Landau oscillator corresponding to a brain area is in the 0.008–0.08Hz band ($i=1, \dots, 360$). The intrinsic frequencies are fitted from the data, as given by the averaged peak frequency of the narrowband BOLD signals of each brain region. The coupling term representing the input received in node i from every other node j , is weighted by the corresponding effective connectivity C_{ij} . The coupling is the canonical diffusive coupling, which approximates the simplest (linear) part of a general coupling function. G denotes the global coupling weight, scaling equally the total input received in each brain area. While the oscillators are weakly coupled, the periodic orbit of the uncoupled oscillators is preserved. Details are provided in the Supplementary Material.

The effective connectivity matrix is derived by optimizing the conductivity of each existing anatomical connection as specified by the Structural Connectivity matrix (measured with tractography (Huang *et al.* 2021)) in order to fit the empirical functional connectivity (FC) pairs and the lagged FC^{tau} pairs. By this, we are able to infer a non-symmetric Effective Connectivity matrix (see Gilson et al (2016)). Note that FC^{tau} , ie the lagged functional connectivity between pairs, lagged at τ s, breaks the symmetry and thus is fundamental for our purpose. Specifically, we compute the distance between the model FC simulated from the current estimate of the effective connectivity and the empirical data FC^{emp} , as well as the simulated model FC^{tau} and empirical data $FC^{\text{tau,emp}}$ and adjust each effective connection (entry in the effective connectivity matrix) separately with a gradient-descent approach. The model is run repeatedly with the updated effective connectivity until the fit converges towards a stable value.

We start with the anatomical connectivity obtained with probabilistic tractography from dMRI (or from an initial zero C matrix as described in the Supplementary Material) and use the following procedure to update each entry C_{ij} in the effective connectivity matrix

$$C_{ij} = C_{ij} + \epsilon \left(FC_{ij}^{\text{emp}} - FC_{ij} + FC_{ij}^{\text{tau,emp}} - FC_{ij}^{\text{tau}} \right) \quad (3)$$

where ϵ is a learning rate constant, and i and j are the nodes. When updating each connection if the initial matrix is a dMRI structural connection matrix (see Supplementary Material), the corresponding link to the same brain regions in the opposite hemisphere is also updated, as contralateral connections are not revealed well by dMRI. The convergence of the algorithm is illustrated by Rolls et al (2022f), and the utility of the algorithm was validated as described below.

For the implementation, we set τ to be 2 s, selecting the appropriate number of TRs to achieve this. The maximum effective connectivity was set to a value of 0.2, and was found between V1 and V2.

Effective Connectome

Whole-brain effective connectivity (EC) analysis was performed between the 15 auditory cortical regions described above (see Figs. 1 and S1) and the 360 regions defined in the surface-based HCP-MMP atlas (Glasser *et al.* 2016a) in their reordered form provided in Table S1, described in the Supplementary material, and used in the volumetric extended HCPex atlas (Huang *et al.* 2022). This EC was computed for all 171 participants. The effective connectivity algorithm was run until it had reached the maximal value for the correspondence between the simulated and empirical functional connectivity matrices at time t and $t + \tau$ (see Supplementary Material). The effective connectivity calculated was checked and validated in a number of ways described in the Supplementary Material.

To test whether the vectors of effective connectivities of each of the 15 auditory cortex regions with the 180 areas in the left hemisphere of the modified HCP atlas were significantly different, the interaction term was calculated for each pair of the 15 auditory cortex regions effective connectivity vectors in separate two-way ANOVAs (each 2×180) across the 171 participants, and Bonferroni correction for multiple comparisons was applied.

Functional connectivity

For comparison with the effective connectivity, the functional connectivity was also measured at 7T with the identical set of participants, data, and filtering of 0.008 – 0.08 Hz. The functional connectivity was measured by the Pearson correlation between the BOLD signal timeseries for each pair of brain regions, and is in fact the FC^{emp} referred to above. A threshold of 0.4 is used for the presentation of the findings in Fig. 5, for this sets the sparseness of what is shown to a level commensurate with the effective connectivity, to facilitate comparison between the functional and the effective connectivity. The functional connectivity can provide evidence that may relate to interactions between brain regions, while providing no evidence about causal direction-specific effects. A high functional connectivity may in this scenario thus reflect strong physiological interactions between areas, and provides a different type of evidence to effective connectivity. The effective connectivity is non-linearly related to the functional connectivity, with effective connectivities being identified (i.e. greater than zero) only for the links with relatively high functional connectivity.

Connections shown with diffusion tractography

Diffusion tractography can provide evidence about fibre pathways linking different brain regions with a method that is completely different to the ways in which effective and functional connectivity are measured, so is included here to provide complementary and supporting evidence to the effective connectivity. Diffusion tractography shows only direct connections, so comparison with effective connectivity can help to suggest which effective connectivities may be mediated directly or indirectly. Diffusion tractography does not provide evidence about the direction of connections. Diffusion tractography was performed on the same 171 HCP participants imaged at 7T with methods described in detail elsewhere (Huang *et al.* 2021). The major parameters were: 1.05 mm isotropic voxels; a two-shell acquisition scheme with b-values = 1000, 2000 s/mm^2 , repetition time/echo time = 7000/71 ms, 65 unique diffusion gradient directions and 6 b0 images obtained for each phase encoding direction pair (AP and PA pairs). Pre-processing steps included distortion correction, eddy-current correction, motion correction, and gradient non-linearity correction. In brief, whole brain tractography was reconstructed for each subject in native space. To improve the tractography termination accuracy in GM, MRtrix3's 5ttgen command was used to generate multi-tissue segment images (5tt) using T1 images, the segmented tissues were then co-registered with the b0 image in diffusion space. For multi-shell data, tissue response functions in GM, WM, and CSF were estimated by the MRtrix3' dwi2response function with the Dhollander algorithm (Dhollander *et al.* 2016). A Multi-Shell Multi-

Tissue Constrained Spherical Deconvolution (MSMT-CSD) model with $l_{max}=8$ and prior co-registered 5tt image was used on the preprocessed multi-shell DWI data to obtain the fibre orientation distribution (FOD) function (Smith 2002; Jeurissen et al. 2014). Based on the voxel-wise fibre orientation distribution, anatomically-constrained tractography (ACT) using the probabilistic tracking algorithm: iFOD2 (2nd order integration based on FOD) with dynamic seeding was applied to generate the initial tractogram (1 million streamlines with maximum tract length = 250 mm and minimal tract length = 5 mm). To quantify the number of streamlines connecting pairs of regions, the updated version of the spherical-deconvolution informed filtering of the tractograms (SIFT2) method was applied, which provides more biologically meaningful estimates of structural connection density (Smith et al. 2015). The SIFT2 algorithm weighted the streamlines number based on the overall distribution of fiber orientation distribution function across voxels. (Unlike the regular SIFT algorithm that filters streamlines from a great number to a much smaller number to reduce reconstruction biases of streamline tractography, the SIFT2 algorithm utilizes all input streamlines and applies weighting to them. In this case, the total number of reconstructed streamlines remain unmodified.)

The results for the tractography are shown in Fig. 6 as the number of streamlines between areas with a threshold applied of 10 to reduce the risk of occasional noise-related observations. The highest level in the color bar was set to 1000 streamlines between a pair of cortical regions in order to show graded values for a number of links. The term ‘connections’ is used when referring to what is shown with diffusion tractography, and connectivity when referring to effective or functional connectivity.

Results

Overview: Effective Connectivity, functional connectivity, and diffusion tractography

The effective connectivities *to* the 15 auditory cortical regions from other cortical regions in the left hemisphere are shown in Fig. 2. The effective connectivities *from* the 15 auditory cortical regions to other cortical regions in the left hemisphere are shown in Fig. 3. The vectors of effective connectivities of each of the 15 auditory cortical regions with the 180 regions in the left hemisphere of the HCP-MMP atlas were all significantly different from each other. (Across the 171 participants the interaction term in separate 2-way ANOVAs for the comparisons between the effective connectivity of every pair of the 15 auditory cortical ROIs after Bonferroni correction for multiple comparisons were all $p < 10^{-90}$). The results were confirmed with the non-parametric Scheirer-Rey-Hare test (Scheirer et al. 1976; Sinha 2022.) The connectivity of each of the auditory cortical regions is considered in the Results in the order shown in Table S1, except that region TA2 was moved before the STS regions as their connectivity is somewhat different. The effective connectivities described in the text are the stronger ones, typically >0.01 , but all of those greater than 0 are shown in the Figures. The functional implications of the results described next are considered in the Discussion. Figs. 7a and 7b may be useful to provide a schematic overview of the auditory system connectivity in humans, though the quantitative details are provided in Figs. 2-4 considered in the Results.

Subcortical connectivity of auditory cortical regions

The subcortical connectivity of the 15 auditory cortex regions is shown in Fig. 1b using the subcortical regions defined in the HCPex atlas (Huang *et al.* 2022). The left (L) medial geniculate, the main thalamic auditory nucleus, has the strongest effective connectivity to A1 (0.023 L, 0.026 R), then LBelt (0.020 L, 0.022 R), and then MBelt (0.013 L, 0.012 R), RI (0.011 L, 0.007 R), 52 (0.009 L, 0.006 R), and A5 (0.006 L, 0.000 R). Thus for A1 the connectivity was somewhat stronger from the contralateral medial geniculate, but this was not generally the case. Very interestingly, no effective

connectivity was found from these auditory cortical regions to the medial geniculate, so the anatomical connections demonstrated in macaques seem to have low efficacy. Area 52 has subcortical connectivity similar to A1 (Fig. 1b), consistent with the likelihood that A52 - present in humans and described by the HCP-MMP as a ‘transitional’ area (Glasser *et al.* 2016a) - is at least at an early stage of cortical processing. Some weak effects from non-specific thalamic nuclei such as CM and parafascicular (shown in Fig. S1 (Huang *et al.* 2022)) were found, as were some inputs from parts of the pulvinar to A1, LBelt and 52; and from the amygdala to A5 and STGa (Fig. 1b).

The main auditory cortex regions with relatively strong effective connectivity from the medial geniculate were thus A1 (perhaps corresponding to BA41), 52, LBelt (just posterior to A1 see Fig. 1, and perhaps corresponding to BA42), and MBelt (just anterior to A1 in humans). In the macaque, in which three subdivisions of the medial geniculate are distinguished (MGv, MGd, and MGm), MGv projects to the core regions (A1 and the rostral auditory area R), whereas MGd and MGm project to the belt regions (LBelt and MBelt) (Rauschecker *et al.* 1997). Whether the same is true for humans is an open question.

Connectivity of regions in the Early Auditory division: 52, A1, LBelt, MBelt, PBelt, PFcm and RI.

A1

Fig. 2 shows that A1, which may correspond to BA41 and is a primary or core auditory region (together with areas R and RT in macaques) (Hackett *et al.* 2001; Moerel *et al.* 2014), has strongest effective connectivity to MBelt and LBelt regions (both 0.090), followed by PBelt (0.066) and RI (0.063). The connectivity from A1 was weaker to A4 and to TA2. In all cases the connectivity is a little stronger in this direction than the reverse, consistent with the hypothesis that these are feedforward projections. The connectivity with region 52 is different, in that 52 connects more strongly to A1 (0.065) than vice versa (0.044). The directionality of these effective connectivities is brought out in Fig. 4.

What was less expected about A1 is that it also has some effective connectivity from V1-V3 (but not the reverse), and from some somatosensory regions (OP2-OP3, and supracallosal anterior cingulate cortex a24pr, p24pr, a32pr (Rolls *et al.* 2022g)). In addition, A1 has weak effective connectivity with PIT, STV and TPOJ3, posterior cingulate PCV and RSC, anterior cingulate regions, pOFC and p10p (Fig. 2).

Area 52

Area 52 is a transitional region (Glasser *et al.* 2016a) not known in macaques that may be an early auditory cortex region in humans, given its relatively strong inputs from the medial geniculate nucleus and the similarity of its thalamic inputs to those of A1 (Fig. 1b). Fig. 2 shows that Area 52, which might be a medial belt area (Kusmirek and Rauschecker 2009; Moerel *et al.* 2014), has effective connectivity directed *towards* more strongly than the reverse in this order to the following: MBelt=0.086, A1=RI=0.065, LBelt=PBelt=0.051, PFcm=0.044, A4=TA2=0.020 (Figs. 2-4). Thus, although area 52 has weaker EC from the medial geniculate than A1, it does in its forward onward connectivity and weaker back-projections have similarity with the core auditory cortex region A1. In addition, Area 52 also has effective connectivity with a number of somatosensory cortical regions including 5mv, OP1-OP3, and insular regions PI, Ig and PoI1-PoI2.

LBelt

LBelt has strong reciprocal effective connectivity with A1 and PBelt (>0.09), and moderate with MBelt, RI and A4 (~0.06). It has some effective connectivity stronger in the forward direction to auditory association regions A5 and TA2. It receives from Area 52. LBelt has only relatively weak effective connectivity with somatosensory regions, and is different from A1 and Area 52 in this respect.

LBelt has some effective connectivity from V1 and V2 (Fig. 2). It is noted that LBelt and MBelt each consist of 3 subdivisions (AL, ML, and CL for LBelt; AM, MM, and CM for the MBelt), identified by single-neuron mapping in the macaque (Rauschecker *et al.* 1995; Kusmierek and Rauschecker 2009). This may have consequences for the assignment of LBelt and MBelt regions to processing streams.

MBelt

MBelt has moderately strong reciprocal effective connectivity with A1 and PBelt (~0.08), moderate with LBelt and Area 52 (>0.06), and has connectivity that is stronger to auditory association areas A4 and TA2 (~0.055) than in the reverse direction (~0.045). In contrast to the auditory regions already described, it has no connectivity from visual areas such as V1-V3, and little somatosensory connectivity (with some mainly with para-insula region PI).

PBelt

PBelt has strong reciprocal effective connectivity with LBelt and MBelt (0.075-0.010), moderate with A1, RI and from Area 52 (~0.05), and has connectivity that is reciprocal and strong with auditory association A4 (>0.1), moderate with TA2 (>0.054), and also towards A5 (Figs. 2 and 3). It has only weak effective connectivity (<0.01) with visual and somatosensory regions. It has some effective connectivity with STV (0.015). Like LBelt, MBelt has also been subdivided on the basis of single-unit mapping, microanatomy and histochemistry into rostral and caudal subdivisions (RPB and CPB; (Hackett *et al.* 1999; Kaas and Hackett 2000; Kusmierek and Rauschecker 2009)), with (possibly severe) consequences for their assignment to processing streams (Tian *et al.* 2001).

PFcm

PFcm is a part of the inferior parietal cortex that has effective connectivity with auditory cortical regions especially RI and is included in the auditory division of the HCP-MMP atlas. PFcm receives from Area 52 (0.044 vs 0.030 in the reverse direction), and has effective connectivity to RI (0.043 vs 0.019 in the reverse direction). PFcm is however part of the inferior parietal cortex somatosensory processing hierarchy (Rolls *et al.* 2022d), and has extensive connectivity with somatosensory cortical regions including 5mv, FOP1-FOP3, OP1, and PoII-PoI2. (It is relevant that somatosensory information is used in audio-motor learning for speech (Ohashi and Ostry 2021)). It has moderate connectivity with another part of the inferior parietal somatosensory hierarchy, PFop. It also receives from 7AL. PFcm may thus provide a route for auditory information to reach the inferior parietal cortex. The ventral intraparietal region (VIP) in the intraparietal sulcus (IPS) has been shown to receive anatomical input from auditory-related regions (Lewis and Van Essen 2000).

RI

RI, a retroinsular region, has moderate effective connectivity with auditory regions A1, 52, LBelt, MBelt, and PBelt, and has connectivity directed towards auditory association A4, A5 and TA2. RI also has marked effective connectivity with somatosensory regions including 3a, 3b, 5m, and OP1-OP4.

Connectivity of regions in the Auditory Association division: A4, A5 and TA2

A4

A4 receives effective connectivity strongly from PBelt (0.118), with some from MBelt and LBelt (~0.055), RI (0.041), and less from A1 (0.031) and area 52 (0.019) (Figs. 2 and 3) with the latter two quite directional (Fig. 4). A4 has strong reciprocal effective connectivity with A5 and TA2 (~0.09) and some with STGa (~0.015). A4 also receives from MT, rather weakly from some somatosensory

regions apart from PI which is stronger (Figs. 2-4), and has effective connectivity with language-related regions STV and TPOJ1 (see Rolls *et al.* (2022e)).

A5

A5 has very different connectivity to the preceding regions. A5 has its strongest effective connectivity with another auditory association region, A4 (~0.1), some with TA2 (~0.04), and less with earlier cortical regions, which instead of being from A1 and 52, are from PBelt and LBelt, suggesting that A5 is at a higher hierarchical position than A4. A5 in addition now has strong reciprocal effective connectivity with some STS and related regions, in particular STGa, STSda and STSdp (~0.09). There is also some connectivity with STV and TPOJ1 which are language-related semantic regions (Rolls *et al.* 2022e). There is only rather weak effective connectivity from some somatosensory regions.

TA2

TA2 receives its strongest effective connectivity from A4 (~0.09), with moderate effective connectivity from MBelt, PBelt and A5 (~0.05), and less and very directional from A1 and 52 (Figs. 2-4). It also receives from the parainsular PI. It has only a weak effective connectivity to STGa (0.01).

Superior Temporal Sulcus cortical regions: STGa, STS dorsal anterior STSda, STS dorsal posterior STSdp, STS ventral anterior STSva, STS ventral posterior STSvp.

STGa

STGa receives much more strongly from A5 (0.1) than from A4 (0.017) or TA2 (0.010). STGa has strong effective connectivity with STSda and STSdp (~0.09). However, STGa has in addition moderate effective connectivity with the temporal pole, TGd and TGv (~0.045). It also has effective connectivity with STV and TPOJ1, and to a lesser extent with the PeriSylvian Language region PSL, all of which are language-related, semantic regions (Rolls *et al.* 2022e).

STGa also has effective connectivity to some regions that are output regions for language (Rolls *et al.* 2022e), Broca's area 45, 47l, the Superior Frontal Language region SFL, and premotor 55b (Figs 2-4).

More generally, STGa in the superior temporal gyrus at the temporal pole has strong connectivity with STSda, STSdp (which themselves have some connectivity with visual motion regions) and weaker with STSva. STGa also has effective connectivity with auditory regions A5, A4, and TA2 (but stronger with A5). It also has connectivity with language-related regions in the temporal pole (TGd and TGv) and in temporo-parieto-occipital regions PSL, STV and TPOJ1; with the Superior Frontal Language area SFL; and with 55b. STGa connects to part of Broca's area, area 45 (Figs. 2-4). STGa is proposed to be part of a superior (i.e. anatomically dorsal) bank of the STS cortex semantic system including STSda and STSdp which is involved in multimodal auditory and corresponding visual motion information (Rolls *et al.* 2022e).

STSda

STSda receives EC from A5 and STGa (and no earlier auditory cortex region, speaking to strong neighbourhood relations) (though the connectivity is relatively strong in the reverse direction, and has strong reciprocal connectivity with STSdp and STSva (~0.095)). It has moderate EC with TGd, and language regions STV and TPOJ1. This region is novel in the hierarchy in receiving from the inferior parietal cortex PGI (0.03). It has some effective connectivity to part of Broca's area, 45 (Figs. 2-4).

STSDa also has connectivity with parahippocampal TF which provides inputs to the hippocampus (Rolls 2022b; Rolls *et al.* 2022f), and with a memory-related part of the posterior cingulate cortex (31pd) (Rolls *et al.* 2022h).

STSDp

STSDp in the cortex in the dorsal posterior part of the superior temporal sulcus has strong effective connectivity with A5, STGa and STSDa (and no earlier auditory cortex region).

STSDp also receives from parietal cortex region PGI which has ventral temporal lobe visual and other connectivity (Rolls *et al.* 2022b, 2022d). It also has connectivity with language-related regions in the temporal pole (TGd and TGv), in temporo-parieto-occipital areas PSL, STV and TPOJ1; with SFL; and with a left lateral orbitofrontal cortex region 47l which is also part of the language network (Rolls *et al.* 2022e). STSDp connects to Broca's area, strongly to 45 and less to 44 (Fig. 3), and to language-related parts of the inferior frontal gyrus IFJa and IFSp (Figs. 2-4). This connectivity provides evidence that STSDp is part of a superior (anatomically dorsal) STS semantic network with auditory and visual components (Rolls *et al.* 2022e).

STSVa

STSVa in the ventral anterior bank of the superior temporal sulcus has connectivity with other STS regions from which it may receive auditory information; receives strong visual effective connectivity from inferior temporal visual cortex TE1a in the object-related ventral visual stream (VVS); and it also receives strongly from inferior parietal visual region PGI which has connectivity with ventral stream regions such as the inferior temporal visual cortex (Rolls *et al.* 2022d, 2022b) (Figs. 2-4). STSVa has strong effective connectivity with STSDa and TGd, and moderate with STGa, STSDp, STSVp. STSVa has moderate effective connectivity with the hippocampal system via parahippocampal TF and the posterior cingulate cortex' memory-related regions 31pd, 31pv, v23ab and the related medial parietal 7m (Rolls *et al.* 2022h). It also has effective connectivity with the reward-related ventromedial prefrontal cortex (vmPFC) 10v and pregenual anterior cingulate cortex 9m, and this provides a route for auditory as well as visual information to reach the orbitofrontal / ventromedial prefrontal cortex (Rolls *et al.* 2022g) in which neuronal responses to face expression, head and face gesture, and vocalisation are found (Rolls *et al.* 2006), and damage to which impairs emotional responses to these visual and auditory stimuli in humans (Rolls *et al.* 1994; Hornak *et al.* 1996; Hornak *et al.* 2003; Rolls 2014, 2023a). STSVa is thus part of an inferior (ventral) bank STS semantic network (Rolls *et al.* 2022e).

STSVp

STSVp in the ventral posterior bank of the superior temporal sulcus has connectivity with other STS regions from which it may receive auditory information; it receives strong visual effective connectivity from object-related VVS inferior temporal visual cortex TE1a, TE1m, TE2a; and it also receives strongly from inferior parietal visual regions PGI and PFm which connect with inferior temporal cortex VVS regions (Rolls *et al.* 2022d) (Figs. 2-4). It has strong effective connectivity with STSDp and STSVa, and moderate with STSDa, STSVp; and TGv and TGd. STSVp has some effective connectivity with the hippocampal system via the posterior cingulate cortex memory-related regions 31pd and 31pv (Rolls *et al.* 2022h). It also has effective connectivity with the reward-related ventromedial prefrontal cortex 10v and pregenual anterior cingulate cortex 9m. It also has effective connectivity to language-related areas 45, 44, 47s and 47r on the left and with the Superior Frontal Language region SFL, and premotor 55b (Rolls *et al.* 2022e). STSVp also has effective connectivity with dorsolateral prefrontal cortex short-term memory / visual attention-related regions 8Av, 8BL, 8C, and 9a which have connectivity with the dorsal visual system (Rolls *et al.* 2022a). It is therefore proposed that STSVp is part of a ventral bank STS cortex semantic system (including visual input from

the inferior temporal visual cortex, and parietal visual areas PGI and PFM associated with ventral-stream processing (Rolls *et al.* 2022d), and links this semantic system with outputs to Broca's area (especially 45, see Fig. 3) that are implicated in syntax (Friederici *et al.* 2017; Rolls *et al.* 2022e).

Effective connectivities of the 15 auditory cortical regions with contralateral cortical regions

The effective connectivities of the 15 auditory cortical regions from contralateral cortical areas are shown in Fig. S2, and to contralateral cortical regions in Fig. S3.

A feature of the effective connectivities is that they are strongest to the corresponding brain region contralaterally. This is shown for example by the diagonal line of high effective connectivities in Figs. S2 and S3 running from region 52 to region STSvp (and noting that this is the case also for TA2). This attests to the power of the effective connectivity algorithm, for it detects corresponding particular brain regions in the contralateral hemisphere. Also, this is an interesting principle of brain connectivity, which implies that the contralateral connectivities provide especially for comparison and support between regions performing similar processing in the other hemisphere, rather than providing for hierarchical computations between the two hemispheres.

The contralateral effective connectivities are in general weaker than those ipsilaterally. The ratio across the matrices shown in Figs. 2 and S2 was that the contralateral effective connectivities were 63% of the ipsilateral effective connectivities.

It is notable that the left STS cortical regions have much less effective connectivity with non-STS contralateral cortical regions than with ipsilateral non-STS cortical regions (Figs. S2 and S3). This is consistent with the evidence considered in the Discussion that these STS regions are quite lateralised and are involved in semantic representations and language processing.

Differences of effective connectivities of the right vs left hemisphere for the 15 auditory cortical regions

Most of the analyses presented so far have been for the left hemisphere, or of the left hemisphere with the right hemisphere. For completeness, the differences of effective connectivity for the Right *minus* the Left hemisphere for the 15 auditory cortical regions are shown in Figs. S6 and S7. In addition, Fig. S8 shows the effective connectivity for the right hemisphere from cortical regions to the auditory regions for comparison with Fig. 2; and Fig. S9 shows the effective connectivity for the right hemisphere to cortical regions from the auditory regions for comparison with Fig. 3. One implication of what is shown in Figs. S6 and S7 is that the connectivities of most of the auditory cortical regions (52 to TA2 in these figures) are stronger in the right than the left hemisphere. This is consistent with concepts that auditory and perhaps especially auditory-spatial processing is somewhat more a feature of the right than the left hemisphere (Spierer *et al.* 2009). For the STS cortex regions, the reverse is the case, and the connectivities in the left hemisphere are generally stronger, consistent with their connectivity with language regions of the cortex in the left hemisphere. Further, comparison of Fig. 3 with S9 shows that in the left hemisphere the STS regions (STGa, STSda, STSdp, STSva, STSvp) have more and stronger effective connectivity directed towards Broca's area regions 45, and 44, and the closely associated 47l, and towards 55b the premotor language-related region. Conversely, the STS regions on the right may have stronger connectivity to the (right) hippocampus. In addition, on the left, the first set of auditory cortical regions (52 to RI) may have stronger connectivity to language-related areas STV (superior temporal visual) and TPOJ1 regions (Fig. S9). Comparing Fig. S2 with S8 suggests more effective connectivity from the fusiform face area FFC (implicated in face, object and word form processing) to the STS regions in the right hemisphere.

Functional connectivity and diffusion tractography

The functional connectivity is shown in Fig. 5 and the diffusion tractography in Fig. 6 for comparison with the effective connectivity. Functional connectivity and diffusion tractography have been used in many previous investigations of the human connectome (Catani and Thiebaut de Schotten 2008; Glasser *et al.* 2016a; Maier-Hein *et al.* 2017), and therefore the comparison with effective connectivity is of interest.

The functional connectivities (Fig. 5) which represent a linear measure of connectivity (calculated with the Pearson correlation) range from close to 1.0 to -0.33 and with a threshold of 0.4 reveal somewhat more links than the effective connectivity, partly perhaps because they can reflect common input to two regions rather than causal connectivity between regions, and partly because the threshold has been set to reveal effects known in the literature but not reflected in the effective connectivity. The functional connectivities are useful as a check on the effective connectivities, but of course do not measure causal effects. One difference of the functional connectivity is that (at this threshold) it does not show connectivity between FFC and STS regions (Fig. 5), whereas this is revealed by the effective connectivity (Fig. 2). Another difference is that the functional connectivity is rather non-discriminative between the somatosensory regions with connectivity with auditory cortical regions (Fig. 5), whereas the effective connectivity is much more selective in showing which somatosensory regions influence which auditory cortex regions (Fig. 2). The functional connectivity in the right hemisphere (Fig. S10) has differences from those in the left hemisphere (Fig. 5) that are consistent with the differences in effective connectivity described above.

The diffusion tractography (Fig. 6) again provides no evidence on the direction or causality of connections, and is useful as it can provide some evidence on what in the effective connectivity may reflect a direct connection, and what does not. However, limitations of the diffusion tractography are that it can not follow streamlines within the gray matter so the exact site of termination is not perfectly provided; and the tractography does not follow long connections well with for example almost none of the contralateral connectivity shown with tractography that is revealed by the effective connectivity in Figs. S2 and S3; and may thus overemphasise connections between close cortical regions. Nevertheless the diffusion tractography is a useful complement to the effective connectivity, especially where it provides evidence where an effective connectivity link may be mediated by a direct connection. On the other hand, the effective connectivity and functional connectivity are useful complements to the tractography by helping to exclude false positives in the tract-following in the tractography, as had been examined for the human hippocampal connectome (Huang *et al.* 2021; Ma *et al.* 2022; Rolls *et al.* 2022f). The diffusion tractography (Fig. 6) does not show many connections between auditory cortex regions and visual cortex before the temporal lobe or somatosensory regions apart from FOP1-OP2-3, so these effective connectivities (Fig. 2) may be indirect, or may be missed by the tractography because they are relatively long range. The connections of inferior parietal regions such as PF, PFm and PGs with auditory cortical regions is more evident with the tractography (Fig. 6) than with the effective connectivity. The connections of a number of auditory cortical regions with Broca's area 44 (which is the endpoint of the auditory dorsal stream (ADS) (Rauschecker and Scott 2009) and is implicated in syntax (Friederici *et al.* 2017)) is especially evident with the diffusion tractography. The diffusion tractography is thus a useful complement to the resting state effective connectivity, and provides an indication of what might be revealed by the effective connectivity in language-related tasks.

Correlations between the connectivities of different cortical regions

A comparison of Fig. S4 with Fig. S5, which shows the correlations between the functional connectivities of the 15 auditory cortical regions, indicates that the effective connectivity is much more

selective than functional connectivity in revealing the different connectivities of different cortical regions, and which regions have similar connectivity. Fig. S4 shows for example that the STS regions have effective connectivities that are similar to each other, but also with A5 (which latter has effective connectivities to STS regions). In addition, RI is shown to have effective connectivity that is similar primarily to area 52 (Fig. S4). These points are less clear from the functional connectivity (Fig. S5).

Discussion

A schematic overview of the effective connectivity of the auditory cortical system is shown in Figs. 7a and 7b. A simplified schematic organisation is that regions A1 and 52 > LBelt and MBelt > PBelt > A4. (Here > indicates ‘has effective connectivity to’, though some connectivity may cross levels of the hierarchy.) That part of the connectivity appears to be hierarchical, taking into account the evidence shown in Fig. 3. After A4 there may be a split in the pathways in humans, given the evidence in Figs. 3 and 2. In one stream, A4 has effective connectivity to TA2, and TA2 given its location is likely to be a ventral stream region. TA2 has interesting connectivity to the parainsular region PI. In a second stream, A4 has EC to A5, which then connects to dorsal bank superior temporal sulcus (STS) regions STGa, STSda, and STSdp, which then have onward effective connectivity to TPOJ1, STV, PSL, TGv, TGd and PGi (Fig. 3), which are language-related regions as defined and investigated elsewhere (Rolls *et al.* 2022e). A5 is higher in the hierarchy than A4, in that A4 receives from A1 and 52, and A5 from PBelt, LBelt, and A4 (Figs. 2 and 3).

In the following Discussion, the strengths of the effective connectivities are used as a guide; but also is the point made earlier that effective connectivity in the backward direction in a cortical hierarchical system does not reflect the transfer of the properties represented at a higher level but instead the capability for top-down attention and for memory recall. Other guides are findings from neuronal recordings in comparable regions in macaques and activations in humans.

Functional implications and cortical streams

The effective connectivity in humans described here provides evidence for hierarchical processing from core regions (A1 and 52), through the LBelt/MBelt and then PBelt regions, to A4. A4 is an extended region in the anterior-posterior direction, and future studies might show that it can be subdivided.

In one stream after A4, A4 has effective connectivity to TA2, and TA2, given its location, is likely to be a ventral stream region. TA2 has some effective connectivity to STGa; and to MT, MST, V6, V3A etc; and with region 1 (somatosensory). However, STGa cannot be regarded as a region that mainly receives from TA2, for as described in the Results the connectivity to STGa from A5 is ten times stronger than that from TA2. TA2 also has interesting connectivity from the parainsular region PI. PI has auditory and visual as well as somatosensory inputs (Rolls *et al.* 2022a) (Rolls *et al.* 2022e), rendering it a region for multisensory convergence.

In another stream, A4 has effective connectivity to A5, which then connects to (anatomically dorsal) superior bank temporal sulcus (STS) regions STGa, STSda, and STSdp, which then have onward effective connectivity to TPOJ1, STV, PSL, TGv, TGd and PGi (Fig. 3), which are language-related regions (Rolls *et al.* 2022e). The dorsal bank STS regions (STSda and STSdp) also receive visual inputs about, for example, the movements made by the face and mouth during speaking, in that some neurons in these cortical regions of macaques respond perfectly to the small lip and mouth movements made by humans when they speak (Hasselmo *et al.* 1989a; Hasselmo *et al.* 1989b). We discovered neurons in the same cortical regions that respond to auditory stimuli including vocalisation (Baylis *et al.* 1987),

and indeed some neurons in this cortical region respond to both visual and auditory stimuli (Khandhadia *et al.* 2021). The functions of these STS regions and their onward connectivity are considered next.

Dorsal vs ventral auditory processing streams in humans

Evidence for dorsal and ventral auditory streams in macaques, with some consistent evidence in humans, was described in the Introduction (Rauschecker and Scott 2009; Rauschecker 2018a). A summary was that in humans a ventral auditory stream involves anterior auditory temporal cortical regions that connect via the anterior part of the temporal lobe to the inferior frontal gyrus including especially area 45 (Rauschecker 2012). A dorsal auditory stream was described as involving the posterior auditory cortical regions connecting via inferior parietal regions to premotor cortex 6, region 44, etc. (Rauschecker 2012).

A4 does connect strongly to TA2 in the anterior temporal lobe, and that could indeed be part of a ventral auditory stream. But A4 also projects to A5, and the issue arises of whether A5 is part of a dorsal or ventral auditory stream. In practice, A5 has connectivity to dorsal bank temporal sulcus (STS) regions STGa, STSda, and STSdp, which then have onward effective connectivity to TPOJ1, STV, PSL, TGv, TGD and PGI (Fig. 3). These latter regions are considered language-related and have connectivity directed to Broca's area, and especially to 45 compared to 44 (Rolls *et al.* 2022e). The dorsal bank STS regions (STSda and STSdp) also receive visual inputs about, for example, the movements made by the face and mouth during speaking (Baylis *et al.* 1987; Hasselmo *et al.* 1989a; Hasselmo *et al.* 1989b), and may combine these (Belin 2019; Khandhadia *et al.* 2021), which makes them important for the decoding of articulator movements. These dorsal bank STS regions are therefore important in linking motion-related changes in the sight of the face to the dynamically changing auditory input, and this is likely to be useful for identifying who in a group is making the vocalisation, in maximising the ability to decode information in noisy environments in order to understand speech, in maximizing the information in social signals by combining the auditory and visual components, etc. In the sense that this processing provides evidence about what the message is, this could be considered as a type of ventral stream 'what' semantic processing.

That leads us to consider the dorsal 'how' stream for auditory processing related to language (Rauschecker and Scott 2009; Rauschecker 2018a). In the present analysis, the diffusion tractography does show connections of PBelt, RI, A4 and A5 with Broca's area 44 (Fig. 6), and this may be how the dorsal 'how' language-related stream is reflected in the present analysis. Corresponding effective or functional connectivity was not identified in the present analysis, perhaps because it is functionally relatively weak, or perhaps because the data were acquired in the resting state not during language production.

Perhaps in auditory processing, evidence about the spatial location of sounds, *inter alia* to facilitate spatial attention including by moving the eyes and by top-down attentional space-based modulation, could be considered another dorsal stream type of function, and that is likely to be implemented in parts of dorsal brain regions such as those in the intraparietal sulcus and area 7. Indeed A4 and A5, and some earlier cortical regions, do have effective connectivity to regions including MT and MST (Fig. 3) which in turn have effective connectivity to intraparietal and thereby parietal area 7 regions (Rolls *et al.* 2022b), and this connectivity might be considered as part of a dorsal auditory stream. This analysis is supported by the functional connectivity, which is evident in Fig. 5 between A4, the PBelt etc and parietal 7AL, 7Am and 7PC; and is also supported by the diffusion tractography showing connections between similar regions (Fig. 6). These auditory inputs to dorsal-stream parietal areas could be used to shift visual attention and eye position to a source of sound, to help track moving noisy objects such as flying birds and predators (e.g. alarm calls for eagles versus snakes (Seyfarth and

Cheney 2010)), or keeping track of the location of a predator when running away, and performing actions in the dark for objects that can be detected by their sound.

In terms of Broca's area, 45 has more effective connectivity than 44 with STGa, STSda and STSdp and related regions such as TGv (Figs. 2 and 3 and Rolls *et al.* (2022e)) which are anterior temporal lobe semantic regions (Rolls *et al.* 2022e). The diffusion tractography shows more connections of 44 than 45 with PBelt, A4, A5 and STSvp (Fig. 6), and with premotor area 6 regions (Rolls *et al.* 2022e). In addition to the hypotheses that region 45 which is anterior in the frontal lobes is connected with anterior temporal lobe semantic systems (e.g. in the dorsal bank of the STS), and that region 44 more posteriorly in the frontal lobes is more connected with more posterior temporal lobe auditory regions involved in 'how' processing, it is further proposed that there is information flow from 45 to 44 as part of a route from semantic to language output in terms of speech production and articulation (Rauschecker and Scott 2009; Rauschecker 2018a; Rolls *et al.* 2022e).

One point to note is that A4 and A5 are long regions that are likely in future to be subdivided into anterior and posterior parts. Similarly, at earlier stages of auditory processing, single-unit studies on macaques (Rauschecker *et al.* 1995) have shown that LBelt and PBelt can already be subdivided into subregions with mirror-symmetric target regions, a major shortcoming of the original HCP.

Cortex in the dorsal bank of the Superior Temporal Sulcus: a system for multimodal semantic representations including visual motion, auditory, and somatosensory information

Visual inputs reach STSdp from PGi, and provide a route for moving visual stimuli / objects analyzed in the parietal cortex to reach STS regions (Rolls *et al.* 2022d, 2022b). Visual inputs also reach STSdp from the Superior Temporal Visual (STV) region which receives from both MT and FFC (Rolls *et al.* 2022b, 2022e), and which could contribute to the neuronal activity in the cortex in the STS which has been shown to respond to moving heads, faces and objects in macaques (Hasselmo *et al.* 1989a; Hasselmo *et al.* 1989b; Khandhadia *et al.* 2021), and which is identified as a third visual stream involved in processing socially relevant stimuli (Baylis *et al.* 1987; Rolls 2014; Pitcher and Ungerleider 2021; Rolls 2021c; Rolls *et al.* 2022b). STSda and STSdp also have connectivity from STSva and STSvp which have strong connectivity with the Ventrolateral Visual 'What' Stream (Rolls *et al.* 2022b). STSda and STSdp also receive auditory effective connectivity from A5, and neurons in macaque face patch AF in the STS can be influenced by auditory as well as by visual stimuli (Khandhadia *et al.* 2021). STSdp has connectivity directed towards Broca's area especially 45 and the closely related 47l and less to 44, and to the PeriSylvian Language region PSL and to the Superior Frontal Language region SFL (Rolls *et al.* 2022e).

This 'superior / dorsal bank STS cortex system' thus enables multimodal representations including visual, auditory, and probably also somatosensory via PGi, to gain access to the language system (Rolls *et al.* 2022e). This is a major output of cortical visual and auditory processing for use in language, described in more detail elsewhere (Rolls *et al.* 2022e). Indeed, this dorsal bank STS semantic stream has stronger connectivity to 45 than to 44, consistent with the hypothesis that this is part of a ventral auditory 'what' stream (Rauschecker and Scott 2009; Rauschecker 2018a). There is also a link from this system via TF to the hippocampal memory system (Rolls *et al.* 2022b).

Discoveries in macaques provide an indication for what is represented in these STS regions. It was discovered that single neurons in the macaque STS respond to face expression and also to face and head movement to encode the social relevance of stimuli (Hasselmo *et al.* 1989a; Hasselmo *et al.* 1989b). For example, a neuron might respond to closing of the eyes, or to turning of the head away from facing the viewer, both of which break social contact (Hasselmo *et al.* 1989a; Hasselmo *et al.* 1989b). Some neurons respond to the direction of gaze (Perrett *et al.* 1987). It was found that many of the neurons in the STS respond only or much better to moving faces or objects (Hasselmo *et al.* 1989a), whereas in the anterior inferior temporal cortex neurons were discovered that respond well to static

visual stimuli, and are tuned for face identity (Perrett et al. 1979; Perrett et al. 1982; Desimone et al. 1984; Rolls 1984; Hasselmo *et al.* 1989a; Rolls et al. 1997a; Rolls et al. 1997b; Rolls 2000; Rolls and Treves 2011; Chang and Tsao 2017). It has been proposed that PGI, with its inputs from PGs which has connectivity with superior parietal and intraparietal regions that encode visual motion, is part of this processing stream for socially relevant face-related information (Rolls *et al.* 2022d). Consistent with this, the effective connectivity is stronger from PGI to STS regions (Figs. 2-4). In humans, representations of this type could provide part of the basis for the development of systems to interpret the significance of such stimuli, including theory of mind. Consistent with this proposal, activations in the temporo-parietal junction (TPJ) region are related to theory of mind (Schurz et al. 2017; Buckner and DiNicola 2019; DiNicola et al. 2020). Signals of this type are important in understanding the meaning of seen faces and objects, and indeed evidence about moving objects present in the STS may reach it from PGs and PGI, which in turn receive connectivity from the intraparietal sulcus regions (Rolls *et al.* 2022d) in which neurons respond to visual motion and to grasping objects which are important in tool use (Maravita and Romano 2018), which is another fundamental aspect of the meaning or semantics of stimuli. We proposed that the cortex in the STS in which neurons respond to moving faces, eyes, etc and to changing facial expression enables ventral stream ‘what’ information to be combined with dorsal stream visual motion information to form a third visual stream, and that this could be useful for social functions (Hasselmo *et al.* 1989a; Hasselmo *et al.* 1989b), especially as this system projects to the orbitofrontal cortex / vmPFC where similar types of neuronal response are found (Rolls *et al.* 2006). The concept that this STS cortical system is important in social behaviour has recently gained support (Pitcher et al. 2019; Pitcher and Ungerleider 2021). Moreover, neurons can respond to auditory stimuli such as vocalisation both in the STS regions (Baylis *et al.* 1987) and in the orbitofrontal cortex which receives connections from the STS (Rolls *et al.* 2006). The connectivity described here helps to provide a functional framework for the processing streams involved in these types of function.

Other auditory system cortical connectivities

Another feature of the auditory cortical connectivity described here is the connectivity with somatosensory cortical areas, with, for example, connectivity from somatosensory cortical area 1 to auditory cortical regions A4 and A5; and the earlier auditory cortex regions have connectivity with the supracallosal anterior cingulate regions a24pr, a32pr, p24pr and p32pr which have somatosensory/motor connectivity (Rolls *et al.* 2022h) (Figs. 2-4). This might relate to directing auditory attention to any touch to the body; to behaviors that might be performed before a highly developed visual system evolved; and to navigation / obstacle avoidance in the dark. Another type of somatosensory feedback may be needed in speech production, especially during early learning of articulation, where it may be crucial for the distinction between different types of consonants (Ohashi and Ostry 2021).

In addition, there is evidence for effective connectivity of some auditory cortical regions to the hippocampus, and to the scene-related VMV1 (Sulpizio *et al.* 2020), with both types of connectivity involved in episodic memory (Rolls 2022b, 2022a; Rolls *et al.* 2022b; Rolls *et al.* 2022f) (Figs. 2-4).

In addition, auditory cortical region A5 has connectivity with inferior frontal gyrus regions IFJa and IFSp, which are implicated in short-term working memory for the ventral streams (Plakke and Romanski 2014; Miller et al. 2018; Passingham 2021; Rolls *et al.* 2022a).

The auditory cortex connectivity with inferior parietal regions is primarily between the STS visual-auditory regions and PGI, with some connectivity also with PGs and PFm (Figs. 2-4), all of which are visual inferior parietal regions linked considerably to ventral stream processing (Rolls *et al.* 2022e, 2022d).

Inputs related to reward and punishment from, for example, the ventromedial prefrontal cortex (vmPFC) (10r, 10v, 10d) and orbitofrontal cortex (a47r) (Rolls *et al.* 2022g) reach STS auditory-visual

cortical regions where objects, faces, and their semantic meaning are represented (Rolls *et al.* 2022e), rather than earlier stages of auditory cortical processing (Figs. 2-4). Correspondingly, STS regions have effective connectivity with some orbitofrontal / vmPFC regions in which neurons respond to vocalisation and the face movements that produce them (Rolls *et al.* 2006).

Conclusions

1. The effective connectivity, complemented by functional connectivity and diffusion tractography, provides evidence consistent with a hierarchy of auditory cortical processing in humans similar to nonhuman primates (Pandya 1995; Kaas and Hackett 2000) from a Core region (A1), to Belt regions LBelt and MBelt, and transitional region 52 which may be a belt region (Brodmann 1909); then to PBelt; and then to higher regions (A4 and A5).
2. A4 has connectivity to TA2 in the anterior temporal lobe, which makes it part of a ventral auditory stream implicated in semantic object processing (Rauschecker and Tian 2000; Rauschecker and Scott 2009).
3. A4 also has effective connectivity to A5, which then connects to dorsal bank superior temporal sulcus (STS) regions STGa, STSda, and STSdp. These STS regions also receive visual inputs about moving faces and objects, and the auditory and visual streams are combined to help in multimodal object identification, such as who is speaking, what is being said, what the object is, etc. This system can thus also be considered as an important part of the ventral auditory ‘what’ stream.
4. Consistent with the dorsal bank STS regions being part of a ventral auditory stream, the dorsal bank STS regions then have effective connectivity to TPOJ1, STV, PSL, TGv, TGd and PGI (Fig. 3), which are language-related regions involved in semantic representations about objects, faces, etc using multimodal information, and which then connect to Broca’s area, especially to area 45 (Rolls *et al.* 2022e).
5. Diffusion tractography indicated connections of PBelt, RI, A4 and A5 with Broca’s area 44 (Fig. 6), and this may be how the dorsal ‘how’ language stream (Rauschecker and Scott 2009; Rauschecker 2018a) is reflected in the present analysis. Corresponding effective or functional connectivity was not identified in the present analysis, perhaps because it is functionally relatively weak, or perhaps because the data were acquired in the resting state. In the monkey there is a rostral and a caudal parabelt (Kaas and Hackett 2000), and that distinction is not made in the HCP-MMP (Glasser *et al.* 2016a), but if such a separation can be made in humans, that might provide further evidence about dorsal and ventral language-related streams.
6. A4 and A5, and some earlier cortical regions, have in addition effective connectivity to regions including MT and MST (Fig. 3) which in turn have effective connectivity to intraparietal and thereby parietal area 7 regions (Rolls *et al.* 2022b). This connectivity might be considered as part of a dorsal auditory ‘where’ stream (Rauschecker and Tian 2000; Rauschecker 2018a) involved in actions in space that utilise auditory information.

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Conflict of interest. The authors have no competing interests to declare.

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Ethical Permissions. No data were collected as part of the research described here. The data were from the Human Connectome Project, and the WU-Minn HCP Consortium obtained full informed consent from all participants, and research procedures and ethical guidelines were followed in accordance with the Institutional Review Boards (IRB), with details at the HCP website <http://www.humanconnectome.org/>

Data and code availability. The data are available at the HCP website <http://www.humanconnectome.org/>. Code for the Hopf effective connectivity algorithm is available at <https://github.com/decolab/Effective-Connectivity--Hopf>.

Author contributions. **Edmund Rolls** designed and performed the research, and wrote the paper. **Josef Rauschecker:** Interpretation; Writing - review & editing. **Gustavo Deco** provided the effective connectivity algorithm. **Chu-Chung Huang** performed the diffusion tractography and prepared the

brain figures with the HCP-MMP labels. **Jianfeng Feng** performed the funding acquisition. All authors approved the paper.

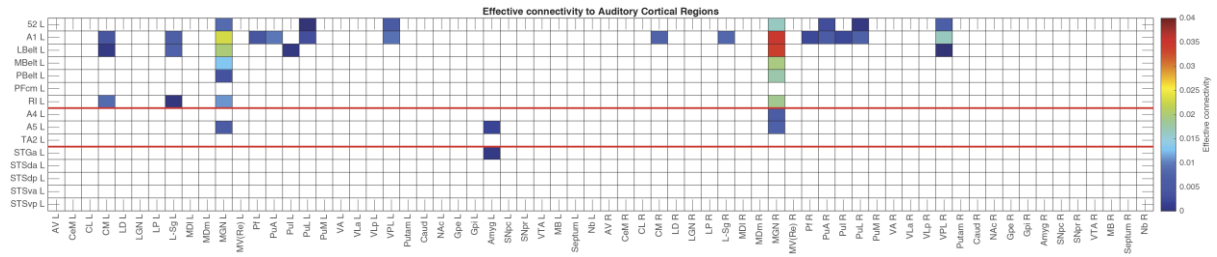


Fig. 1b. Subcortical connectivity of auditory cortical regions. The effective connectivity of auditory cortex regions in the left hemisphere. The connectivity is read from column to row. L=left; R=Right; CM = Central Median nucleus of the thalamus; L-Sg = Limitans Suprageniculata of the thalamus; MGN = Medial Geniculate Nucleus; Pf = Parafascicular nucleus of the thalamus; PuA = Pulvinar anterior; PuI = Pulvinar inferior; PuL = Pulvinar lateral; VPL = Ventrolateral nucleus of the thalamus; Amyg = Amygdala. The abbreviations for the cortical regions (the rows) are in Table S1, and the abbreviations for the subcortical regions are in Table S2. The regions above the upper red line are in the HCP-MMP ‘Early Auditory’ division; between the two red lines are in the ‘Auditory Association’ division; and below the lower red line are for the cortex in and related to the superior temporal sulcus (STS). This analysis was performed using the subcortical regions defined in the HCPex atlas (Huang *et al.* 2022). (SubcortECToAud.eps)

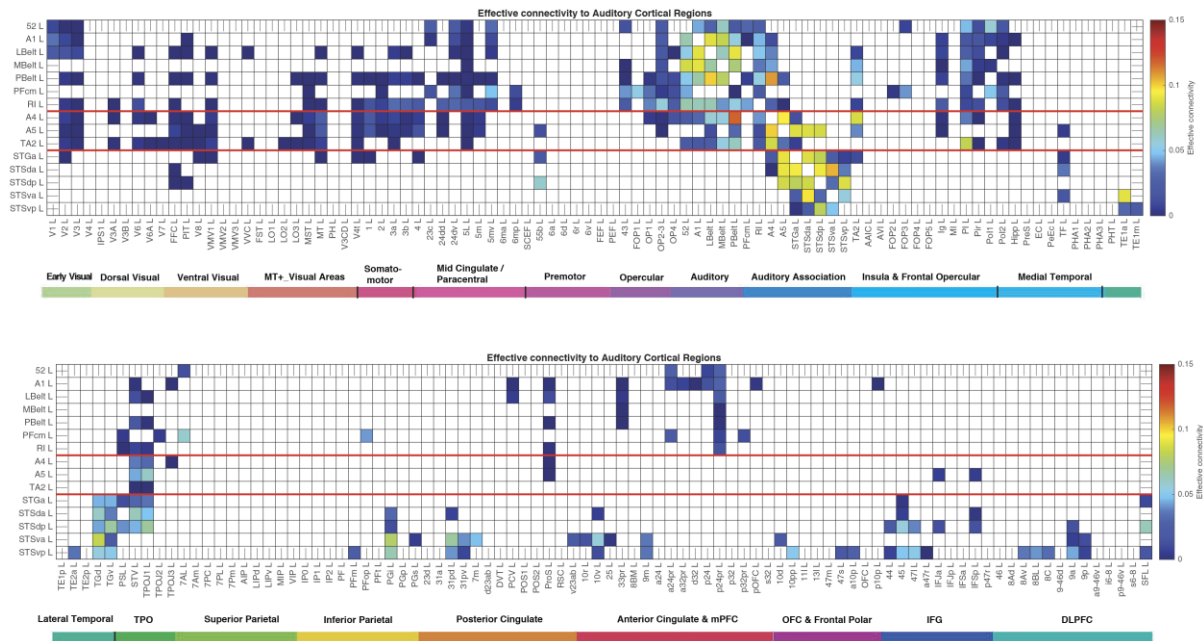


Fig. 2. Effective connectivity TO auditory cortical regions (the rows) FROM 180 cortical areas (the columns) in the left hemisphere. The effective connectivity is read from column to row. Effective connectivities of 0 are shown as blank. All effective connectivity maps are scaled to show 0.15 as the maximum, as this is the highest effective connectivity found between this set of brain regions. The effective connectivity colour scale has been set to have a maximum of 0.15 as that is the range for the auditory regions, with the maximum value of 0.2 set by the connectivity between V1L and V2L. The regions above the upper red line are in the HCP-MMP ‘Early Auditory’ division; between the two red lines are in the ‘Auditory Association’ division; and below the lower red line are for the cortex in and related to the superior temporal sulcus (STS). The effective connectivity for the first set of cortical regions is shown in the top panel; and for the second set of regions in the lower panel. Abbreviations: see Table S1. The coloured labelled bars show the cortical divisions in the HCP-MMP atlas (Glasser *et al.* 2016a). The order of the cortical regions is that in Huang, Rolls *et al.* (2022). (ECToAud.eps)

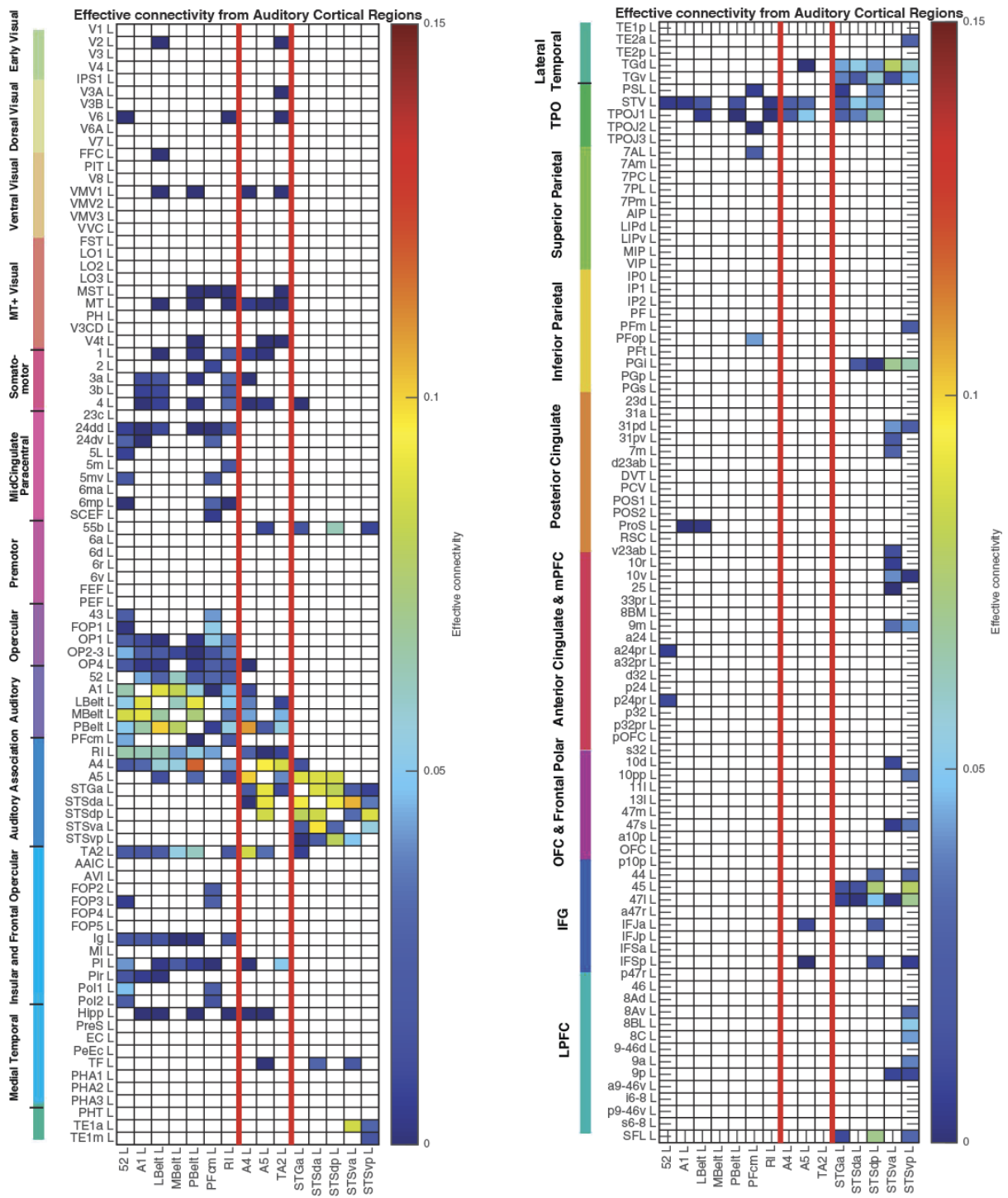


Fig. 3. Effective connectivity FROM the Auditory Cortical Regions TO 180 cortical areas in the left hemisphere. The effective connectivity is read from column to row. Effective connectivities of 0 are shown as blank. Abbreviations: see Table S1. The groups of auditory cortex regions are separated by red lines. Conventions as in Fig. 2. (ECfromAud.eps)

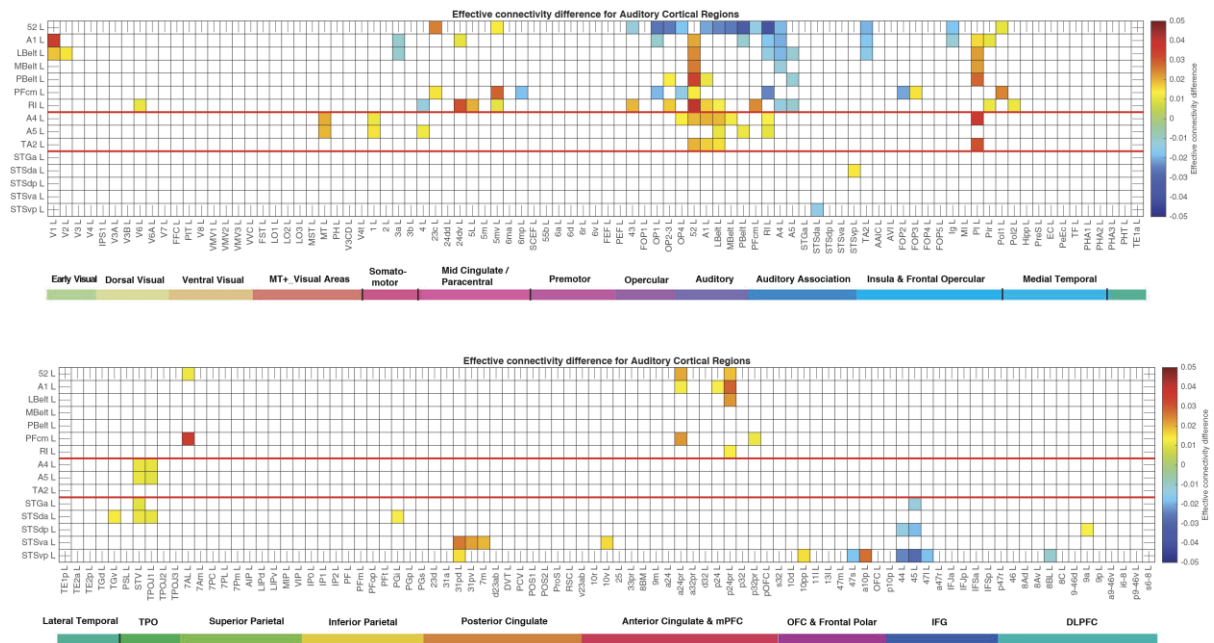
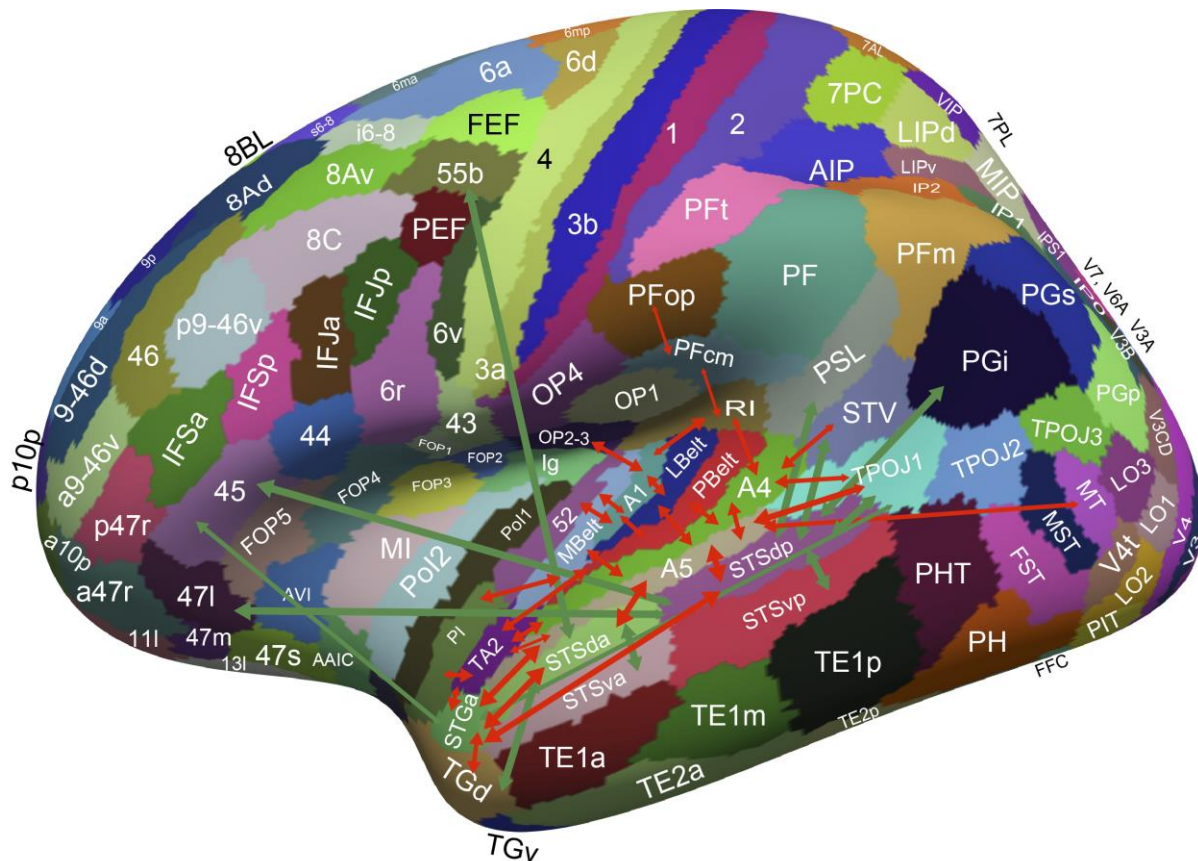


Fig. 4. Difference of the effective connectivity for auditory cortical regions with other cortical regions. For a given link, if the effective connectivity difference is positive, the connectivity is stronger in the direction from column to row. For a given link, if the effective connectivity difference is negative, the connectivity is weaker in the direction from column to row. This is calculated from 171 participants in the HCP imaged at 7T. The threshold value for any effective connectivity difference to be shown is 0.01. The colour scale shows effective connectivity differences in the range -0.05 to +0.05. The abbreviations for the brain regions are shown in Table S1, and the brain regions are shown in Figs. 1 and S1. The effective connectivity difference for the first set of cortical regions is shown in the top panel; and for the second set of regions in the lower panel. Conventions as in Fig. 2. (Auddiff.eps)



Auditory system: lateral view

Fig. 7a. Effective connectivity of human auditory cortical regions shown schematically. The widths of the lines and the size of the arrowheads indicate the magnitude and direction of the effective connectivity. The red arrows show the main auditory HCP-MMP division connectivity (regions 52 to TA2), and the green arrows further connectivity (involving STS regions). A simplified schematic organisation is that region A1 > LBelt, MBelt and 52 > PBelt > A4 > A5 > STGa, STSda, STSdp > TPOJ1, STV, PGI. (Here > indicates has effective connectivity to, though some connectivity may cross levels of the hierarchy.) Somatosensory cortical regions have connectivity with A1, RI, TA2 etc. MT has connectivity to A5. TPOJ1, STV, PSL, STSdp and STSda are involved in language as analyzed elsewhere (Rolls *et al.* 2022e). Connections between early cortical auditory regions and area 44 in what may be a dorsal language related auditory stream are described in the text. Lbelt, Pbelt, A4 and A5 have effective connectivity with MT/MST regions as indicated, and this may be part of a dorsal ‘where’ stream leading to intraparietal and area 7 regions (see text). (AudCortEC.eps)

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