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1 Mechanical Behaviour of Soil under Drying-wetting Cycles and Vertical Confining Pressure

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42 **Abstract**

43

44 A system for preparing soil specimens subjected to drying-wetting cycles whilst under vertical confining
45 pressures is introduced with Clayey soil specimens subjected to different drying-wetting histories, then
46 the relative performance tested using consolidated undrained triaxial shear tests. Meanwhile, their soil
47 water retention properties were also measured. The experimental results indicate that drying-wetting
48 cycles lead to a rise in the matric suction for soil with high moisture content, and the decrease of matric
49 suction for soil with low moisture content. Partly owing to the higher pore water pressure, peak shear
50 strength reduces gradually during drying-wetting cycles. The impacts of drying-wetting cycles on hydro-
51 mechanical properties of soil specimens during the first cycle are larger than those during the second and
52 the third cycles as the highest matric suction of soil occurs during the first cycle. Vertical confining
53 pressure is shown to limit the impact of drying-wetting cycles on the hydro-mechanical properties of soil
54 effectively because of its restricting effects on the volumetric deformation of soil during the cycles.

55

56 **Keywords:** Clays; Expansive soils; Pore structure; Shear strength

57 **1 Introduction**

58 Near surface soil is inevitably subject to climatic effects and will experience periodical drying-wetting
59 cycles. Drying-wetting cycles can alter the hydro-mechanical characteristics (**Mechanical properties and**
60 **pore water pressure**) of soil, and affect the performance of the soil in various engineering applications
61 (Chao and Fowmes, 2022; Tang et al., 2016). For example, clayey soils are often adopted as the cover soil
62 of landfills to prevent the contamination of waste to the surrounding environment. During the operational
63 phase of the landfills, drying-wetting cycles may reduce the mechanical strength of the cover soil, leading
64 to the instability of cover system (Chao et al., 2023b; Wang et al., 2022), especially under extreme
65 climatic conditions such as long-term alternating heavy rainfall and drought. Multiple drying-wetting
66 cycles may induce engineering problems including the failure of engineering facilities caused by the
67 weakening hydro-mechanical properties of soil (Shao et al., 2023; Wang et al., 2016; Xu et al., 2022;
68 Zhang et al., 2023a; Zhang et al., 2023b). Thus, knowledge of the soil response to climate loading, in
69 particular to drying-wetting cycles, is vital for the application of soil in engineering infrastructures.

70

71 In practical engineering, most of soil also bears in situ vertical confining pressure that is generated by
72 overlaying soil, buildings, etc (Chao et al., 2022a; Chao et al., 2022b; Chao et al., 2023a; Cui et al.,
73 2022a; Cui et al., 2022b; Liu, 2015; Miao et al., 2019;). Many scholars have pointed out that during
74 drying-wetting cycles, volume change of soil are the main reason for variation in the hydro-mechanical
75 characteristics of soil, especially for clayey soil (Cui et al., 2018; Cui et al., 2022; Cui et al., 2023;
76 Cuisinier et al., 2014; Li et al., 2022; Shu et al., 2022;). Meanwhile, researchers have validated that the
77 vertical confining pressure can effectively restrict the volumetric deformation of soil during drying-
78 wetting cycles (Estabragh et al., 2015; Tang et al., 2019; Gastelo et al., 2023). Thus, the vertical
79 confining pressure is an essential influence factor that needs to be considered when evaluating the hydro-
80 mechanical behaviour of soil subjected to drying-wetting cycles.

81

82 In recent years, a number of experimental investigations have been carried out to consider the impacts of
83 drying-wetting cycles on the hydro-mechanical properties of soil under different stress statuses (Cui et al.,
84 2021; Meng et al., 2020; Rosone et al., 2018; Stoltz et al., 2014;). Aldaood et al. (2014) prepared soil
85 specimens subjected to moisture content-controlled cycles by immersing soils in water then drying them
86 at a temperature of 60 °C, and unconfined compression tests were conducted on the soil specimens. Li et

87 al. (2018) carried out direct shear tests and unconfined compressive tests on Loess subjected to **cyclically**
88 adding water from a burette and drying in air. Zhang et al. (2018) performed direct shear tests on Silt
89 subjected to cyclic submerging in water and drying in air. The existing research all indicates that drying-
90 wetting cycles have negligible detrimental impacts on the mechanical properties of soil (Li et al., 2021).
91 For **expansive** clayey soil, drying-wetting cycles can result in expansion and shrinkage which can cause
92 the generation of internal cracks, significantly reducing the strength of clayey soil (Zainab et al., 2021).
93 The Mercia Mudstone Clay used in this investigation has been rarely reported in the literature and is
94 extensively applied in engineering structures as building material, such as the landfills in the UK. Thus, it
95 pressing needs to analyse the hydromechanical properties of Mercia Mudstone Clay under combined
96 effects of vertical confining pressure and drying-wetting cycles as well as compare with that of Kaolin
97 Clay.

98
99 In this paper, **based on the preparation system of soil specimens subjected to drying-wetting cycles under**
100 **different vertical confining pressures**, the corresponding Mercia Mudstone Clay and Kaolin Clay samples
101 were prepared and a series of consolidated undrained triaxial compression tests were carried out on the
102 prepared soil specimens subjected to drying-wetting cycles under different vertical confining pressure;
103 Meanwhile, the soil water characteristic curves (SWCCs) of soil specimens during different drying-
104 wetting cycles were measured. The obtained experimental results allow the hydro-mechanical response of
105 Mercia Mudstone Clay and Kaolin Clay under different vertical confining pressures subjected to drying-
106 wetting cycles to be analysed, and the corresponding affecting mechanism is revealed.

107

108 **2 Clayey Soil Materials**

109 Two types of clayey soils were adopted in this paper: (1) Kaolin Clay and (2) Mercia Mudstone Clay,
110 both derived from the UK. The reason for selecting the two types of soil is to investigate and compare the
111 influence of drying-wetting cycles on the hydro-mechanical characteristics of clayey soils with different
112 plasticity. The basic parameters for the two types of clayey soils are presented in Table 1. **In Table 1, the**
113 **maximum dry density refers to the highest dry density of soil under a certain moisture content, and the**
114 **optimum moisture content refers to the moisture content that soil can reach the maximum dry density.**

115

116

Table 1. The basic parameters of clayey soil specimens

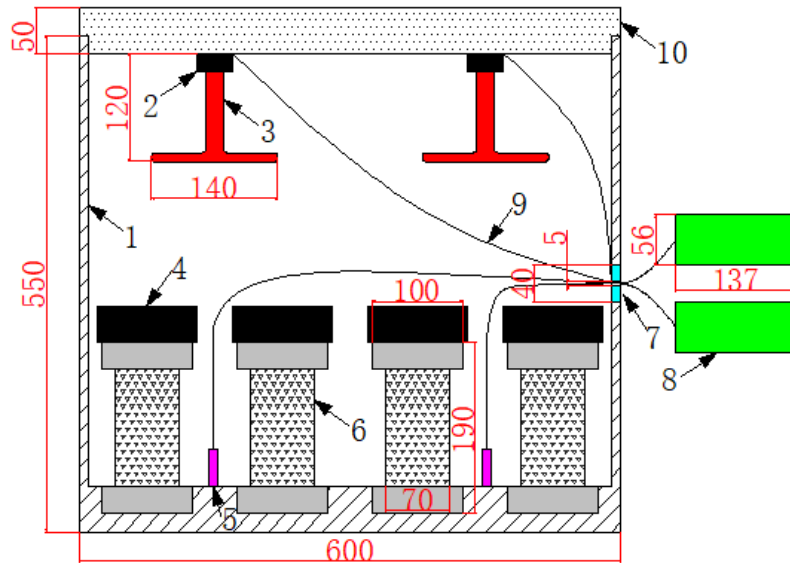
Properties	Kaolin Clay	Mercia Mudstone Clay
Liquid limit (%)	47.0	33.6
Plastic limit (%)	26.6	17.4
Plasticity index (%)	20.4	16.2
Maximum dry density (g/cm ³)	2.00	1.93
Optimum moisture content (%)	20.0	11.8
Saturated moisture content (%)	56.36	68.43
Soil particle passing 2 mm (%)	100	100
Soil particle passing 1 mm (%)	100	100
Soil particle passing 0.1 mm (%)	100	100
Soil particle passing 0.05 mm (%)	92	74

117

118 **3 Experimental Programme**

119 ***3.1 Triaxial Soil Specimen Preparation***

120 The soil specimens experienced drying-wetting cycles under vertical confining pressure inside a 3D
121 printed PLA (Poly Lactic Acid) mould, with load being applied by dead weights that were placed on the
122 cap of the mould to impose vertical loading. In the preparation process, soil specimens for triaxial tests
123 were housed inside the assembled 3D printed mould. During the wetting process, the set of moulds
124 containing soil specimens were placed in a water tank and immersed in water for 24 h, and during the
125 drying process, they were housed in a sealed box to dry by utilising a heating system for 24 h under the
126 constant temperature of 40 °C. The heating system consists of a sealed box, two ceramic heating lamps
127 with thermostats, and two temperature sensors, creating a closed loop feedback system. It is shown in
128 Figure 1. The Kaolin Clay and Mercia Mudstone Clay specimens, subjected to either 0, 1 and 3 drying-
129 wetting cycles, were prepared with vertical confining pressures of 0 kPa, 25 kPa and 50 kPa.



130

- 131 1. Wooden sealed box 2. Ceramic lamp cap 3. Ceramic heating lamp 4. Dead weights 5. NTC temperature
 132 sensor 6. 3D printed mould and soil specimens 7. Hole and cylindrical lid 8. Microcomputer thermostat 9.
 133 Power line for the ceramic heating lamp 10. Lid for the box

134

Figure 1. The schematic diagram of the heating system

135

136 3.2 The Measurement of SWCC

137 SWCC represents the relationship between matric suction of unsaturated soil and moisture content, which
 138 is a basic property curve for unsaturated soil, characterizing the water holding capacity of unsaturated soil
 139 with different matric suctions. In this paper, the filter paper method was employed to measure the drying
 140 curves of SWCC for soil specimens subjected to 0, 1, and 3 numbers drying-wetting cycles under 0 kPa
 141 vertical confining pressure based on ASTM-D5298 (AC07566974, 2003). **The filter paper method is a**
 142 **common approach to measure the SWCC of soil, which is reliable.**

143

144 3.3 Triaxial Shear Test

145 The consolidated undrained triaxial shear tests were conducted on the prepared soil specimens using the
 146 conventional Triaxial System following ASTM D7181 (ASTM, 2011). **In this experiment, the effective**
 147 **cell pressure was set as 20 kPa, 35 kPa and 50 kPa, respectively, and the soil specimen was sheared at a**
 148 **strain rate of 0.1 % min. The shearing was terminated when the shear strain reached 15 %. The triaxial**
 149 **shear test is a general method to measure the mechanical properties of soil, which is reliable.** The detailed

150 experimental scheme is listed in Table 2. All the experimental operation in this manuscript is according to
 151 the requirement of British Standard and ASTM.

152

153

Table 2. The experimental scheme

Soil type	Drying-wetting cycle	Vertical confining pressure (kPa)	Cell pressure (kPa)
Kaolin Clay, Mercia Mudstone Clay	0	0	20,35,50
	1	0,25,50	
	3		

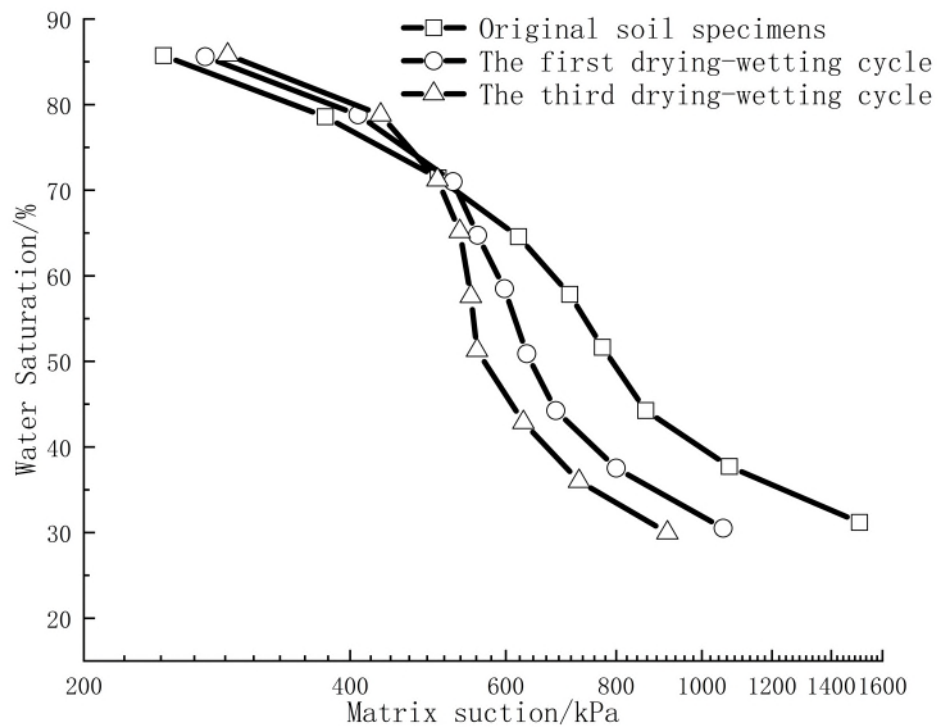
154

155 4 Experimental Results

156 4.1 SWCC of Soil

157 Figure 2 presents the SWCCs of Kaolin Clay and Mercia Mudstone Clay specimens subjected to 0, 1 and
 158 3 numbers drying-wetting cycles under 0 kPa vertical confining pressure.

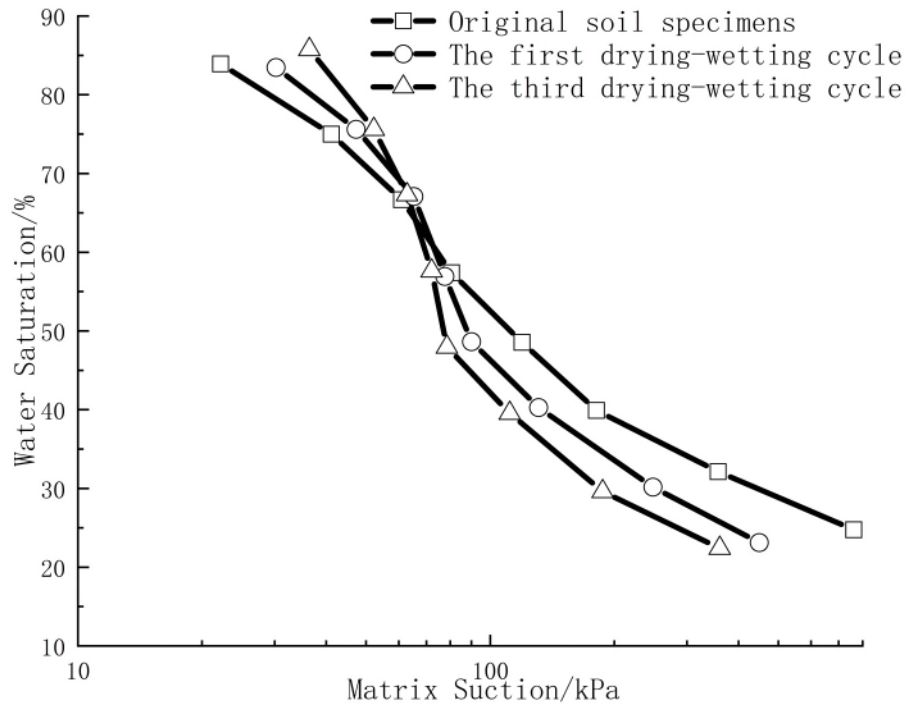
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160

161

(a) Kaolin Clay



(b) Mercia Mudstone Clay

Figure 2. The SWCCs of soil specimens

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166 Based on Figure 2, at high moisture saturation, the matrix suction of soil specimens with high number of

167 drying-wetting cycles is larger than that with low number of cycles. In contrast, at low moisture content,

168 the opposite trend is observed. The turning point for Kaolin Clay is about 70.20 % moisture saturation

169 with 500 kPa matrix suction, and for Mercia Mudstone Clay, it is around 60.26 % moisture saturation

170 with 60 kPa matrix suction. Additionally, it should be noted that the impacts of drying-wetting cycles on

171 the SWRCs of Mercia Mudstone Clay are larger than those for Kaolin Clay. Moreover, for both of Kaolin

172 Clay and Mercia Mudstone Clay specimens, the first drying-wetting cycle has significantly larger impacts

173 on the SWRCs than the second and the third cycles. For example, at 42.86 % moisture saturation, the

174 matrix suction decreases by 20.94 % and 24.37 % during the first cycle for Kaolin Clay and Mercia

175 Mudstone Clay, respectively, whereas, during the second and the third cycles, the matrix suction falls by

176 8.05 % and 12.22 %, respectively. It also can be seen that, at the same moisture saturation, the matrix

177 suction for Kaolin Clay specimen is higher than that of Mercia Mudstone Clay specimens, which means

178 that at the same matrix suction, the moisture saturation for Kaolin Clay is higher and it has stronger water

179 retention capacity. For example, for the original soil sample, at the moisture saturation of 60 %, the matrix

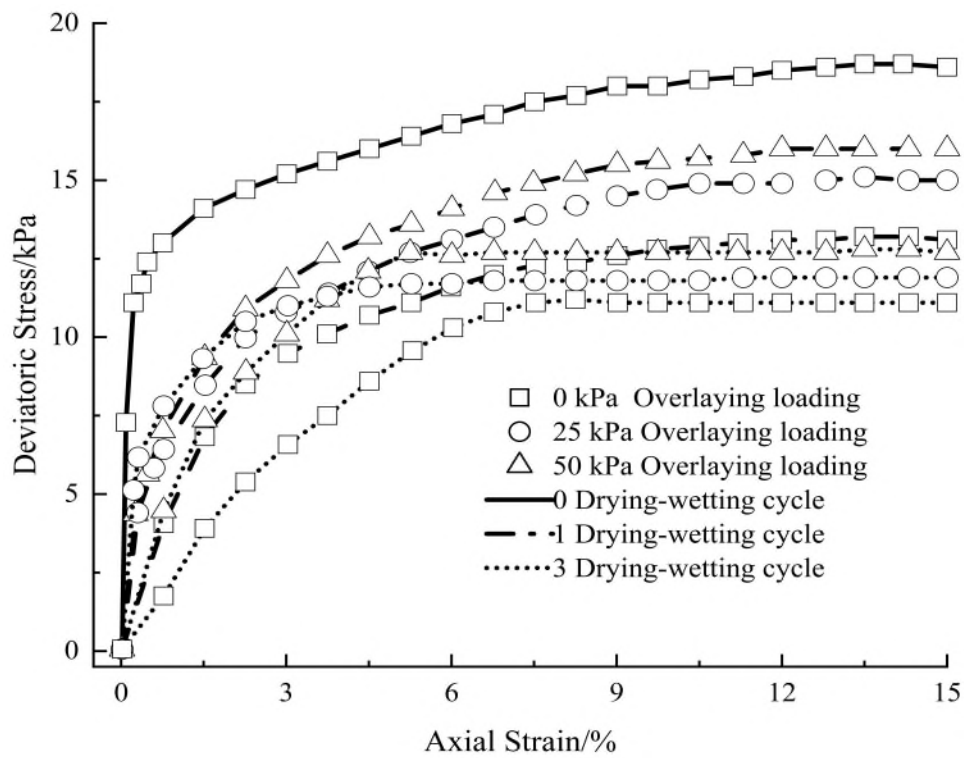
180 suction of Kaolin Clay is 560 kPa, while the value for Mercia Mudstone Clay is 79 kPa. This can be
181 attributed to the portion of fines content for Kaolin Clay is higher than that of Mercia Mudstone Clay, as
182 shown in Table 1, which indicates that the average pores size of Kaolin Clay is smaller than that of
183 Mercia Mudstone Clay and the capillary action in Kaolin Clay is stronger (Haghighi, 2011).

184

185 *4.2 Shear Deformation of Kaolin Clay in Triaxial Tests*

186 Deviatoric stress versus axial strain curves for Kaolin Clay specimens are shown in Figure 3.

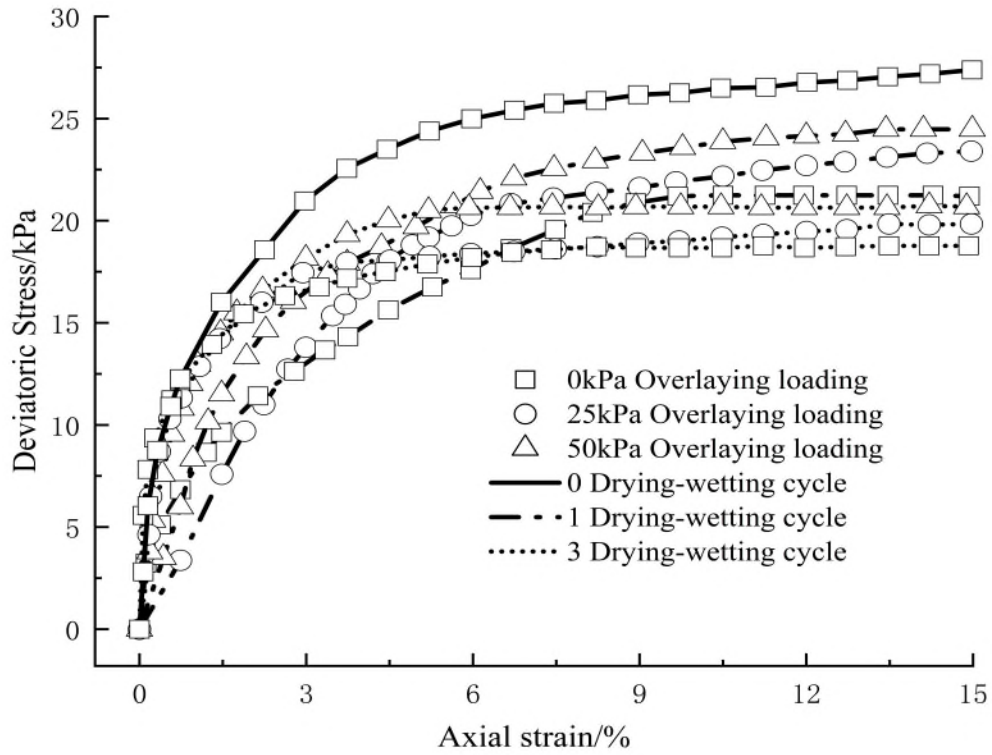
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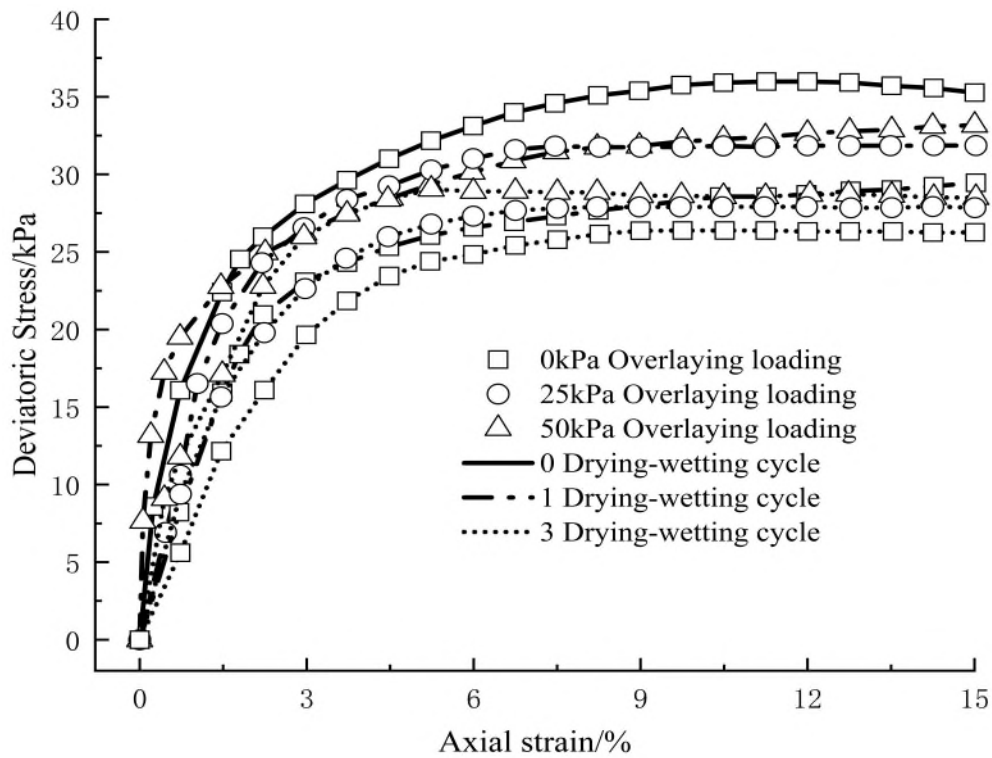
(a) 20 (kPa) Cell pressure



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191

(b) 35 (kPa) Cell pressure



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193

(c) 50 (kPa) Cell Pressure

194

Figure 3. The relationship curves between deviatoric stress and axial strain for Kaolin Clay

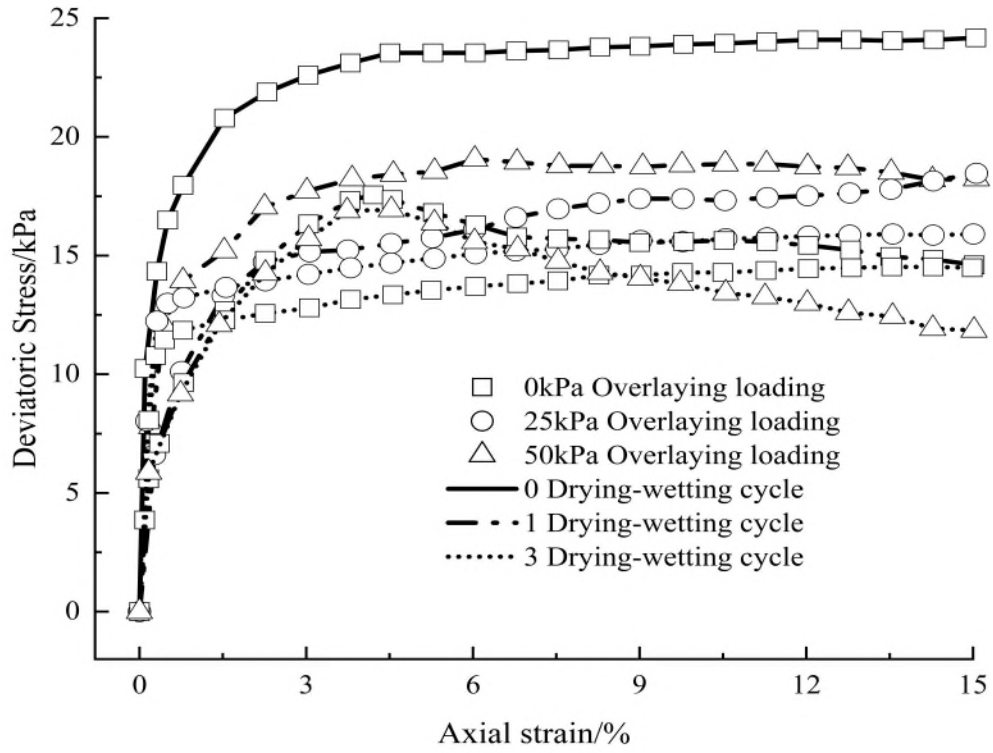
195

196 Based on Figure 3, the deviatoric stress-axial strain relationship of Kaolin Clay specimens appears to be
197 strain-hardening. The results also show that the peak shear strength reduces gradually with the rise in the
198 number of drying-wetting cycles. More specifically, the reduction is more pronounced in the first cycle
199 than that in the second and third cycle, respectively. Taking the Kaolin Clay specimen under 0 kPa
200 vertical confining pressure in 35 kPa cell pressure as an example, after the first cycle, the peak shear
201 strength decreases by 23.67 %, while after the second and third cycles, it reduces by 7.67 %. Additionally,
202 for the same number of drying-wetting cycles, the peak shear strength of Kaolin Clay specimen under
203 high vertical confining pressure is larger than that under low vertical confining pressure. For example,
204 under 50 kPa cell pressure, for the specimen under 0 kPa vertical confining pressure, the peak shear
205 strength reduces by 12.98 % during the first cycle and 9.8 % during the second and third cycles. In
206 comparison, for the specimen under 25 kPa vertical confining pressure, the peak shear strength decreases
207 by 12.49 % and 8.92 %, respectively. Moreover, the impacts of cell pressure on the peak shear strength of
208 soil specimen subjected to drying-wetting cycles are larger than the original specimen. For instance, from
209 cell pressure 20 kPa to 50 kPa, the peak shear strength of original Kaolin Clay specimen, increases by
210 about 1.8 time, while for the specimen under 0 vertical confining pressure during 3 numbers of drying-
211 wetting cycles, the peak shear strength rises by around 2.5 times.

212

213 *4.3 Shear Deformation of Mercia Mudstone Clay in Triaxial Tests*

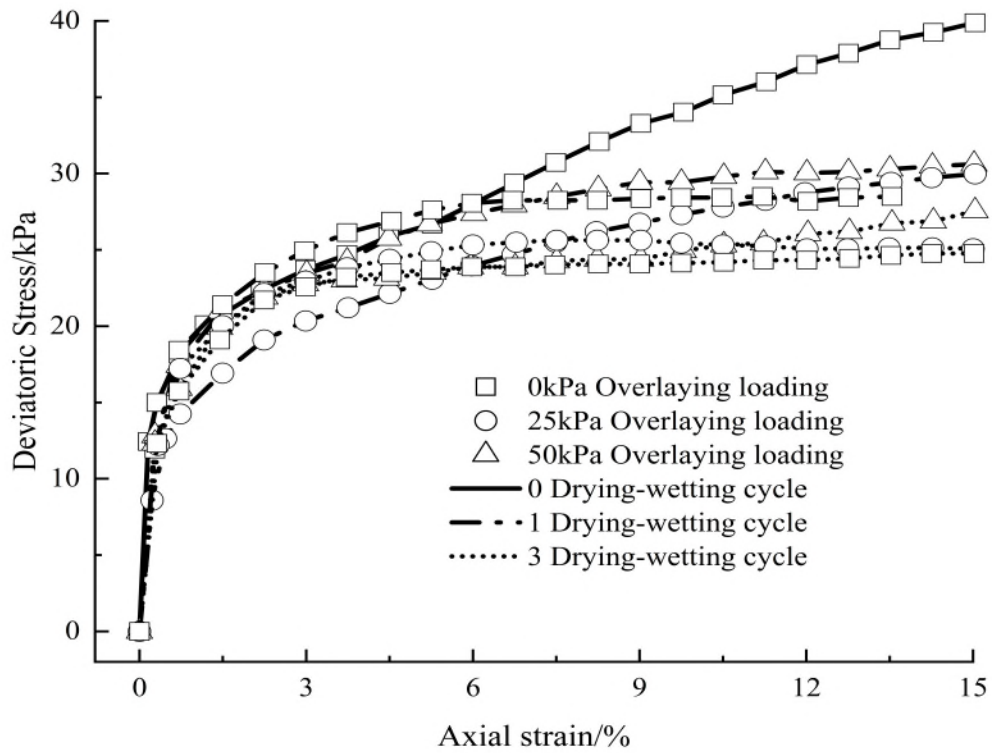
214 Deviatoric stress versus axial strain curves for Mercia Mudstone Clay specimens are shown in Figure 4.



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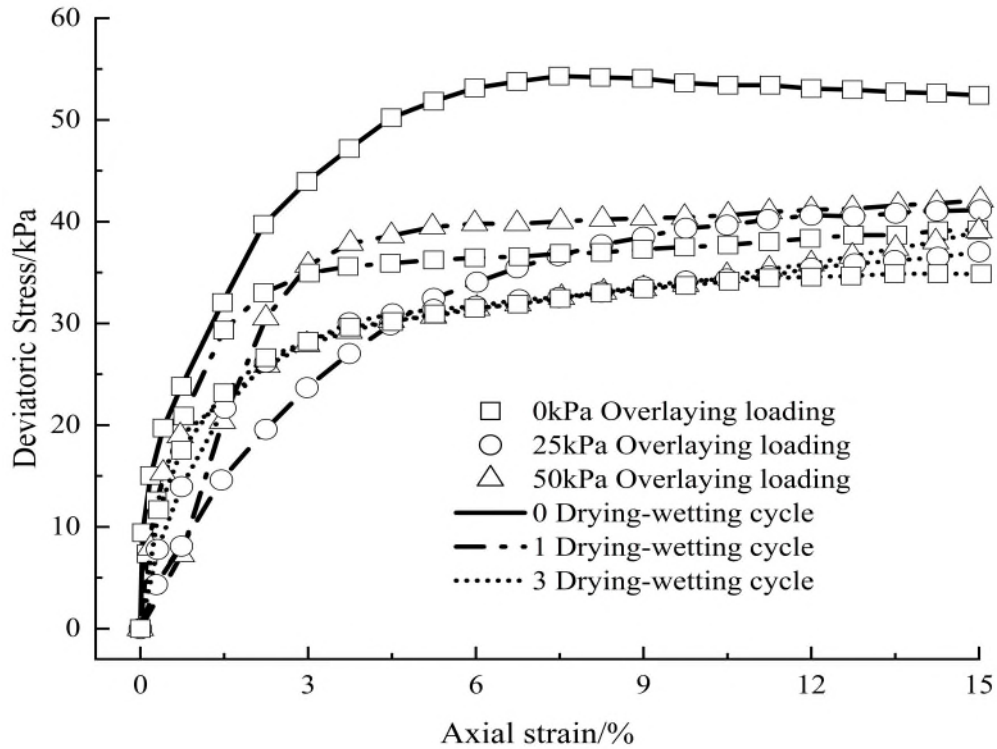
(a) 20 (kPa) Cell pressure



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(b) 35 (kPa) Cell pressure



(c) 50 (kPa) Cell pressure

Figure 4. The relationship curves between deviatoric stress and axial strain for Mercia Mudstone Clay

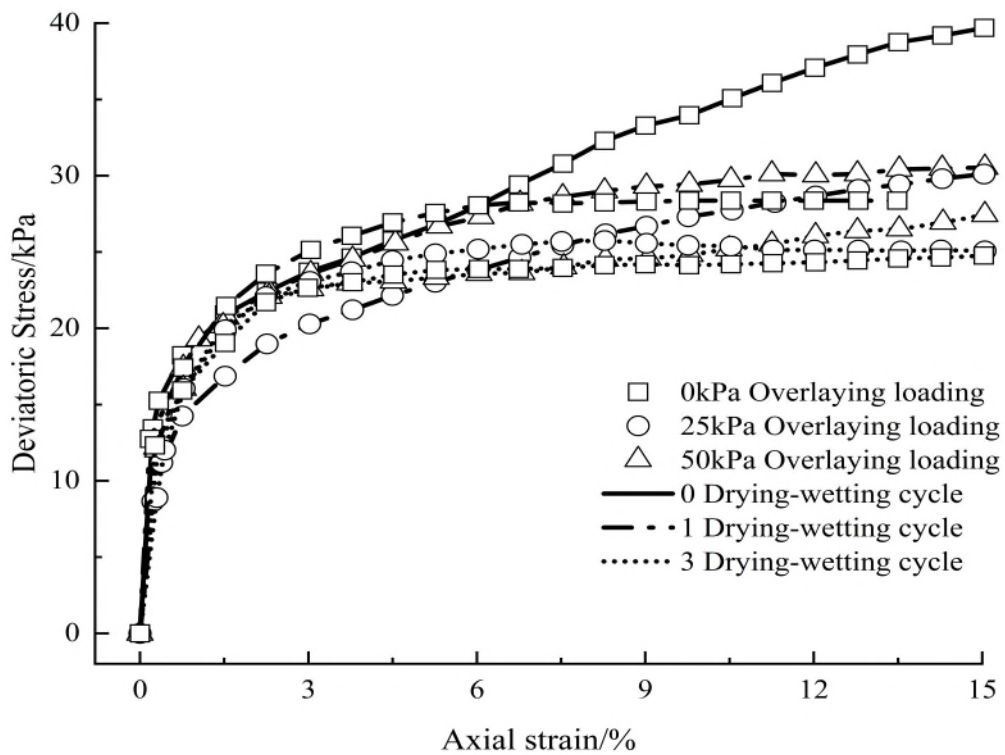
As seen in Figure 4, the deviatoric stress versus axial strain curves of Mercia Mudstone Clay specimens are also typically of the strain-hardening type, but the peak shear strength of Mercia Mudstone Clay is larger than that of Kaolin Clay. The peak shear strength of Mercia Mudstone Clay reduces gradually with the rise of drying-wetting cycle as well, and the reduction is more pronounced in the first cycle than that in the second and third cycles. However, the magnitude of peak shear strength for Mercia Mudstone Clay is significantly higher than that of Kaolin Clay. Taking the soil specimens under 0 kPa vertical confining pressure in 50 kPa cell pressure as an example, after the first drying-wetting cycle, for Mercia Mudstone Clay, the peak shear strength decreases by 24.94 %, while after the third cycle, it reduces by 32.60 %. In comparison, for Kaolin Clay, the peak shear strength decreases by 12.96 %, while after the third cycle, it reduces by 22.76 %. Furthermore, as with Kaolin Clay, for the same number of drying-wetting cycles, the peak shear strength of Mercia Clay specimen under high vertical confining pressure is larger than that under low vertical confining pressure. For example, for the Mercia Mudstone Clay subjected to one drying-wetting cycle under 20 kPa cell pressure, the peak shear strength of sample under 0 kPa vertical confining pressure is 17 kPa, while the value is 19 kPa under 50 kPa vertical confining pressure. In terms

237 of the influences of cell pressure, the Mercia Mudstone Clay specimens subjected to drying-wetting
 238 cycles are also more sensitive to cell pressure loading than that of original specimens. For example, when
 239 cell pressure is loaded from 20 kPa to 50 kPa, the peak shear strength of original Mercia Mudstone Clay
 240 sample under 0 vertical confining pressure rises 31 kPa, while the increasing magnitude of Mercia
 241 Mudstone Clay sample subjected to 3 drying-wetting cycles is 20 kPa.

242

243 **4.4 The Pore Water Pressure of Kaolin Clay**

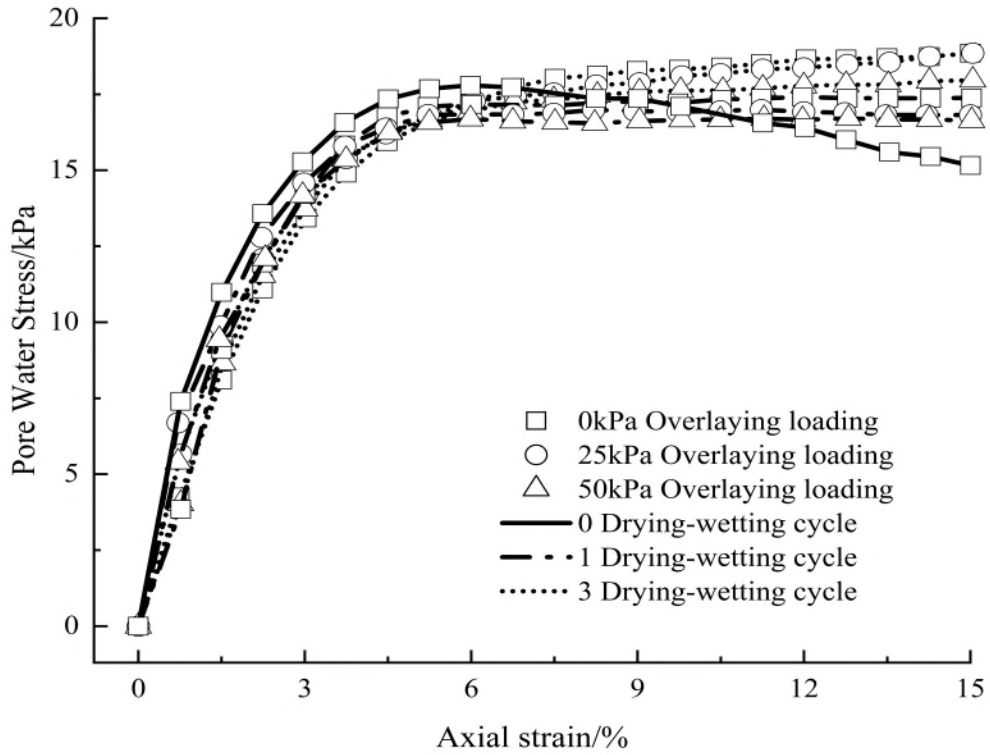
244 The pore water pressure versus axial strain curves for Kaolin Clay specimens are shown in Figure 5.



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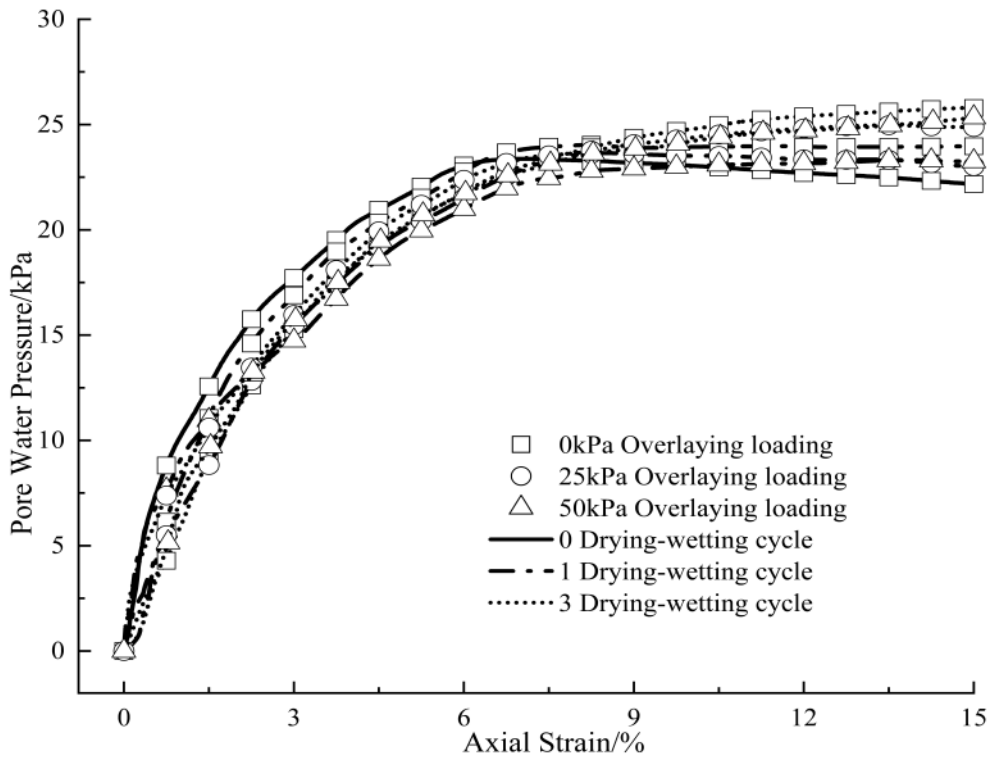
(a) 20 (kPa) Cell pressure



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(b) 35(kPa) Cell pressure



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(c) 50(kPa) Cell pressure

251

Figure 5. The relationship curves between pore water pressure and axial strain for Kaolin Clay

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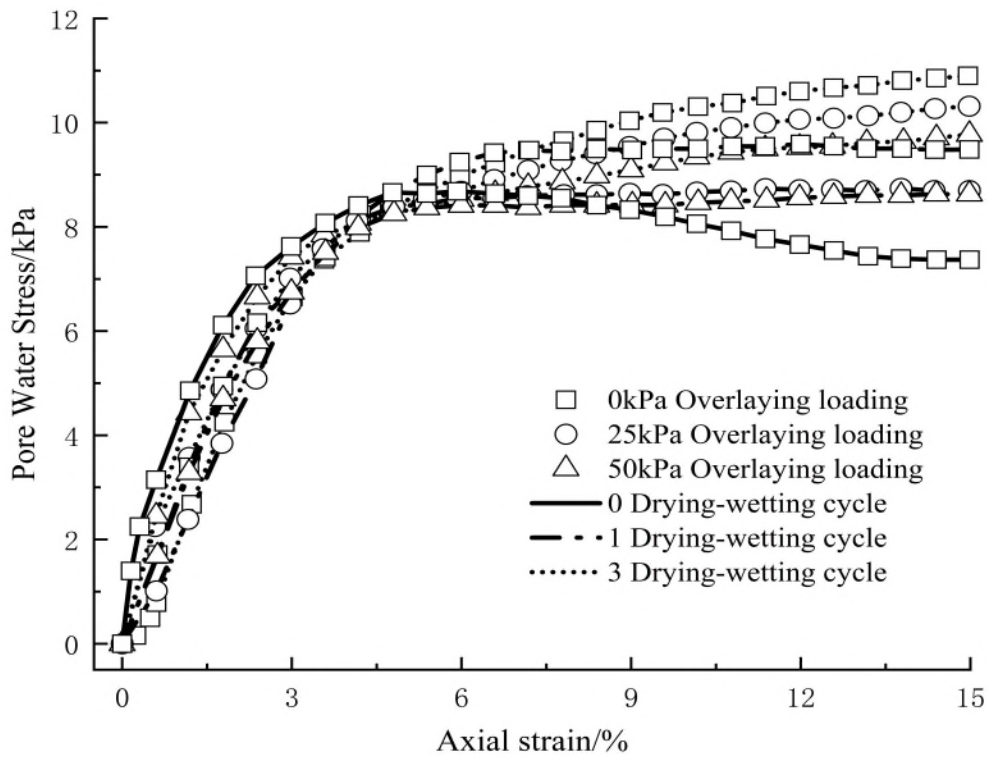
253 Based on Figure 5, for original Kaolin Clay specimens, with the rise of axial strain, the pore water
 254 pressure increases gradually, after reaching the maximum pressure level, there is a slight reduction. In
 255 comparison, for Kaolin Clay specimens subjected to drying-wetting cycles, the pore water pressure tends
 256 to reach a steady state or increases continuously with the rising axial strain. More specifically, in the
 257 initial shearing stage, the pore water pressure of specimens subjected to drying-wetting cycles is lower
 258 than that of the original specimen, while with further shearing, the pore pressure of specimens subjected
 259 to drying-wetting cycles overtakes that of original specimens. At the end of the tests, the pore water
 260 pressure curves of specimens subjected to multiple cycles of drying-wetting are above that of the original
 261 specimen and the specimen subjected to one cycle. Additionally, the variation rules of pore water pressure
 262 for Kaolin Clay samples under different vertical confining pressure are similar.

263

264 **4.5 The Pore Water Pressure of Mercia Mudstone Clay**

265 The pore water pressure versus axial strain curves for Mercia Mudstone Clay specimens are shown in
 266 Figure 6.

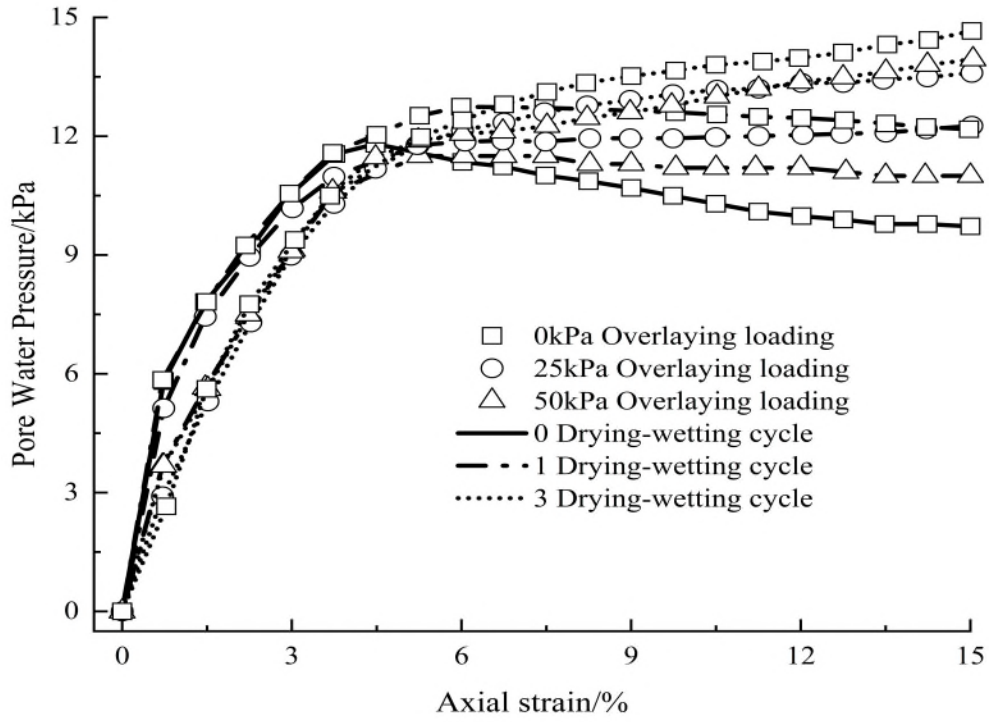
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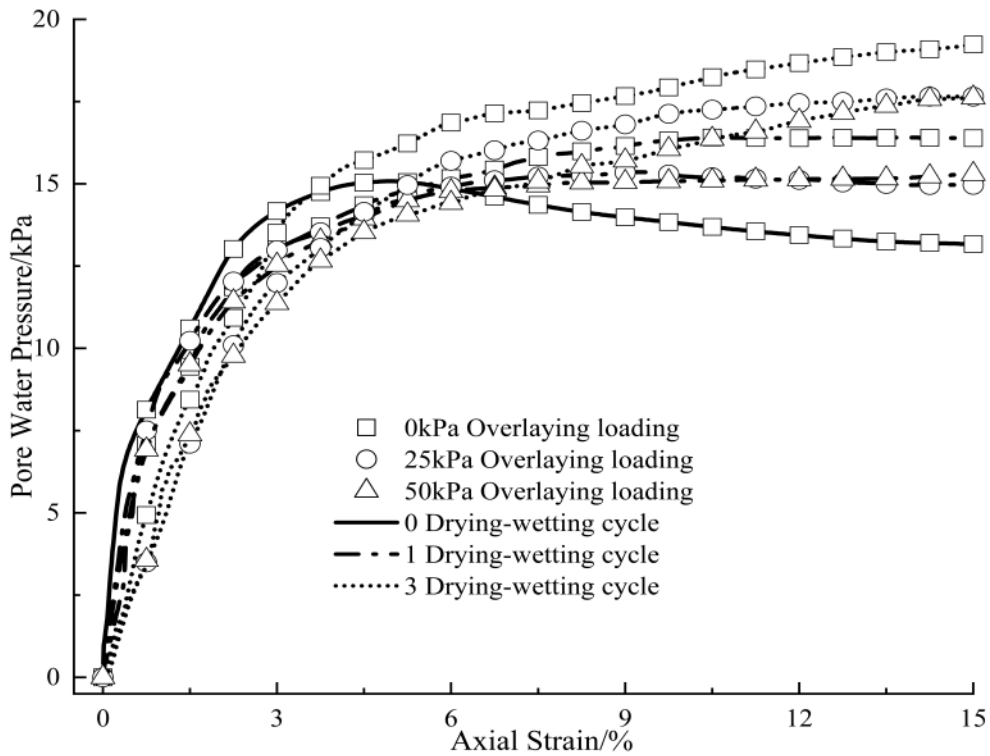
(a) 20 (kPa) Cell pressure



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(b) 35 (kPa) Cell pressure



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(c) 50 (kPa) Cell pressure

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Figure 6. The relationship curves between pore water pressure and axial strain for Mercia Mudstone Clay

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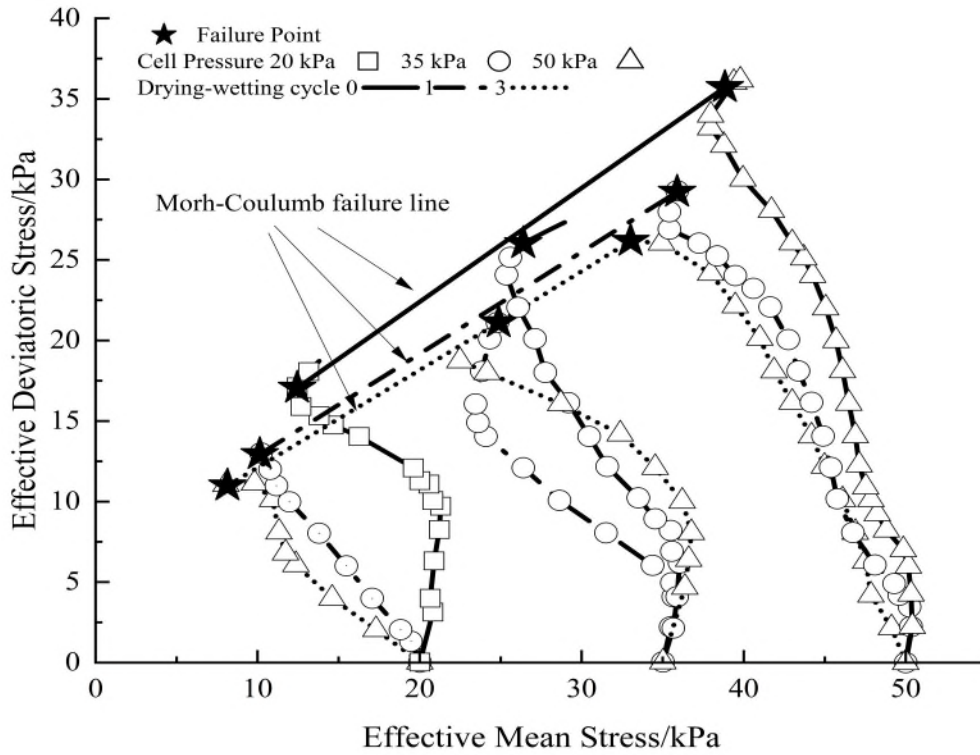
276 Based on Figure 6, the pore water pressure of Mercia Mudstone Clay is less than that of Kaolin Clay.
277 Also, the same as Kaolin Clay specimens, the pore water pressure of original Mercia Mudstone Clay
278 specimens subjected to drying-wetting cycles is larger than that of original soil specimens. For example,
279 under 50 kPa cell pressure, the peak pore water pressure of Mercia Mudstone Clay sample subjected to
280 three drying-wetting cycles under 0 kPa vertical confining pressure is 19 kPa, while the value of the
281 original sample is 15 kPa. Moreover, as in Kaolin Clay specimens, the influence of the first cycle on pore
282 water pressure for Mercia Mudstone Clay specimens is larger than that of the second and the third cycles.
283 However, in general, the impacts of drying-wetting cycles on pore water pressure of Mercia Mudstone
284 Clay specimens are larger than that of Kaolin Clay specimens. Additionally, as with that of Kaolin Clay,
285 the variation rules of pore water pressure for Mercia Mudstone Clay samples under different vertical
286 confining pressure are similar.

287

288 ***4.6 The Effective Stress Paths of Soil Specimens***

289 Based on the experimental results, the effective stress paths of Kaolin Clay and Mercia Mudstone Clay
290 specimens are drawn in Figure 7 and Figure 8, respectively. On each effective stress path, one failure
291 point is indicated, as shown in Figure 7 and Figure 8. The meaning of failure point here is the point with
292 the maximum of stress ratio (effective deviatoric stress divided by effective mean stress) on effective
293 stress paths.

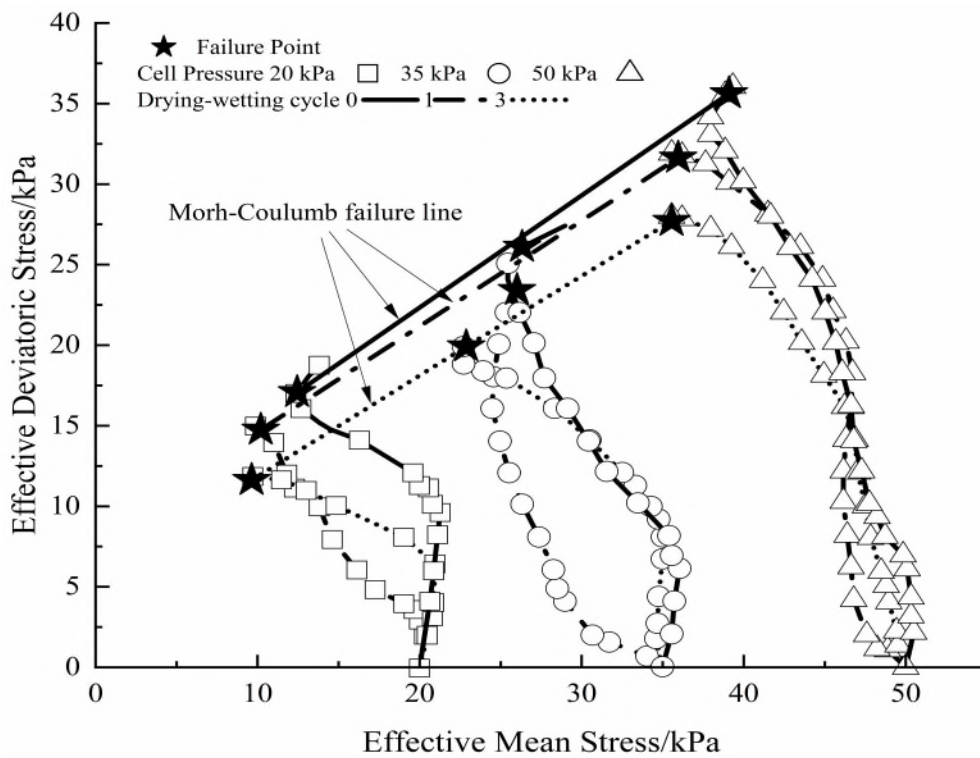
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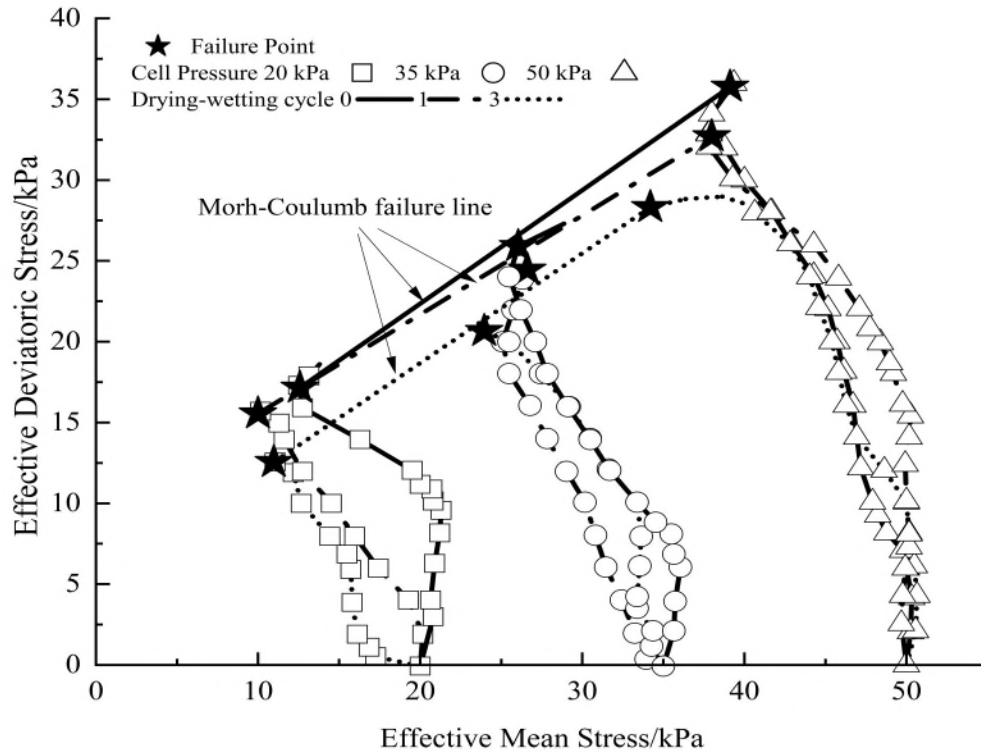
(a) 0 (kPa) Vertical confining pressure



297

298

(b) 25 (kPa) Vertical confining pressure



299

300

(c) 50 (kPa) Vertical confining pressure

301

Figure 7. The effective stress paths of Kaolin Clay

302

Based on Figure 7, the effective stress paths for the original Kaolin Clay specimens and Kaolin Clay

303

specimens subjected to drying-wetting cycles are different. For original specimens, the shape of effective

304

stress paths is similar to the “S” shaped curve. In comparison, for Kaolin Clay specimens subjected to

305

drying-wetting cycle, with the rise of effective deviatoric stress, most of stress paths traverse to the left

306

from their initial isotropic state due to the rapid rise of pore water pressure. Then, the effective mean

307

stress continues to reduce with the increase of effective deviatoric stress until reaching the failure point.

308

Additionally, in general, the stress paths of soil specimens subjected to drying-wetting cycle are located to

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the left of the stress paths of original soil specimens due to the larger pore water pressure of soil

310

specimens subjected to the cycles. Moreover, it is also worth noting that, for soil specimens subjected to a

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higher number of drying-wetting cycles, their failure points are located at a lower effective deviatoric

312

stress and effective mean stress. Additionally, under the same confining pressure, the effective deviatoric

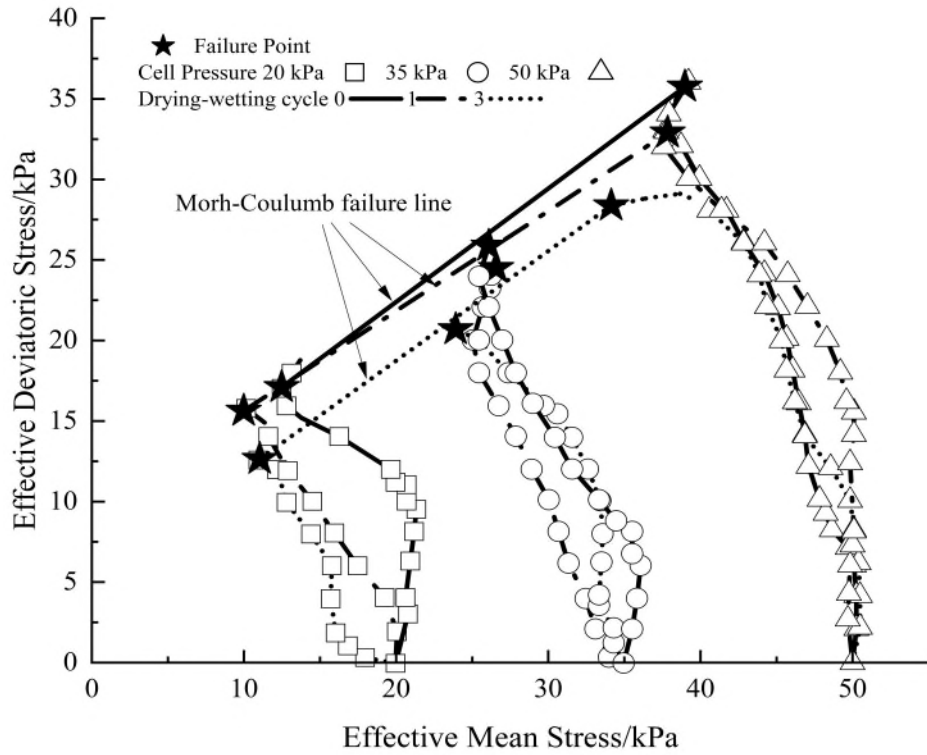
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stress of failure points for Kaolin Clay samples under high vertical confining pressure is larger than that

314

under low vertical confining pressure.

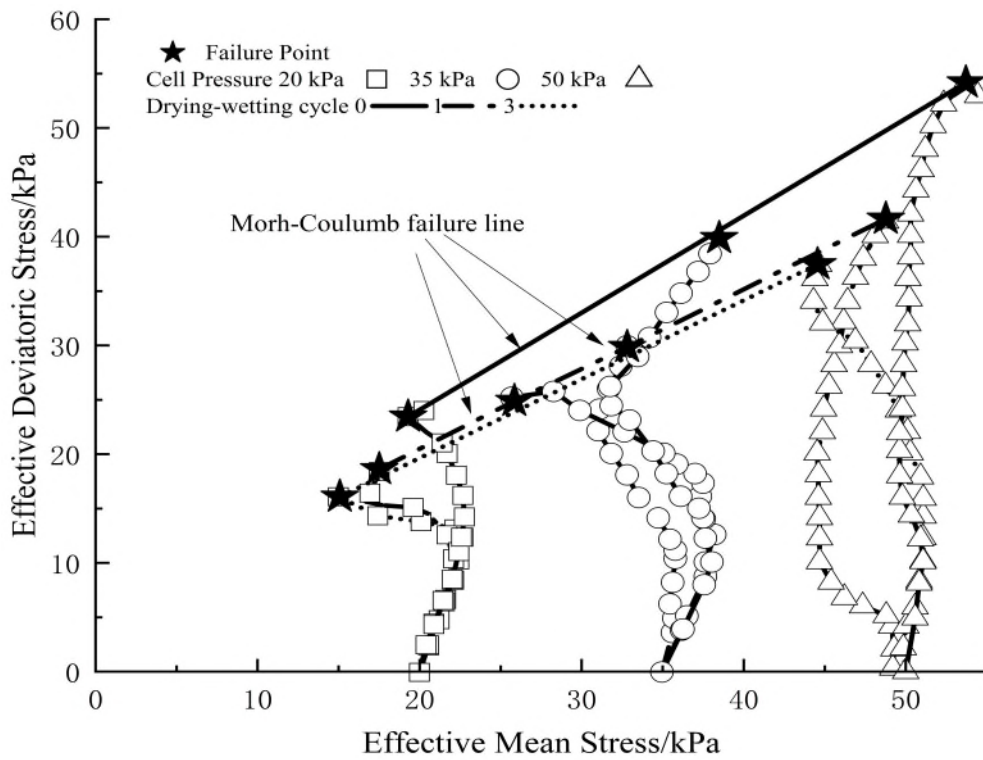
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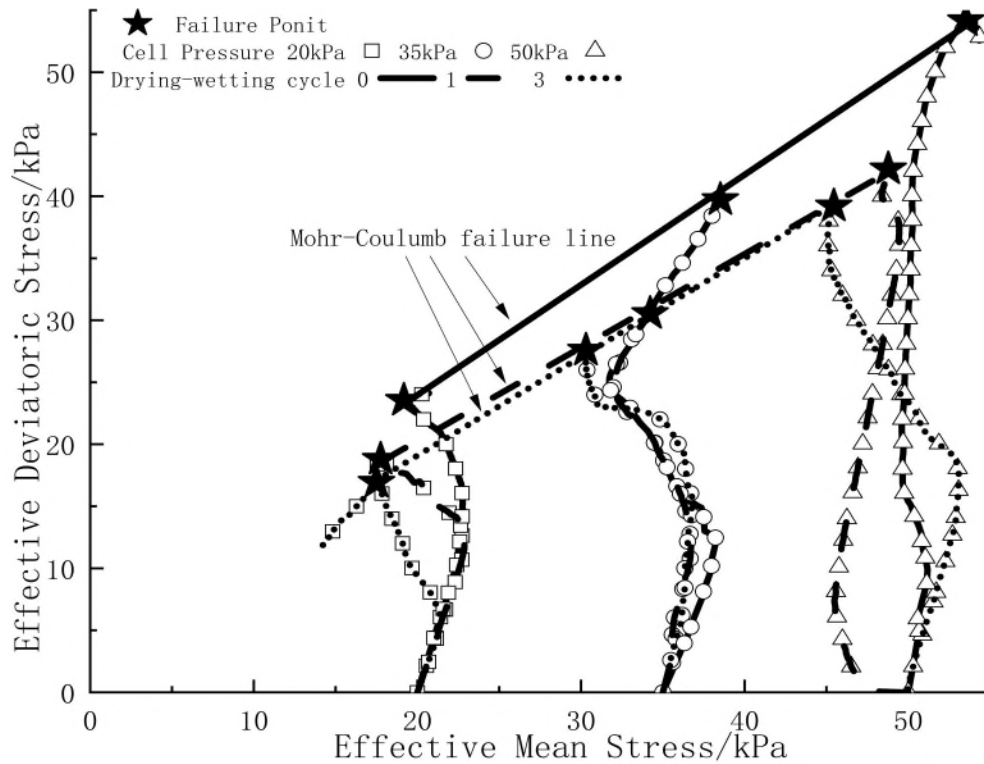
(a) 0 (kPa) Vertical confining pressure



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(b) 25 (kPa) Vertical confining pressure



(c)50 (kPa) Vertical confining pressure

Figure 8. The effective stress paths of Mercia Mudstone Clay

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336 **5 Conclusion**

337

The main conclusions were summarised as follows:

338

339 (1) When subjected to the same number of drying-wetting cycles, the peak shear strength of clayey
340 soil rises with the increase in the vertical confining pressure.

341

342 (2) Vertical confining pressure has marginal influence on the pore water pressure of clayey soil
343 subjected to drying-wetting cycles during the triaxial shearing process.

344

345 (3) Under the same confining pressure and drying-wetting cycles number, the effective deviatoric
346 stress of failure points for clayey soil under high vertical confining pressure is larger than that under low
347 vertical confining pressure.

348

349 (4) The impacts of the first drying-wetting cycle on the hydro-mechanical properties of clayey soil
350 under vertical confining pressures are larger than those during the second and the third cycles,
351 respectively.

352

353 (5) Vertical confining pressure can limit the detrimental impacts of drying-wetting cycles on the
354 hydro-mechanical properties of clayey soil effectively. It indicates that imposing vertical confining
355 pressure is an effective method to improve the stability of cover clayey soil in practical engineering
356 facilities when subjecting to drying-wetting cycles.

357

358 **Acknowledgements**

359 The authors wish to acknowledge the support from China Scholarship Council (CSC) and 2022 Open
360 Project of Failure Mechanics and Engineering Disaster Prevention, Key Lab of Sichuan Province, No
361 FMEDP202209. The paper is also sponsored by Shanghai Sailing Program, No 22YF1415800 and
362 National Natural Science Foundation of China (No. 41772273, No. 42177129).

363

364 **Conflicts of Interest**

365 The authors declare no conflict of interest.

366

367 **Data and code Availability Statement**

368 In this paper, all data, models, and code used during the study appear in the submitted article.

369

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