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# Improved Catalytic Activity of Ruthenium-Arene Complexes in the Regioselective Reduction of NAD<sup>+</sup>

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KEYWORDS: NADH, NAD<sup>+</sup>, transfer hydrogenation, Noyori's catalyst, ruthenium.

ABSTRACT: A series of neutral Ru<sup>II</sup> half-sandwich complexes of the type  $[(\eta^6 - \eta^6 + \eta$ arene)Ru(N,N')Cl] where the arene is para-cymene (p-cym), hexamethylbenzene (hmb), biphenyl is N-(2-aminoethyl)-4-(bip), or benzene (bn) and N,N'(trifluoromethyl)benzenesulfonamide (TfEn), N-(2-aminoethyl)-toluenesulfonamide (TsEn), or N-(2-aminoethyl)-4-methylenesulfonamide (MsEn) were synthesized and characterized. The Xray crystal structures of [(p-cym)Ru(MsEn)Cl] (1), [(hmb)Ru(TsEn)Cl] (5), [(hmb)Ru(TfEn)Cl] (6), [(bip)Ru(MsEn)Cl]. (7) and [(bip)Ru(TsEn)Cl] (8) have been determined. The complexes can regioselectively catalyze the transfer hydrogenation of NAD<sup>+</sup> to give 1,4-NADH in the presence of formate. The turnover frequencies (TOF) when the arene is varied decrease in the order bn > bip > p-cym > hmb for complexes with the same N,N' chelating ligand. The TOF decreased with variation in the N,N' chelating ligand in the order TfEn > TsEn > MsEn for a given arene. [(bn)Ru(TfEn)Cl] (12) was the most active with a TOF of 10.4 h<sup>-1</sup>. The effects of NAD<sup>+</sup> and formate concentration on the reaction rates were determined for [(*p*-cym)Ru(TsEn)Cl] (2). Isotope studies implicated formation of [(arene)Ru(N,N')(H)] as the rate-limiting step. The coordination of formate and subsequent CO<sub>2</sub> elimination to generate the hydride were modeled computationally by density functional theory (DFT). CO<sub>2</sub> elimination occurs via a two-step process with the coordinated formate first twisting to present its hydrogen towards the metal center. The computed barriers for CO<sub>2</sub> release for arene = benzene follow the order MsEn > TsEn > TfEn and, for the MsEn system the barrier followed bn < hmb, both consistent with the observed rates. The effect of methanol on transfer hydrogenation rates in aqueous solution was investigated. A study of pH dependence of the reaction in D<sub>2</sub>O gave the optimum pH as 7.2 with a TOF of 1.58 h<sup>-1</sup> for [(p-Cym)Ru(TsEn)Cl] (2). The series of compounds reported here show an improvement in the catalytic activity by an order of magnitude compared to ethylendiamine analogues.

# Graphic



# **INTRODUCTION**

The coenzyme nicotinamide adenine dinucleotide (NAD<sup>+</sup>) and its reduced form 1,4-NADH have crucial roles in many cellular metabolic processes such as regulation of energy metabolism, antioxidative function, DNA repair and transcription, immunological functions and cell death. The coenzymes are involved in many other processes acting as substrates and cofactors for enzymes such as NAD<sup>+</sup> ligases, oxidoreductase, polymerases and deacetylases involved in biosynthesis. <sup>2</sup>

The coenzymes NAD<sup>+</sup>/NADH have been studied intensively during the last few years.<sup>2</sup> It has been demonstrated that changes in metabolism result in fluctuations in the ratio NAD<sup>+</sup>/NADH or, conversely, changes in the ratio can produce metabolic changes.<sup>2</sup> In some cases alterations in the cellular redox status have been shown to play an important role in cell death and therefore the coenzymes have become possible drug targets for chronic or autoimmune diseases such as Parkinson's, hepatitis C, diabetic vascular dysfunction, hyperglycemia and cancer.<sup>3</sup>

The concentration of the substrate NAD<sup>+</sup> as well as the ratio NAD<sup>+</sup>/NADH had been proven to be very important for cancer cells. On the one hand, due to their active metabolism, cancer cells generate high levels of oxidizing species and, therefore, they are under constant oxidative stress.<sup>4</sup> This makes the cancer cells more dependent on redox regulatory systems, and more sensitive to variations in the NAD<sup>+</sup>/NADH ratio. On the other hand, NAD<sup>+</sup> is required as substrate for many enzymatic reactions such as ADP-ribosylation which is crucial for genome stability and DNA repair.<sup>3</sup> Due to the up-regulation of some enzymes required for the biosynthesis of NAD<sup>+</sup> in cancer cells, a decrease in the NAD<sup>+</sup> concentration can cause apoptosis of cancer cells while having little effect in normal cells.<sup>4</sup>

From an industrial perspective, NADH regeneration is an important process due to its high applicability in chiral organic synthesis and biocatalysis. The co-enzymes, for instance, are required as substrates for many enzymatic reactions used for stereo-selective synthesis, such as formation of D-lactate from pyruvate or chiral alcohols by alcohol dehydrogenases. Due to the high cost of the NAD<sup>+</sup> and NADH, its stoichiometric use in organic synthesis, biocatalysis or enzymatic reactions is not sustainable, hence the development of nicotinamide adenine dinucleotide models. The capability of regenerating 1,4-NADH has been the subject of intensive studies by enzymatic, chemical, photochemical or electrochemical reactions.

Recently, the NADH/NAD<sup>+</sup> regeneration under mild conditions in aqueous media has been studied. 10 Significant attention has focused on transition metal complexes as catalysts for regeneration of the coenzymes via hydrogenation <sup>10</sup> (H<sub>2</sub>) or transfer hydrogenation using 2-propanol, 11 glycerol, 12 phosphate, 13 formic acid together with a base, 14 formate 15 or  $NADH^{16}$  as the hydride source in water. Some  $Ru^{II}$ ,  $^{17}$   $Rh^{III}$   $^{18,19}$  and  $Ir^{III}$   $^{20}$  half-sandwich complexes can catalyze the hydrogenation or transfer hydrogenation of ketones, 21 aldehydes, <sup>22</sup> imines<sup>23</sup> or carbon dioxide, <sup>24</sup> although the optimum conditions for the reactions are usually not biologically relevant. In some cases the hydride adducts can be isolated showing that the mechanism involves hydride formation. <sup>25,26</sup> Of particular interest in this field are ruthenium complexes that employ Noyori's chelating ligand Ts-DPEN (N-[(1R,2R)-2-amino-1,2-diphenylethyl]-4-sulfonamide) and its derivatives which have achieved high enantioselectivity in transfer hydrogenation of ketones.<sup>27</sup> imines<sup>28</sup> and C-C double bonds.<sup>29</sup> affording up to 97% conversions. However, the use of similar complexes under biologically relevant conditions requires high aqueous solubility and thermodynamic stability. The use of Noyori's ligand renders the complexes highly insoluble in water, due to the presence of the lipophilic phenyl groups. In addition, the presence of two chiral centres which provide the

complexes with enantioselectivity, makes the acquisition of a pure enantiomer a challenging process. Given that enantioselectivity is not a requirement in NAD<sup>+</sup>/NADH catalysis, not having chiral centres offers an acceptable strategy.

We have shown previously that the Ru<sup>II</sup> complexes  $[(\eta^6 - \text{arene})\text{Ru}(\text{en})\text{Cl}]^+$  (arene = hmb, p-cym, indane (ind), and en = ethylenediamine) can reduce  $NAD^+$  to NADH using formate as the hydride source, and that such reactions might be feasible in cells because they can tolerate millimolar levels of formate.<sup>30</sup> However, due to the low catalytic activity of the complex no effect was detected in vitro. In the present work we modified the en chelating ligand by the addition of a sulphonamide group that resembles Noyori's ligand with the main goal of improving the catalytic activity of the complexes. The synthesized series of Ru<sup>II</sup> complexes of the type  $[(\eta^6$ -arene)Ru(N,N')(L)], where N,N' are water soluble monosulphonate ethylendiamine ligands, N-(2-aminoethyl)-4-(trifluoromethyl)benzenesulfonamide (TfEn), N-(2-aminoethyl)-toluenesulfonamide (TsEn), N-(2-aminoethyl)-4or methylenesulfonamide (MsEn), derived from Noyori's ligand Ts-DPEN (N-[(1R,2R)-2amino-1,2-diphenylethyl]-4-sulfonamide), were envisaged to give rise to good catalytic properties while increasing their water solubility and facilitating the synthesis and purification by avoiding enantiomeric resolution. The catalytic activity of the complexes towards the reduction of NAD+ through transfer hydrogenation has been investigated and compared with reported ethylendiamine Ru<sup>II</sup> arene complexes. The mechanism of the catalysis has also been studied both experimentally and computationally in order to compare with similar known compounds such as those reported by Steckman and Fish. 31 The optimum conditions for the reaction have also been studied in relation to the potential of these complexes to act as catalytic anticancer drugs which modulate NAD<sup>+</sup>/NADH levels in cells.

### **EXPERIMENTAL SECTION**

Materials. Trihydrated ruthenium(III) trichloride was purchased from Precious Metals Online (PMO Pty Ltd.) and used as received. 4-(Trifluoromethyl)benzenesulfonyl toluenesulfonyl chloride. di-*tert*-butyl dicarbonate chloride. anhydride (t-BOC). hexamethylbenzene (hmb), sodium formate, β-nicotinamide adenine dinucleotide hydrate (NAD<sup>+</sup>) and β-nicotinamide adenine dinucleotide reduced dipotassium salt (1.4-NADH) were obtained from Sigma-Aldrich. Magnesium sulphate, potassium hydroxide, sodium chloride and hydrochloric acid were obtained from Fisher Scientific. α-Phellandrene was purchased from SAFC. Methylsulfonyl chloride, toluenesulfonyl chloride and triflic acid were obtained from Fluka. The Ru<sup>II</sup>-arene precursor dimers  $[(\eta^6$ -arene)RuCl<sub>2</sub>]<sub>2</sub> where the arene is p-cymene (p-cym), hexamethylbenzene (hmb), biphenyl (bip) or benzene (bn) were prepared following methods,<sup>32</sup> literature N-(2-aminoethyl)-4-As were the ligands (TfEn), 20 (trifluoromethyl)benzenesulfonamide N-(2-aminoethyl)-toluenesulfonamide (TsEn).<sup>29</sup> The solvents used for NMR spectroscopy were purchased from Sigma-Aldrich and Cambridge Isotope Labs Inc. Non-dried solvents used in syntheses were obtained from Fisher Scientific and Prolabo. Solvents were used as obtained, except in the case of ethanol, isopropanol and methanol, which were degassed prior to use by bubbling with nitrogen.

Synthesis of ruthenium complexes. [(*p*-cym)Ru(MsEn)Cl] (1). [(*p*-cym)RuCl<sub>2</sub>]<sub>2</sub> (84.2 mg, 0.138 mmol) and MsEn (101.6 mg, 0.35 mmol) were placed in a round-bottom flask to which 2-propanol (50 mL) and triethylamine (146 μL, 1.047 mmol) were added. The solution was heated under reflux in a nitrogen atmosphere overnight, after which the solvent was removed on the rotary evaporator to obtain a light brown powder. The crude product was redissolved in dichloromethane and washed with brine, after which the organic layer was

dried over MgSO<sub>4</sub> and filtered. A brown-reddish powder was obtained after removal of the solvent *in vacuo*. The product was recrystallized from methanol/hexane (1:10 v/v) by standing in a freezer for two months at 253 K as brown crystals. Yield: 45.3 mg (40.4 %).  $^{1}$ H NMR (400 MHz, methanol-d<sub>4</sub>):  $\delta_{\rm H}$  5.592 (s, 2H), 5.419 (d, 2H, J = 5.74 Hz), 2.856 (sep, 1H, J = 7.05 Hz), 2.743 (s, 3H), 2.134 (s, 3H), 1.265 (d, 6H, J =7.05 Hz). Anal: Calc for  $C_{13}H_{23}ClN_{2}O_{2}RuS$  C: 38.28, H: 5.68, N: 6.87; Found C: 38.27, H: 5.65, N: 6.82. ESI-MS: Calc for  $C_{13}H_{23}ClN_{2}O_{2}RuS$  (M-Cl)<sup>+</sup> 373.0 m/z found 373.0 m/z.

[(*p*-cym)Ru(TsEn)Cl] (2). Complex 2 was obtained following the method described above for complex 1 using ligand TsEn (100.6 mg, 0.42 mmol). Recrystallization from methanol resulted in dark red crystals. Yield: 58.8 mg (36.7 %). H NMR (400 MHz, acetone-d<sub>6</sub>):  $\delta_{\rm H}$  7.73 (d, 2H, J = 8.25 Hz), 7.11 (d, 2H, J = 8.25 Hz), 5.87 (s, 1H), 5.71 (d, 1H, J = 5.59 Hz), 5.64 (d, 1H, J = 5.59 Hz), 5.53 (d, 1H, J = 5.59 Hz), 5.46 (d, 1H, J = 5.59 Hz), 3.24 (s, 1H), 2.94 (septet, 1H, J = 7.07 Hz), 2.31(s, 3H), 2.15 (s, 3H), 1.27 (d, 3H, J = 6.96 Hz), 1.24 (d, 3H, J = 6.96 Hz). Anal: Calc for C<sub>19</sub>H<sub>27</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 47.15, H: 5.62, N: 5.79; Found C: 46.70, H: 5.62, N: 5.79. ESI-MS: Calc for C<sub>19</sub>H<sub>27</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 449.0 m/z found 449.0 m/z.

[(*p*-cym)Ru(TfEn)Cl] (3). Complexes 3 was obtained following the method described above for complex 1 using ligand TfEn (125.0 mg, 0.47 mmol). Purification by recrystallization was not successful and the product was used as such. Yield: 171.1 mg (85.3 %)<sup>1</sup>H NMR (400 MHz, acetone-d<sub>6</sub>):  $\delta_{\rm H}$  8.00 (d, 2H, J = 8.12 Hz), 7.62 (d, 2H, J = 8.12 Hz), 5.74 (m, 1H), 5.51 (m, 2H), 5.41 (d, 1H), 4.23 (s, 1H), 3.27 (s, 1H), 3.10 (s, 1H), 2.76 (septet, 1H, J =6.92), 2.75 (m, 1H), 2.29 (m, 2H), 2.17 (s, 3H), 1.27 (m, 6H). Anal: Calc for C<sub>19</sub>H<sub>24</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS C: 42.42, H: 4.50, N: 5.21; Found C: 41.94, %H: 4.45, N: 5.03. ESI-MS: Calc for C<sub>19</sub>H<sub>24</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 503.0 m/z, found 503.0 *m/z*. [(hmb)Ru(MsEn)Cl] (4). [(hmb)RuCl<sub>2</sub>]<sub>2</sub> (81.2 mg, 0.12 mmol) and *N*-(2-aminoethyl)-4-

methylsulfonamide (MsEn)(105 mg, 0.37 mmol) were dissolved in 2-propanol (25 mL). Triethylamine (155  $\mu$ L, 1.11 mmol) was added and the solution heated to reflux (363 K) under nitrogen atmosphere for 3 h. Solvent was removed on a rotary evaporator to obtain a dark orange product. The crude product was redissolved in dichloromethane and washed with brine, after which the organic layer was dried over MgSO<sub>4</sub> and filtered. The solution was concentrated *in vacuo* and the product recrystallized from methanol to afford an orange powder. Yield: 44.1 mg (41.6 %). H NMR (400 MHz, acetone-d<sub>6</sub>):  $\delta_{\rm H}$  2.11 (s, 18H), 2.32 (m, 2H), 2.72 (s, 3H), 2.67 (m, 2H), 4.58 (s, 2H). Anal: Calc for C<sub>15</sub>H<sub>27</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 41.32, H: 6.24, N: 6.43; Found C: 41.92, H: 6.31, N: 6.32. ESI-MS: Calc. for C<sub>15</sub>H<sub>27</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 401.0 *m/z*, found 401.0 *m/z*.

[(hmb)Ru(TsEn)Cl] (5). Complex 5 was obtained following the method described above for complex 4 using ligand TsEn (64.1 mg, 0.267 mmol). The product was recrystallized from methanol giving yellow crystals. Crystals suitable for X-ray diffraction were obtained from a methanol solution stored at 255 K. Yield: 20.5 mg (13.4%). H NMR (400 MHz, acetone-d<sub>6</sub>):  $\delta_{\rm H}$  7.83 (d, 2H, J = 8.30 Hz), 7.07 (d, 2H, J = 8.30 Hz), 4.56 (s, 1H), 2.54 (m, 1H), 2.29 (s, 3H), 2.17 (s, 18H). Anal: Calc for C<sub>21</sub>H<sub>31</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 49.35, H: 5.92, N: 5.48; Found C: 49.17, H: 6.04, N: 5.29. ESI-MS: Calc for C<sub>21</sub>H<sub>31</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 477.0 m/z, found 477.0 m/z.

[(hmb)Ru(TfEn)Cl] (6). Complex 6 was obtained following the method described above for complex 4 using ligand TfEn (87.5 mg, 0.33 mmol). The product was recrystallized from methanol giving red crystals. Yield: 82.2 mg (58.9 %). Crystals suitable for X-ray diffraction were obtained from a methanol solution stored at 277 K. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  7.95 (d, 2H, J = 8.34 Hz), 7.47 (d, 2H, J = 8.34 Hz), 3.38 (s, 1H), 3.21 (s, 1H) 2.18 (s, 18H). Anal: Calc for C<sub>21</sub>H<sub>28</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS C: 44.56, H: 4.99, N: 4.95; Found C:

44.38, H: 5.04, N: 4.87. ESI-MS: Calc for  $C_{21}H_{28}ClF_3N_2O_2RuS$  (M-Cl)<sup>+</sup> 531.0 m/z, found 531.0 m/z.

**[(bip)Ru(MsEn)Cl] (7).** [(bip)RuCl<sub>2</sub>]<sub>2</sub> (64 mg, 0.098 mmol) and MsEn (70 mg, 0.243 mmol) were placed in a round bottom flask and disolved methanol (25 mL). Triethylamine (109 μL, 0.783 mmol) was added and the solution was stirred at ambient temperature under nitrogen overnight, after which solvent was removed on the rotary evaporator to give a dark brown product. The crude product was redissolved in dichloromethane and washed with brine, the organic layer was dried over MgSO<sub>4</sub> and filtered. The solution was concentrated *in vacuo* and the product recrystallized from methanol to afford red crystals. Yield: 45.2 mg (54.3 %). Crystals suitable for X-ray diffraction were obtained from solution in methanol/ether (10:1 v/v) stored at 255 K. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  7.69 (m, 2H), 7.54 (m, 3H), 6.22 (t, 1H, J = 5.57 Hz), 6.01 (t, 1H, J = 5.57 Hz), 5.82 (m, 3H), 3.78 (s, 1H), 3.23 (s, 1H), 3.09 (m, 1H), 2.81 (s, 3H), 2.64 (m, 1H), 2.45 (m, 1H), 2.15 (m, 1H). Anal: Calc for C<sub>15</sub>H<sub>19</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 42.10, H: 4.48, N: 6.55; Found C: 41.88, H: 4.49, N: 6.46. ESI-MS: Calc for C<sub>15</sub>H<sub>19</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 393.0 m/z, found 393.0 m/z.

**[(bip)Ru(TsEn)Cl] (8).** Complex **8** was obtained following the method described above for complex 7 using ligand TsEn (69.9 mg, 0.29 mmol). The product was recrystallized from methanol to give a dark orange powder. Yield: 39.9 mg (33.9 %). Crystals suitable for X-ray diffraction were obtained from a methanol solution stored at 277 K. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  2.35 (s, 3H), 5.77 (m, 1H), 5.96 (m, 1H), 6.03 (m, 1H), 6.39 (m, 1H),7.17 (d, 2H, J = 7.71 Hz), 7.53 (m, 3H), 7.71 (m, 2H), 7.77 (d, 2H, J = 7.71 Hz), Anal: Calc for C<sub>21</sub>H<sub>23</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 50.04, H: 4.60, N: 5.56; Found C: 49.57, H: 4.6, N: 5.44. ESI-MS: Calc for C<sub>21</sub>H<sub>23</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 469.0 m/z, found 469.0 m/z. **[(bip)Ru(TfEn)Cl] (9).** Complex **9** was obtained following the method described above for complex **7** using ligand TfEn (40 mg, 0.15 mmol). The product was recrystallized from methanol to give an orange

solid. Yield: 45.6 mg (54.3%). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  3.21 (s, 1H), 3.74 (s, 1H), 5.80 (m, 2H), 5.95 (d, 1H, J = 5.51 Hz), 6.04 (t, 1H, J = 5.30 Hz), 6.34 (t, 1H, J = 5.82 Hz), 7.55 (m, 3H), 7.62 (d, 2H, J = 8.27 Hz), 7.80 (m, 2H), 8.00 (d, 2H, J = 8.27 Hz). Anal: Calc for C<sub>21</sub>H<sub>20</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS C: 45.2, H: 3.61, N: 5.01; Found C: 44.22, H: 3.59, N: 4.81. ESI-MS: Calc for C<sub>21</sub>H<sub>20</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 522.9 m/z, found 522.9.0 m/z.

[(bn)Ru(MsEn)Cl] (10). [(bn)RuCl<sub>2</sub>]<sub>2</sub> (64 mg, 0.098 mmol) and MsEn (70 mg, 0.243 mmol) were placed in a round bottom flask and were dissolved in methanol (25 mL). Triethylamine (109 μL, 0.783 mmol) was added and the solution was stirred at ambient temperature under nitrogen overnight, after which solvent was removed on the rotary evaporator to give a dark brown oil that was dissolved in dichloromethane, washed with brine, dried over MgSO<sub>4</sub> and filtered. After removal of solvent on a rotary evaporator, a dark brown oil was obtained, which was washed with ether to give a brown solid. The product was recrystallized from methanol to give a brown solid. Yield:30 mg (49.2%).  $^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  5.67 (s, 6H), 2.80 (s, 3H). Anal: Calc for C<sub>9</sub>H<sub>15</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 30.73, H: 4.30, N: 7.96; Found C: 30.29, H: 4.14, N: 7.76 ESI-MS: Calc. for C<sub>9</sub>H<sub>15</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 317.0 m/z found 317.0 m/z.

[(bn)Ru(TsEn)Cl] (11). Complex 11 was obtained following the method described above for complex 10 using ligand TsEn (100 mg, 0.417 mmol). The crude product was recrystallized from methanol to give an orange powder. Yield: 47 mg (52.4%).  $^{1}$ H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  7.74 (d, 2H, J =7.96 Hz), 7.28 (d, 2H, J = 7.96 Hz), 5.82 (s, 6H), 4.99 (s, 1H), 23.24 (s, 1H), 3.15 (s, 1H), 2.85 (s, 1H), 2.45 (s, 3H), Anal: Calc for C<sub>15</sub>H<sub>19</sub>ClN<sub>2</sub>O<sub>2</sub>RuS C: 42.1, H: 4.48, N: 6.55; Found C: 41.83, H: 4.48, N: 6.74. ESI-MS: calc. for C<sub>15</sub>H<sub>19</sub>ClN<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 393.0 m/z, found 393.0 m/z.

[(bn)Ru(TfEn)Cl] (12). Complex 12 was obtained following the method described above for complex 10 using ligand TfEn (100 mg, 0.38 mmol). The crude product was

recrystallized from methanol to give a reddish powder. Yield: 53.6 mg (59.0%). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  7.99 (d, 2H, J = 8.2 Hz), 7.62 (d, 2H, J = 8.2 Hz), 5.73 (s, 6H), 4.71 (s, 1H), 3.12 (m, 2H), 2.25 (m, 2H). El. Anal: Calc for C<sub>15</sub>H<sub>16</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS %C: 37.39, %H: 3.35, %N: 5.81; Found %C: 37.00, %H: 3.39, %N: 5.81 ESI-MS: Calc. for C<sub>15</sub>H<sub>16</sub>ClF<sub>3</sub>N<sub>2</sub>O<sub>2</sub>RuS (M-Cl)<sup>+</sup> 446.9 m/z, found 446.9 m/z.

**NAD**<sup>+</sup> **reduction.** Complexes **1-12** were dissolved in D<sub>2</sub>O or MeOD/D<sub>2</sub>O (5:1 v/v) (1.4 mM, 4 mL) in a glass vial. Solutions of sodium formate (35 mM, 4 mL) and NAD<sup>+</sup> in D<sub>2</sub>O (2.8 mM, 2 mL) were also prepared and then incubated at 310 K. In a typical experiment an aliquot of 200 μL from each solution were added to a 5 mm NMR tube and pH\* adjusted to 7.2±0.2 bringing the total volume to 0.635 mL (final concentrations were: 0.44 mM; NAD<sup>+</sup> 0.88 mM; NaHCO<sub>2</sub> 11.02 mM; molar ratio 1:2:25) <sup>1</sup>H NMR spectrum was recorded at 310 K every 162 s until the completion of the reaction.

Molar ratios of NAD $^+$  and NADH were determined by integrating the peaks corresponding to  $H_B$  (Scheme 1) of NAD $^+$  (9.33 ppm) and  $H_B$  of 1,4-NADH (6.96 ppm). The turnover number (TON) for the reaction was calculated as follows:

TON = 
$$\frac{I_{6.96}}{I_{6.96} + I_{9.93}} \frac{[NAD^+]_0}{[Catalyst]}$$

where  $I_n$  is the integral of the signal at n ppm and  $[NAD^+]_0$  is the concentration of  $NAD^+$  at the start of the reaction

A series of experiments were performed where complex **2** (2.71 mg, 0.0056 mmol) was dissolved in D<sub>2</sub>O (8 μL, 1.4 mM, 4 mL) in a vial. Following the same procedure used above, the kinetics of the reaction was studied using different concentrations of NAD<sup>+</sup> (1, 2, 3, 4 and 6 mol equiv). A second series of experiments using different concentrations of sodium formate (5, 10, 25, 50, 100 and 200 mol equiv) were also performed. <sup>1</sup>H NMR spectra were recorded at 310 K every 162 s until completion of the reaction in both cases.

The optimum pH range for the catalytic reaction was studied by a series of kinetic experiments following the same procedure described above. In each experiment the pH\* was adjusted to 6, 6.5, 7, 7.2, 7.5, 8 and 10. The effect of added methanol on the reaction rate was studied by six experiments containing different quantities of methanol (0, 5, 10, 23.3, 50, 66.6% v/v of d<sub>4</sub>-MeOD in D<sub>2</sub>O).

**NMR Spectroscopy.** <sup>1</sup>H NMR spectra were acquired in 5 mm NMR tubes at 298 K or 310 K on either a Bruker DPX-300, AV-400, DRX-500 or Bruker AV III 600 spectrometers. Data processing was carried out using XWIN-NMR version 3.6 (Bruker U.K. Ltd). <sup>1</sup>H NMR chemical shifts were internally referenced to TMS *via* 1,4-dioxane in D<sub>2</sub>O ( $\delta$  = 3.75) or residual DMSO ( $\delta$  = 2.52 ppm), acetone ( $\delta$  = 2.05 ppm), MeOH ( $\delta$  = 3.31 ppm) or CHCl<sub>3</sub> ( $\delta$  = 7.26 ppm). 1D spectra were recorded using standard pulse sequences. Typically, data were acquired with 16 transients into 32 k data points over a spectral width of 14 ppm and for the kinetic experiment, 32 transient into 32 k data points over a spectral width of 30 ppm using a relaxation delay of 2 s

pH\* measurements. pH values were measured at ambient temperature using a minilab IQ125 pH meter equipped with a ISFET silicon chip pH sensor and referenced in KCl gel. The electrode was calibrated with Aldrich buffer solutions of pH 4, 7 and 10. pH\* values (pH meter reading without correction for the effect of deuterium on glass electrode) of NMR samples in D<sub>2</sub>O were measured at 310 K. pH\* values were adjusted with KOH or HClO<sub>4</sub> solutions in D<sub>2</sub>O.

**Elemental analysis**. Elemental analyses were performed by Warwick Analytical Service using an Exeter Analytical elemental analyzer (CE440).

**Electrospray ionization mass spectrometry (ESI-MS).** Positive ion electrospray mass spectra were obtained on a Bruker Daltonics Esquire 2000 ion trap mass spectrometer.

All samples were prepared in water-acetonitrile (20:80 v/v) or methanol (100%). Data were processed using Data-Analysis version 3.3 (Bruker Daltonics).

X-ray crystallography. Diffraction data were collected on an Oxford Diffraction Gemini four-circle system with a Ruby CCD area detector. All structures were refined by full-matrix least squares against F<sup>2</sup> using SHELXL 97<sup>33</sup> and were solved by direct methods using SHELXS<sup>34</sup>(TREF) with additional light atoms found by Fourier methods. Hydrogen atoms were added at calculated positions and refined using a riding model, except the hydrogens on the NH nitrogens which were located in a difference map. Anisotropic displacement parameters were used for all non-H atoms; H-atoms were given an isotropic displacement parameter equal to 1.2 (or 1.5 for methyl and NH H-atoms) times the equivalent isotropic displacement parameter of the atom to which they are attached. The data were processed by the modeling program Mercury 1.4.1.

X-ray crystallographic data for complexes **1**, **5**, **6**, **7** and **8** are available as Supporting Information and have been deposited in the Cambridge Crystallographic Data Centre (CCDC reference numbers 885977, 885979, 885978, 885976 and 885980, respectively).

Computational Details. All calculations used the ORCA program version 2.8<sup>35</sup>. Minimum-energy structures and transition states were located using the OPBE functional, spin restricted, in conjunction with the def2-TZVP basis sets<sup>36,37</sup> and the resolution of identity approximation. Solvation effects were included *via* the conductor-like screening model implemented in ORCA with water as the solvent. Stationary points were confirmed as local minima or transition states *via* numerical frequency calculations. Final free energies were computed using the statistical mechanics corrections computed from the OPBE optimizations with B3LYP energies using the def2-TZVP basis sets plus the COSMO solvation corrections<sup>38</sup> and Grimme's empirical correction for dispersion.<sup>39</sup>

# **RESULTS**

Synthesis and Characterization.  $Ru^{II}$  complexes 1-12 were synthesized (Chart 1) using a similar procedure. Typically, triethylamine (4 - 6 mol equiv) and the ligand (2 - 2.5 mol equiv), were added to an alcoholic solution of the ruthenium dimer  $[(\eta^6\text{-arene})RuCl_2]_2$  and the reaction mixture stirred under a  $N_2$  atmosphere under reflux or at ambient temperature. The details for individual reactions are described in the experimental section. All the synthesized complexes where characterized by elemental analysis (CHN), mass spectrometry (ESI-MS) and  $^1H$  NMR spectroscopy. X-ray crystal structures for complexes 1 and 5-8 were obtained.  $^1H$ -NMR spectra were fully assigned although peaks corresponding to the CH<sub>2</sub> of the chelating ethylenediamine backbone, expected between 2 and 3 ppm, were broad.

**X-ray Crystal Structures**. Crystallographic data for complexes **1** and **5-8** are listed in Table S1 and selected bond lengths and angles in Tables 2 and 3. Their molecular structures are depicted in Figure 1. These complexes adopt the familiar pseudo-octahedral 'piano-stool' geometry with the η<sup>6</sup>-bonded arene occupying one face of the complex. Complexes **1**, **5**, **7** and **8** were obtained in an enantiomerically pure form. The chelating ligand appeared to be deprotonated and coordinated as a mono-anionic bidentate *N,N'*-ligand (amine and amide coordination). A chlorido anion completes the coordination sphere around ruthenium. Specially interesting for further discussion are the distances Ru-Cl 2.4444(11) Å for [(bip)Ru(TsEn)Cl] (**8**), 2.4331(6) Å for [(bip)Ru(MsEn)Cl] (**7**), 2.4425(4) Å for [(*p*-cym)Ru(MsEn)Cl] (**1**), 2.4146(8) Å for [(hmb)Ru(TsEn)Cl] (**5**) and 2.4344(6), 2.4229(6), 2.4240(6) Å for [(hmb)Ru(TfEn)Cl] (**6**).

X-ray diffraction studies for complex **6** showed three crystallographic independent structures in the asymmetric unit (Figure S1), **6a** and **6a'** correspond to one enantiomer (but **6a'** forms a H-bond to MeOH) and **6b** to the other enantiomer.

Hydrolysis and pK<sub>a</sub> of Aqua Complexes. Hydrolysis of complex 2 was studied since the formation of an aqua complex is a possible first step in the catalytic cycle. When the complex was dissolved in  $D_2O$  (1.4 mM) no changes were observed by  $^1H$  NMR after 24 h. The peaks in the  $^1H$  NMR spectra could be assigned to the aqua complex since the chemical shifts of the resonances correlate to those obtained for the aqua complex (prepared by the addition of silver nitrate (1 mol equiv) in water). The rate of aquation was too fast to be observed by  $^1H$  NMR.

The changes in the <sup>1</sup>H NMR chemical shifts of the *p*-cymene protons in the aqua adduct of complex **2** (1.4 mM) were followed by changing the pH\* over a range from 2 to 12. The data were fitted to the Henderson–Hasselbalch equation which resulted in a pKa\* of 9.06. (Figure S2).

Kinetics of Transfer Hydrogenation Reactions. Catalytic transfer hydrogenation of nicotinamide adenine dinucleotide (NAD<sup>+</sup>) in aqueous media using complexes **1-12** as catalyst and formate as a hydride source (25 mol equiv) was studied by  $^{1}$ H NMR at 310 K and pH\* 7.2  $\pm$  0.1. Spectra were recorded every 162 s until completion of the reaction. In order to compare the catalytic activity of the complexes and due to the poor solubility of complexes **6-9**, the experiments were also performed in the mixed solvent MeOD/D<sub>2</sub>O (2:9 v/v).

The reaction was regioselective giving 1,4-NADH in 93% yield when complex [(hmb)Ru(MsEn)Cl] (4) was used and a similar regioselectivity was achieved with complexes containing hexamethylbenzene (5 and 6). When using *p*-cymene complexes 1, 2 and 3, the

1,6-NADH adduct was also produced (up to 16%) with complex [(*p*-cym)Ru(TfEn)Cl] (3) giving the lowest regioselectivity from the three *p*-cymene complexes. Finally complexes 10, 11 and 12 containing benzene as arene, gave 23 to 25% of 1,6-NADH.

Molar ratios of NAD<sup>+</sup> and NADH (Scheme 1) were determined by integrating the signals corresponding to  $H_B$  of NAD<sup>+</sup> (9.33 ppm) and  $H_B$  of 1, 4-NADH (6.96 ppm; Scheme 1). The turnover numbers (TON) for the reactions were determined as described in the experimental section. An important effect of adding methanol to the reaction mixture on the TON was discovered (*vide infra*). The turnover frequencies (TOF, the increment of turnover number over time), Table 1 showed a marked dependence of the catalytic activity on the arene. Complexes of the type [(arene)Ru(XEn)Cl], where X is Tf, Ts or Ms, are more active when the arene is benzene and activity decreases in the order bn > bip > p-cym > hmb. It was also evident from the turnover frequencies that catalytic activity depends on the N,N'-ligand. The complex with the more electron-withdrawing chelated ligand sulphonamide substituent (TfEn) exhibited the highest activity while the complex with the least electron-withdrawing sulphonamide substituent (MsEn) was the least active (Table 1). [(bn)Ru(TfEn)Cl] (12) with a TOF of  $10.4 \text{ h}^{-1}$  was the most active.

**Mechanistic Studies**. The rate limiting step of the catalytic cycle for NAD<sup>+</sup> reduction was investigated by studying the reaction of complex **3** with deutero-formate (25 mol equiv) as a deuteride source. The turnover frequency (1.96 h<sup>-1</sup>) was 2.4 times smaller than that obtained using formate as the hydride source.

The dependence of the rate of catalytic activity on concentration of NAD<sup>+</sup> and formate were also determined using complex 2 as a model system. Accordingly five experiments were performed with complex 2, sodium formate and NAD<sup>+</sup> in the ratio of 1:25:X, respectively, where X = 1, 2, 3, 4 or 6 mol equiv, and the <sup>1</sup>H NMR spectra were

recorded every 2 min until 100% conversion was achieved. The analysis of the experiments gave an unchanged turnover frequency (2.88 h<sup>-1</sup>).

A second series of experiments were performed in order to investigate the dependence of the reaction rate on the formate concentration. Experiments with complex **2**, sodium formate and NAD<sup>+</sup> in the ratio 1:X:2, respectively, where X = 2, 5, 10, 25, 50, 100 or 200 mol equiv of formate were performed. The plot of the data obtained shows a strong dependence of the turnover frequency on formate concentration (Figure 2). Typical Michaelis-Menten kinetic behavior is apparent from a plot of turnover frequency versus formate concentration. Thus, from the double-reciprocal (Figure 3) the Michaelis constant ( $K_M = 27.8 \text{ mM}$ ) and the maximum turnover frequency ( $TOF_{max} = 6.4 \text{ h}^{-1}$ ) were calculated.

The pH\* dependence of the catalytic reaction over a range from 6 to 10 was also investigated *via* a series of experiments using complex **2**, sodium formate and NAD<sup>+</sup> (ratio 1:25:2, respectively) in D<sub>2</sub>O. A strong dependence on pH\* was shown. The highest TOF (1.58 h<sup>-1</sup>) was achieved at pH\* 7.2, followed by a decrease in the activity when the pH\* increased (Figure S3).

The catalytic activity of all the complexes was initially studied in D<sub>2</sub>O but complexes **6-9** could not be dissolved to the same concentration (1.4 μM). For this reason experiments were also performed in a D<sub>2</sub>O/methanol (9:2 v/v) mixture in order to increase the solubility. The addition of methanol in the reaction mixture resulted in higher turnover frequencies (Table 1), for catalysts **1-5** and **10-12** compared to D<sub>2</sub>O alone. In order to study the effect of methanol in the reaction five experiments with catalyst **2**, sodium formate and NAD<sup>+</sup> (ratio 1:25:2, pH\* 7.2, 310 K) were performed in D<sub>2</sub>O/MeOD (5, 10, 23.3, 50 or 70% v/v in methanol). The higher percentage of methanol resulted in an increase in the rate of the reaction and therefore higher turnover frequency (Figure S4).

**DFT Calculations**. A DFT mechanistic study was performed initially with the aqua complex [(bn)Ru(MsEn)(H<sub>2</sub>O)]<sup>+</sup> (10) in the presence of formate. The energy of the formate complex plus water was found to be lower than the energy of the aqua complex plus formate, so the formate complex was taken as the reference point for determining barriers to CO<sub>2</sub> elimination.

The formate coordinates *via* a single oxygen with the carbonyl moiety making an H-bond with an NH proton (Figure 4). It then rotates via a low-energy transition state to an intermediate with the formate H now oriented towards the Ru center. From here, the Ru-H bond is formed *via* a higher energy transition state (TS). The energetics of this process and for CO<sub>2</sub> elimination for the formate adducts of the bn complexes {(bn)Ru(MsEn)}<sup>+</sup> (10), {(bn)Ru(TsEn)}<sup>+</sup> (11) and {(bn)Ru(TfEn)}<sup>+</sup> (12) and for the formate adduct of the hmb complex {(hmb)Ru(MsEn)}<sup>+</sup> (4) are collected in Table 4.

#### **DISCUSSION**

Structures of the Complexes. The ligands TsEn, TfEn and MsEn were selected in order to compare the catalytic activity properties of the complexes of the type [(arene)Ru(XEn)Cl], where X is Ms, Ts or Tf, with an increasing electron-withdrawing power of the sulfonamide. Enantiomerically pure single crystals of complexes [(p-cym)Ru(MsEn)Cl] (1), [(hmb)Ru(TsEn)Cl] (5), [(bip)Ru(MsEn)Cl] (7) and [(bip)Ru(TsEn)Cl] (8) were obtained and analyzed by X-ray diffraction. The crystal structure of complex [(hmb)Ru(TfEn)Cl] (6) shows an asymmetric unit containing three crystallographically-independent molecules. One of the ruthenium molecules (6a) establishes an intramolecular hydrogen bond with a methanol molecule (Table S4). The other two

molecules (**6a'** and **6b**) are enantiomers with similar bond lengths and angles (Table 3) which are slightly different than those of complex **6a**.

Comparison with the analogous ethylendiamine complex,  $^{40,41}$  shows no significant difference between the Ru-NH<sub>2</sub> distance and the distance Ru-N<sup>-</sup> despite the higher electron density on the imino nitrogen (2.130(3) Å for [(p-cym)Ru(en)Cl]) 2.1331(15) Å for [(p-cym)Ru(MsEn)Cl] 1), (2.109(3) Å for [(bip)Ru(en)Cl], 2.096(4) Å and 2.1073(16) Å for complex 8 and 7, respectively). However, comparing the complexes containing hmb, the distance Ru-N<sup>-</sup> is slightly shorter (ca. 0.02 - 0.03 Å). The respective Ru-Cl bond lengths in complexes 1, 5, 6, 7 and 8 (2.4146 (8) - 2.4444 (11) Å) are within the range displayed by other compounds of the type [( $\eta^6$ -arene)Ru(en)Cl]<sup>+</sup> (2.41 – 2.44 Å).  $^{40}$ 

Comparing the complexes with different  $\eta^6$ -arene but the same N,N' chelating ligand (1 and 7, 5 and 8), the Ru-N- and the Ru-Cl distances each differ by ca. 0.03 and 0.01-0.03 Å, respectively. Comparing the influence of the chelating ligand when the same arene is used, an increase in the electron-withdrawing power of the R<sub>2</sub> group (Tf > Ts > Ms) appears to increase the Ru-Cl bond length, whereas the Ru-N<sup>-</sup> bond length decreases.

In summary, there appears to be a correlation between the Ru-Cl and the Ru-N<sup>-</sup> bond distances and the R<sub>2</sub> group (Chart 1). Stronger electron-withdrawing groups R<sub>2</sub>, such as *p*-trifluoromethylbenzenesulphonate (Tf), lead to stronger binding of the chloride to ruthenium. It is notable that higher catalytic activity is observed for complexes which show longer Ru-Cl bond lengths (Table 1).

Hydrolysis and Acidity of the Aqua Complex. Aquation of the complexes in D<sub>2</sub>O was confirmed by comparison of the <sup>1</sup>H NMR spectra of the solution of complex 2 in D<sub>2</sub>O and the solution obtained after removal of the chloride by reaction with silver ions (AgNO<sub>3</sub>). Hydrolysis of 2 appeared to be rapid under biologically relevant conditions (310 K, pH\* 7.2),

since equilibrium was reached by the time the first <sup>1</sup>H-NMR spectrum was recorded (< 6 min). Consequently the turnover frequency for the transfer hydrogenation to form NAD<sup>+</sup> does not depend on whether the chlorido or aqua adduct is used.

A <sup>1</sup>H-NMR pH\* titration of **2** gave a pK<sub>a</sub>\* value of 9.06 for the aqua ligand (Figure S3). These results suggest that complex **2** would exist mainly as the aqua adduct over the pH\* used during the experiment, which was close to physiological pH (7.4).

Mechanism of the Catalytic Reduction of NAD<sup>+</sup>. Use of deuterated formate and complex 2 gave a turnover frequency 2.4 times smaller than that with protiated formate. Since the formation rate of the hydride (β-elimination) with the heavier atom is lower, the difference in the turnover frequency between the two reactions suggests that the rate-limiting step of the reaction is the formation of Ru-H. To determine the factors that influence the reaction rate, a series of experiments with complex 2 were performed by varying the concentration of the different reactants. When the NAD<sup>+</sup> concentration was changed, no significant alterations in the rate were observed, implying that the reaction rate does not depend on the NAD<sup>+</sup> concentration. However the reaction rate decreased with lower concentrations of the hydride source (sodium formate) suggesting that formate is involved in the rate-determining step (Figure 2).

The plot of turnover frequency against formate concentration showed Michaelis-Menten behavior (Figure 3). The maximum turnover frequency for complex 2 ( $TOF_{max} = 6.4$   $h^{-1}$ ) is about 4x higher than that previously determined for the en complex [(hmb)Ru(en)Cl]<sup>+</sup> ( $TOF_{max}=1.46 \ h^{-1}$ ), <sup>23</sup> so achieving the desired increased efficiency in the reaction.

Based on the data obtained, we can propose a plausible catalytic cycle for the reduction of NAD<sup>+</sup> *via* transfer hydrogenation with formate as an hydride source (Scheme 2). This cycle is comparable with that established by Fish et al<sup>31</sup> for the reduction of NAD<sup>+</sup> via transfer hydrogenation using [(Cp\*)Rh(bipy)H<sub>2</sub>O]<sup>2+</sup> as the catalyst and formate as hydride

source. In the first step, the pre-catalyst chlorido complex is converted to the aqua adduct. The reaction of the aqua complex with HCOO generates the formate adduct [(arene)Ru(N,N')(OHCO)], The formate coordinates via a single oxygen with the carbonyl moiety making an H-bond with an NH proton. It then rotates to form an intermediate with the formate H now oriented towards the Ru center. From here, the formate adduct undergoes decomposition to the hydride complex [(arene)Ru(N,N')(H)], through a  $\beta$ -elimination reaction and generation of CO<sub>2</sub> via hydrolysis.<sup>31</sup> Transfer of hydride to produce 1,4-NADH regenerates the aqua adduct.

The low Michaelis constant ( $K_m = 27.8 \text{ mM}$ ) indicates a stronger affinity of the complex for formate compared to the complexes  $[(hmb)Ru(en)Cl]^+$  ( $K_m = 58 \text{ mM}$ )<sup>30</sup> and  $[(Cp^*)Rh(bipy)H_2O]^{2+}$  ( $K_m$  140 mM),<sup>31</sup> while the maximum turnover frequency ( $TOF_{max} = 6.4 \text{ h}^{-1}$ ) of the reaction indicated an increase in the catalytic activity compared to  $[(hmb)Ru(en)Cl]^+$  ( $TOF_{max}=1.46 \text{ h}^{-1}$ )<sup>30</sup> but lower catalytic activity compared with the complex  $[(Cp^*)Rh(bipy)H_2O]^{2+}$  (TOF=77.5 h).<sup>31</sup> The maximum activity of the complex 2 was observed at pH\* 7.2 (Figure S3). At higher pH\* the concentration of OH inhibits the reaction and at pH\* 10 or higher the complex decomposes. The experiments with complexes 1-12 as catalysts were performed in D<sub>2</sub>O and in a mixture D<sub>2</sub>O/methanol (9:2 v/v). The addition of methanol resulted in an increase in the turnover frequency compared to D<sub>2</sub>O alone.

The DFT energetics correlate well with other experimental data and predict increasing barriers (and hence slower reactions) for bn complexes in the order TfEn (12) < TsEn (11) < MsEn (10), although it should be noted that the difference between barriers is small (ca 1.1 kcal mol<sup>-1</sup>). For MsEn, the barrier for {(hmb)Ru(MsEn)}<sup>+</sup> (4) is substantially larger than for {(bn)Ru(MsEn)}<sup>+</sup> (10), which also agrees with experiment. Overall, the computational protocol appears to provide a very good description of these systems. DFT thus could

provide, in the future, a useful tool to help the *a priori* design of new complexes with desired properties.

Kinetics of Transfer Hydrogenation Reactions. The catalytic reduction of nicotinamide adenine dinucleotide (NAD<sup>+</sup>) under biological relevant conditions (310 K, pH\* 7.2) using complex 1-12 as a catalyst and formate as a hydride source showed a general tendency in which the more electron-withdrawing sulphonamides on the chelating ligand achieved higher catalytic activity. Accordingly, complexes using TfEn as chelating ligand are more active than those with TsEn ligand, and, in turn, both are more active than the complexes containing the MsEn ligand. Such trend has been previously reported for the catalytic transfer hydrogenation to aldehydes<sup>42</sup> and quinoxalines.<sup>20</sup>

The nature of the arene had a dramatic effect on the reaction rate. Electron-poor arene ligands such as benzene are reported to accept electron density from the central Ru<sup>II</sup>. Consequently the lower electron density on the Ru<sup>II</sup> center for complexes [(bn)Ru(N,N')Cl] (10, 11 and 12) compared with complexes [(hmb)Ru(N,N')Cl] (4, 5 and 6) stabilizes the coordination of the negatively-charged formate to Ru making hydride transfer from formate difficult. However, the experimental data show higher catalytic activity when the arene is more electron deficient (Table 1), [(bn)Ru(N,N')Cl] being the more active complexes while [(hmb)Ru(N,N')Cl] are the less active. This unexpected trend may be due to steric hindrance since the more sterically-hindered arene (hmb) shows the lower activity while the less sterically hindered arene (bn) shows higher activity.

# **CONCLUSIONS**

In this work we have studied a series of water-soluble Ru<sup>II</sup> half-sandwich complexes of the type  $[(\eta^6\text{-arene})Ru(N,N')(L)]$  where N,N' are mono-sulphonamide chelating ligands derived from ethylendiamine. The X-ray crystal structures of complexes 1 and 5-8 showed the familiar piano stool geometry common of  $[(\eta^6-\text{arene})\text{Ru}(X,Y)(L)]$  compounds. Particularly interesting is the effect of the more electron-withdrawing sulphonamide groups from the chelating ligand on weakening the Ru-Cl bond. Longer Ru-Cl distances appear to correlate with an increase in catalytic activity. The ability of the complexes to act as catalysts for the regioselective transfer hydrogenation of NAD<sup>+</sup> to form 1,4-NADH was studied. The catalytic activity decreases in the order TfEn > TsEn > MsEn showing that more electronwithdrawing sulphonamides increase the activity. The nature of the arene significantly influences the catalytic activity. Benzene complexes are the most active while the activity decreases in the order biphenyl > p-cymene > hexamethylbenzene. Complex [(bn)Ru(TfEn)Cl] (12) was the most active with a TOF of 10.4 h<sup>-1</sup>. Mechanistic studies on complex (2) [(p-cym)Ru(TsEn)(Cl)] showed a dependence of the reaction rate on the formate concentration, but not on NAD<sup>+</sup>. The formation of the hydride complex was shown to be the rate-limiting step through deuterium isotope experiments. DFT calculations where performed for the coordination of formate, and subsequent CO<sub>2</sub> elimination to generate the hydride. The elimination of CO<sub>2</sub> occurs via a two-step process with the coordinated formate first twisting to present its hydrogen towards the metal center and followed by  $\beta$ -elimination with Ru-H formation. The computed barriers for complexes using  $\eta^6$ -benzene follow the order MsEn > TsEn > TfEn and, for the MsEn system for the barrier with the arene bn < hmb, both consistent with the observed rates. Finally the influence of pH was also studied with the optimum pH\* (7.2) being close to physiological pH. The series of compounds studied here shows improved catalytic activity an order of magnitude compared with that of the ethylendiamine analogues which could be significant if such catalytic reactions are be used to modulate NADH levels in cells.

**Supporting Information**. Ligand synthesis, titration of complex **2** (Figure S2), pH dependent study of the reaction (Figure S3), methanol effect (Figure S4) and crystallographic data (Figure S1, Tables S1-S6). This material is available free of charge via the Internet at http://pubs.acs.org. X-ray crystallographic data in CIF format are available from the Cambridge Crystallographic Data Centre (http://www.ccdc.cam.ac.uk)

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. §These authors contributed equally.

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Table 1. Turnover frequencies for transfer hydrogenation reactions using catalysts 1-12

Complex	R <sub>1</sub> (Arene)	$R_2$	TOF (h <sup>-1</sup> ) D <sub>2</sub> O/MeOD <sup>a</sup>	$TOF (h^{-1})$ $D_2O$
1	<i>p</i> -cym	Ms	1.25	1.11
2	<i>p-</i> cym	Ts	2.88	1.58
3	<i>p-</i> cym	Tf	5.77	3.06
4	hmb	Ms	0.15	0.14
5	hmb	Ts	0.38	0.34
6	hmb	Tf	1.47	b
7	bip	Ms	3.04	b
8	bip	Ts	4.28	b
9	bip	Tf	7.45	b
10	bn	Ms	4.09	2.94
11	bn	Ts	6.76	4.50
12	bn	Tf	10.39	6.62

 $<sup>^</sup>a$  23% v/v MeOD/D2O

 $<sup>^{</sup>b}$ not soluble in  $D_{2}O$ 

Table 2. Selected bond length (Å) angles (°) for complexes 1, 5, 7 and 8

	5	8	1	7
Ru1-N6	2.141(3)	2.122(3)	-	-
Ru1-N4	-	-	2.1331(15)	2.1276(19)
Ru1-N3	2.129(3)	2.096(4)	-	-
Ru1-N1	-	-	2.1339(13)	2.1073(16)
Ru1-Cl1	2.4146(8)	2.4444(11)	-	-
Ru1-Cl1	-	-	2.4425(4)	2.4331(6)
Ru1-arene <sub>(centroid)</sub>	1.6742	1.6742	1.672	1.672
N4-Ru1-N1	-	-	78.74(6)	77.80(7)
N6-Ru1-N3	78.00(11)	78.54(14)	-	-
N1-Ru1-Cl1	-	-	84.53(5)	88.61(5)
N3-Ru1-Cl1	89.44(8)	85.44(11)	-	-
N4-Ru1-Cl1	-	-	87.91(4)	82.57(6)
N6-Ru1-Cl1	85.63(8)	82.97(11)	-	-

Table 3. Selected bond lengths (Å) angles (°) for the three crystallographically independent molecules of complex 6

	6a	6a'	6b
Ru1-N104	2.116(2)	-	-
Ru1-N101	2.151(2)	-	-
Ru1-Cl1	2.4344(6)	-	-
Ru1-N204	-	2.1234(18)	-
Ru1-N201	-	2.134(2)	-
Ru1-Cl2	-	2.4229(6)	-
Ru1-N304	-	-	2.133(2)
Ru1-N301	-	-	2.142(2)
Ru1-Cl3	-	-	2.4240(6)
Ru1-arene <sub>(centroid)</sub>	1.679	1.675	1.674
N104-Ru1-N101	77.58(8)	-	-
N101-Ru1-Cl1	90.39(6)	-	-
N104-Ru1-Cl1	84.74(6)	-	-
N204-Ru1-N201	-	77.69(8)	-
N201-Ru1-Cl1	-	87.49(5)	-
N204-Ru1-Cl1	-	84.81(6)	-
N304-Ru1-N301	-	-	77.54(8)
N301-Ru1-Cl1	-	-	89.43(5)
N304-Ru1-Cl1	-	-	84.64(6)

Table 4. Computed DFT(B3LYP) free energy barriers  $\Delta G^{\neq}$  (kcal mol<sup>-1</sup>) leading to  $CO_2$  elimination

Complex	ΔG <sup>≠</sup> Twist	ΔG <sup>≠</sup> CO <sub>2</sub> elim
10	5.9	13.7
11	4.2	12.9
12	4.2	12.6
4	-	18.1

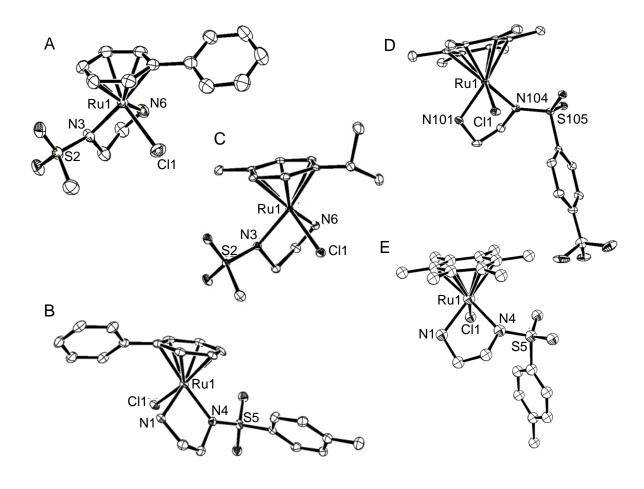
	Complex	R <sub>1</sub> (Arene)	$R_2$
R <sub>1</sub>	1	<i>p</i> -cym	Ms
H   H \ NRu	2	<i>p</i> -cym	Ts
CI	3	<i>p</i> -cym	Τf
N	4	hmb	Ms
102	5	hmb	Ts
O, ∠CH₃	6	hmb	Tf
$R_2 = \frac{3}{3}$	7	bip	Ms
Ms	8	bip	Ts
CF <sub>3</sub>	CH₃ 9	bip	Tf
	10	bn	Ms
8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	<sup>7</sup> 11	bn	Ts
Tf Ts	12	bn	Tf

Chart 1. Ruthenium arene complexes studied in this work

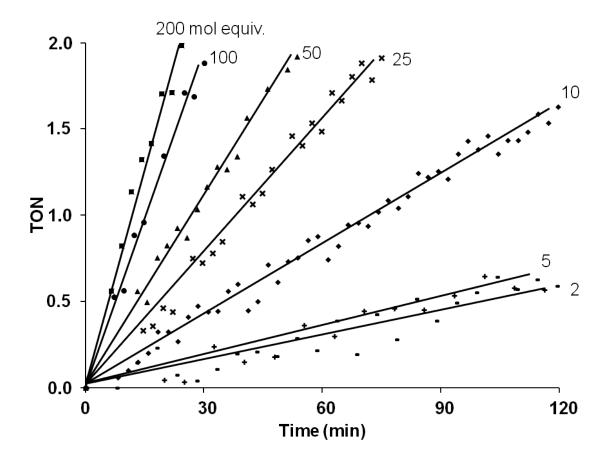
**Scheme 1.** Reduction of NAD<sup>+</sup> to 1,4-NADH in the presence of formate as an hydride source

$$\begin{array}{c} R_1 \\ R_1 \\ R_1 \\ R_2 \\ R_1 \\ R_2 \\ R_2 \\ R_3 \\ R_4 \\ R_2 \\ R_4 \\ R_2 \\ R_4 \\ R_2 \\ R_4 \\ R_5 \\ R_1 \\ R_2 \\ R_2 \\ R_4 \\ R_5 \\ R_4 \\ R_5 \\ R_5 \\ R_6 \\ R_1 \\ R_2 \\ R_1 \\ R_2 \\ R_1 \\ R_2 \\ R_2 \\ R_3 \\ R_4 \\ R_2 \\ R_5 \\ R_1 \\ R_2 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \\ R_5 \\ R_6 \\ R_1 \\ R_2 \\ R_1 \\ R_2 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \\ R_5 \\ R_5 \\ R_6 \\ R_1 \\ R_2 \\ R_2 \\ R_5 \\ R_6 \\ R_1 \\ R_2 \\ R_1 \\ R_2 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \\ R_5 \\ R_5 \\ R_6 \\ R_6 \\ R_1 \\ R_6 \\ R_1 \\ R_1 \\ R_2 \\ R_2 \\ R_1 \\ R_2 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \\ R_5 \\ R_5 \\ R_6 \\$$

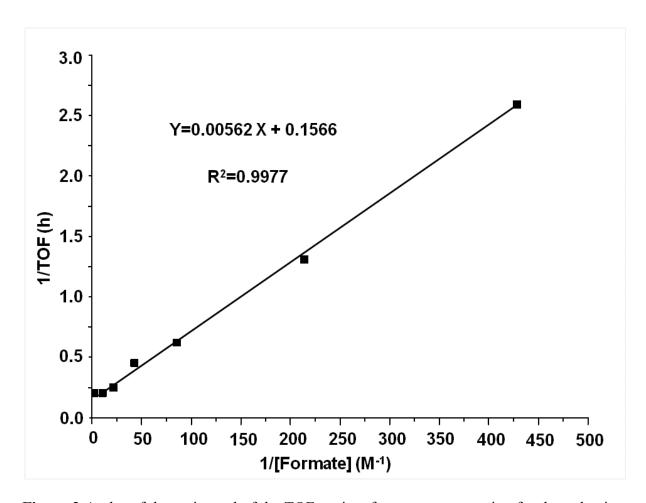
**Scheme 2.** Proposed mechanism for reduction of NAD<sup>+</sup> to 1,4-NADH via transfer hydrogenation using formate as the hydride source.  $R_1$  is p-cym, hmb, bip or bn,  $R_2$  = Ms ( $SO_2CH_3$ ), Ts ( $SO_2(C_6H_4)CH_3$ ) or Tf ( $SO_2(C_6H_4)CF_3$ )



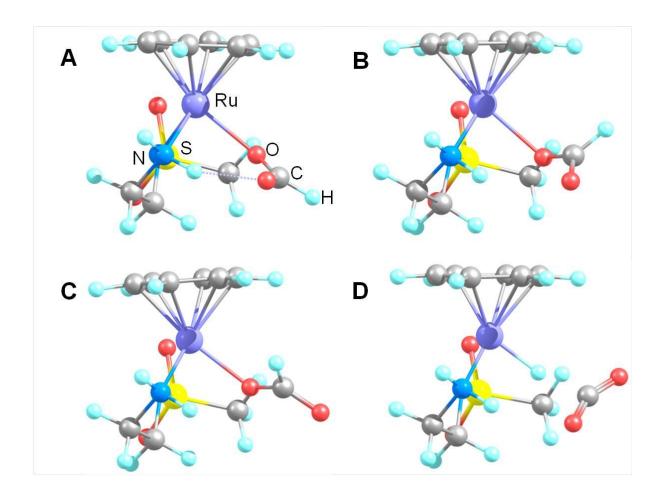
**Figure 1.** ORTEP diagrams for complexes (A) **7**, (B) **8**, (C) **1**, (D) **6**, and (E) **5**. Ellipsoids are shown at the 50% probability level. All hydrogen atoms and solvent molecules have been omitted for clarity



**Figure 2.** Dependence of the turnover number on time for the reduction of NAD<sup>+</sup> by complex **2** using formate as an hydride source (mol ratio 2:1:X, X=2, 5, 10, 25, 50, 100 or 200. pH\* 7.4, 310 K, D<sub>2</sub>O).



**Figure 3** A plot of the reciprocal of the TOF against formate concentration for the reduction of NAD<sup>+</sup> in the presence of various mol equiv of formate, catalyzed by complex **2**. For a reaction following Michaelis-type kinetics, TOF = TOF<sub>max</sub> [S]/(K<sub>m</sub> +[S]), where TOF<sub>max</sub> is the turnover frequency at infinite substrate (formate) concentration, [S] is the substrate concentration, and  $K_m$  is the Michaelis constant. Hence, TOF<sup>-1</sup>=  $(K_m/TOF_{max})(1/[S])+(1/TOF_{max})$  and  $K_m$  and TOF<sub>max</sub> can be obtained from the gradient and y intercept, respectively, of the double-reciprocal plot.



**Figure 4.** Calculated DFT structures for the formate adduct of {(bn)Ru(MsEn)}<sup>+</sup> (from **10**). (A) Intermediate complex with formate bound via a single oxygen with an H-bond between the second formate oxygen and NH from the chelating ligand. (B) Transition state for the rotation of formate which begins to orient the hydrogen towards the metal center. (C) Intermediate showing the hydrogen now oriented towards the Ru center, and finally (D) the transition state for the formation of the ruthenium-hydride bond and CO<sub>2</sub> elimination. Imaginary frequencies for B and D were 148 i cm<sup>-1</sup> and 329 i cm<sup>-1</sup>, respectively

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