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1 **Predicting QRS and PR interval prolongations in humans using**  
2 **nonclinical data**

3 **RUNNING TITLE**

4 Predicting QRS and PR prolongations in humans.  
5

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1 **ABSTRACT**

2

3 **Background and Purpose:** Risk of cardiac conduction slowing (QRS/PR prolongations) is  
4 assessed prior to clinical trials using *in vitro* and *in vivo* studies. Understanding the quantitative  
5 translation of these studies to the clinical situation enables improved risk assessment in the  
6 nonclinical phase.

7 **Experimental Approach:** Four compounds that prolong QRS and/or PR (AZD1305,  
8 flecainide, quinidine and verapamil) were characterised using *in vitro* (sodium/calcium  
9 channels), *in vivo* (guinea pigs/dogs) and clinical data. Concentration-matched translational  
10 relationships were developed based on *in vitro* and *in vivo* modelling and the *in vitro* to clinical  
11 translation of AZD1305 was quantified using an *in vitro* model.

12 **Key Results:** Meaningful (10%) human QRS/PR effects correlated to low levels of *in vitro*  
13 Nav1.5 block (3-7%) and Cav1.2 binding (13-21%) for all compounds. The *in vitro* model  
14 developed using AZD1305 successfully predicted QRS/PR effects for the remaining drugs.  
15 Meaningful QRS/PR change in humans correlated to small effects in guinea pigs and dogs  
16 (QRS 2.3- 4.6% and PR 2.3-10%), suggesting that worst case human effects can be predicted  
17 by assuming four times greater effects at the same concentration from dog/guinea pig.

18 **Conclusion and Implications:** Small changes *in vitro* and *in vivo* consistently translate to  
19 meaningful PR/QRS changes in humans across compounds, and accurate characterisation of  
20 concentration-effect relationships therefore require a model-based approach. Assuming broad  
21 applicability of these approaches to assess the safety risk for non-arrhythmic drugs, this study  
22 provides means to predict human QRS/PR effects of new drugs using *in vitro* and *in vivo* effects  
23 observed in nonclinical studies.

1 **NON-APPROVED ABBREVIATIONS**

2 BSV (between subject variability);

3 ECG (electrocardiogram);

4 FTIM (first time in man);

5 hCav1.2 (human cardiac calcium channel);

6 hNav1.5 (human cardiac sodium channel);

7 iv (intravenous);

8 rCav1.2 (rat cardiac calcium channel);

9 PD (pharmacodynamic);

10 PK (pharmacokinetic);

11 PPB (plasma protein binding);

12 QTc (heart rate corrected QT)

13

# 1 INTRODUCTION

2 Adverse effects on vital processes involved in heart function are a major cause of drug  
3 withdrawal and late stage attrition (Lavery et al., 2011; Redfern et al., 2010). Important  
4 biomarkers for heart function include the duration of key intervals in the electrocardiogram  
5 (ECG), such as QT, QRS and PR. Identifying effects on these biomarkers in nonclinical studies  
6 is vital for the progression of safe compounds into first clinical trials. Numerous investigations  
7 provide insights for predicting risk of prolongation of the heart-rate corrected QT (QTc)  
8 interval (Chain et al., 2013; Gintant, 2011; Jonker et al., 2005; Parkinson et al., 2013). Much  
9 less is known of the nonclinical to clinical translation of drug-induced conduction slowing  
10 manifested as QRS and PR prolongations, despite their association with increased risk of CV  
11 mortality and morbidity, especially in risk populations (Nada et al., 2013).

12 QRS complex duration corresponds to conduction through the ventricular myocardium,  
13 and is a predictor of sudden cardiac death (Kurl et al., 2012). In addition, treatment with  
14 conduction-slowing drugs (type 1C antiarrhythmics) increased mortality in patients with  
15 structural heart disease in the Cardiac Arrhythmia Suppression Trial (CAST) trials (Epstein et  
16 al. 1993). Drug-induced QRS widening is primarily linked to inhibition of the sodium ion  
17 channel [Nav1.5](#). Recent studies suggest that <10% block of the human Nav1.5 (hNav1.5) may  
18 lead to QRS widening in humans (Cordes et al., 2009; Harmer et al., 2011). Despite limitations  
19 including use-dependency, nonlinear translation to conduction slowing, variability across  
20 laboratories and platforms (Gintant, Gallacher & Pugsley 2011), this suggests that small  
21 disturbances in the sodium current are of relevance.

22 PR interval duration represents time of conduction through the atria and the atrio-  
23 ventricular (AV) node and prolongations are associated with increased risk of atrial fibrillations  
24 and death in risk populations (Cheng et al., 2009). The primary mechanism for drug-induced  
25 PR prolongation is AV block through inhibition of the cardiac L-type calcium ([Cav1.2](#)) channel  
26 (Nada et al., 2013). In addition to PR prolongation, Cav1.2 block can cause bradycardia  
27 (slowed heart beat), reduced contractility and sinus arrest. Potential conduction liabilities may  
28 be detected by functional human Cav1.2 (hCav1.2) electrophysiology assays (Cao et al., 2010)  
29 or radioligand binding to rat Cav1.2 (rCav1.2) (Morton et al., 2014). Radioligand binding to  
30 the diltiazem site of rat Cav1.2 is the most predictive of contractility in canine myocytes *in*  
31 *vitro* compared to radioligand binding at the verapamil and nifedipine sites and conventional  
32 and to automated functional hCav1.2 electrophysiology (Morton et al., 2014). It is not known  
33 why the radioligand binding assay outperforms the functional assay, and as discussed by

1 Morton and colleagues, the converse might be expected to be true. For example, the radioligand  
2 assay was performed using rat brain Cav1.2 while the functional assay was performed using  
3 human cardiac Cav1.2. Also, a functional assay should theoretically detect the effects elicited  
4 by binding to any site, while the binding assay is site-specific. PR prolongation may also be  
5 caused by Nav1.5 block causing slowed conduction through the atria (P wave prolongation)  
6 and/or the His-Purkinje system (Vaughan Williams, 1992). Safety margins have to our  
7 knowledge not been suggested for hCav1.2 inhibition or rCav1.2 binding.

8 During lead identification, different series of molecules are investigated to identify  
9 candidate compounds for further optimisation. At this stage, *in vitro* Cav/Nav studies may be  
10 conducted and the obtained results ( $IC_{50}$ ) used, in the context with other data, to drive chemistry  
11 and select compounds to progress into *in vivo* studies. Later, *in vivo* investigations of drug-  
12 induced effects on CV effects such as ECG intervals and haemodynamics are typically  
13 conducted in anaesthetised and/or conscious rats, guinea pigs, dogs and non-human primates  
14 (Cros et al., 2012; Erdemli et al., 2012; Heath et al., 2011; Marks et al., 2012), although rats  
15 are insensitive to hERG-mediated effects (Mcdermott et al., 2002). During lead optimisation,  
16 when a final candidate drug molecule is not yet selected, rodent cardiovascular studies may be  
17 conducted to evaluate the CV safety risks of a number of often structurally related molecules,  
18 alongside other testing such as efficacy studies. Prior to first time in man (FTIM) studies, ICH  
19 S7A/B guidance requires a non-rodent (typically dog or non-human primate) telemetry study  
20 to assess cardiovascular risk, including QRS/PR changes, as part of the pre-clinical safety  
21 package. Qualitative analyses have confirmed links between hNav1.5 inhibition, conduction in  
22 isolated rabbit heart tissue and QRS/PR prolongations in dogs and non-human primates  
23 (Erdemli et al., 2012). Also, conscious dog studies identified and differentiated QRS effects of  
24 two anti-arrhythmics (Heath et al., 2011). In this work, we wish to expand on this knowledge  
25 to investigate **quantitative** *in vivo* to clinical translations of QRS widening or PR  
26 prolongations, applying pharmacokinetic-pharmacodynamic (PKPD) and translational  
27 modelling. In this study, two approaches to translation were adopted to quantify the  
28 translational relationships between nonclinical effects and clinical QRS and PR prolongations.  
29 Firstly, empirical (top-down) *in vitro* and *in vivo* to clinical translations were investigated for  
30 the anti-arrhythmic compounds AZD1305, flecainide, quinidine and verapamil. In the top-  
31 down approach, no assumption was made regarding the nature of the translational relationships,  
32 and these were visualised by plotting concentration-matched effects for each compound  
33 independently. The translational relationships were used to identify nonclinical effects of each  
34 compound corresponding to 10% (appr. 10 ms) QRS widening or 10% (appr. 16 ms) PR

1 prolongation in humans. Thresholds of 10% effect in humans were selected as such effects  
2 were deemed clinically relevant and quantifiable in clinical studies, in the absence of generally  
3 accepted thresholds for concern (Nada et al., 2013). Secondly, mechanism-based translation  
4 using the operational model (Black and Leff, 1983) was investigated to identify the system  
5 parameters linking ion channel effects (measured *in vitro*) to clinical QRS and PR  
6 prolongations induced by AZD1305. In the middle-out approach, the *in vitro* to clinical  
7 translation is quantified by assuming a model for this relationship. While the empirical  
8 translations were investigated for all compounds, middle-out modelling was only performed  
9 for AZD1305, as high quality exposure and ECG data were available from a clinical study. In  
10 contrast to the first approach, this approach allows direct simulation of clinical effects given  
11 the estimated model and any PK curve. Objectives of this study were to *i*) compare the  
12 translational relationships between *in vitro*, *in vivo* and clinical effects on cardiac conduction  
13 for four anti-arrhythmic compounds, *ii*) identify nonclinical effects corresponding to 10% QRS  
14 and PR prolongations in humans and *iii*) quantify the systems parameters describing the  
15 relationship between ion channel effects *in vitro* and clinical QRS and PR prolongations.  
16 Results of these analyses will provide a starting point for predicting QRS widening and PR  
17 prolongation in humans based on nonclinical observations.

18

## 19 **METHODS**

### 20 **Compounds**

21 Four anti-arrhythmic compounds were investigated: the proprietary small molecule AZD1305  
22 (Sigfridsson et al., 2012) and the three marketed anti-arrhythmic compounds [flecainide](#),  
23 [quinidine](#) and [verapamil](#). AZD1305 is a mixed ion channel blocker (hERG, hNav1.5, rCav1.2)  
24 previously in development for the treatment of atrial fibrillations, which was discontinued due  
25 to safety concerns regarding QTc prolongations and TdP risk (Rónaszéki et al., 2011).  
26 Quinidine, flecainide and verapamil are class 1a, 1c and 4 anti-arrhythmics, respectively.

27

### 28 **Nonclinical data**

29 *In vivo* data were collected from previous studies in routinely conducted AstraZeneca assays  
30 in anaesthetised guinea pig (Marks et al., 2012) and conscious dog (Prior et al., 2009). All  
31 animal care and experimental procedures had local ethics committee approval and conformed  
32 to the *UK Animals (Scientific Procedures) Act, 1986*. Guinea pig and dog studies were

1 conducted as part of routine safety pharmacology validation work, and not operator/analyst  
2 blinded.

3

4 Guinea pig telemetry data were available for flecainide and verapamil as these compounds  
5 were assessed during assay validation, while no data were available for AZD1305 and  
6 quinidine. Details of the experimental setup are described by Marks and colleagues (Marks et  
7 al., 2012). Briefly, exposure and CV biomarkers were investigated in sodium pentobarbitone  
8 anaesthetised guinea pigs using parallel study designs. Four male Dunkin Hartley guinea pigs  
9 (Harlan UK Limited, weight range 496 to 614 g, age 7-8 weeks) were randomised to each  
10 treatment and vehicle group. Baseline variability was minimised by controlled body  
11 temperature and respiratory rate. Animals were housed in groups of two in cages with Aspen  
12 chip bedding and sizzle nest (supplied by Datesand Limited). Dry pellet (Teklad Global Higher  
13 Fibre Guinea-pig Diet 2041, Harlan UK Ltd) and water was offered ad libidum, fresh fruits and  
14 vegetables daily and environmental enrichment was provided in the form of chew sticks.  
15 Temperature was kept within 16-23°C and 12/12 hour light/dark cycles were maintained.  
16 Guinea pigs were prepared under continuous sodium pentobarbitone anaesthesia as previously  
17 described (Marks et al. 2012). Guinea pigs were artificially ventilated following a tracheotomy  
18 and body temperature was controlled using a homeothermic blanket system. Catheters were  
19 inserted to into the jugular veins for administration of drug and anaesthetic and for blood  
20 sampling and the carotid arteries for monitoring left ventricular and arterial pressure and  
21 contractility. Needle electrodes were placed in a lead II configuration for monitoring the ECG.  
22 Guinea pigs were allowed to stabilise for 20 minutes following surgical preparation, monitored  
23 continuously during anaesthesia and terminated by an overdose of pentobarbitone at the end of  
24 the procedure. Lead II ECGs were monitored continuously by needle electrodes during a 20  
25 minute stabilisation period followed by an intravenous infusion of three 15-minute ascending  
26 doses and a 30-minute washout period. Exposure data were collected and 1 minute averages of  
27 continuous ECG recordings extracted at 10 time points each. Doses, the achieved exposure and  
28 the resulting change in QRS and PR interval durations are summarised in **Table 1**.

29

30 Details of the experimental setup for the dog telemetry assays are described by Prior et al.  
31 (2009) and Bergenholm et al. (2016). Briefly, exposure and CV biomarkers were investigated  
32 in conscious male beagle dogs (Dog Breeding Unit, Alderley Park, AstraZeneca, weight 11.2-  
33 18.3 kg, age 19-31 months) using cross-over study designs. Animals were housed in groups of  
34 four or less except during recording days and feeding when they were housed individually. Pen



1 temperature was kept within  $20\pm 5^{\circ}\text{C}$  and 12/12 hour light/dark cycles were maintained. Dry  
2 pellet (350g SDS-Dog-D3(E) SQC diet (Special Diet Services Ltd) was offered in the  
3 afternoon, water provided ad libitum and toys offered for environmental enrichment. Cardiac  
4 effects were monitored using telemetry devices (DSI® PhysioTel) surgically implanted under  
5 anaesthesia prior to this study as previously described (Prior et al., 2009). The telemetry  
6 transmitter had been placed in the abdominal muscle and the ECG electrodes sutured in a lead  
7 II configuration across the chest. A minimum of four weeks recovery was allowed between  
8 surgery and each study. Animal welfare was monitored using CCTV cameras, by examining  
9 all animals for abnormal signs prior to the start of dosing and at each blood sampling time  
10 point, and by recording food consumption. Four dogs were orally administered vehicle and  
11 each treatment dose in single ascending doses separated by 2-5 days. ECG were extracted as  
12 mean values of 5 ECG complexes and exposure collected from 1h pre-dose and at 13 (CV) or  
13 6 (exposure) time points up to 24h post-dose. Doses, achieved exposure and resulting change  
14 in QRS and PR interval durations are summarised in **Table 1**.

15

16 The relationships between drug concentration and hNav1.5 inhibition were simulated  
17 using estimates of concentrations at 50% inhibition ( $IC_{50}$ ) and Hill coefficients ( $\gamma$ ) measured  
18 by automated IonWorks electrophysiology using hNav1.5 transfected Chinese hamster ovary  
19 cells (Harmer et al., 2008). This assay is routinely conducted at AstraZeneca, and was  
20 consistently evaluated at 8 concentrations using physiological pacing rates (3 Hz) for all  
21 compounds. Compound interactions with human Cav1.2 were studied by automated  
22 electrophysiology (Morton and Main, 2013; Morton et al., 2014) and with brain Cav1.2 from  
23 male Wistar rats by radioligand binding to the diltiazem, verapamil and nifedipine sites  
24 (Morton et al., 2014) (Table 1). Data from both assays and all binding sites were initially  
25 explored, and the estimated concentrations at 50% binding to the diltiazem site ( $K_i$ ) were  
26 chosen to simulate *in vitro* Cav1.2 effects based on these initial results and the findings by  
27 Morton and colleagues (Morton et al., 2014).

28

## 29 **Clinical data**

30 Exposure, QRS and PR intervals following AZD1305 treatment were collected from a  
31 randomised, double-blinded and placebo-controlled phase I study in 29 healthy male  
32 volunteers. Subjects were assigned to a dose group and thereafter randomised to placebo or  
33 treatment. This study was performed in accordance with the ethical principles of the

1 Declaration of Helsinki, is consistent with the International Conference on Harmonisation  
2 (ICH)/Good Clinical Practice. Details of this clinical study are described elsewhere (Parkinson  
3 et al., 2013). Healthy volunteers were administered two separate doses of placebo or AZD1305  
4 (six oral doses (10-500 mg) and two iv doses (10 and 70 mg)). Lead II ECGs were monitored  
5 continuously and extracted at baseline and at 18 specific time points and plasma samples were  
6 taken pre-dose and at 14 time points within 24 hours following dose administration.

7 Literature searches were conducted in Pubmed to identify the clinical effects of  
8 flecainide, quinidine and verapamil on QRS and PR. Search criteria and references to the  
9 identified studies are described in **Supplementary materials 1**. Measured individual  
10 exposures together with QRS and PR intervals over time or at pre-dose were rarely reported.  
11 Therefore, associated pairs of exposure and QRS and PR change from baseline were collected,  
12 such as pairs of maximal exposure and effect or exposure and effect sampled at the same time  
13 point. Information in text, tables and/or figures was used to extract the data and percentage  
14 change in QRS or PR intervals was converted to change in ms. Collected additional information  
15 included number of subjects, dose, route of administration, dosing history and if the subjects  
16 were healthy volunteers or patients. Studies of verapamil effects following iv administration  
17 were excluded to increase consistency with dog data as verapamil more potently induces PR  
18 prolongations following iv compared to oral administration (Reiter et al., 1982), primarily due  
19 to different metabolism and potency of its two enantiomers (Echizen et al., 1985a, 1985b).

## 21 **Plasma protein binding**

22 Free (unbound) plasma concentrations were calculated using *in vitro* estimates of plasma  
23 protein binding (PPB) for each compound in guinea pig, dog and human plasma by a standard  
24 equilibrium dialysis method (Banker et al., 2003) for all compounds except flecainide, where  
25 dog PPB was acquired from Heath et al. (2011). Unbound fractions originated from AZ  
26 laboratories, contracting laboratories and literature sources.

## 28 **Nonclinical to clinical translation**

29 Two approaches were adopted to quantify the translational relationships between nonclinical  
30 effects and clinical QRS and PR prolongations (**Figure 1**).

31  
32 *Translation method 1: Top-down (empirical) translation*

1 Empirical translational relationships between *in vitro*, guinea pig or dog effects and  
2 effects in humans were investigated for the anti-arrhythmic compounds AZD1305, flecainide,  
3 quinidine and verapamil, following the approach visualised in **Figure 1A**.

4 Exposure-effect relationships for each compound were characterised in each species  
5 using PKPD modelling (all guinea pig and dog data, clinical AZD1305 data) or nonlinear  
6 regression (clinical literature data for flecainide, quinidine and verapamil). Monolix 4.3.2  
7 (Lixoft) and MATLAB 2013b (The MathWorks) were used to develop and analyse the models.  
8 Detailed methods are described in Bergenholm et al., (2016) (dog PKPD models),  
9 **Supplementary materials 1** (human regression models) and in **Supplementary materials 2**  
10 (guinea pig and human PKPD models). Briefly, a model was developed to describe baseline  
11 and drug-induced effects on QRS and PR intervals for each compound in each species. A single  
12 phase cosine function was applied to describe potential circadian variations and an RR interval  
13 correction model was applied to describe potential changes due to heart rate variations, both at  
14 baseline and due to drug effects. Direct and delayed (effect compartment) proportional and  
15  $E_{max}$  drug effect models were evaluated. Estimated drug effect parameters were extracted from  
16 the selected models to simulate the predicted change from baseline. Assuming no uncertainty  
17 in the baseline was required as this information was not available for the literature models. Ion  
18 channel effects were simulated using the collected *in vitro* parameters.

19 The resulting exposure-effect models and the *in vitro* models were used to simulate QRS  
20 or PR prolongations, hNav1.5 inhibition or rCav1.2 binding at 100 evenly spaced, matched  
21 concentrations within the supported concentration ranges. Each translation was investigated at  
22 matching total and unbound concentrations by converting the estimated drug effect parameters  
23 accordingly, and in millisecond and percentage change from baseline by scaling the simulated  
24 responses. Uncertainty and variability in the estimated drug effects were estimated and  
25 visualised by 95% confidence intervals (CIs) for the typical effects and prediction intervals  
26 (PIs) for new observations. The CIs provide a range for the estimated average drug effects as  
27 predicted by the model, and are useful for cross-species translation as they represent the typical  
28 behaviour. As CIs represent uncertainty in typical effects, they get tighter as the amount of data  
29 increases. PIs provide a range for new observations, take both variability and residuals into  
30 account, and do not get smaller when the amount of data increases. PIs are therefore wider than  
31 CIs, and of importance to predict new data. For the population PKPD models, the CIs and PIs  
32 were generated using Monte Carlo methods. CIs were constructed from the covariance matrices  
33 of the typical parameters for the PD drug effects and PIs from the estimated typical parameters,  
34 between-subject variabilities and residual variabilities. 10000 randomly sampled parameter

1 sets were simulated and sorted at each concentration, and the 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles were  
2 extracted. Non-physiological parameter values (e.g.,  $EC_{50}$  below 0) were removed. CIs and PIs  
3 for the regression models based on literature data were produced using the built-in Matlab  
4 function *predict*.

5 Predicted *in vitro*, guinea pig and dog effects were plotted against the predicted human  
6 effect at matched total and unbound concentrations to visualise the translations for each  
7 compound. Nonclinical effects corresponding to a 10% change in humans were extracted.

#### 8 9 *Translation method 2: Middle-out (semi-mechanistic) translation*

10 A middle-out translation method was applied to quantify the *in vitro* to clinical translation,  
11 where a mathematical description for the translational relationship was assumed and quantified.  
12 AZD1305 was selected for this analysis as high-quality, high-resolution clinical data were  
13 available, rather than the literature analyses combining many studies. *In vitro* and clinical  
14 AZD1305 data were combined to estimate the signal transductions from effects at the ion  
15 channel level to clinical QRS or PR prolongations using the operational model of agonism  
16 (Black and Leff, 1983) as visualised in **Figure 1B**. The model was applied according to

$$\Delta ECG_d = \frac{E_m(\tau c_{e,u}^\gamma)^n}{(K_d^\gamma + c_{e,u}^\gamma)^n + (\tau c_{e,u}^\gamma)^n} \quad (1)$$

18  
19 where  $c_{e,u}$  is the predicted unbound drug concentration in the effect compartment,  $K_d$  the  
20 concentration at 50% bound or inhibited receptor,  $\gamma$  the Hill factor of the drug-ion channel  
21 interaction,  $E_m$  the maximal QRS or PR prolongations possible in the system,  $\tau$  the transducer  
22 ratio and  $n$  the exponent of the sigmoidal relationship between bound/inhibited ion channel and  
23 QRS or PR prolongation. The transducer ratio  $\tau$  is the ratio of the maximum inhibited/bound  
24 ion channels to the inhibited/bound ion channels corresponding to the half-maximum response.  
25 The  $E_m$  values could not be estimated from the AZD1305 data, as maximum prolongations  
26 were not reached causing practical identifiability issues. To allow estimation of the remaining  
27 parameters, the  $E_m$  values were therefore fixed. A range of  $E_m$  values (20-100 ms) was  
28 investigated by performing parameter estimation and simulating the resulting models.  $K_d$  and  
29  $\gamma$  were fixed to the *in vitro* estimates describing the ion channel inhibition or binding. Also,  
30 baseline variability was minimised as described in **Supplementary materials 2** and an effect  
31 compartment was applied to account for the short delay between exposure and QRS and PR  
32 effect.

1 The operational model was developed using *in vitro* and high-quality phase I clinical data  
2 for AZD1305. As the operational model has been shown to be structurally identifiable (Janzen  
3 et al. 2016), and assuming that the mechanisms of new compounds are similar, the system-  
4 specific parameters of this model ( $E_m$ ,  $\tau$  and  $n$ ) may be fixed and effects of such compounds  
5 predicted by incorporating the *in vitro* potency ( $K_d$  and  $\gamma$ ) of the new compounds. Such  
6 predictions were produced for flecainide, quinidine and verapamil by combining their specific  
7 *in vitro* potencies with the estimated systems parameters. These predictions were then  
8 compared to the collected QRS and PR prolongation data from the literature study. This can be  
9 viewed as a form of validation of the system-specific parameters, as this evaluates the  
10 performance of the system model to predict new data on which it was not trained.

11 Finally, the systems parameters were used to predict QRS and PR prolongations at 0-  
12 100% inhibition/binding and generate 95% confidence intervals for this relationship using  
13 Monte Carlo methods similar to the PKPD models. Also, percentage inhibition/binding  
14 corresponding to 10% QRS or PR prolongations were extracted.

15

## 16 **Nomenclature of Targets and Ligands**

17 Key protein targets and ligands in this article are hyperlinked to corresponding entries in  
18 <http://www.guidetopharmacology.org>, the common portal for data from the IUPHAR/BPS  
19 Guide to PHARMACOLOGY (Southan et al., 2016), and are permanently archived in the  
20 Concise Guide to PHARMACOLOGY 2015/16 (Alexander et al., 2015).

21

## 22 **RESULTS**

### 23 **Nonclinical and clinical data**

24 The acquired data are summarised in **Table 1**, including effects of the investigated compounds  
25 on Nav1.5 and Cav1.2 *in vitro* and QRS and PR intervals in humans, dogs and guinea pigs.  
26 Changes in heart rate and blood pressure were also observed. Both were slightly increased  
27 following AZD1305 treatment in dogs and humans and decreased following quinidine,  
28 flecainide and verapamil treatment in dogs and verapamil treatment in guinea pigs, while only  
29 heart rate was decreased following flecainide treatment in guinea pigs.

30

### 31 **Translation to QRS complex widening in humans**

32

1 *Translation method 1: Top-down translation to clinical QRS widening*

2 PKPD or regression models were developed to describe drug-induced QRS effects for all  
3 compounds, and the parameters describing the drug effects were extracted and simulated to  
4 generate the CIs and PIs (**Figure 2, Table 2**). QRS effects of AZD1305, flecainide and  
5 quinidine were described by proportional models in dogs, while effects of flecainide in guinea  
6 pigs were better described by an effect compartment power model. QRS prolongations by  
7 AZD1305 and quinidine in humans were captured by proportional models, while a sigmoid  
8 model better described the larger prolongations reached following treatment with flecainide.  
9 The wide PIs indicate large variability and residuals in the data sets. Details of the PKPD and  
10 regression modelling results are described in **Supplementary materials 1** (human regression  
11 models) and **Supplementary materials 2** (human and guinea pig PKPD models) and in  
12 Bergenholm et al. (2016) (dog PKPD models).

13 Simulated QRS widenings in humans were plotted against *in vitro* and *in vivo* effects at  
14 matched total or unbound exposures to visualise the translational relationships for each  
15 compound. Uncertainty in the mean predictions and variability in the data were visualised by  
16 overlaying the CIs and PIs, respectively. Nonclinical effects corresponding to 10% QRS  
17 widening in humans were extracted. Typical QRS widenings of 10% occurred at unbound  
18 concentrations corresponding to 3-7% (CI range 2-9%) hNav1.5 inhibition *in vitro* (**Figure**  
19 **3A**). This indicates that conduction liabilities may occur well below the  $IC_{50}$  of a compound,  
20 where Hill factors have large impact. Hill factors were 0.75-1.2 for the investigated  
21 compounds. Assuming Hill factors of 1 resulted in considerably less consistent translational  
22 relationships (2-10% hNav1.5 inhibition compared to 3-7% when Hill factors were included).  
23 CIs for AZD1305 and quinidine were overlapping, whilst QRS widening by flecainide were  
24 larger at equal *in vitro* changes. Accounting for the fractions unbound was vital for consistent  
25 *in vitro* to human translational relationships between the compounds.

26 For the *in vivo* to clinical translations, 10 % QRS widening in humans corresponded to 4.6 %  
27 (CI range: 2.1-9.9) in guinea pig (**Figure 3B**) and 2.3-3.3 % (CI range: 0.8-4.5) in dog (**Figure**  
28 **3C**) at matched total concentrations. The confidence intervals for all three compounds  
29 overlapped for the dog to human translation. The guinea pig to human translation was only  
30 investigated for flecainide and therefore has lower confidence compared to dogs. Higher  
31 sensitivity to detect flecainide changes was observed in guinea pigs compared to dogs, while  
32 humans were the most sensitive. QRS interval baselines were shorter in guinea pigs and dogs  
33 by approximately 75 and 50 %, respectively. Comparisons of absolute differences therefore

1 further increased the translational gap. Similar results were acquired for translating effects of  
2 total and unbound drug *in vivo* as PPB fractions were similar between the species.

3  
4 *Translation method 2: Middle-out translation to clinical QRS widening* Identifiability issues  
5 led to high correlation between  $E_m$  and  $\tau$ , and was solved by fixing  $E_m$ . Goodness of fit values  
6 were improved when  $E_m$  was increased from 20 ms to 40 ms, and remained similar up to 100  
7 ms. Simulations of optimised models with fixed  $E_m$  values between 40 and 100 ms showed  
8 similar predictions up to 20 ms change (Figure in Supplementary Materials 3). As widenings  
9 above 20 ms are unlikely to occur in a safety setting (by a drug not intended to cause QRS  
10 widening), and highest observed widenings for all investigated compounds were 31 ms, an  $E_m$   
11 value of 40 ms was selected. The selected value for  $E_m$  influenced the estimated value for  $\tau$ .  
12 The operational model with  $E_m = 40$  ms well described AZD1305-induced QRS widenings  
13 (**Figure 4A**). Final estimates for  $\tau$  was high ( $8.0 \pm 0.4$ ), suggesting an efficient signal  
14 transduction with some signal amplification, as the exponent  $n$  was larger than 1 ( $1.5 \pm 0.1$ ).  
15 Baseline and effect compartment parameters were similar to the estimated values in the PKPD  
16 models (**Supplementary materials 2**).

17 In order to test whether the systems properties of AZD1305 could be used in the  
18 prediction of other compounds, the systems parameters were combined with *in vitro* potency  
19 parameters for flecainide and quinidine and used to predict the QRS widening of these  
20 compounds in the measured range of unbound concentrations (**Figure 4B**). QRS widenings  
21 induced by quinidine were well predicted while flecainide effects were slightly under-  
22 predicted.

23 The translational relationship between inhibited hNav1.5 and QRS widening in humans  
24 was simulated and the CIs and PIs generated (**Figure 4C**). These results indicate that only 6%  
25 (CI range: 5-7%) inhibition of hNav1.5 is required to induce 10% QRS widening.

## 26 27 **Translation to PR interval prolongation in humans**

### 28 *Translation method 1: Top-down translation to clinical PR prolongation*

29 PKPD or regression models were developed to describe drug-induced PR effects for all  
30 compounds, and the parameters describing the drug effects were extracted and simulated to  
31 generate CIs and PIs (**Figure 5, Table 3**). PR effects of AZD1305 and flecainide in dogs were  
32 described by proportional models and verapamil by an  $E_{max}$  model, and effects of flecainide  
33 and verapamil in guinea pigs were described by effect compartment proportional models. PR  
34 prolongations by AZD1305 in humans were captured by a proportional model, while sigmoid

1 or  $E_{max}$  models better described the larger prolongations reached following treatment with  
2 flecainide or verapamil. The wide PIs indicate large variability in the data sets. Details of the  
3 PKPD and regression modelling results are described in **Supplementary materials 1** (human  
4 regression models) and **Supplementary materials 2** (human and guinea pig PKPD models)  
5 and in Bergenholm et al. (2016) (dog PKPD models).  
6

7 Simulated PR prolongations in humans were plotted against *in vitro* and *in vivo* effects  
8 at matched total or unbound exposures to visualise the translational relationships for each  
9 compound. Nonclinical effects corresponding to 10% PR prolongation in humans were  
10 extracted. Typical PR prolongations of 10% occurred at unbound concentrations corresponding  
11 to 13-21% (CI range 8-24%) rCav1.2 binding at the diltiazem site *in vitro* (**Figure 6A**). PR  
12 prolongations by verapamil were slightly larger compared to AZD1305 and flecainide at equal  
13 *in vitro* effects, although the CIs were largely overlapping. Accounting for the PPB was vital  
14 for consistent *in vitro* to human translational relationships between the compounds.

15 For the *in vivo* to clinical translations, 10 % PR prolongation in humans corresponded to  
16 a 2.3-4.3 % change in guinea pigs (CI range: 0.3-7.6) (**Figure 6B**) and 2.4-10 % change in dogs  
17 (CI range: 1.9-28) (**Figure 6C**) at matched total concentrations. The CIs for flecainide and  
18 verapamil overlapped for the guinea pig to human translations and for AZD1305 and verapamil  
19 for the dog to human translations, whilst PR prolongations by flecainide were larger in humans  
20 for equal prolongations in dogs. Different administration routes were used, and may primarily  
21 have influenced the guinea pig to human translation of verapamil, as iv infusion of verapamil  
22 induces more PR prolongation in humans compared to oral administration (Reiter et al.,  
23 1982). Typical PR interval point baselines were 170 ms in humans, 103 ms in dogs (61 % of  
24 human) and 62 ms in guinea pigs (37 % of human), and absolute differences between effects  
25 in guinea pigs, dogs and humans were thus larger than relative differences. Similar results were  
26 acquired for translating effects of total and unbound drug *in vivo* as PPB fractions were similar  
27 between the species.  
28

29 *Translation method 2: Middle-out translation to clinical PR prolongation* Practical  
30 identifiability issues led to high correlation between  $E_m$  and  $\tau$ , and was solved by fixing  $E_m$ .  
31 Similar results were obtained, where simulations of optimised models with fixed  $E_m$  values  
32 between 40 and 100 ms showed similar predictions up to 30 ms change (Figure in  
33 Supplementary Materials 3). As highest observed prolongations for all investigated compounds  
34 were 56 ms, an  $E_m$  value of 60 ms was selected. The operational model with  $E_m = 60$  ms well



1 described AZD1305-induced PR prolongations (**Figure 7A**) although the high variability in  
2 the data led to wider CIs and PIs compared to the QRS model. The final estimate for the system  
3 parameter  $\tau$  was lower for PR compared to QRS and with larger uncertainty ( $4.0\pm 0.7$  vs.  
4  $8.0\pm 0.4$ ), reflecting a less efficient signal transduction and reduced precision due to the more  
5 variable data. The exponent  $n$  was estimated to be  $2.1\pm 0.2$ , suggesting some signal  
6 amplification. Baseline and effect compartment parameters were similar to the estimated values  
7 in the PKPD models (**Supplementary materials 2 and 3**).

8 The systems parameters were combined with *in vitro* potency parameters for flecainide  
9 and verapamil and used to predict the PR prolongation of these compounds in the measured  
10 range of unbound concentrations (**Figure 7B**). To account for the different potency and  
11 metabolism of the two verapamil enantiomers (Echizen et al., 1985a, 1985b), the efficacy of  
12 verapamil was assumed to be mediated only by the more potent S enantiomer. The estimated  
13  $K_i$  for verapamil of  $0.044 \mu\text{M}$  was therefore corrected to account to the predicted enantiomer  
14 composition *in vivo* by  $K_{i,invivo} = K_i * 0.5 / 0.18$  (fraction S enantiomer *in vitro* / fraction S  
15 enantiomer *in vivo*; human verapamil ratio: Echizen, Vogelgesang, et al. 1985). PR  
16 prolongations induced by flecainide were slightly over-predicted while verapamil effects were  
17 well predicted by the model.

18 The translational relationship between bound rCav1.2 and PR prolongation in humans  
19 was simulated and the CIs and PIs generated (**Figure 7C**). These results predict that 15% (CI  
20 range: 12-22%) binding of rCav1.2 at the diltiazem site is required to induce 10% PR  
21 prolongation.

## 22 23 **DISCUSSION AND CONCLUSIONS**

### 24 *Small in vitro interactions lead to relevant QRS/PR prolongations*

25 Translation between *in vitro* effects and QRS/PR change in humans show that relatively low  
26 hNav1.5 inhibition (3-7%) and rCav1.2 binding (13-21%) correlate with 10% QRS/PR change  
27 (**Figure 3A and 6A**). Translation using the middle-out approach resulted in similar thresholds,  
28 strengthening the confidence in the predicted relationships. Since only low inhibition/binding  
29 is necessary to induce human QRS/PR changes, using  $IC_{50}$  in margin calculations may over-  
30 or understate risk when Hill (sigmoidicity) factors are different from 1, as Hill factors have a  
31 high impact at these inhibition levels. For example, 10 % inhibition occurs at concentrations 9  
32 times lower than  $IC_{50}$  with a Hill factor of 1, but only 4 times lower with a Hill factor of 1.5.  
33 Concentrations corresponding to inhibitions leading to a meaningful human change may

1 therefore provide safer margins, such as 5% hNav1.5 inhibition and 15% rCav1.2 binding.  
2 However, technical issues may lead to difficulties measuring these relatively small inhibition  
3 levels and to large variability in the range of  $IC_5$ - $IC_{15}$  compared to  $IC_{50}$ . Considering the full  
4 concentration-response curve is therefore important, and extrapolation from  $IC_{50}$  values as has  
5 been done for unbound  $C_{max}$  and hERG channel inhibition (Redfern et al., 2003) may be  
6 necessary.

7 *In vitro* to clinical translations to human QRS widenings were highly consistent, although  
8 QRS widening by flecainide was higher at similar inhibition levels compared to AZD1305 and  
9 quinidine (**Figure 3A**). This reflects the mechanisms of action of type 1a and 1c  
10 antiarrhythmics (quinidine and flecainide, respectively), which bind to the open state of Nav1.5  
11 (Hondeghe, 1987) and dissociate to the closed states with different rates. Flecainide  
12 dissociates slower compared to quinidine (>1500 ms vs. 300-1500ms, Wilde 1998), leading to  
13 increased accumulation of Nav1.5 block between heart beats. More Nav1.5 block therefore  
14 remains at the beginning of each action potential, causing more QRS widening.

15 Translation of *in vitro* effects to clinical PR prolongations were relatively consistent  
16 between the investigated compounds. Similar inhibition levels resulted in larger PR  
17 prolongations for verapamil, possibly resulting from differences in the selectivity of the  
18 compounds towards additional binding sites on Cav1.2, as verapamil binds to the verapamil  
19 site on Cav1.2 in addition to the diltiazem site (Table 1). Also, while QRS prolongations are  
20 strongly linked to the block of a single ion channel, multiple mechanisms contribute to  
21 AZD1305-, flecainide- and verapamil-induced PR prolongations that were not taken into  
22 account in this work. For example, AZD1305 and flecainide prolong the P wave (by Nav1.5  
23 block) and flecainide also reduces intra-cellular  $Ca^{2+}$  release (Bannister et al., 2015; Watanabe  
24 et al., 2009).

25 While the top-down *in vitro* to clinical relationships provide predictions of human effects  
26 at specific *in vitro* levels such as the predicted therapeutic  $C_{max}$ , they cannot directly be used to  
27 predict effects at full PK curves. However, this is possible with the semi-mechanistic approach  
28 using the estimated system parameters in combination with *in vitro* (unbound) potency. Such  
29 predictions may be used to predict exposure-effect relationships as exemplified in **Figure 4B**  
30 and **Figure 7B**, or alternatively over time simulating QRS/PR effects at a predicted PK. While  
31 large QRS/PR effects may be under-predicted due to the assumed maximal ( $E_m$ ) values, such  
32 large side effects are unlikely to occur. This approach may also be used to combine all available  
33 data (or data only for reference compounds) to estimate systems parameters to predict clinical

1 effects of unknown entities. The approach has yet to be proven by predicting clinical PR and  
2 QRS change using preclinical data of an unknown entity.

### 3 *QRS and PR prolongations are smaller in dogs and guinea pigs compared to humans*

4 The translational relationships for QRS/PR effects demonstrated smaller changes at  
5 matched exposures in the nonclinical species compared to humans. However, across  
6 compounds, the effects were consistent, especially for QRS where low percent changes were  
7 3-4 times larger in humans compared to dogs. PR translations were more variable, with human  
8 changes 1-4 times larger compared to dogs. Fewer compounds were investigated in guinea  
9 pigs, reducing the confidence in these results and limiting the possibility to evaluate the  
10 consistency in the translation between compounds. However, guinea pigs did show similar  
11 trends as dogs, with lower sensitivity compared to humans.

12 It is important to note that the levels of effects in dogs and guinea pigs that correspond  
13 to meaningful clinical changes of 10% (2-5% for QRS, 2-10% for PR) are well below the effect  
14 levels that these studies are typically powered for (guinea pig: 19/21% QRS/PR, Marks et al.,  
15 2012). However, this power analysis is based on point-wise statistics, whereas employing a  
16 PKPD modelling approach increases sensitivity and specificity (Gotta et al., 2015) as all dose  
17 levels and time points are used simultaneously. Conducting PKPD modelling of nonclinical *in*  
18 *vivo* data as a routine analysis is therefore recommended to improve power to identify small  
19 QRS/PR effects. Furthermore, nonclinical effects should be evaluated well above the expected  
20 therapeutic exposure to ensure that potential side effects in cardiac conduction are developed.

21

### 22 *Possible mechanisms for the reduced sensitivity of dogs and guinea pigs*

23 Anatomically, guinea pig and dog hearts are 300 and 6 times lighter than human hearts  
24 (Joseph, 1908) and have smaller specialised tissues, e.g. AV node (reviewed in Abolghassem,  
25 2009) resulting in shorter QRS and PR intervals. Therefore, evaluating relative rather than  
26 absolute changes from baseline reduces the translational gap between guinea pigs, dogs and  
27 humans.

28 A major assumption is that the *in vitro*, *in vivo* and clinical (unbound) plasma  
29 concentrations all are equivalent to the target tissue exposure. For these compounds, the same  
30 fraction unbound was applied as the measured PPBs were similar across species, and  
31 considered to be within the variability of the assay. However, small errors in these fractions  
32 have direct impact on the translational relationships, and high quality data of the free fractions  
33 in each species could potentially improve precision in the translational relationships. Errors in  
34 PPB are however unlikely to cause the high differences in sensitivity. Exposures at the target

1 sites may also differ between species due to differences in the distribution to the heart tissue  
2 and intra-cellular targets.

3 The reduced sensitivity of guinea pigs and dogs to conduction slowing is likely to be  
4 present at the tissue level as flecainide and quinidine reduce the depolarisation rate more in  
5 human atrial tissue compared to guinea pig, rabbit and dog (Wang et al., 1990). It is not known  
6 if *in vitro* studies using guinea pig and dog Nav/Cav would indicate reduced potency compared  
7 to human Nav/Cav. Cav1.2 is multi-functional with many splice variants (Hofmann et al.,  
8 2014) which could potentially differ between species. 94-98% amino acid homology of Nav1.5  
9 between mice, rats, pigs and humans (Zimmer et al. 2002; Blechschmidt et al. 2008) indicate  
10 that Nav1.5 is highly conserved between species. However, the relative quantity of different  
11 isoforms of Nav vary throughout the conduction system (reviewed in Haufe, Chamberland, &  
12 Dumaine, 2007) and between species (Blechschmidt et al., 2008). Also the density of other ion  
13 channels may contribute to the differences in sensitivity. This has been suggested for QT  
14 prolongation, where higher densities of Kir2.1 and Kmin in dogs increase the repolarisation  
15 reserve, reducing repolarisation slowing due to ERG block (Jost et al., 2013). Thus, conduction  
16 slowing may differ between species partly due to differences in the relative quantity of ion  
17 channel isoforms and splice variants.

18

### 19 *Limitations*

20 One major limitation of this work is the low number of compounds investigated the translation  
21 to human effects for each endpoint (3 for the *in vitro* and the *in vivo* dog and 1-2 for the *in vivo*  
22 guinea pig). Historical studies were used for this analysis, and the number of compounds were  
23 therefore limited by the availability of sufficient data in the investigated models. The low  
24 number of compounds is a consequence of that compounds with potency against these targets  
25 are typically screened out prior to *in vivo* and clinical studies. Also, the data sets were  
26 incomplete as data for 2 of the test compounds were not available in guinea pig. Although these  
27 limitations may be partly overcome by strengthening each individual translation by applying  
28 all *in vitro* and *in vivo* relationships suggested in this work, additional investigations into these  
29 translational relationships are important to increase confidence in human predictions. All *in*  
30 *vivo* studies were conducted with small group sizes of at the most four animals per treatment  
31 group. However, applying PKPD modelling to analyse these data allow simultaneous analysis  
32 of data across treatments and time points, thus increasing both sensitivity and specificity of the  
33 analysis (Gotta et al. 2015).

34

1 *Applying the translational relationships to reduce conduction liabilities*

2 Prior to this study, no quantitative information was available on the relative sensitivity to  
3 drug-induced QRS/PR effects in nonclinical species and humans. Although this study is limited  
4 by the low number of investigated compounds, it provides a starting point for nonclinical  
5 assessment of conduction liabilities and predictions to humans. Improved sensitivity to detect  
6 potential liabilities of compounds in drug discovery can reduce animal use, as potentially  
7 unsafe drugs can be discontinued at an earlier stage, with clear relevance for the replacement,  
8 refinement or reduction (the 3Rs). Compounds with different mechanisms of action were  
9 investigated to account for possible compound-specific differences and to achieve a broader  
10 applicability of the recommendations and translational relationships of this work. Despite the  
11 relatively consistent *in vitro* to clinical translations for the investigated compounds, the  
12 influence of drug-ion channel kinetics and other mechanisms on QRS/PR prolongations  
13 highlight the importance of also evaluating drug effects *in vivo*.

14 This study has not defined a threshold for clinical QRS/PR effects that should be avoided,  
15 but has considered a 10% change in humans to be meaningful and then observed what the  
16 nonclinical *in vitro* or *in vivo* change was at matched concentrations. Resulting nonclinical  
17 changes at 10% or any preferred level of change in humans (**Figure 3** and **Figure 6**) may be  
18 used as first attempts to define margins for acceptable effects at expected unbound therapeutic  
19 concentrations, to be easily applied in early *in vitro* and *in vivo* safety assessment. Before FTIM  
20 studies, a more in-depth assessment of the therapeutic dose range may be required, such as  
21 clinical simulations of PR/QRS change over time using the predicted human PK. Percent  
22 QRS/PR change was up to four times larger in humans compared to guinea pigs and dogs. This  
23 suggests that worst case human QRS/PR effects may be predicted by simulating four times  
24 larger slopes compared to dogs and guinea pigs, while also accounting for baseline and protein  
25 binding differences. To include a measure of uncertainty, a best case scenario may also be  
26 predicted by a two times larger (QRS) or the same (PR) slope. Although small distributional  
27 delays may be present, QRS and PR effects are likely to be well approximated by a direct effect  
28 model. In addition, the *in vitro* system models can complete the risk assessment by predicting  
29 QRS/PR effects at the predicted PK. Considering the small compound set (1-3 compounds per  
30 nonclinical assay and endpoint), additional analyses should be conducted to strengthen the  
31 suggested nonclinical margins and translational relationships. Several independent predictions  
32 of clinical effects provides additional confidence and any discordance offers a measure of the  
33 uncertainty regarding the human prediction. Therefore, a combined view applying information  
34 from *in vitro* and *in vivo* studies is vital to predict cardiac conduction risks before FTIM studies,

- 1 using the preliminary translational relationships suggested in this work to build on an integrated
- 2 package of evidence of clinical QRS/PR risk.

## 1 **Author Contributions**

2 • L Bergenholm, J Parkinson, N D Evans, M J Chappell and T Collins participated in the  
3 design of the modelling research study.

4 • L Bergenholm, J Parkinson and T Collins acquired the data.

5 • L Bergenholm performed the literature survey.

6 • L Bergenholm performed the PKPD and translational modelling research.

7 • All (L Bergenholm, J Parkinson, J Mettetal, N D Evans, M J Chappell and T Collins)  
8 participated in analysing the results.

9 • All (L Bergenholm, J Parkinson, J Mettetal, N D Evans, M J Chappell and T Collins)  
10 participated in writing the manuscript.

11

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8

9

10 **Conflicts of interest**

11 None.

12

13

14



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1 **Figure 1:** Two methods for nonclinical to clinical translation. **A.** Top-down translation to  
2 empirically assess effects at matched drug concentrations were performed for AZD1305,  
3 flecainide, quinidine and verapamil. Resulting translational relationships may be used to  
4 identify rough estimates of nonclinical effects that correspond to a clinical safety margin. **B.**  
5 Middle-out approach combining compound potency *in vitro* with clinical data to estimate the  
6 signal transduction was performed for AZD1305. The estimated signal transduction parameters  
7 define the system.

8  
9 **Figure 2:** QRS prolongations in guinea pig (top row), dog (middle row) and humans (bottom  
10 row) induced by AZD1305 (left column), flecainide (middle column) and quinidine (right  
11 column). Data points represent individual healthy animal/human volunteer change from model-  
12 predicted QRS baseline against simulated unbound concentration in the plasma (dog) or effect  
13 compartment (guinea pig, AZD1305 human) (dots), or associated average unbound exposure-  
14  $\Delta$ QRS pairs with standard errors where available in healthy volunteers (dark circles) and in  
15 patients (light squares). The shaded areas represent the 95% confidence intervals (darker area)  
16 and prediction intervals (lighter area). Brown colours represent excluded data (human  
17 flecainide).

18 **Figure 3:** Top-down translation to QRS widenings in humans from **A.** hNav1.5 inhibition *in*  
19 *vitro*, **B.** QRS widenings in guinea pigs and **C.** QRS widenings in dogs, by AZD1305 (solid  
20 lines), flecainide (dashed lines) and quinidine (dashed-dotted lines). Effects of AZD1305 and  
21 quinidine in guinea pigs were not available. The shaded areas represent the 95% confidence  
22 intervals (CI, darker areas) and prediction intervals (PI, lighter areas) overlaid for all  
23 compounds and species.

24 **Figure 4:** Middle-out translation of hNav1.5 inhibition to QRS widening in the clinic. **A.** Fit  
25 to data and typical parameter estimates for the system parameters for the operational model. **B.**  
26 Model predicted and measured effects of flecainide and quinidine in humans. Predictions were  
27 generated using the estimated signal transduction parameters and the *in vitro* estimated potency  
28 in the hNav1.5 assay. Clinical data were collected from literature studies and represent effects  
29 in healthy volunteers (dark green) and patients (light green). **C.** Model predicted translation  
30 between hNav1.5 inhibition *in vitro* and QRS widening in humans, highlighting the confidence  
31 interval for inhibited ion channel at 10% QRS widening.

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1

2 **Figure 5:** PR prolongations in guinea pig (top row), dog (middle row) and humans (bottom  
3 row) induced by AZD1305 (left column), flecainide (middle column) and quinidine (right  
4 column). Data points represent individual healthy animal/human volunteer change from model-  
5 predicted PR baseline against simulated unbound concentration in the plasma (dog) or effect  
6 compartment (guinea pig, AZD1305 human) (dots), or associated average unbound exposure-  
7 PR pairs with standard errors where available in healthy volunteers (circles) and in patients  
8 (squares). The shaded areas represent the 95% confidence intervals (darker area) and prediction  
9 intervals (lighter area). Brown colours represent repeated dosing data (human verapamil).

10 **Figure 6:** Top-down translation to PR prolongations in humans from **A.** rCav1.2 binding at the  
11 diltiazem site *in vitro*, **B.** PR prolongations in guinea pigs and **C.** PR prolongations in dogs, by  
12 AZD1305 (solid lines), flecainide (dashed lines) and verapamil (dotted lines). Effects of  
13 AZD1305 in guinea pigs were not available. The shaded areas represent the 95% confidence  
14 intervals (CI, darker areas) and prediction intervals (PI, lighter areas) overlaid for all  
15 compounds and species.

16 **Figure 7:** Middle-out translation of rCav1.2 binding at the diltiazem site to PR prolongation in  
17 the clinic. **A.** Fit to data and typical parameter estimates for the system parameters for the  
18 operational model. **B.** Model predicted and measured effects of flecainide and verapamil in  
19 humans. Predictions were generated using the estimated signal transduction parameters and the  
20 *in vitro* estimated binding in the rCav1.2 assay. Clinical data were collected from literature  
21 studies and represent effects in healthy volunteers (dark blue) and patients (light blue). **C.**  
22 Model predicted translation between rCav1.2 binding *in vitro* and PR prolongation in humans,  
23 highlighting the 95% confidence interval for percent bound ion channel at 10% PR  
24 prolongation.

25

26

1 **Table 1:** Summary of the acquired nonclinical and clinical data.

Study type		AZD1305	Flecainide	Quinidine	Verapamil
<b>hNav1.5 inhibition</b> in automated patch clamp <sup>a</sup>	$IC_{50}$ (CI, $\mu$ M)/ $\gamma$	<b>34.6 / 0.753</b>	<b>5.8</b> (5.7-5.84) / <b>1.20</b>	<b>8.7</b> (6.7-11.4) / <b>1.19</b>	<b>8.9</b> (7.0-11.3) / <b>1.02</b>
	$n$	1	2803	5	4
<b>hCav1.2 inhibition</b> in automated patch clamp <sup>b</sup>	$IC_{50}$ (CI, $\mu$ M)	>100	18, >33	>33, 57	2.9 (2.7-3.2)
	$n$	1	2	2	605
<b>Radioligand binding to rCav1.2<sup>b</sup></b>	$K_i$ ( $\mu$ M) verapamil / nifedipine / diltiazem site	40 / NA / <b>4.5</b>	15 / NA / <b>1.4</b>	5.6 / NA / <b>8.4</b>	0.057 / 3.6 / <b>0.044</b>
	$n$	1	1	1	1
	$n$	4	4	4	4
<b>Anaesthetised guinea pig telemetry;</b> parallel design, multiple ascending dose	Dose (mg kg <sup>-1</sup> )		4 veh + 4 treat iv: 0, 0.3, 1, 3		4 veh + 4 treat iv: 0, 0.1, 0.3, 1
	$C_{max}$ ( $\mu$ M)		2.70 $\pm$ 0.52		1.97 $\pm$ 0.26
	QRS <sub>0</sub> , QRS <sub>max</sub> (ms)		24 $\pm$ 2, 30 $\pm$ 4		22 $\pm$ 1, 25 $\pm$ 2
	PR <sub>0</sub> , PR <sub>max</sub> (ms)		55 $\pm$ 12, 64 $\pm$ 12		61 $\pm$ 6, 77 $\pm$ 5 <sup>c</sup>
	Free drug (%)		57		19.7
<b>Conscious dog telemetry;</b> Latin square, single ascending dose	Dose (mg kg <sup>-1</sup> )	iv: vehicle, 2.15, 4.3; oral: vehicle, 8.7	oral: 0, 3, 10, 20	oral: 0, 10, 25, 50	oral: 0, 1, 5, 15
	$C_{max}$ ( $\mu$ M)	3.2 $\pm$ 0.8	4.5 $\pm$ 2.2	24 $\pm$ 12 (60)	0.78 $\pm$ 0.26
	QRS <sub>0</sub> , QRS <sub>max</sub> (ms)	46 $\pm$ 3, 50 $\pm$ 5	55 $\pm$ 5, 64 $\pm$ 11	54 $\pm$ 3 (52), 59 $\pm$ 5 (61)	44 $\pm$ 2, n.e.
	PR <sub>0</sub> , PR <sub>max</sub> (ms)	108 $\pm$ 13, 131 $\pm$ 15	97 $\pm$ 7, 118 $\pm$ 11	102 $\pm$ 10, n.e.	114 $\pm$ 22, 169 $\pm$ 45
	Free drug (%)	50	36.9 <sup>d</sup>	6.18	18.9
<b>Human telemetry</b>	Study type	Phase I	Literature survey	Literature survey	Literature survey
	$n$	29	16 studies	15 studies	16 studies
	Dose ( $n$ )	iv: placebo (4), 10 (4), 70 (3); oral: placebo (14), 10 (4), 30 (4), 90 (4), 180 (4), 360 (5), 430 (4), 500 <sup>d</sup> (2) mg	iv: 1.5-2 mg kg <sup>-1</sup> , 150 mg. oral: 100-600 mg	iv: 3.7-10 mg-kg <sup>-1</sup> . oral: 3 mg kg <sup>-1</sup> , 100-2250 mg	oral: 80-480 mg
	$C_{max}$ ( $\mu$ M)	3.4	2.6	12.3	1.7
	QRS <sub>0</sub> , $\Delta$ QRS <sub>max</sub> (ms)	97.4, 11.5 $\pm$ 12.4	92.5, 31	92.5, 18	92.5, -
	PR <sub>0</sub> , $\Delta$ PR <sub>max</sub> (ms)	159.4, 14.4 $\pm$ 12.0	160, 56	160, -	160, 53
	Free drug (%)	63	62.1	12.2	20.7

2 Data presented as mean  $\pm$ SD or mean (95% CI). *In vitro* data for the presented translational analyses are marked  
3 with bold text. hNav1.5, human Nav1.5 ion channel; hCav1.2, human Cav1.2 ion channel; rCav1.2, rat Cav1.2  
4 ion channel;  $IC_{50}$ , concentration at half-maximum effect;  $\gamma$ , Hill factor;  $K_i$ , dissociation constant; iv, intravenous;  
5  $C_{max}$ , maximal plasma drug concentration (total); QRS<sub>0</sub>, QRS at baseline; PR<sub>0</sub>, PR at baseline; QRS<sub>max</sub>, maximal  
6 QRS; PR<sub>max</sub>, maximal PR;  $\Delta$ QRS<sub>max</sub>, maximal QRS change from baseline;  $\Delta$ PR<sub>max</sub>, maximal PR change from  
7 baseline; <sup>a</sup>A. R. Harmer et al., 2008, conventional patch clamp at 3 Hz. <sup>b</sup>Morton et al., 2014. <sup>c</sup>N=2 due to death of  
8 2 animals from compound-related effects. <sup>d</sup>Plasma protein binding data for flecainide in dogs acquired from Heath  
9 et al. (2011). <sup>d</sup>N=2 as dose escalation was stopped due to subjects with QTcF>500 ms. One subject was dosed at  
10 360 mg instead.  
11

1 **Table 2:** PD/regression models of AZD1305, flecainide and quinidine-induced QRS  
 2 widenings.

	AZD1305		Flecainide		Quinidine	
	Estimate (SE)	BSV <sup>a</sup> (SE)	Estimate (SE)	BSV <sup>a</sup> (SE)	Estimate (SE)	BSV <sup>a</sup> (SE)
<b>Human</b>	$\Delta QRS = slope * C_{e,u}$		$\Delta QRS = E_{max} C_u^n / (EC_{50}^n + C_u^n)$		$\Delta QRS = slope * C_u$	
<i>QRS</i> <sub>0</sub> (ms)	96 (1.08)	5.8 (0.838)	-	-	-	-
<i>slope</i> (ms/μM)	11.4 (0.84)	26.1 (1.39)	-	-	9.57 (1.14)	-
<i>E</i> <sub>max</sub> (ms)	-	-	33.7 (10.8)	-	-	-
<i>EC</i> <sub>50</sub> (μM)	-	-	0.573 (0.256)	-	-	-
<i>n</i>	-	-	1.65 (0.61)	-	-	-
<i>k</i> <sub>e0</sub> (h <sup>-1</sup> )	43.1 (27.1)	203 (13.7)	-	-	-	-
<i>Add. res.</i> (ms)	1.02 (0.0241)	-	-	-	-	-
<b>Dog</b>	$\Delta QRS = slope * C_u$		$\Delta QRS = slope * C_u$		$\Delta QRS = slope * C_u$	
<i>QRS</i> <sub>0</sub> (ms)	46.0 (1.4)	5.9 (2.1)	53.6 (1.5)	5.68 (2.03)	53.3 (1.7)	6.25 (2.23)
<i>slope</i> (ms/μM)	1.93 (0.67)	66.2 (25.2)	5.38 (0.95)	30.8 (14.3)	3.00 (0.25)	-
<i>Add. res.</i> (ms)	1.34 (0.0524)	-	2.65 (0.11)	-	2.19 (0.12)	-
<b>Guinea pig</b>			$\Delta QRS = a * C_{e,u}^b$			
<i>QRS</i> <sub>0</sub> (ms)	-	-	21.7 (0.893)	11.6 (2.92)	-	-
<i>slope</i> (ms/μM)	-	-	-	-	-	-
<i>a</i>	-	-	16.9 (1.66)	-	-	-
<i>b</i>	-	-	2.46 (0.365)	23.4 (9.15)	-	-
<i>k</i> <sub>e0</sub> (h <sup>-1</sup> )	-	-	1.6 (0.111)	-	-	-
<i>Add. res.</i> (ms)	-	-	0.776 (0.050)	-	-	-

4 All estimates are mean ±sem. BSV, between subject variability; *QRS*<sub>0</sub>, estimated baseline QRS; *slope*,  
 5 proportional unbound drug effect; *E*<sub>max</sub>, estimated maximal effect; *EC*<sub>50</sub>, estimated unbound concentration at 50%  
 6 effect; *n*, estimated Hill factor; *a* and *b*, estimated parameters of the power model; *k*<sub>e0</sub>, estimated rate of distribution  
 7 to the effect compartment; *Add. res.*, estimated additive residuals for the population models.

10 **Table 3:** PD/regression models of AZD1305, flecainide and verapamil-induced PR  
 11 prolongations.

	AZD1305		Flecainide		Verapamil	
	Estimate (SE)	BSV <sup>a</sup> (SE)	Estimate (SE)	BSV <sup>a</sup> (SE)	Estimate (SE)	BSV <sup>a</sup> (SE)
<b>Human</b>	$\Delta PR = slope * C_{e,u}$		$\Delta PR = E_{max} C_u^n / (EC_{50}^n + C_u^n)$		$\Delta PR = E_{max} C_u / (EC_{50} + C_u)$	
<i>PR</i> <sub>0</sub> (ms)	160 (3.57)	11.7 (1.61)	-	-	-	-
<i>slope</i> (ms/μM)	17 (2.57)	51.7 (4.07)	-	-	-	-
<i>E</i> <sub>max</sub> (ms)	-	-	68.9 (27.2)	-	53.7 (7.2)	-
<i>EC</i> <sub>50</sub> (μM)	-	-	0.77 (0.43)	-	0.0317 (0.0144)	-
<i>n</i>	-	-	1.57 (0.51)	-	1 (fixed)	-
<i>k</i> <sub>e0</sub> (h <sup>-1</sup> )	10.5 (2.4)	-	-	-	-	-
<i>Add. res.</i> (ms)	3.70 (0.087)	-	-	-	-	-
<b>Dog</b>	$\Delta PR = slope * C_u$		$\Delta PR = slope * C_u$		$\Delta PR = E_{max} C_u / (EC_{50} + C_u)$	
<i>PR</i> <sub>0</sub> (ms)	102 (4)	7.95 (2.85)	95.8 (2.3)	4.76 (1.72)	111 (6.13)	11 (3.91)
<i>slope</i> (ms/μM)	13.8 (1.8)	22.5 (10.0)	11.0 (1.2)	13.3 (10.9)	-	-
<i>E</i> <sub>max</sub> (ms)	-	-	-	-	105 (9.23)	-
<i>EC</i> <sub>50</sub> (μM)	-	-	-	-	0.196 (0.0881)	83.6 (30.6)
<i>n</i>	-	-	-	-	1 (fixed)	-
<i>Add. res.</i> (ms)	5.86 (0.23)	-	4.97 (0.21)	-	6.84 (0.298)	-
<b>Guinea pig</b>			$\Delta PR = slope * C_{e,u}$		$\Delta PR = slope * C_{e,u}$	
<i>PR</i> <sub>0</sub> (ms)	-	-	57 (4.39)	21.8 (5.45)	61.6 (1.64)	7.42 (1.91)
<i>slope</i> (ms/μM)	-	-	4.14 (1.84)	82 (31.4)	161 (69.7)	-
<i>k</i> <sub>e0</sub> (h <sup>-1</sup> )	-	-	11.9 (3.62)	-	1.07 (0.801)	86.8 (32.7)
<i>Add. res.</i> (ms)	-	-	1.95 (0.124)	-	2.70 (0.17)	-

12 All estimates are mean ±sem. BSV, between subject variability; *PR*<sub>0</sub>, estimated baseline PR; *slope*,  
 13 proportional unbound drug effect; *E*<sub>max</sub>, estimated maximal effect; *EC*<sub>50</sub>, estimated unbound concentration at 50%  
 14 effect; *n*, estimated Hill factor; *a* and *b*, estimated parameters of the power model; *k*<sub>e0</sub>, estimated rate of distribution  
 15 to the effect compartment; *Add. res.*, estimated additive residuals for the population models.