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1 **Abstract**

2

3 **Aim**

4 To estimate the cost effectiveness of intramedullary nail fixation in comparison to  
5 'locking' plate fixation for the treatment of extra-articular fractures of the distal tibia.

6

7 **Methods**

8 An economic evaluation, from the UK National Health Service (NHS) and personal  
9 social services (PSS) perspective, was conducted based on evidence from the  
10 Fixation of Distal Tibia Fractures (UK FixDT) multicentre, parallel trial. Data from 321  
11 patients were available for analysis. Costs were collected prospectively over the 12-  
12 month follow-up period using trial case report forms and participant-completed  
13 questionnaires. Cost-effectiveness was reported in terms of incremental cost per  
14 quality adjusted life year (QALY) gained and net monetary-benefit. Sensitivity  
15 analyses were conducted to test robustness of the cost-effectiveness estimates.

16

17 **Results**

18 Mean NHS and PSS costs were significantly lower for patients treated with nail  
19 fixation over those treated with locking plate (-£970, 95% CI: -1685 to -256;  $P=0.05$ ).  
20 There was a small increase in QALYs gained in the nail fixation group (0.01, 95% CI:  
21 -0.03 to 0.06;  $P=0.52$ ). The probability of cost-effectiveness for nail fixation exceeded  
22 90% at cost-effectiveness thresholds as low as £15,000 per additional QALY. The  
23 cost-effectiveness results remained robust to several sensitivity analyses.

24

25 **Conclusions**

26 This trial-based economic evaluation suggests that nail fixation is a cost-effective  
27 alternative to locking plate fixation.

28

29 **Clinical Relevance of Paper**

30

- 31 • The paper adds important evidence on the cost-effectiveness of alternative  
32 treatment options for extra-articular fractures of the distal tibia.

33

34

35 **Introduction**

36

37 Optimal management of extra-articular fractures of the distal tibia remains disputed.  
38 Although plates and intramedullary (IM) nails represent two viable approaches to  
39 internal fixation of these fractures, each possesses distinct disadvantages. The bolts  
40 or screws that are inserted into the nail may break, mal-alignment of the bone may  
41 occur, and there is an increased risk of anterior knee pain <sup>[1]</sup>. Whilst tibial plating with  
42 'locking' plates can achieve accurate reduction, the need for greater soft tissue  
43 dissection increases the risk of infection, wound breakdown and damage to the  
44 surrounding structures <sup>[1, 2]</sup>.

45

46 Evidence from previous meta-analyses and systematic reviews comparing nailing  
47 versus plating treatment modalities have been inconclusive. Mao *et al* reviewed 1863  
48 extra-articular fractures of the distal tibia <sup>[3]</sup>. They reported that rates of deep  
49 infection, delayed union and removal of instrumentation were similar for patients  
50 undergoing nail and plate fixation, but nail fixation was associated with significantly  
51 more mal-unions. In contrast, Zelle *et al* found that mal-union rates were similar  
52 between the two treatment groups <sup>[4]</sup>. However, the studies included in the meta-  
53 analyses had heterogeneous study designs and the randomised controlled trials  
54 lacked methodologic rigour <sup>[3]</sup>.

55

56 The prolonged recovery and rehabilitation following a distal tibia fracture, along with  
57 complications associated with treatment choice, have important economic  
58 consequences. These injuries not only generate direct treatment costs but indirect  
59 costs, including income losses due to work absences. Given rapidly escalating  
60 health care costs, and the need to allocate finite health care resources more  
61 efficiently, the costs associated with nail and locking plate fixation should be  
62 considered alongside the clinical benefits. Data comparing the clinical and cost-  
63 effectiveness of intramedullary nail and locking plate management of distal tibial  
64 fractures are currently limited. Available data are based on assessments of  
65 intramedullary nails alone <sup>[5]</sup>, different plates for fixation alone <sup>[6]</sup>, or compare nail  
66 fixation with interventions other than locking plates.

67

68 We present a prospectively-conducted health economic evaluation from a multi-  
69 centre randomised controlled trial of intramedullary nail fixation versus locking plate  
70 fixation for the treatment of adult patients with a displaced fracture of the distal tibia.

71

72

## 73 **Patients and methods**

74

### 75 **Trial background**

76 Data from the Fixation of Distal Tibia Fractures (UK FixDT) trial formed the basis of  
77 the economic evaluation <sup>[7]</sup>. Briefly, patients were eligible for the trial if: (i) they had a  
78 fracture that involved the distal tibial metaphysis; (ii) were aged 16 years or over; and  
79 (iii) the treating surgeon believed that they would benefit from internal fixation of the  
80 fracture. Participants were recruited from 28 UK Trauma Hospitals between April  
81 2013 and February 2016 and followed-up for one year. They were randomly  
82 allocated to either intramedullary nail fixation or locking-plate fixation. All surgery was  
83 performed according to the preferred technique of the operating surgeon. A sample  
84 size of 320 was required to detect, with 90% power at the 5% level, a difference of 8  
85 points in the primary clinical outcome, namely the disability rating index (DRI). Full  
86 details of the trial protocol are available in open access <sup>[1]</sup>.

87

### 88 **Ethics committee approval**

89 The FixDT trial was approved by the Coventry and Warwickshire Research Ethics  
90 Committee on 06 November 2012 (REC reference: 12/WM/0340) and by the  
91 Research and Development department of each participating centre.

92

### 93 **Study Perspective and Time Horizon**

94 The primary analysis was undertaken from the perspective of the UK National Health  
95 Service (NHS) and Personal Social Services (PSS) as recommended by the  
96 National Institute of Health and Care Excellence (NICE) <sup>[8]</sup>. The time horizon for  
97 the economic evaluation followed the 12-month follow-up period of the trial, and  
98 therefore no discounting of costs and benefits was required. Under normal  
99 circumstances, uncomplicated fractures of the distal tibia would be expected to be

100 clinically united at 6 months and patients returned to normal activities [2, 9]. The 12-  
101 month follow-up period is thus well suited to capture clinically important differences  
102 between the two procedures and in non-unions that result in revision fixations and  
103 rehospitalisation.

104

## 105 **Measurement and valuation of resource use**

106 Estimation of the costs associated with the interventions included the cost of the  
107 initial surgery and the broader health and PSS resource inputs, plus, for the  
108 purposes of a sensitivity analysis, personal costs and broader societal resource  
109 inputs. All costs were expressed in £ sterling and valued in 2014-15 prices. Where  
110 appropriate, costs were inflated or deflated to 2014-15 prices using the NHS Hospital  
111 and Community Health Services Pay and Prices Index [10].

112

### 113 *Cost of distal tibia fixation*

114 The initial surgical costs (intervention costs) were based on the initial hospital stay  
115 and associated operative costs as reported in table I. Unit costs were estimated  
116 using NHS reference costs, and the Healthcare Resource Groups (HRG) tariff for  
117 'major knee procedures for trauma'[11]. Based on this tariff, distal tibia fixation costs  
118 the NHS £5315.47 if a patient stays in hospital an average of 5 days. Costs of the  
119 initial surgery were derived for each patient using the mean length of stay reported in  
120 the patient records. An excess bed day value of £327.00 was used to adjust the  
121 surgery costs of patients who stayed in hospital longer than 5 days. We assumed  
122 that treatment costs were disproportionately weighted towards the first 3 days of  
123 each initial hospital admission. Thus, the cost to the NHS of a patient who stayed in  
124 hospital for 3 days was calculated as £5315.47 – (2x£327), i.e. the 5-day tariff minus  
125 the bed day cost of £327 per each day not spent in hospital. The numbers of  
126 implants used during the surgery were derived from patient records. Unit costs for  
127 these implants were provided by the University Hospitals of Coventry and  
128 Warwickshire NHS trust finance department.

129

### 130 *Broader resource use*

131 Broader resource use over the 12-month follow-up period was captured via follow-up  
132 postal questionnaires, which were completed at 3-, 6- and 12-months post-  
133 randomisation. For the 3-month data, the recall period was since hospital discharge  
134 whilst at other time points, it was since completion of the previous questionnaire. The  
135 questionnaires captured the number, duration and type of hospital re-admissions  
136 following initial surgery, number and type of hospital outpatient visits and diagnostic  
137 tests, number and type of community health and social services, and the use of  
138 medications, aids and adaptations. Furthermore, respondents provided information  
139 on direct non-medical costs (including travel expenses) incurred by themselves and  
140 their caregivers, and reported number of days off work and gross loss of earnings,  
141 attributable to their health state or contacts with care providers. Resource use values  
142 were converted into costs by applying unit costs obtained from national databases  
143 such as the Department of Health's National Schedule of Reference Costs <sup>[11, 12]</sup>, the  
144 PSSRU Unit Costs of Health and Social Care compendium,<sup>[13-15]</sup> the Annual survey  
145 of Hours and Earnings <sup>[16]</sup>, the NHS supply chain catalogue <sup>[17]</sup> and the British  
146 National Formulary (BNF) <sup>[18]</sup>. Table II summarises the unit cost values and data  
147 sources for broader resource inputs.

148

### 149 **Measurement and valuation of health outcomes**

150 In line with the NICE reference case, the primary health outcome for the economic  
151 evaluation was the quality-adjusted-life-year (QALY) <sup>[8]</sup>, which combines impacts on  
152 both health-related quality of life (HRQoL) and length of life <sup>[19]</sup>. HRQoL was  
153 assessed using the EQ-5D-3L questionnaire (EQ-5D for brevity) <sup>[20]</sup> at baseline and  
154 at 3, 6 and 12 months post-randomisation. The EQ-5D comprises five dimensions:  
155 'mobility', 'self-care', 'usual activities', 'pain/discomfort' and 'anxiety/depression.  
156 Responses in each dimension have 3 levels: (1) no problems; (2) moderate  
157 problems; and (3) extreme problems. EQ-5D health states can be converted into a  
158 single summary index by applying a utility algorithm, which attaches values to each  
159 permutation of responses to the EQ-5D descriptive system. We applied utility values  
160 for EQ-5D health states elicited from a general population sample in the UK using  
161 the time-trade-off method <sup>[21]</sup>. Utility values generated through this method range

162 from -0.59 to 1.0; where 0 represents death, 1.0 represents full health and values  
163 below 0 indicate health states worse than death. QALY values for each patient were  
164 estimated by calculating the area under the baseline-adjusted utility curve, and were  
165 calculated using linear interpolation between baseline and follow-up utility scores.

166

### 167 **Missing data**

168 For the baseline analysis, multiple imputation under chained equations (MICE) [22]  
169 was used to model missingness for those cases where resource use or HRQoL data  
170 were unavailable, based on the tested assumption that data were missing at random.  
171 Regression models were used to impute unobserved costs and QALYs at each time  
172 point, and by treatment allocation, using age and gender as explanatory variables.  
173 Costs and EQ-5D utility scores at each time point contributed as both explanatory  
174 and imputed variables. The imputation was run 50 times following the rule of thumb  
175 that the number of imputations should be similar to the percentage of incomplete  
176 cases[22]. Fifty datasets were generated using predictive mean matching. Each  
177 imputed data set produced was independently analysed with bivariate regressions  
178 using a seemingly unrelated regression model to estimate the costs and QALYs in  
179 each treatment group over the 12-month trial horizon. Estimates from each imputed  
180 dataset were combined using Rubin's rule to generate overall mean costs and QALY  
181 estimates and their standard errors [23].

182

### 183 **Analyses of resource use, costs and outcome data**

184 Resource use items were summarised by treatment group and follow-up period and  
185 differences between groups were analysed using t-tests for continuous variables and  
186 chi-squared tests for categorical variables. Means and standard errors (SEs) for  
187 values of each cost category were estimated by treatment allocation and follow-up  
188 period and statistical differences in mean costs by treatment allocation were  
189 assessed using t-tests. Mean total costs by treatment allocation and follow-up period  
190 were also estimated. Statistically significant differences in the mean total costs were  
191 assessed using non-parametric bootstrapping, based on 10,000 replications.



192 For each of the five dimensions of the EQ-5D, we calculated the proportion of  
193 patients reporting sub-optimal function (moderate or extreme problems) and  
194 assessed differences between groups using chi-squared tests.

195

## 196 **Cost-effectiveness analysis**

197 Cost-effectiveness results were expressed in terms of an incremental cost-  
198 effectiveness ratio (ICER) and calculated by dividing the difference between  
199 treatments in mean total costs by the mean difference in total QALYs. The ICER  
200 represents the additional cost required to gain a QALY and in our case indicates  
201 whether investing additional resources on a particular type of fixation is cost-  
202 effective. As a general rule, NICE considers interventions costing the NHS less than  
203 £20,000 per QALY gained cost-effective [24]. To determine the level of sampling  
204 uncertainty around the ICER, we conducted non-parametric bootstrapping,  
205 generating 50,000 estimates of incremental costs and benefits [25]. The bootstrap  
206 replicates from the non-parametric bootstrapping were used to populate cost-  
207 effectiveness scatterplots. We calculated the net-monetary benefit (NMB) of using  
208 nail fixation versus locking plate fixation across three cost-effectiveness thresholds:  
209 £15,000 per QALY, £20,000 per QALY and £30,000 per QALY [26]. A positive  
210 incremental NMB indicates that the intervention is cost-effective compared with the  
211 alternative at the given cost-effectiveness threshold. Furthermore, cost-effectiveness  
212 acceptability curves (CEACs) were generated based on the proportion of bootstrap  
213 replicates with positive incremental net benefits. The CEACs indicate the probability  
214 that nail fixation is cost-effective relative to locking plate fixation across a range of  
215 cost-effectiveness thresholds.

216

## 217 *Sensitivity and sub-group analyses*

218 Several sensitivity analyses were undertaken to assess the impact of parameters  
219 with a degree of uncertainty on cost-effectiveness outcomes. These included: 1)  
220 restricting the analyses to complete cases (i.e. those with complete cost and  
221 outcome data over the 12-month follow-up period); 2) adopting a wider societal  
222 perspective that included private costs incurred by trial participants and their families,  
223 productivity losses and loss of earnings due to work absences; 3) estimating the

224 cost-effectiveness under a per-treatment analysis; and 4) additionally adjusting the  
225 baseline analysis for pre-injury HRQoL, which was assessed using the EQ-5D at  
226 baseline.

227 Sub-group analyses were also conducted for the main cost-effectiveness results to  
228 explore heterogeneity in the trial population. These were conducted by: (i) age group  
229 (<50 and ≥50 years) and (ii) gender (male, female).

230

### 231 Longer-term economic modelling

232 The study protocol allowed for decision-analytic modelling to estimate the cost-  
233 effectiveness of intramedullary nail fixation over a longer-term time horizon, drawing  
234 on best available secondary data sources, supplemented where necessary by expert  
235 opinion. Use of a lifelong time horizon may be warranted in cost-effectiveness  
236 analysis when there is reason to expect differences in long-term costs and QALYs.  
237 Factors that could affect either include differences in life expectancy, HRQoL, and  
238 rehospitalisation or reoperation rates.

239

240 Beyond the 12-month follow-up period assessed in this study, rehospitalisation and  
241 reoperation are possible due to excess complications [3]. We conducted a preliminary  
242 analysis of the extended follow-up data for this trial to determine whether differences  
243 in HRQoL outcomes, metalwork removal and in rates of complications persisted at  
244 24 months. Furthermore, we systematically searched external studies that compared  
245 plate and nail fixation for evidence on clinically important differences beyond 12-  
246 months post-surgery. Though we did not find good quality external evidence,  
247 analysis of the composite of available data indicates that, beyond 12 months, rates  
248 of deep infection and wound healing are similar [2, 3, 27]. According to a recent  
249 analysis of 358 patients in Belgium, total length of stay in hospital (due to initial  
250 surgery and reoperations) and rate of deep infections are the major cost-drivers of  
251 tibial shaft fractures [28]. The combined evidence thus indicated that clinical and  
252 economic differences between nail and plate fixation are likely concentrated in the  
253 first year following surgery. This informed our decision not to undertake longer-term  
254 economic modelling.

255

256

257

## 258 **Results**

259

260 Between April 2013 and February 2016, 321 patients were recruited and randomised  
261 (nail fixation = 161; locking plate = 160). Three patients did not complete the  
262 baseline questionnaires. A total of 276 patients completed the 3-month questionnaire  
263 whilst 284 and 258 patients returned questionnaires at the 6- and 12-month follow-up  
264 time points, respectively. Overall, the follow-up rate was greater than 80% at all time-  
265 points. The trial results based on the primary clinical outcome measure, as well as  
266 details on time to union, postoperative complications at the 6-week assessment, and  
267 the number and type of further surgical interventions associated with the fracture in  
268 each group within 12 months of initial surgery, are presented elsewhere [7]. Table III  
269 shows the volume of missing health economic data by treatment allocation and  
270 follow-up time point. The missing data pattern was non-monotonic since several  
271 individuals with missing data at one follow-up time point completed subsequent  
272 questionnaires.

273

## 274 **Resource use**

275 Resource use was generally higher for participants allocated to the locking plate  
276 group compared to those allocated to nail group, but this was not always statistically  
277 significant (Table A1; Appendix). The exceptions, which showed statistically  
278 significant differences, were the mean total inpatient stay between 3-6 months (0  
279 (nail) vs. 0.11 (locking plate) days), and mean total outpatient care contacts between  
280 3-6 months (3.64 vs. 4.78 contacts). The differences in outpatient care appear to be  
281 driven by increased physiotherapy contacts in the locking plate group (1.84 vs. 2.53  
282 visits).

283

## 284 **Costs**

285 The mean intervention costs from admission until discharge were £5460 for nail  
286 fixation compared to £5600 for locking plate fixation; the mean difference of £140  
287 (CI: -684.24 to 262.61;  $P=0.19$ ) (table IV) The mean length of the initial hospital stay  
288 was 3.87 days ( $SE$  0.34) for nail fixation vs. 3.85 days ( $SE$  0.33) for locking plate

289 fixation. The mean total NHS and PSS cost throughout the first 6 months post-  
290 randomisation was £5876 for nail fixation and £6814 for locking plate fixation; the  
291 mean cost difference of £939 was statistically significant at the 5% significance level  
292 ( $P=0.04$ ). The mean total NHS and PSS cost for the entire 12-month follow-up period  
293 was £6107 for nail fixation and £7102 for locking plate fixation; the mean cost  
294 difference of £995 was statistically significant at the 10% significance level ( $P=0.05$ ).  
295 Productivity losses to employers through sickness absences appeared higher in the  
296 locking plate arm, and the difference for the entire follow-up period was statistically  
297 significant at the 10% level. Overall societal costs, for the entire follow-up period,  
298 were on average £3396 higher in the locking plate group; this cost difference was  
299 statistically significant at the 5% level ( $P=0.01$ ) (table V)

300

### 301 **Health-related quality of life outcomes**

302 Table A2 (Appendix) summarises the number and proportion of reported problems  
303 for each level for each dimension of the EQ-5D. The proportion of trial participants  
304 reporting suboptimal function is also indicated for each dimension and the difference  
305 between the two treatment arms shown using p-values. With the exception of  
306 mobility at 3 months (81% nail vs. 89% locking plate), which was statistically  
307 significant at the 10% significance level, there were no significant differences in the  
308 proportions of individuals reporting sub-optimal function within dimensions between  
309 the two arms at each time point.

310

311 The EQ-5D utility scores pre-injury, post-injury (baseline) and at 3-, 6- and 12  
312 months post-randomisation are shown in table VI and figure 1. Both groups showed  
313 improvement in HRQoL from baseline to the last follow-up point. The most notable  
314 difference was observed at 6 months post-randomisation with a higher utility value  
315 observed for the nail fixation group ( $P=0.03$ ).

316

317 The mean total QALYs (imputed) over the 12 months for IM nail and locking plate  
318 fixation were 0.55 and 0.54 respectively, but the difference was not statistically  
319 significant (0.01 QALYs, 95% CI: -0.03 to 0.06;  $P=0.56$ ).

320

## 321 **Cost-effectiveness analysis**

322 The baseline economic evaluation, using imputed attributable costs and QALYs and  
323 covariate adjustment, indicated that intramedullary nail fixation was associated with  
324 significantly lower mean NHS and PSS costs (-£970 (95% CI: -1685 to -256) and a  
325 non-statistically significant increase in QALYs (0.01 QALYs, 95% CI: -0.03 to 0.06)  
326 over the entire 12-month follow-up period (table VII). Uncertainty surrounding the  
327 ICER estimates are represented graphically in the cost-effectiveness plane (figure  
328 2), which shows that most simulated ICER values fall in the south-east quadrant,  
329 indicating that nail fixation is on average less costly and more effective (produced  
330 more QALYs). The probability of cost-effectiveness given the uncertainty  
331 surrounding the mean ICER value is visually displayed in the CEAC. The probability  
332 that nail fixation is cost-effective ranged between 94-98% across cost-effectiveness  
333 thresholds of £15,000-£30,000 per QALY (table VII; figure 3). The net-monetary  
334 benefit for IM nail, for the base case, was positive (incremental NMB values >£1200).

335

## 336 *Sensitivity and sub-group analyses*

337 Most of the sensitivity analyses undertaken (complete case, societal perspective,  
338 and imputed attributable costs and QALYs additionally controlled for pre-injury utility)  
339 supported the base case finding (table VII). However, the per-treatment analysis  
340 showed a slightly different pattern for QALY outcomes. The results for that analysis  
341 indicated that participants in the nail fixation arm, on average, experienced slightly  
342 worse QALY outcomes (-0.01 QALYs (95% CI -0.06 to 0.04)). However, the result  
343 was not statistically significant. Moreover, the cost difference remained in the same  
344 direction (-875 (95% CI -1725 to -26))\_as that for the base case analysis. The results  
345 of the sub-group analyses indicate that in the sample of patients below the age of 50,  
346 nail fixation was the dominant intervention; it lowered costs and moderately  
347 increased QALYs on average (table VII). In patients over the age of 50 years, nail  
348 fixation was associated with lower costs (-£821) and lower benefits (-0.022 QALYs),  
349 on average, compared to locking plate fixation. However, the 95% confidence  
350 intervals for both the incremental cost (95% CI -2760 to 1110) and QALY (95% CI -  
351 0.09 to 0.05) estimates suggest considerable uncertainty surrounding the effects of  
352 intramedullary nail fixation for this older group of patients.

353

354

## 355 **Discussion and Conclusion**

356 This study shows that nail fixation ‘dominates’ locking plate fixation in health  
357 economic terms. This conclusion is driven by the finding that there was a modest  
358 QALY gain in the nail group over the 12-month time horizon of the trial and costs  
359 were significantly lower in the nail group. In addition, there was a high probability that  
360 nail fixation is cost-effective across cost-effectiveness thresholds recommended by  
361 decision-makers, a finding that remained robust to most sensitivity and sub-group  
362 analyses. The main exception to this pattern of results was the sub-group of patients  
363 above 50 years in whom nail fixation was associated with a reduction in costs, but  
364 also marginally lower QALYs, although there was substantial uncertainty around the  
365 estimates. A retrospective review of forty-two patients (>50 years old) found that  
366 older patients sustaining tibial shaft fractures treated with intramedullary nailing take  
367 longer to heal, and require more procedures to achieve union [29]. This external  
368 evidence suggests that other factors may need to be taken into account when  
369 deciding the optimal treatment approach for distal tibia fractures in the elderly.

370

371 To our knowledge, this is the first trial-based economic evaluation to compare the  
372 cost-effectiveness of these two surgical procedures for the treatment of distal tibia  
373 fractures. Previous studies have compared two types of intramedullary nails (reamed  
374 vs. unreamed) in treating closed and open tibia fractures; however, they did not  
375 compare intramedullary nails to other interventions [5]. Busse and colleagues  
376 reported costs associated with treatment of low-energy tibial fractures with either  
377 casting, casting with therapeutic ultrasound, or intramedullary nailing (with and  
378 without reaming) by use of a decision tree model [30]. The results of that analysis  
379 indicated that intramedullary nailing was the treatment of choice for closed and open  
380 grade I tibial shaft fractures; however, impact on HRQoL was not assessed. Kao et  
381 al conducted a cost-effectiveness analysis comparing conventional buttress or  
382 dynamic compression plates and locking plates for treating displaced distal tibial  
383 fractures, but did not conduct a comparative assessment with intramedullary nails [6].  
384 The same interventions have been compared in different clinical contexts, for  
385 example, for the treatment of midshaft clavicle fractures [31, 32]; however the cost-  
386 effectiveness evidence in those contexts remains limited [33].

387

388 Strengths of the current economic evaluation include data collected from a  
389 prospective randomised trial with frequent assessments over a 12-month follow-up  
390 period and minimal loss to follow-up. This enabled a trial-based economic evaluation  
391 that was rigorous, with effectiveness and cost measures (including indirect patient-  
392 reported costs) collected prospectively, and the direct measurement of utility scores  
393 from our study participants to calculate QALYs <sup>[34]</sup>. Furthermore, the economic  
394 evaluation was conducted according to nationally agreed design and reporting  
395 guidelines <sup>[35]</sup>.

396

397 Limitations of this trial-based economic analysis include that long-term cost-  
398 effectiveness beyond the 12-month follow-up period was not assessed. However,  
399 preliminary analysis of the HRQoL outcomes of the trial participants using extended  
400 follow-up data for this trial indicates that EQ-5D utility scores for the nail fixation and  
401 locking plate groups remain similar at 24 months post-randomisation (extended  
402 follow-up data will be reported in due course). In addition, by 12 months, rates of  
403 metalwork removal, revision fixations and other secondary operative procedures  
404 were similar between the locking plate and nail fixation groups <sup>[7]</sup>. The indication,  
405 therefore, is that the benefits of nail fixation are very likely to be concentrated in the  
406 first year that follows the treatment of displaced, extra-articular fractures of the distal  
407 tibia. Furthermore, our systematic search for external studies that compared plate  
408 and nail fixation did not find any good quality evidence on differences in functional  
409 outcomes and HRQoL beyond 12 months post-surgery. The available studies were  
410 either based on short follow-up periods, <sup>[36]</sup> small sample sizes, <sup>[2]</sup> non-randomised  
411 studies that relied on retrospective reviews or case series which tend to suffer from  
412 selection biases, <sup>[2, 37]</sup> or a combination of these factors. A second potential limitation  
413 is that we used NHS tariffs to estimate total cost of the surgical treatment, which  
414 some have argued do not fully capture the cost of orthopaedic procedures and may  
415 not take into account varying operating theatre times <sup>[38, 39]</sup>. However, in our case, it  
416 is unlikely that a different costing approach would have shifted results in favour of the  
417 locking plate as the mean operating theatre times were the same (124mins) for both  
418 procedures and the cost of implants represented a relatively minor component of  
419 total costs.

420

421 In conclusion, our study provides a comprehensive assessment of the cost-  
422 effectiveness of two commonly undertaken treatments for distal tibia fractures with  
423 obvious implications for the orthopaedic community. Notwithstanding the limitations  
424 of within-trial analyses, this study provides robust evidence that over the first year  
425 that follows surgery, nail fixation is a cost saving intervention without detriment to  
426 health-related quality of life outcomes. Given these results, there is economic  
427 justification for recommending nail over locking plate fixation for the management of  
428 extra-articular distal tibia fractures.

429



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551 **Table I: Unit costs (£, 2014-15 prices) associated with initial operative procedures and**  
 552 **initial hospital stay for intramedullary nail and locking plate fixation**

Item	Unit Cost	Source
<b>Surgery Costs<sup>1</sup></b>		
Average surgery cost of distal tibia fracture fixation (based on mean length of stay of 5 days <sup>2</sup> )	£ 5,315.47	National schedules of Reference Costs year 2014-15 - 'Major Knee Procedures for Trauma, 19 years and over, with CC Score 0'-HT23D <sup>[11]</sup>
Cost per excess bed day	£ 327.00	National schedules of Reference Costs year 2014-15 - 'Major Knee Procedures for Trauma, 19 years and over, with CC Score 0'-HT23D <sup>[11]</sup>
<b>Implants: Intramedullary nail fixation</b>		
Guide wire 3.2x300	£43.11	UHCW <sup>3</sup>
Reaming rod 2.5x1000	£63.47	UHCW
Distal bolts	£45.88	UHCW
End cap	£37.93	UHCW
Blocking Screw	£29.80	UHCW
Nail	£265.53	UHCW

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<sup>1</sup>HRG Code for distal tibia fracture fixation is similar for both intramedullary and locking plate fixation

<sup>2</sup> Surgery cost from NHS Reference Costs is based on assumed mean length of stay of 5 days for this category of patients; adjustments were made for all patients who stayed in hospital for a period less than 5 days; detailed methodology explained in-text.

<sup>3</sup> UHCW denotes University Hospitals Coventry and Warwick NHS Trust Finance Department

**Table II: Summary of unit cost values (£, 2014-15 prices) and data sources**

Resource item	Unit cost	Unit of analysis	Source of unit cost
<b>Subsequent inpatient care</b>			
<b>Orthopaedics (your leg)</b>			
Cost per average LoS <sup>4</sup> of 1 day	£1,780.34	per procedure	NHS Reference Costs 2014-2015, 'Minor Knee Procedures for Non-Trauma, 19 years and over' - <b>HN25A</b> <sup>[11]</sup>
Day Case	£1,349.10	per procedure	
<b>Orthopaedics (any other bones)</b>			
Cost per average LoS of 4 days	£2,648.56	per procedure	NHS Reference Costs 2014-2015, 'Other Muscle, Tendon, Fascia or Ligament Procedures' - <b>HN93Z</b> <sup>[11]</sup>
Day Case	£965.19		
Adjustment per day ± avg. LoS (excess bed days)	£278.52	per day	NHS Reference Costs 2014-2015, 'Other Muscle, Tendon, Fascia or Ligament Procedures' - <b>HN93Z</b> <sup>[11]</sup>
<b>Other Inpatient</b>			
Rehabilitation Unit	£335.00	per session	NHS Reference Costs 2013-2014, 'Rehabilitation for other trauma', <b>V636Z</b> <sup>[12]</sup>
<b>Outpatient Care</b>			
Orthopaedics	£112.50	per session	Reference Costs 2014-2015 <sup>[11]</sup>
Blood tests/ Phlebotomy	£3.00	per test	Reference Costs 2014-2015 <sup>[11]</sup>
X-rays	£30.23	per test	Reference Costs 2014-2015 <sup>[11]</sup>
MRI scan	£146.00	per test	Reference Costs 2014-2015 <sup>[11]</sup>
CT scan	£111.00	per test	Reference Costs 2014-2015 <sup>[11]</sup>
Hospital Physiotherapist (NHS)	£38.00	per session	PSSRU 2015 pg.217 <sup>[13]</sup>
Physiotherapist (private)	£70.00	per hour	<a href="http://www.thephysiocentre.co.uk/how_much/">http://www.thephysiocentre.co.uk/how_much/</a>
Emergency department (orthopaedics & trauma)	£112.50	per session	Reference Costs 2014-2015 <sup>[11]</sup>
Emergency department other	£140.59		Reference Costs 2014-2015 <sup>[11]</sup>
<b>Primary and community care</b>			
General Practitioner surgery consultation	£225.00	per hour	PSSRU 2015 pg. 178 <sup>[13]</sup>
General Practitioner home visit	£5.20	per home visit minute	PSSRU 2010 pg. 167 <sup>[15]</sup>
General Practitioner phone call	£27.00	per telephone consultation lasting 7.1 minutes	PSSRU 2015 pg. 178 <sup>[13]</sup>
Practice nurse	£56.00	per hour of face-to-face contact	PSSRU 2015 pg. 174 <sup>[13]</sup>

<sup>4</sup> LoS denotes length of stay

Resource item	Unit cost	Unit of analysis	Source of unit cost
District nurse	£67.00	per hour of patient related work	PSSRU 2015 pg. 169 <sup>[13]</sup>
Community Physiotherapist	£36.00	per hour of consultation	PSSRU 2015 pg. 179 <sup>[13]</sup>
Occupational therapist	£44.00	per hour	PSSRU 2015 pg. 191 <sup>[13]</sup>
<b>Personal Social Services</b>			
Meals on wheels (frozen, daily)	£46.00	per weekly meal	PSSRU 2014 pg. 127 <sup>[14]</sup>
Meals on wheels (hot, daily)	£44.00	per weekly meal	PSSRU 2014 pg. 127 <sup>[14]</sup>
Laundry services	£4.55	per load	North Yorkshire Country Council <a href="http://www.northyorks.gov.uk/article/23988/Paying-for-social-care-services-in-the-community">http://www.northyorks.gov.uk/article/23988/Paying-for-social-care-services-in-the-community</a>
Social worker contacts	£42.00	per hour	PSSRU 2015 pg. 95 <sup>[13]</sup>
Care worker contacts including help at home	£24.00	per hour	PSSRU 2015 pg. 192 <sup>[13]</sup>
<b>Aids and Adaptations</b>			
Crutches	£5.06	per unit	NHS supplies catalogue 2015/16 <sup>[17]</sup>
Stick	£3.94	per unit	NHS supplies catalogue 2015/16 <sup>[17]</sup>
Zimmer frame	£35.99	per unit	NHS supplies catalogue 2015/16 <sup>[17]</sup>
Grab Rail	£1.61	per unit	NHS supplies catalogue 2015/16 <sup>[17]</sup>
Dressing aids	£1.66	per unit	NHS supplies catalogue 2015/16 <sup>[17]</sup>
Long handle shoe horn	£1.66	per unit	NHS supplies catalogue 2015/16 <sup>[17]</sup>
<b>Productivity losses</b>			
Median wage rate (full-time males)	£567.00	per week	Annual survey of hours and earnings (ASHE, 2015) <sup>[16]</sup>
Median wage rate (full-time females)	£471.00	per week	ASHE, 2015 <sup>[16]</sup>
Median wage rate (part-time males)	£156.00	per week	ASHE, 2015 <sup>[16]</sup>
Median wage rate (part-time females)	£171.00	per week	ASHE, 2015 <sup>[16]</sup>
Median earnings (self-employed)	£10800.00	per year	<a href="https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/500317/self-employed-income.pdf">https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/500317/self-employed-income.pdf</a>

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558 **Table III Number and proportion of individuals with missing health economic data by**  
 559 **treatment allocation**

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Variable	Description	Missing values: N (%)		
		Nail (N=158)	Locking Plate (N=160)	Total
eq5db	EQ-5D index score pre-injury	1 (1%)	1 (1%)	2 (1%)
eq5d0	EQ-5D index score post-injury	2 (1%)	3 (2%)	5 (2%)
eq5d1	EQ-5D at 3 months	23 (15%)	19 (12%)	42 (13%)
eq5d2	EQ-5D at 6 months	16 (10%)	18 (11%)	34 (11%)
eq5d3	EQ-5D at 12 months	43 (27%)	42 (26%)	85 (27%)
c0	Operative costs (surgery cost including initial hospital stay + implants)	0 (0%)	0 (0%)	0 (0%)
c1	Total resource use baseline - 3 months	54 (34%)	54 (34%)	108 (34%)
c2	Total resource use between 3- 6 months	30 (19%)	31 (19%)	61 (19%)
c3	Total resource use between 6- 12 months	60 (38%)	58 (36%)	118 (37%)
c4	Total resource use between 0- 6 months	67 (42%)	62 (39%)	129 (41%)
c5	Total resource use between 0- 12 months	88 (56%)	82 (51%)	170 (54%)

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**Table IV NHS and personal social service costs for cases with complete data by trial allocation, study period and cost category (£, 2014-15 prices)**

Cost category by period	Nail Mean (SE) Cost	Locking Plate Mean (SE) Cost	Mean Difference	P Value <sup>a</sup>	Bootstrap 95% CI <sup>b</sup>
<b>0-6months (n = 189 total; n= 91 IM; N=98 LP)</b>					
Initial operation cost	5460.04 (137.92)	5600.11 (137.92)	-140.07	0.19	(-684.24 to 262.61)
Subsequent inpatient care	40.73 (29.35)	313.14 (187.55)	-272.41	0.08	(-648.97 to 104.13)
Outpatient care	218.66 (11.46)	249.01 (19.49)	-30.35	0.09	(-75.00 to 14.31)
Community care	106.91 (28.42)	601.69 (371.42)	-494.78	0.10	(-1233.98 to 244.42)
Medications	37.73 (10.18)	38.83 (14.28)	-1.11	0.47	(-35.73 to 33.52)
Personal social services	0.52 (0.52)	0.98 (0.59)	-0.46	0.28	(-2.02 to 1.10)
Aids and adaptations	10.97 (2.30)	10.45 (1.61)	0.52	0.58	(-5.02 to 6.06)
<b>Total costs throughout first 6 months</b>	<b>5875.56 (124.85)</b>	<b>6814.22 (425.71)</b>	<b>-938.66</b>	<b>0.04*</b>	<b>(-1795.46 to -83.62)</b>
<b>0-12months (n = 160 total; n= 70 IM; N=78 LP)</b>					
Initial operation costs	5428.47 (112.00)	5528.72 (114.25)	-100.26	0.53	(-671.23 to 298.66)
Subsequent inpatient care	234.91 (92.68)	596.25(237.18)	-361.34	0.16	(-848.35 to 211.12)
Outpatient care	268.94 (16.90)	299.14 (26.25)	-30.20	0.34	(-100.29 to 27.88)
Community care	107.09 (23.30)	588.22 (410.64)	-481.13	0.25	(-1401.81 to 361.51)
Medications	58.14 (19.60)	78.45(35.95)	-20.31	0.62	(-111.91 to 62.76)
Personal social services	0.32 (0.32)	0.91 (0.64)	-0.59	0.40	(-2.16 to 0.88)
Aids and adaptations	9.45 (2.08)	10.77 (1.89)	-1.28	0.65	(-7.90 to 2.03)
<b>Total costs throughout first 12 months</b>	<b>6107.32 (158.56)</b>	<b>7102.46 (485.18)</b>	<b>-995.14</b>	<b>0.05</b>	<b>(-2069.63 to -74.93)</b>
<sup>a</sup> P value calculated using student t-test, 2 tail unequal variance					
<sup>b</sup> Non-parametric bootstrap estimation using 1,000 replications					

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568 **Table V: Societal costs related to distal fracture fixation for cases with complete data**  
 569 **by treatment arm (£, 2014-15)**

Cost category by period	Nail Mean (SE) Cost	Locking Plate Mean (SE) Cost	Mean Difference	P Value <sup>a</sup>
<b>Follow up-period: 0 – 6 month</b>				
NHS and PSS costs	5875.56 (124.85)	6814.22 (425.71)	-938.66	0.04
Private costs	16.36 (8.02)	12.46 (3.74)	3.90	0.65
Cost of lost productivity	3901.13 (759.48)	5351.80 (814.56)	-1450.67	0.20
<b>Societal costs</b>	<b>9793.05 (761.66)</b>	<b>12178.48 (1003.33)</b>	<b>-2385.43</b>	<b>0.07</b>
<b>Follow-up period: 0 – 12 months</b>				
NHS resource use costs	6107.32 (158.56)	7102.46 (485.18)	-995.14	0.05
Private costs	49.52 (35.72)	24.65 (7.80)	24.87	0.48
Cost of lost productivity	3333.28 (649.45)	5758.62 (1032)	-2425.34	0.05
<b>Societal costs</b>	<b>9490.12 (658.07)</b>	<b>12885.73 (1174.33)</b>	<b>-3395.61</b>	<b>0.01</b>
<sup>a</sup> P value calculated using student t-test, 2 tail unequal variance				

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572 **Table VI Mean EQ-5D index scores at the baseline and follow-ups: nail vs. locking**  
 573 **plate for distal tibia fixation**

Time point	Intramedullary Nail		Locking Plate		Difference (95%CI)		p-value
	Mean (SD)	n	Mean (SD)	n	Raw	Adjusted*	
Post-injury	-0.003 (0.334)	158	-0.024 (0.311)	156	-0.021	-0.030 (-0.09 to 0.03)	0.331
3 months	0.546 (0.273)	134	0.499 (0.302)	142	-0.047	-0.058 (-0.12 to 0.00)	0.067
6 months	0.670 (0.265)	143	0.622 (0.275)	141	-0.048	-0.064 (-0.12 to -0.01)	0.029
12 months	0.722 (0.278)	128	0.731 (0.246)	130	0.009	-0.018 (-0.07 to 0.05)	0.525

\*Mixed effects regression model based on intention to treat analysis approach. Fixed effects were allocated treatment group, age group, baseline pre-injury score and gender, and recruiting site was a random effect.

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**Table VII: Cost-effectiveness, cost/QALY (£, 2015): intramedullary nail fixation compared to locking plate fixation**

	Incremental cost (95% CI)	Incremental QALYs (95% CI)	ICER *	Probability of cost-effectiveness			Net monetary benefits		
				P <sup>1</sup>	P <sup>2</sup>	P <sup>3</sup>	NMB <sup>1</sup> (95% CI)	NMB <sup>2</sup> (95% CI)	NMB <sup>3</sup> (95% CI)
<b>Base Case</b>									
Imputed attributable costs and QALYs, covariate adjusted	-970 (-1685 to -256)	0.01 (-0.03 to 0.06)	Dominant	0.98	0.97	0.94	1204 (43 to 2465)	1273 (-82 to 2689)	1410 (-385 to 3190)
<b>Sensitivity analyses</b>									
Complete case attributable costs and QALYs, covariate adjusted	-1791 (-3986 to -225)	0.04 (-0.02 to 0.09)	Dominant	0.99	0.98	0.98	1429 (146 to 2818)	1558 (118 to 3069)	1818 (36 to 3626)
Societal perspective	-2230 (-4626 to 167)	0.014 (-0.03 to 0.06)	Dominant	0.97	0.97	0.96	2423 (-26 to 5173)	2493 (-93 to 5337)	2626 (-270 to 5706)
Per treatment analysis – imputed attributable costs and QALYs, covariate adjusted	-875 (-1725 to -26)	-0.01 (-0.06 to 0.04)	172857 (south-west quadrant)	0.92	0.88	0.81	923 (-347 to 2353)	909 (-570 to 2508)	872 (-1032 to 2861)
Imputed attributable costs and QALYs, additionally controlling for pre-injury utility	-1188 (-2266 to -110)	0.02 (-0.02 to 0.06)	Dominant	0.99	0.99	0.98	1518 (212 to 2940)	1633 (180 to 3194)	1862 (66 to 3738)
<b>Subgroup analyses</b>									
Base Case: age <50	-1468 (-3547 to -291)	0.08 (0 to 0.17)	Dominant	0.99	0.98	0.98	1730 (207 to 3320)	1953 (166 to 3804)	2402 (55 to 4830)
Base case: age ≥50	-821 (-2760 to 1110)	-0.022 (-0.09 to 0.05)	60000 (south-west quadrant)	0.71	0.67	0.62	709 (-1960 to 3480)	630 (-2320 to 3610)	473 (-3065 to 3930)
Base Case: males	-1651 (-5042 to -682)	0.05 (-0.07 to 0.17)	Dominant	0.71	0.68	0.62	745 (-1945 to 3612)	670 (-2305 to 3741)	520 (-3043 to 4075)
Base Case: females	-1193 (-5243 to 102)	0.02 (-0.05 to 0.10)	Dominant	0.71	0.68	0.62	746 (-1950 to 3643)	673 (-2307 to 3781)	529 (-3049 to 4157)
*ICER: Incremental cost-effectiveness ratio; dominance indicates average costs were less and average benefit greater for intramedullary nail vs. locking plate fixation P <sup>1</sup> , P <sup>2</sup> , P <sup>3</sup> : probability cost-effective if willing to pay £15,000/QALY, £20,000/QALY or £30,000/QALY, respectively NMB <sup>1</sup> , NMB <sup>2</sup> , NMB <sup>3</sup> : net monetary benefit if willing to pay £15,000/QALY, £20,000/QALY or £30,000/QALY, respectively									

